

**SUMMARY SCHEDULE OF STATE AGENCY CONTRACTS  
FOR ARKANSAS LEGISLATIVE COUNCIL REVIEW**

***Contracts Between State Agencies or Institutions***

**1 . Agency:** Economic Development Commission      **Contractor:** University of Arkansas - World Trade Center  
**Location:** Fayetteville      **State:** AR

**Total Authorized:** \$87,500.00    **Org. Term** 09/19/2008 06/30/2009    **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$137,500.00    **Funding** State - 100%

**Total Projected:** \$250,000.00      **Contract Number:** 4600015899

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	50,000.00	66,203.87	Amd. 2 increases compensation and expenses and extends the contract one year.	06/30/2011
<b>History:</b>				
Amd. 1	50,000.00	1,863.92	Increases compensation and expense to extend contract one year.	06/30/2010
Original:	37,500.00		Org. contract provides representation of agency in trade activities; international promotion of State's products and services through participation in trade shows, generation of trade leads, visiting clients and identifying distributors and leads; assistance with visitors, meetings and negotiations; access to a China specialist for potential investment activities; recognition of the partnership with the agency on office signage and materials; and other future negotiated representation.	

**2 . Agency:** Economic Development Commission      **Contractor:** Science & Technology Auth.- Ark. Manufacturing Solutions  
**Div/Prog:** Energy Office      **Location:** Little Rock      **State:** AR

**Total Authorized:** \$90,000.00    **Org. Term** 04/18/2008 06/30/2009    **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$245,454.00    **Funding** Cash - 100% - Energy Efficiency Arkansas Fund from PSC approved Utility Surcharges

**Total Projected:** \$90,000.00      **Contract Number:** 4600014927

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	155,454.00	90,000.00	Amd.1 increases compensation, requires 10 additional Energy Efficiency in Industry Workshops, and extends the contract one year.	06/30/2011
<b>History:</b>				
Amd. 1	0.00	0.00	Amd. 1 <not reviewed>, March 2009, extended the contract one year.	06/30/2010
Original:	90,000.00		Org. contract provides 1) a survey of Arkansas manufacturers to determine training needs and topics of interest related to energy conservation and efficiency; 2) implementation of six (6) conservation/efficiency workshops or conferences in 2008 and 2009 for manufacturing industries; and 3) quarterly reports on progress, financial status, and expenditures and a final report.	

**3 . Agency:** Health Department      **Contractor:** Board of Trustees, UofA /behalf UAMS Ctr. for Hlth. Improv.  
**Location:** Little Rock      **State:** AR

**Total Authorized:** \$289,384.00    **Org. Term** 07/01/2008 06/30/2009    **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$447,199.00    **Funding** State - 100%

**Total Projected:** \$1,052,954.00      **Contract Number:** 4600015154

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	157,815.00	179,787.00	Amd.2 increases compensation to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 1	146,125.00	35,814.00	Amd.1 increases compensation to extend the contract one year.	06/30/2010
Original:	143,259.00		Org. contract provides a Surgeon General (Dr. Joe Thompson) for the agency that meets or exceeds professional standards as established by the Director of the Health Dept.	

**4 . Agency:** Health Department      **Contractor:** UA-Medical Sciences  
**Location:** Little Rock      **State:** AR

**Total Authorized:** \$427,942.00    **Org. Term** 07/01/2007 06/30/2008    **Procurement:** Intergovernmental - Contract

Extension

**Total After Review:** \$525,884.00 **Funding** Trust - 100% - Tobacco Settlement Funds

**Total Projected:** \$2,310,000.00

**Contract Number:** 4600013092

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 3	97,942.00	416,799.09	Amd.3 increases compensation to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 2	97,942.00	288,331.16	Amd.2 increases compensation to extend the contract one year to continue the use of a Cancer Registry Scientist to lead the Dept. of Health epidemiological program in cancer surveillance and provide assistance in accomplishing Health Dept. special projects.	06/30/2010
1	0.00	224,685.75	Amd.1 <not reviewed> extended the contract one year and revised the performance evaluators.	06/30/2009
Original:	330,000.00		Org. contract provides a Chief Physician Consultant for leadership of the agency's Prevention & Cessation Branch, a Cancer Registry Scientist to lead the agency's epidemiological program in cancer surveillance, and assistance with accomplishing special projects upon request.	

**5 . Agency:** Health Department  
**Div/Prog:** Administration

**Contractor:** Board of Trustees, UofA /behalf UAMS Ctr. for Hlth. Imprpv.

**Location:** Little Rock **State:** AR

**Total Authorized:** \$400,000.00 **Org. Term** 07/01/2008 06/30/2009 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$600,000.00 **Funding** State - 100%

**Total Projected:** \$1,400,000.00

**Contract Number:** 4600015156

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	200,000.00	400,000.00	Amd.2 increases compensation and expenses to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 1	200,000.00	200,000.00	Amd.1 increases compensation and expense to extend the contract one year.	06/30/2010
Original:	200,000.00		Org. contract provides sponsorship, and membership on the Administrative Committee, of UAMS' Arkansas Center for Health Improvement to further the agency's mission of improving the health of Arkansans through evidence-based health policy research, program development, and public issue advocacy.	

**6 . Agency:** Health Department  
**Div/Prog:** Administration

**Contractor:** UAMS/College of Public Hlth/Office of Comm Based Public Hlth

**Location:** Little Rock **State:** AR

**Total Authorized:** \$713,800.22 **Org. Term** 07/01/2007 06/30/2008 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$971,238.60 **Funding** State - 0%

**Total Projected:** \$223,170.18

**Contract Number:** 4600012494

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 3	257,438.38	649,440.64	Amd.3 increases compensation to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 2	257,438.38	378,631.30	Amd.2 increases compensation to extend the contract one year.	06/30/2010
1	233,191.66	167,377.68	Amd.1 increases compensation to extend contract one year.	06/30/2009
Original:	223,170.18		Provides services of Dr. Paul Halverson as Director of the Division of Health for the Dept. of Health & Human Services.	

**7 . Agency:** Health Department  
**Div/Prog:** Center for Health Advancement

**Contractor:** Board of Trustees, U Of A /behalf UAMS Cancer Resch. Ctr.

**Location:** Little Rock **State:** AR

**Total Authorized:** \$220,000.00 **Org. Term** 02/01/2010 06/30/2010 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$440,000.00 **Funding** State - 100%

**Total Projected:** \$440,000.00

**Contract Number:** 4600018827

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 1	220,000.00	105,434.90	Amd.1 increases compensation \$84,461 and expenses \$135,539 to extend the contract one year and continue colorectal cancer screenings statewide.	06/30/2011
Original:	220,000.00		Org. contract provides 149 colorectal cancer screenings for patients in all	

Department of Health Regions, statewide, with an emphasis on Eastern Arkansas, with the highest incidence and mortality rates, and produce a project plan for providing services.

**8 . Agency:** Health Department **Contractor:** Board of Trustees, U Of A /behalf UAMS Cancer Resch. Ctr.  
**Div/Prog:** Center for Health Advancement **Location:** Little Rock **State:** AR

**Total Authorized:** \$375,000.00 **Org. Term** 02/01/2010 06/30/2010 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$750,000.00 **Funding** State - 100%

**Total Projected:** \$750,000.00 **Contract Number:** 4600018828

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 1	375,000.00	75,894.38	Amd.1 increases compensation and expenses to extend the contract one year and to continue facilitation of on-site mammography screenings.	06/30/2011
Original:	375,000.00		Org. contract provides upgrading the portable mammography machine from analog to digital; staff support, maintenance and operation of the upgraded machine, 474 digital mammograms statewide and plans for providing services will be performed; and funding for patients who do not qualify for the Department of Health's Breastcare Program. Various partners collaborating with the UAMS Modular Mammography Program, providing expertise, funding and clinical experience, include ADH Breastcare Program, Winthrop P. Rockefeller Cancer Institute, UAMS Breast Center, and UAMS Witness Project.	

**9 . Agency:** Health Department **Contractor:** UAMS Department of OB/GYN  
**Div/Prog:** Center for Health Advancement **Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Intergovernmental

**Total After Review:** \$367,697.00 **Funding** Federal - 70.05% - 93.994 Department of Health and Human services, Health Resources & Services Administration; Other - 29.95% - Medicaid Reimbursement

**Total Projected:** \$1,838,485.00 **Contract Number:** 4600019367

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	367,697.00		Org. contract provides facilitating the delivery of comprehensive and risk appropriate maternity and family planning services to Arkansas Department of Health patients statewide.	

**10 . Agency:** Health Department **Contractor:** UALR - Institute of Government and Applied Research Group  
**Div/Prog:** Center for Health Advancement, Tobacco Prevention and Cessation Branch **Location:** Little Rock **State:** AR

**Total Authorized:** \$239,836.00 **Org. Term** 12/01/2008 06/30/2009 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$384,744.00 **Funding** Trust - 100% - Tobbaco Settlement

**Total Projected:** \$900,324.00 **Contract Number:** 4600016171

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	144,908.00	174,769.50	Amd.2 increases compensation and expense to extend the contract one year to evaluate the Department of Health's Quitline by collecting data to evaluate utilization patterns, client satisfaction, and client success in quitting tobacco.	06/30/2011
History:				
Amd. 1	130,133.00	0.00	Amd.1 increases compensation and expense to extend the contract one year.	06/30/2010
Original:	109,703.00		Org. contract provides evaluation of the ADH Arkansas Quitline using follow-up interviews with clients to assess satisfaction and quit rates; analysis of vendor's demographic, service and treatment data; observational assessment of vendor behavior and "secret shopper" calls to verify service quality and provide improvement feedback; and verification of vendor services and billings.	

**11 . Agency:** Health Department **Contractor:** Board of Trustees, UofA /behalf of UAMS Dept.of Surgery  
**Div/Prog:** Center for Health Protection **Location:** Little Rock **State:** AR

**Total Authorized:** \$187,500.00 **Org. Term** 12/01/2009 06/30/2010 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$375,000.00 **Funding** State - 100%

**Total Projected:** \$1,312,500.00 **Contract Number:** 4600018381

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 1	187,500.00	133,928.57	Amd.1 increases compensation to extend the contract one year and continue	06/30/2011

consultation in trauma system development.

Original: 187,500.00

Org. contract provides 1,040 work hours of medical consultation in trauma system development to trauma system management and staff.

12 . Agency: Health Department  
Div/Prog: Child And Adolescent Health

Contractor: Board of Trustees, UofA /behalf of UAMS Dept. of Pediatrics

Location: Little Rock State: AR

Total Authorized: \$246,000.00 Org. Term 07/01/2008 06/30/2009 Procurement: Intergovernmental - Contract Extension

Total After Review: \$376,384.00 Funding Other - 100% - Newborn Screening Fees

Total Projected: \$861,000.00 Contract Number: 4600015078

Org/Am:	Amount	Paid To Date	Objective:	New Exp Date
Amd. 2	130,384.00	201,251.96	Amd.2 increases compensation and expense to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 1	123,000.00	69,749.96	Amd. 1 increases compensation and expense to extend the contract one year.	06/30/2010
Original:	123,000.00		Org. contract provides physician consultation, coordination of referrals, and tracking and monitoring of follow-up services for the Health Dept. Newborn Screening Program.	

13 . Agency: Human Services Department  
Div/Prog: Behavioral Health

Contractor: Board of Trustees, UofA /behalf of UofA Little Rock  
Location: Little Rock State: AR

Total Authorized: Org. Term 07/01/2010 06/30/2011 Procurement: Intergovernmental

Total After Review: \$144,917.00 Funding Federal - 100% - 93.959 Substance Abuse Prevention & Treat. Grant; 93.243 State Incentive Grants

Total Projected: \$144,917.00 Contract Number: 4600019279

Org/Am:	Amount	Paid To Date	Objective:	New Exp Date
Original:	144,917.00		Org. contract provides assistance to the Office of Alcohol and Drug Abuse Prevention in providing workforce development, continuing education, resource development, and technical assistance to professionals and volunteers in the area of alcohol, tobacco, and other drug abuse prevention in collaboration with the Office of Comprehensive School Health of the Arkansas Department of Education and other organizations.	

14 . Agency: Human Services Department  
Div/Prog: Behavioral Health

Contractor: Board of Trustees, UofA/UALR MIDSOUTH  
Location: Little Rock State: AR

Total Authorized: \$329,353.00 Org. Term 07/01/2008 06/30/2009 Procurement: Intergovernmental - Contract Extension

Total After Review: \$492,706.00 Funding Federal - 100% - Substance Abuse Prev. & Treatment

Total Projected: \$166,000.00 Contract Number: 4600015028

Org/Am:	Amount	Paid To Date	Objective:	New Exp Date
Amd. 4	163,353.00	173,105.98	Amd.4 increases compensation and expense to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 3	-2,647.00	166,725.00	Amd.3 <not reviewed> reduced expense Jan. 2010.	
2	166,000.00	34,665.37	Amd.2 increases compensation and expense to extend the contract one year.	06/30/2010
1	0.00	34,665.37	Amd.1 <not reviewed> modified the funding source.	
Original:	166,000.00		Org. contract provides the planning, implementation of, and statewide recruitment for an annual five-day learning event on substance abuse prevention and treatment issues addressing basic and advanced level learning needs.	

15 . Agency: Human Services Department  
Div/Prog: Behavioral Health

Contractor: UALR - Mid South Center  
Location: Little Rock State: AR

Total Authorized: \$706,501.00 Org. Term 07/01/2006 06/30/2007 Procurement: Intergovernmental - Contract Extension

Total After Review: \$882,002.00 Funding Federal - 100% - Substance Abuse Prevention & Treatment

Total Projected: \$1,239,000.00 Contract Number: 4600010530

Org/Am:	Amount	Paid To Date	Objective:	New Exp Date
Amd. 5	175,501.00	629,581.12	Amd.5 increases compensation and expense to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 4	-6,499.00	572,463.00	Amd.4 <not reviewed> decreases compensation and expense, Jan 2010.	

3	182,000.00	428,310.00	Amd. 3 increases compensation and expense to extend the contract one year.	06/30/2010
2	177,000.00	235,095.56	Amd.2 increases compensation \$144,632 and expense \$32,368 to extend the contract one year.	06/30/2009
1	177,000.00	99,891.00	Amd.1 increases compensation \$143,732 and expenses \$33,268 to extend contract end date one year.	06/30/2008
Original:	177,000.00		Provide comprehensive additions education program for substance abuse counselors in training, certified counselors, and other professionals in Arkansas who work in the addictions field with statewide coverage.	

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<b>16 . Agency:</b> Human Services Department	<b>Contractor:</b> UAMS Psychiatric Research Institute			
<b>Div/Prog:</b> Behavioral Health	<b>Location:</b> Little Rock <b>State:</b> AR			
<b>Total Authorized:</b>	<b>Org. Term</b> 07/01/2010 06/30/2011 <b>Procurement:</b> Intergovernmental			
<b>Total After Review:</b> \$67,467.00	<b>Funding</b> State - 62%; Other - 38% - Reimbursement for Client Services			
<b>Total Projected:</b> \$337,335.00	<b>Contract Number:</b> 4600019432			
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>	<b>New Exp Date</b>
Original:	67,467.00		Provide management/supervision of Arkansas State Hospital Medical Records Department as required by Centers for Medicare & Medicaid services (CMS) and the Joint Commission Accredited healthcare Organization.	

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<b>17 . Agency:</b> Human Services Department	<b>Contractor:</b> Arkansas State University
<b>Div/Prog:</b> Child Care/Early Childhood Ed	<b>Location:</b> <in-state> <b>State:</b> AR
<b>Total Authorized:</b> \$7,508,404.87	<b>Org. Term</b> 07/01/2005 06/30/2007 <b>Procurement:</b> Request for Proposal - Contract Extension
<b>Total After Review:</b> \$9,406,895.81	<b>Funding</b> Federal - 94.68% - Child Care Development Fund (93.595, 93.575); Other - 5.32% - Ark. Better Chance (Dept.of Education)
<b>Total Projected:</b>	<b>Contract Number:</b> 4600008177

<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>	<b>New Exp Date</b>
Amd. 9	1,898,490.94	6,679,411.45	Amd.9 increases compensation and expenses to extend the contract one year and modifies funding percentages.	06/30/2011
<b>History:</b>				
Amd. 8	1,296,851.00	5,282,448.08	Amd.8 increases compensation and expenses to extend the contract one year and modifies the funding percents.	06/30/2010
7	-125,496.20	4,857,106.31	Amd.7 <not reviewed> reduces compensation and expense.	
6	167,388.42	4,033,256.76	Amd.6 increases compensation \$109,362 and expense \$58,026 and modifies funding percentage to continue training and assistance to early childcare providers in the State.	
5	1,254,959.20	3,882,828.16	Amd.5 increases compensation \$631,487 and expense \$623,472 to extend the contract one year and modifies funding.	06/30/2009
4	99,674.28	3,581,523.00	Amd.4 increases expenses for continued training and technical support for state-wide school age and after school enhancement development.	
3	1,523,463.48	2,359,332.35	Amd.3 increases compensation \$907,674 and expenses \$615,789 to extend contract one year.	06/30/2008
2	1,843,520.76	702,120.60	For the continued delivery of training and technical assistance to early childhood providers in the state.	
1	136,565.29		To add \$136,565.29 to this contract for the administration of Math and Science training curriculum.	
Original:	1,311,478.64		To provide training and technical assistance to early childhood providers in the state.	

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<b>18 . Agency:</b> Human Services Department	<b>Contractor:</b> Arkansas State University Childhood Services			
<b>Div/Prog:</b> Child Care/Early Childhood Ed	<b>Location:</b> Jonesboro <b>State:</b> AR			
<b>Total Authorized:</b> \$428,161.67	<b>Org. Term</b> 01/01/2008 06/30/2008 <b>Procurement:</b> Request for Proposal - Contract Extension			
<b>Total After Review:</b> \$621,143.67	<b>Funding</b> Federal - 95.98% - Child Care Dev. Fund (93.596); Other - 4.02% - Ark. Better Chance (Early Childhood Training)			
<b>Total Projected:</b> \$1,400,000.00	<b>Contract Number:</b> 4600014507			
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>	<b>New Exp Date</b>
Amd. 3	192,982.00	354,923.75	Amd.3 increases compensation and expense to extend the contract one year and adds Arkansas Better Chance program funding.	06/30/2011
<b>History:</b>				
Amd. 2	171,410.44	222,287.34	Amd.2 increases compensation and expense to extend the contract one year.	06/30/2010
1	171,410.44	12,750.03	Amd.1 increases compensation \$118,639 and expense \$52,772 to extend the	06/30/2009

contract one year.

Original: 85,340.79

Operation of a Child Care Resource & Referral (CCR&R) agency serving 14 counties in east and northeast Arkansas (Clay, Craighead, Crittenden, Cross, Greene, Lawrence, Lee, Mississippi, Monroe, Phillips, Poinsett, Randolph, St. Francis and Woodruff). Services include assistance and information to parents and providers concerning selection of licensed child care programs and supporting business involvement and workforce development in the early childhood community.

19 . Agency: Human Services Department Contractor: UA-Board of Trustees / Cooperative Extension Service  
Div/Prog: Child Care/Early Childhood Ed Location: <in-state> State: AR

Total Authorized: \$2,300,236.00 Org. Term 07/01/2005 06/30/2007 Procurement: Request for Proposal - Contract Extension

Total After Review: \$2,727,135.00 Funding Federal - 100% - Child Care Development Fund

Total Projected: Contract Number: 4600008171

Org/Am: Amount Paid To Date Objective: New Exp Date

Amd. 9 426,899.00 1,987,596.23 Amd.9 inceases compensation and expenses to extend the contract one year. 06/30/2011

History:

Amd. 8 0.00 1,987,596.23 Amd.8 <not reviewed> decreases compensation and increases expenses \$15,014, April 2010.

7 435,611.00 1,559,482.00 Amd.7 increases compensation and expenses to extend the contract one year. 06/30/2010

6 -48,401.00 1,304,525.00 Amd.6 <Not Reviewed> increased compensation \$5,828 and reduced expense \$54,229.

5 484,012.00 1,122,331.24 Amd.5 increases compensation \$295,975 and expense \$188,037 to extend the contract one year. 06/30/2009

4 0.00 1,077,750.66 Amds.3&4, not reviewed, reduced expenses and increased compensation \$3,169.

2 484,014.00 662,356.00 Amd.2 increases compensation \$279,149 and expenses \$204,865 to extend contract one year. 06/30/2008

1 475,000.00 144,374.88 To continue contract services.

Original: 470,000.00 To provide early childhood providers with the following training: Best Care, Best Care Connected, Guiding Children Successfully and Arkansas 4-H After school Project.

20 . Agency: Human Services Department Contractor: UA-Dept. of Research Sponsored Programs  
Div/Prog: Child Care/Early Childhood Ed Location: <in-state> State: AR

Total Authorized: \$2,162,988.32 Org. Term 07/01/2005 06/30/2007 Procurement: Intergovernmental - Contract Extension

Total After Review: \$2,319,846.32 Funding Federal - 91.38% - Child Care Development Fund (93.596); State - 8.62%

Total Projected: Contract Number: 4600008207

Org/Am: Amount Paid To Date Objective: New Exp Date

Amd. 6 156,858.00 1,802,929.25 Amd.6 increases compensation and expense to extend the contract one year and modifies funding percentages. 06/30/2011

History:

Amd. 5 160,059.00 1,642,869.89 Amd.5 increases compensation and expense to extend the contract one year and modifies the funding percents. 06/30/2010

4 -17,783.84 1,642,869.89 Amd.4 <not reviewed> reduced the expense.

3 177,843.00 1,560,138.59 Amd.3 increases compensation \$1,747 and expense \$176,096 to extend contract one year and modify funding. 06/30/2009

2 177,856.16 976,761.00 Amd.2 increases compensation \$125,393 and expenses \$52,463 to extend contract one year. 06/30/2008

1 990,353.00 185,696.67 Continue contract services.

Original: 674,661.00 Provide support for early childhood professionals development/training in Arkansas consistent with the Professional Development System. Counties to be served statewide.

21 . Agency: Human Services Department Contractor: UAMS - Partners for Inclusive Communities  
Div/Prog: Child Care/Early Childhood Ed Location: <in-state> State: AR

Total Authorized: \$1,320,000.00 Org. Term 07/01/2005 06/30/2007 Procurement: Request for Proposal - Contract Extension

Total After Review: \$1,562,550.00 Funding Federal - 100% - Child Care Development Fund- Early Childhood Train

Total Projected: Contract Number: 4600008164

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 10	242,550.00	1,225,120.79	Amd.10 increases compensation and expense to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 9	0.00	1,182,164.00	Amd.9 <not reviewed> decreases compensation and increases expense \$9,737, February 2010.	
8	0.00	987,822.00	Amd.8 <not reviewed> decreases compensation and increases expense \$792, April 2009.	
7	247,500.00	948,414.00	Amd.7 increases compensation and expense to extend the contract one year.	06/30/2010
4	-27,500.00	877,445.00	Amds.4,5 & 6 <Not Reviewed> increased compensation \$35,178 and decreased expense \$62,678.	
3	275,000.00	710,456.26	Amd.3 increases compensation \$224,801 and expense \$50,199 to extend contact one year.	06/30/2009
2	275,000.00	391,119.00	Amd.2 increases compensation \$219,070 and expenses \$55,930 to extend the contract one year.	06/30/2008
1	275,000.00	106,037.05	Amd. 1 increases compensation \$214,217 and expense \$60,783.	
Original:	275,000.00		Provide the "Welcome the Children" training to early childhood providers and educators within the state to assist them in understanding cultural issues, developing support strategies and making referrals for possible developmental delays.	

**22 . Agency:** Human Services Department **Contractor:** UAMS - Partners for Inclusive Communities  
**Div/Prog:** Child Care/Early Childhood Ed **Location:** North Little Rock **State:** AR  
**Total Authorized:** \$489,728.00 **Org. Term** 07/01/2007 06/30/2008 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$615,649.00 **Funding** Federal - 100% - Child Care Development Fund

**Total Projected:** \$1,225,000.00 **Contract Number:** 4600012909

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 5	125,921.00	444,028.44	Amd.5 increases compensation and expense to extend the contract one year.	06/30/2011
<b>History:</b>				
Amd. 4	0.00	414,774.00	Amd.4 decreases compensation and increase expense \$928, February 2010.	
3	157,408.00	261,896.00	Amd.3 increases compensation and expenses to extend the contract one year.	06/30/2010
2	-17,496.00	151,559.00	Amd.2 <Not Reviewed> reduced compensation and expenses.	
1	174,908.00	102,613.45	Amd.1 increases compensation \$160,016 and expense \$14,892 to extend the contract one year.	06/30/2009
Original:	174,908.00		Org. contract provides training, technical assistance and evaluative services to Early Childhood/Community Mental Health Center Partnerships statewide and to early care programs specifically in certain counties (Lawrence, Randolph, Pope, Conway, Faulkner and Craighead). Partnerships provide prevention and intervention services to support social and emotional development of children and families.	

**23 . Agency:** Human Services Department **Contractor:** Board Of Trustees UofA/behalf of UofA Little Rock  
**Div/Prog:** Children And Family **Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Intergovernmental

**Total After Review:** \$5,522,031.00 **Funding** Federal - 100% - 93.658 Foster Care Title IV E

**Total Projected:** \$5,522,031.00 **Contract Number:** 4600019431

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	5,522,031.00		Org. contract purchases education and training for DCFS staff, foster parents and students preparing for employment with DCFS and quality assurance in Public Child Welfare.	

**24 . Agency:** Human Services Department **Contractor:** Board of Trustees, UofA /behalf of UAMS Dept. of Pediatrics  
**Div/Prog:** Children And Family **Location:** Little Rock **State:** AR

**Total Authorized:** \$100,000.00 **Org. Term** 07/01/2008 06/30/2009 **Procurement:** Intergovernmental - Contract Extension

**Total After Review:** \$155,000.00 **Funding** Federal - 100% - Child Abuse Prev. & Treat. Act

**Total Projected:** \$200,000.00 **Contract Number:** 4600014961

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	55,000.00	70,445.20	Amd.2 increases compensation to extend the contract one year.	06/30/2011

**History:**

Amd. 1 50,000.00 60,000.00

Amd.					
Original:	50,000.00	Org. contract provides statewide Family Treatment - Incest Offender Treatment and Non-Offender Caretaker Treatment Services.			
<b>25 . Agency:</b> Human Services Department		<b>Contractor:</b> UA Board of Trustees-UAMS/Partners for Inclusive Communities			
<b>Div/Prog:</b> Children And Family		<b>Location:</b> North Little Rock		<b>State:</b> AR	
<b>Total Authorized:</b>	\$196,285.82	<b>Org. Term</b> 08/18/2008 06/30/2009	<b>Procurement:</b> Intergovernmental - Contract Extension		
<b>Total After Review:</b>	\$287,139.39	<b>Funding</b> State - 10%; Other - 90% - Northrop Grumman via Federal contract			
<b>Total Projected:</b>	\$368,696.71	<b>Contract Number:</b> 4600015388			
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>	<b>New Exp Date</b>	
Amd. 2	90,853.57	123,977.63	Amd.2 increases compensation and expense to extend the contract one year.	06/30/2011	
<b>History:</b>					
Amd. 1	95,945.97	50,045.00	Amd.1 increases compensation and expense to extend the contract one year.	06/30/2010	
Original:	100,339.85	Org. contract provides development and execution of the Pulaski County Fetal Alcohol Spectrum Disorder Project plan to improve the functioning and quality of life of children in State custody and their families through diagnosis and intervention.			
<b>26 . Agency:</b> Human Services Department		<b>Contractor:</b> UA-Medical Sciences/Dept. of Pediatrics			
<b>Div/Prog:</b> Children And Family		<b>Location:</b> Little Rock		<b>State:</b> AR	
<b>Total Authorized:</b>	\$224,971.50	<b>Org. Term</b> 07/01/2007 06/30/2008	<b>Procurement:</b> Intergovernmental - Contract Extension		
<b>Total After Review:</b>	\$294,962.00	<b>Funding</b> State - 100%			
<b>Total Projected:</b>	\$279,962.00	<b>Contract Number:</b> 4600012885			
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>	<b>New Exp Date</b>	
Amd. 4	69,990.50	118,629.79	Amd.4 increases compensation to extend the contract one year.	06/30/2011	
<b>History:</b>					
Amd. 3	15,000.00	118,629.79	Amd.3 increases compensation for diagnosis, assessment, and out-patient therapy for agency clients statewide.		
2	69,990.50	117,281.90	Amd.2 increases compensation to extend the contract one year to purchase diagnosis, assessment and out-patient therapy for agency clients statewide.	06/30/2010	
1	69,990.50	44,347.97	Amd.1 increases compensation and extends the contract one year to purchase diagnosis, assessment and out-patient therapy for agency clients statewide.	06/30/2009	
Original:	69,990.50	Org. contract provides non-residential sexual offender therapy services to children and adolescents referred by the agency with services performed by licensed professionals.			
<b>27 . Agency:</b> Human Services Department		<b>Contractor:</b> UAMS Department of Pediatrics			
<b>Div/Prog:</b> Children And Family		<b>Location:</b> Little Rock		<b>State:</b> AR	
<b>Total Authorized:</b>		<b>Org. Term</b> 07/01/2010 06/30/2011	<b>Procurement:</b> Intergovernmental		
<b>Total After Review:</b>	\$45,000.00	<b>Funding</b> State - 100%			
<b>Total Projected:</b>	\$45,000.00	<b>Contract Number:</b> 4600019371			
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>	<b>New Exp Date</b>	
Original:	45,000.00	Org. contract purchases Comprehensive Medical Exams for clients not eligible for Medicaid assistance for foster care and adoption assistance.			
<b>28 . Agency:</b> Human Services Department		<b>Contractor:</b> University of Arkansas at Fayetteville Research Support and Sponsored			
<b>Div/Prog:</b> Children And Family		<b>Location:</b> Fayetteville		<b>State:</b> AR	
<b>Total Authorized:</b>		<b>Org. Term</b> 07/01/2010 06/30/2011	<b>Procurement:</b> Intergovernmental		
<b>Total After Review:</b>	\$2,955,876.00	<b>Funding</b> Federal - 100% - Foster Care IV-E (93.658)			
<b>Total Projected:</b>	\$2,955,876.00	<b>Contract Number:</b> 4600019376			
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>	<b>New Exp Date</b>	
Original:	2,955,876.00	Org. contract provides statewide training for agency staff and students preparing for employment with the agency and management of Arkansas Academic Partnership in Public Child Welfare.			



<b>29 . Agency:</b> Human Services Department <b>Div/Prog:</b> Developmental Disabilities	<b>Contractor:</b> UAMS Department of Pediatrics <b>Location:</b> Little Rock <b>State:</b> AR
<b>Total Authorized:</b>	<b>Org. Term</b> 07/01/2010 06/30/2011 <b>Procurement:</b> Intergovernmental
<b>Total After Review:</b> \$758,937.00	<b>Funding</b> State - 27.22%; Other - 72.78% - Title XIX Reimbursement
<b>Total Projected:</b> \$758,937.00	<b>Contract Number:</b> 4600019286
<b>Org/Am:</b> <u>Amount</u> <u>Paid To Date</u> <u>Objective:</u>	<u>New Exp Date</u>
Original: 758,937.00	Org. contract provides diagnosis and treatment to individuals in the Human Development Centers statewide.
<b>30 . Agency:</b> Human Services Department <b>Div/Prog:</b> Medical Services	<b>Contractor:</b> Arkansas Department of Health <b>Location:</b> Little Rock <b>State:</b> AR
<b>Total Authorized:</b>	<b>Org. Term</b> 07/01/2010 06/30/2011 <b>Procurement:</b> Intergovernmental
<b>Total After Review:</b> \$2,715,287.89	<b>Funding</b> Federal - 50% - Medicaid (CFDA-93.778); State - 50%
<b>Total Projected:</b> \$2,715,287.89	<b>Contract Number:</b> 4600019300
<b>Org/Am:</b> <u>Amount</u> <u>Paid To Date</u> <u>Objective:</u>	<u>New Exp Date</u>
Original: 2,715,287.89	Org. contract provides statewide educational materials and services to participants in the Primary Care Physician Program and provides support to the ARKids First information line.
<b>31 . Agency:</b> Human Services Department <b>Div/Prog:</b> Medical Services	<b>Contractor:</b> Board of Trustees of the Uof A on behalf of UAMS, Partners for Inclusive Communities <b>Location:</b> North Little Rock <b>State:</b> AR
<b>Total Authorized:</b>	<b>Org. Term</b> 07/01/2010 06/30/2011 <b>Procurement:</b> Intergovernmental
<b>Total After Review:</b> \$316,504.00	<b>Funding</b> Federal - 100% - Medicaid (CFDA #93.779)
<b>Total Projected:</b> \$316,504.00	<b>Contract Number:</b> 4600019308
<b>Org/Am:</b> <u>Amount</u> <u>Paid To Date</u> <u>Objective:</u>	<u>New Exp Date</u>
Original: 316,504.00	Org. contract provides statewide support and technical assistance to the Family Youth Assistance Network and the implementation of the Centers for Medicare and Medicaid Services Person Centered Planning Grant to define and implement a person centered planning process for children and youth with emotional disturbances and their families.
<b>32 . Agency:</b> Human Services Department <b>Div/Prog:</b> Medical Services	<b>Contractor:</b> Board of Trustees of UofA/ behalf of UAMS College of Nursing <b>Location:</b> Little Rock <b>State:</b> AR
<b>Total Authorized:</b>	<b>Org. Term</b> 07/01/2010 06/30/2011 <b>Procurement:</b> Intergovernmental
<b>Total After Review:</b> \$182,554.22	<b>Funding</b> Other - 100% - Civil Money Penalties
<b>Total Projected:</b> \$182,554.22	<b>Contract Number:</b> 4600019303
<b>Org/Am:</b> <u>Amount</u> <u>Paid To Date</u> <u>Objective:</u>	<u>New Exp Date</u>
Original: 182,554.22	Org. contract provides development and dissemination of clinical material to nursing homes statewide for the Arkansas Advancing Excellence Campaign to assure that facilities receive adequate clinical direction for the reduction of restraint usage and reduction of residents' pressure ulcers. The Centers for Medicaid and Medicare Services urges states to participate in Local Area Networks for Excellence in Nursing Homes (LANE) to provide this direction.
<b>33 . Agency:</b> Human Services Department <b>Div/Prog:</b> Medical Services	<b>Contractor:</b> UAMS College of Pharmacy <b>Location:</b> Little Rock <b>State:</b> AR
<b>Total Authorized:</b>	<b>Org. Term</b> 07/01/2010 06/30/2011 <b>Procurement:</b> Intergovernmental
<b>Total After Review:</b> \$2,827,162.00	<b>Funding</b> Federal - 64.98% - Medicaid 93.778; State - 35.02%
<b>Total Projected:</b> \$2,827,162.00	<b>Contract Number:</b> 4600019304
<b>Org/Am:</b> <u>Amount</u> <u>Paid To Date</u> <u>Objective:</u>	<u>New Exp Date</u>
Original: 2,827,162.00	Org. contract provides a process resulting in a preferred prescription drug list for Medicaid recipients and a statewide Prior Authorization Center based on the list to slow increases in drug costs.
<b>34 . Agency:</b> Human Services Department <b>Div/Prog:</b> Medical Services	<b>Contractor:</b> UAMS Department of Obstetrics and Gynecology <b>Location:</b> Little Rock <b>State:</b> AR
<b>Total Authorized:</b>	<b>Org. Term</b> 07/01/2010 06/30/2011 <b>Procurement:</b> Intergovernmental

**Total After Review:** \$22,778,964.00 **Funding** Federal - 60.09% - Medicaid- (93.778); State - 39.91%

**Total Projected:** \$22,778,964.00

**Contract Number:** 4600019306

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	22,778,964.00		Org. contract provides a program for Antenatal and Neonatal Guidelines Education and Learning System (ANGELS) statewide.	

**35 . Agency:** Human Services Department **Contractor:** UAMS Department of Obstetrics Gynecology  
**Div/Prog:** Medical Services **Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Intergovernmental

**Total After Review:** \$7,262,066.63 **Funding** Federal - 60.23% - Medicaid- (93.778); State - 39.77%

**Total Projected:** \$7,262,066.63

**Contract Number:** 4600019307

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	7,262,066.63		Org. contract provides a program for Stroke Assistance through Virtual Emergency Support (SAVES) in order to improve stroke morality and outcomes in the state of Arkansas.	

**36 . Agency:** Human Services Department **Contractor:** UAMS Department of Pediatrics  
**Div/Prog:** Medical Services **Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Intergovernmental

**Total After Review:** \$887,103.00 **Funding** Federal - 73.79% - Medicaid (93.778); State - 26.21%

**Total Projected:** \$887,103.00

**Contract Number:** 4600019285

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	887,103.00		Org. contract provides maintaining and expanding the services of statewide Clinics relative to the assessment of children with special health care needs.	

**37 . Agency:** Human Services Department **Contractor:** UAMS Psychiatric Research Institute  
**Div/Prog:** Medical Services **Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Intergovernmental

**Total After Review:** \$1,813,626.00 **Funding** Federal - 62.66% - Medicaid 93.778; State - 37.34%

**Total Projected:** \$1,813,626.00

**Contract Number:** 4600019309

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	1,813,626.00		Org. contract provides the Psych Telehealth Liaison Consult (TLC) which offers telephonic consultation to physicians regarding mental health symptoms, diagnosis, and treatment for children and adolescents statewide.	

**38 . Agency:** Human Services Department **Contractor:** University of Arkansas for Medical Sciences, Internal Medicine  
**Div/Prog:** Medical Services **Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Intergovernmental

**Total After Review:** \$157,990.00 **Funding** Federal - 50% - Medicaid-93.778; State - 50%

**Total Projected:** \$157,990.00

**Contract Number:** 4600019305

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	157,990.00		Org. contract provides 70% full-time equivalent services of a physician regarding collaborative program development and integrated advocacy of public policy issues statewide.	

**39 . Agency:** Human Services Department **Contractor:** UAMS - Department of Pediatrics  
**Div/Prog:** Youth Services **Location:** Little Rock **State:** AR

**Total Authorized:** \$1,067,992.00 **Org. Term** 07/01/2006 06/30/2007 **Procurement:** Intergovernmental

**Total After Review:** \$1,120,624.00 **Funding** Federal - 53.41% - Social Services Block Grant; State - 46.59%

**Total Projected:** \$1,037,430.00

**Contract Number:** 4600010469

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 6	52,632.00	720,613.03	Amd.6 increases compensation to extend the contract one year and modifies the funding percentages.	

**History:**

Amd. 5	215,127.00	686,383.00	Amd.5 increases compensation \$210,127 and expense \$5,000 to extend the contract one year.	06/30/2011
4	0.00	583,470.15	Amd.4 <not reviewed> changed funding percentages to 52% Federal and 48%	

			State.	
3	215,127.00	523,943.90	Amd.3 increases compensation and expense to extend the contract one year.	06/30/2010
2	215,126.00	292,584.76	Amd.2 increases compensation \$210,127 and expense \$4,999 to extend the contract one year.	06/30/2009
1	215,126.00	131,043.00	Amd.1 increases compensation \$210,126 and expenses \$5,000 to extend the contract one year.	06/30/2008
Original:	207,486.00		Provide sex offender assessment, treatment, and casework services delivered to juveniles in Pulaski county committed or referred to the Division of Youth Services by Judicial Districts statewide.	



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
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<http://www.state.ar.us/dfa>

**J**

May 20, 2010

Senator Steve Byles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY10 Emergency Transfer Request(s)

Dear Co-Chairs:

I am submitting for appropriate action as required by law, the attached transfer request(s) that I have approved prior to the regularly scheduled meeting of the Performance Evaluation and Expenditure Review Committee, as a result of emergency circumstances.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

FY10

**J.1.a**

Date: 5/7/2010 Grant ID: ARRA 90HT003201 Legislative Review Date: \_\_\_\_\_

Agency: DFA - Management Services (IGS) Program Title: State Health Information Exchange

Granting Organization Office of Natl Coordinator for Health Info Technology Grant #: ARRA 90HT0032/01

Effective Date of Authorization: Beginning: 2/8/2010 Ending: 6/30/2010

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
The Arkansas Office of Health Information Technology will be funded as part of the American Recovery and Reinvestment Act to encourage the use of information technology in Arkansas's healthcare sector. The office will be responsible for carrying out the terms of the State Cooperative Agreement to Promote Health Information Technology: Planning and Implementation Projects as required under the American Recovery and Reinvestment Act. The purpose of this cooperative agreement process is to advance appropriate and secure health information exchange (HIE) across the State's health care system. The Arkansas Science and Technology Authority will provide operational support to the Office of Health Information Technology during the term of the cooperative agreement.

**Project-Grant Funding**

Business Area Code: 0610  
Funds Center Code: 83T  
Fund Code: FHIE000  
Functional Area Code: ADMN

Continuation of Existing Program \_\_\_\_\_  
Change in Existing Program: X  
New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Operating Expenses				-
Personal Services Matching				-
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
Total	\$ -	\$ -	\$ -	\$ -

**Funding Percentages**

	Federal	State	Other	Total
FY06				0%
FY07				0%
FY08				0%
FY09				0%
FY10	93%	7%		100%

**Type of Federal Grant**

WIA \_\_\_\_\_  
Non-WIA \_\_\_\_\_  
ARRA X

Anticipated Duration of Federal Funds: February 7, 2014

N/A

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
21705314	FA04	OAL1	383511		501:00:00	Health Information Technology Coordinator			120,000

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature]  
Agency Director Date

[Signature] 5-19-10  
Office of Budget Date

[Signature]  
Office of Personnel Mgmt Date

fm

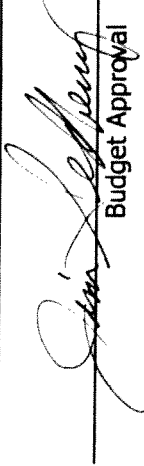
## ARKANSAS ADMINISTRATION STATEWIDE INFORMATION SYSTEM REQUEST FOR BUDGET CLASSIFICATION TRANSFERS (BCT) FY2010

Business Area: 0490 Business Area Title: Assessment Coordination Department  
 Funds Center: 258 Funds Center Title: State Operations  
 Fund: HUA4700 Fund Title: Miscellaneous Agencies Fund Functional Area: COMM

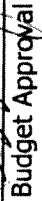
Line-Item Classifications	Authorized Appropriation	Actual Expenditures ** FY2010 thru 4/30/10	Transfer From		Transfer To	
			CI	Fund	CI	Fund
502:00:02 Operating Expenses	\$615,840	\$355,490	502:00:02	HUA4700		
505:00:09 Conference & Travel Expenses	\$49,869	\$30,219				
506:00:10 Professional Fees	\$10,000	\$4,500				
512:00:11 Capital Outlay *	\$21,918	\$0			512:00:11	HUA4700
509:00:12 Data Processing *	\$0	\$0				\$5,000

**Reason for Transfer:**

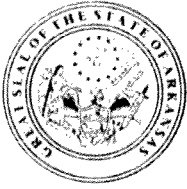
The Department is purchasing a vehicle and must provide for the payment of sales tax from Capital Outlay. Also, the Department is replacing two six year old servers to upgrade its IT capability to match other offices with which it shares information. These items are listed in the Future Hardware Purchases Section of this department's Information Technology Plan that was approved for this fiscal year by the DFA IGS State Technology Planning Office. Payment of one server was planned from Operating Expenses, but its final cost exceeded the limitation that requires its payment from Capital Outlay. This transfer is requested to provide sufficient appropriation in the proper line item for these acquisitions.

  
 \_\_\_\_\_  
 Agency Director

\_\_\_\_\_  
 DFA IGS State Technology Planning  
 (approval only needed if applicable \*\*\*)

  
 Budget Approval

\* Transfers may not be made from the capital outlay (512:00:11) or data processing (509:00:12) sub classifications unless specific authority for such transfers is provided by law. (ACA 19-4-522(C)(1))  
 \*\* For transfers requested during the month of July 2009 use the agency's fiscal year 2009 expenditures as of April 30, 2009 for each of the Maintenance & Operations commitment items. For transfers requested during the months August 2009 - May 2010 use the agency's fiscal year 2009 expenditures. For transfers requested during the month of June 2010 use the agency's fiscal year 2010 expenditures as of April 30, 2010.  
 \*\*\* Transfers requested for the purchase of information technology related items must be in compliance with the agency's Information Technology Plan submitted to DFA IGS State Technology Planning



STATE OF ARKANSAS  
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**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
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<http://www.state.ar.us/dfa>

**K**

May 20, 2010

Senator Steve Byles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure  
Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Uniform Exemption

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached request from the Commission on Law Enforcement Standards and Training to be exempt from Arkansas Code §19-6-109(c). The Commission plans to spend not more than \$40,000.00 for purchase of student uniforms for Fiscal Year 2011. This request has received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



GOVERNOR  
MIKE BEEBE

# STATE OF ARKANSAS

OFFICE OF THE GOVERNOR  
COMMISSION ON LAW ENFORCEMENT  
STANDARDS AND TRAINING  
P. O. Box 3106  
EAST CAMDEN, ARKANSAS 71711



DIRECTOR  
TERRY BOLTON  
(870) 574-1810  
FAX (870) 574-2706

April 27, 2010

FY 2011

Mr. Mike Stormes, Administrator  
Office of Budget  
P.O. Box 3278  
Little Rock, AR 72203-3278

Dear Mr. Stormes:

We are requesting approval for FY 2011 from the Arkansas Legislative Council and the Chief Fiscal Officer as per Arkansas Code 12-9-111 for the purpose of buying uniforms for students. The amount spent for the purchase of uniforms in any one year shall be limited to \$40,000.00

Thank you for your cooperation in this matter.

Sincerely,

Fred Starnes  
Fiscal Manager  
Commission on Law Enforcement  
Standards and Training

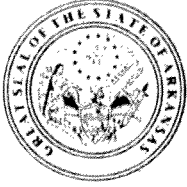
FS:jp

BA: 0950  
FA: SFTV  
FC: D4B  
Fund: 1080200

>>Attachment<<

on pow 4/23/10





STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**L.1**

May 20, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY10 Appropriation and/or Fund Transfer Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Appropriation and/or Fund Transfer request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



# ARKANSAS DEPARTMENT OF EDUCATION

FY10

# L.1.a

May 10, 2010

Dr. Tom W. Kimbrell  
Commissioner

**State Board  
of Education**

Dr. Naccaman Williams  
Springdale  
Chair

Jim Cooper  
Melbourne  
Vice Chair

Sherry Burrow  
Jonesboro

Brenda Gullett  
Fayetteville

Sam Ledbetter  
Little Rock

Alice Mahony  
El Dorado

Dr. Ben Mays  
Clinton

Toyce Newton  
Crossett

Mr. Richard Weiss, Chief Fiscal Officer  
Department of Finance and Administration  
1509 West 7th Street Room 401  
Little Rock, AR 72203

Dear Mr. Weiss:

By authority granted in Section 15 of Act 1421 of 2009, I am requesting your and the Arkansas Legislative Council's approval of the following appropriation and fund transfers for FY2010:

**PUBLIC SCHOOL FUND (JAA)**  
**APPROPRIATION TRANSFER**  
**FROM:**

School Funding Contingency (2ZH) (\$ 10,609,460)

**TO:**

Alternative Learning (311)	\$ 560,772
Serious Offender Program (566)	15,789
Teacher Retirement Matching (437)	1,100,000
98% URT Actual Collection Adjustment (34N)	8,932,899

The additional appropriation needed for the Alternative Learning program is to provide for the final FY10 budget adjustment needed for the program. The ALE distribution is based on the 4 quarter previous year average which was not known at the original budget preparation time.

The ADE is required to pay the Teacher Retirement Matching for various non-state entities. Based on the fourth quarter projections, that cost is projected to exceed the available appropriation.

The Serious Offender Program provides state funding to school districts that serve the DHS Serious Offender Program participants in their districts. The program is authorized by a Memorandum of Understanding between the Department of Education and the Department of Human Services. The additional amount requested represents the final payment calculation for FY2010.

Four Capitol Mall  
Little Rock, AR  
72201-1019  
(501) 682-4475  
ArkansasEd.org

Mr. Richard Weiss, Chief Fiscal Officer  
May 10, 2010  
Page 2

The additional amount requested for the 98% URT Actual Collection Adjustment Program is the net adjustment amount needed for schools to be funded at the 98% URT level.

**FUND TRANSFER – REVISED GEN. REV. FORECAST 5/4/2010**

**FROM:**

Educational Facilities Partnership Fund (EFP) (\$17,301,487)

**TO:**

Department of Education – Public School Fund (JAA)	16,931,102
Dept. of Workforce Education – Public School Fund (JWE)	295,688
Div. of Pub. Sch. Academic Facilities and Transportation (EFT)	22,747
State Library – Public School Fund (JSL)	51,950

On May 4, 2010 The Official Forecast of General Revenues for the 2010 fiscal year was reduced. In order to ensure an adequate educational system and to prevent a disruption of services to the citizens of the State that are served by the various programs that are funded from the various Public School Fund accounts and the administrative fund of the Division of Public School Academic Facilities and Transportation, fund transfers are requested from the Educational Facilities Partnership Fund to the various accounts effected by the forecast revision. The amount being transferred from the Educational Facilities Partnership Fund will come from currently unobligated funds and no currently authorized projects will be reduced or eliminated. However, the funds that would have otherwise been available for funding 2011-2013 educational facilities project requests will be reduced.

**FUND TRANSFER – PROGRAMMATIC ADJUSTMENTS**

**FROM:**

Educational Buildings Maintenance Fund (TEB) (Not to Exceed)	(\$2,000,000)
Educ. Revolving Loan Certificates Fund (TEM) (Not to Exceed)	( 2,000,000)
Department of Education Fund (EGA) (Not to Exceed)	( 1,000,000)

**TO:**

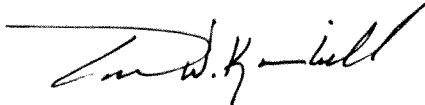
Dept. of Education Public School Fund (JAA) (Not to Exceed)	\$5,000,000
---	-------------

This request is necessary primarily due to the 98% URT Actual Collection Adjustment and the Teacher Retirement Matching fourth quarter projection discussed above in the Appropriation Transfer section.

Mr. Richard Weiss, Chief Fiscal Officer  
May 10, 2010  
Page 3

I appreciate your consideration and approval of this request. If you have any questions, please call John Kunkel at 682-4256.

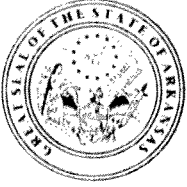
Sincerely,

A handwritten signature in black ink, appearing to read "Tom W. Kimbrell". The signature is written in a cursive style with a horizontal line above the first few letters.

Tom W. Kimbrell, Ed.D.  
Commissioner

TK: jk

cc: Mike Stormes, Administrator  
DFA – Office of Budget



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**L.2**

May 20, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Appropriation and/or Fund Transfer Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Appropriation and/or Fund Transfer request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



# ARKANSAS DEPARTMENT OF EDUCATION

FY11

## L.2.a

May 10, 2010

Dr. Tom W. Kimbrell  
Commissioner

State Board  
of Education

Dr. Naccaman Williams  
Springdale  
Chair

Jim Cooper  
Melbourne  
Vice Chair

Sherry Burrow  
Jonesboro

Brenda Gullett  
Fayetteville

Sam Ledbetter  
Little Rock

Alice Mahony  
El Dorado

Dr. Ben Mays  
Clinton

Toyce Newton  
Crossett

Mr. Richard Weiss, Chief Fiscal Officer  
Department of Finance and Administration  
1509 West 7th Street Room 401  
Little Rock, AR 72203

Dear Mr. Weiss:

By authority granted in Section 13 of Act 293 of 2010, I am requesting your and the Arkansas Legislative Council's approval of the following appropriation transfers for FY2011:

**PUBLIC SCHOOL FUND (JAA)**  
**APPROPRIATION TRANSFER**  
**FROM:**

School Funding Contingency (2ZH) (\$ 24,094,764)

**TO:**

Alternative Learning (311)	\$ 560,772
Consolidation Incentive (421)	4,205,027
National Board of Professional Teaching Stds. (438)	1,630,320
National School Lunch (2HR)	9,707,266
98% URT Actual Collection Adjustment (34N)	7,991,379

The additional appropriation needed for the Alternative Learning program is to provide for the estimate of the FY2011 ALE distribution. The estimate for FY2011 is the same as the actual FY2010. The ALE distribution is based on the 4 quarter previous year ADM average.

The FY2011 original appropriation for the Consolidation Incentive program was the continuation of the FY2010 appropriated level. It assumed three (3) consolidations. The FY2011 budget provides for six (6) consolidations that are already approved and makes the additional appropriation request necessary.

The FY2011 original appropriation for the National Board of Professional Teaching Standards is a continuation of the FY2010 appropriated level. However, at that level no new bonus incentives could be supported. The additional amount requested would provide for an additional three-hundred-twenty-five (325) bonuses for program participants.

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Little Rock, AR  
72201-1019  
(501) 682-4475  
ArkansasEd.org

The additional amount requested for the National School Lunch program is due to the revised calculation of the funds distribution to school districts. The primary factors resulting in the additional need is a growth of 4.4% in the number of free and reduced lunch students and the transitioning of Little Rock School District from the less than 70% category for which they will receive \$496 per free and reduced lunch student in FY10 to between the 70% and 90% category for which they will receive \$992 per free and reduced lunch student in FY11. The net cost increase for Little Rock School District alone is \$3.35 million.

The additional appropriation requested for the 98% URT Actual Collection Adjustment assumes that the cost for the program in FY2011 will be about the same as in FY2010.

**FEDERAL ELEMENTARY AND SECONDARY EDUCATION (650)**  
**APPROPRIATION TRANSFER**

**FROM:**

Entitlement Grant Programs (04) (\$ 2,600,000)

**TO:**

Operating Expenses (02) \$ 600,000  
Capital Outlay (11) 2,000,000

The transfer request for Operating Expenses is being made due to recent changes in the classification of certain expenditures of the Department from Professional Fees and Grants to Technical Services Contracts which are classified as Operating Expenses.

The transfer for Capital Outlay will provide for the third and final installment payment to IBM (\$413,332) for accounting software for the Arkansas Public School Computer Network (APSCN). The software is being used in the development and implementation of a longitudinal data system to promote the generation and accurate and timely use of data that are needed to 1) Comply with the Elementary and Secondary Education Act of 1965 and other reporting requirements, and 2) facilitate analysis and research to improve student academic achievement and close achievement gaps.

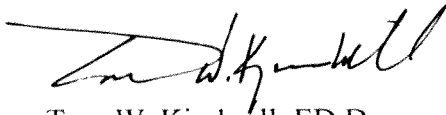
Additional Capital Outlay appropriation is also requested for the purchase of an administrative software system called eSchoolPLUS. The product has been developed by SunGard Public Sector K12. It is totally web-based and manages all administrative needs of a school district. The current system, Student Plus, is client-based and will no longer be supported by SunGard after 2015. A federal

Mr. Richard Weiss, Chief Fiscal Officer  
May 10, 2010  
Page 2

grant application has been submitted to the U.S. Department of Education but a formal award has not yet been received. This request is in anticipation of the award being received.

I appreciate your consideration and approval of this request. If you have any questions, please call John Kunkel at 682-4256.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom W. Kimbrell". The signature is fluid and cursive, with a long horizontal stroke at the beginning.

Tom W. Kimbrell, ED.D.  
Commissioner

TK: jk

cc: Mike Stormes, Administrator  
DFA – Office of Budget





STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
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<http://www.state.ar.us/dfa>

**L.3**

May 20, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Budget Classification Transfer Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Budget Classification Transfer request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)

FY11 MAINTENANCE & OPERATION  
BUDGET CLASSIFICATION TRANSFERS

Agency	Classification	FY11 Agency Request	FY11 Executive Recommendation	FY11 Original Appropriation	FY10 Actual Expenditures thru April	FY11 Authorized	Adjustment Requested	FY11 Revised Authorization	DFA-Chief Fiscal Officer Approve	DFA ICS State Technology Plannin Agency Request in compliance with IT Plan Certification (if applicable)
7. Arkansas Tobacco Settlement Commission - Operations	Operating Expenses	\$48,870	\$48,870	\$48,870	\$56,571	\$48,870	\$150,000	\$198,870		
	Conf. & Travel Exp.	3,000	3,000	3,000	751	3,000		3,000		
	Professional Fees	750,000	750,000	1,250,000	279,186	1,250,000	(150,000)	1,100,000		
	Capital Outlay	0	0	0	0	0		0		
	Data Processing	0	0	0	0	0		0		
	<b>Total</b>	<b>\$801,870</b>	<b>\$801,870</b>	<b>\$1,301,870</b>	<b>\$336,508</b>	<b>\$1,301,870</b>	<b>\$0</b>	<b>\$1,301,870</b>	<b>X</b>	<b>N/A</b>

Due to the terms of the renegotiated Tobacco Master Settlement Agreement, the Commission is responsible for costs incurred by the Office of Attorney General. Since the Attorney General's Office does not have appropriation to spend these funds, the Commission is requesting to reallocate appropriation from Professional Fees to Operating Expenses to pay for these costs.

# L.3.a



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**M**

December 16, 2009

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY10 Miscellaneous Federal Grant Appropriation Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Miscellaneous Federal Grant Appropriation request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)

**FY10 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)**

**NEW PROGRAM WITHOUT POSITIONS**

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

Federal Appropriation  
Amount Requested      No. of Positions  
Requested (if any)      Approve      Disapprove

\$12,000,000      0      X      N/A

**Funding Percentages**

	Federal	State	Other	Total
FY06				
FY07				
FY08				
FY09				
FY10	100			100

**Agency**  
**Purpose of Grant & Funding Percentages**

5. Arkansas Building Authority - Sustainable Revolving Loan Fund  
The grant is being used to fund the Sustainable Building Design Program Revolving Loan Fund in which Arkansas Building Authority may authorize money to be loaned to a state agency, board, or commission (subgrantees) for renovations of state-owned property which exceeds Two Hundred Fifty Thousand Dollars (\$250,000).

Anticipated Duration of Federal Funds: March 31, 2012

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 11/25/2009 Grant ID: 09-003 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Building Authority Program Title: Sustainable Revolving Loan Fund  
 Granting Organization: Arkansas Energy Office Grant #: AEO-ARRA-09-003  
 Effective Date of Authorization: Beginning: 11/1/2009 Ending: 3/31/2012

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 The grant is being used to fund the Sustainable Building Design Program Revolving Loan Fund in which ABA may authorize money to be loaned to a state agency, board, or commission (subgrantees) for renovations of state owned property which exceeds two hundred fifty thousand dollars (\$250,000).

**Project-Grant Funding**

Business Area Code: 0350  
 Funds Center Code: 59RX  
 Fund Code: MBD000  
 Functional Area Code: ADMN  
 Continuation of Existing Program: \_\_\_\_\_  
 Change in Existing Program: \_\_\_\_\_  
 New Program: X

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Operating Expenses				-
Personal Services Matching				-
Conference & Travel Expense				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009	12,000,000			12,000,000
Other:				-
Other:				-
<b>Total</b>	\$ 12,000,000	\$ -	\$ -	\$ 12,000,000

**Funding Percentages**

	Federal	State	Other	Total
FY06				0%
FY07				0%
FY08				0%
FY09				0%
FY10	100%			100%

**Type of Federal Grant**

WIA \_\_\_\_\_  
 Non-WIA \_\_\_\_\_  
 ARRA X

Anticipated Duration of Federal Funds: 3/31/12

--	--

**DFA IGS State Technology Planning Date**  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: [Signature] 12/3/09  
 Agency Director Date  
[Signature] 12-21-09  
 Office of Budget Date  
[Signature]  
 Office of Personnel Mgmt Date

**ARKANSAS BUILDING AUTHORITY**  
**SUSTAINABLE BUILDING DESIGN PROGRAM PROCEDURES**

**June 1, 2010**

**RECEIVED**

APR 23 2010

BUREAU OF  
LEGISLATIVE RESEARCH

**I. General**

**Sustainable Revolving Loan Fund**

The following are the stated processes for application, review, award and monitoring of the revolving loan fund and applicable agreements between ABA and loan recipients. These processes involve the revolving loan fund only. The processes involving the solicitation, award or monitoring of contracts involving contractors, vendors, design professionals, and other consultants shall be performed pursuant to federal and state laws, rules and regulations. The process associated with "The Sustainable Energy-Efficient Building Program" (Act 1494 of 2009, codified as Arkansas Code Annotated §22-3-2001 through §2011) are under the jurisdiction of the Arkansas Energy Office within the Arkansas Department of Economic Development.

**A. Definitions**

1. ABA shall mean the Arkansas Building Authority.
2. ABAMSC shall mean the Arkansas Building Authority Minimum Standards and Criteria.
3. Arkansas Energy Code shall mean the most recent edition of the International Energy Conservation Code as adopted.
4. AEO shall mean the Arkansas Energy Office within the Arkansas Economic Development Commission.
5. Agency means any state agency, board or commission.
6. Committee shall mean the Sustainable Building Design Program Review Committee as appointed by the Director. The Committee shall consist of five (5) members.
  - a. Two (2) members from the Sustainable Building Design Legislative Task Force, or their designees as long as the Task Force is in effect;
  - b. One (1) agency staff member from the Energy Office of the Arkansas Economic Development Commission, or their designee;
  - c. One (1) member from the ABA Design Review Section, or their designee; and
  - d. One (1) member from the Arkansas Department of Finance and Administration.
7. Director shall mean the Director of ABA.
8. Energy shall mean electricity, natural gas or other fuel such as methane, fuel oil, coal or propane that is used to operate a state building's electrical devices, lighting, heating and cooling systems, and other equipment necessary for operations.
9. Energy Cost Savings shall mean the monetary value of the energy that is saved or the energy that is not consumed, as a result of an energy efficiency renovation project and is generally stated on an annualized basis for the term of the project. This value is measured and based upon the life cycle costs of a project using the current edition of the Energy Price Indices and Discount Factors for the Life Cycle Cost Analysis or energy source(s) utilized by the building where the renovation occurs. ([www1.eere.energy.gov/femp/pdfs/ashb09.pdf](http://www1.eere.energy.gov/femp/pdfs/ashb09.pdf))
10. Energy Efficient Renovation means any capital improvement project which consists of renovations, alterations or repairs of existing buildings or building equipment. It does not mean new construction, projects that save money solely through the switching fuels, energy sources or vendors or any combination of these; it does not mean projects or measures solely intended to save money by changing the time of day or year at which energy is consumed (i.e. thermal energy storage or other peak demand reduction systems); or upgrades to non-fixed appliances or equipment within a building such as computers, copiers, and other systems.
11. Energy Savings means the combined value, in British thermal units, also known as Btu's, of all energy sources saved or not consumed as a result of an energy efficiency project. For the purposes of this rule, the following conversion factors are used in calculating the total energy savings:
  - a. Electricity 3412 Btu/kwh;
  - b. Natural gas/methane 100,000 Btu/therm;
  - c. Natural gas/methane 1,030 Btu/cu. ft.;
  - d. Fuel oil 139,000 Btu/gallon;
  - e. Coal 12,000 Btu/lb;

- f. Propane 91,600 Btu/gallon
12. Fund means the Sustainable Building Design Program Revolving Loan Fund.
  13. Life Cycle Cost Analysis, which shall be referred to as LCCA, shall mean an economic method of project evaluation in which all costs arising from owning, operating, maintaining, and ultimately disposing of a project are considered to be important to that decision. LCCA is particularly suitable for the evaluation of building design alternatives that may have different initial investment costs; different operating, maintenance, and repair costs (including energy and water usage) and possible different lives.
  14. Manager means the ABA Sustainable Revolving Loan Fund Manager.
  15. Quarter means the three month period within the state fiscal year beginning with the following dates: July 1, October 1, January 1, and April 1.

### **B. Fund Corpus**

Funds will be collected and deposited pursuant to Acts 754 and 1363 of 2009 for the purposes as stated in Act 1372 of 2009, as codified in Arkansas Code Annotated §22-3-1901 through §1904. The Sustainable Building Design Revolving Loan Fund (Fund) is a miscellaneous fund which consists of funds transferred to it from the General Improvement Fund or other funds, gifts, bequests, foundation grants and gifts, Governor's or other emergency funds, federal grants and matching funds, proceeds from bond issues, service charges or fees, interagency transfers of funds, and other funds as may be appropriated by the General Assembly. The fund shall consist of funds received from agencies, boards and commission to repay loans for the Sustainable Building Design Program, funds made available by the General Assembly from time to time and other authorized revenues.

### **C. Initial Process Overview**

Any agency which does not have sufficient appropriation and/or funding to complete desired energy efficient upgrades for state owned facilities may participate in the Sustainable Building Design Program for renovations which exceed two hundred fifty thousand dollars (\$250,000) upon ABA approval. After project approval is provided, the agency may request a transfer from the Sustainable Building Design Program appropriation authorized with the act from the Chief Fiscal Officer of the State. The request shall clearly state the amount required and such other information as may be required by the Chief Fiscal Officer of the State necessary to make a decision regarding the request. Upon approval of the Chief Fiscal Officer of the State, and prior review by the Arkansas Legislative Council or Joint Budget Committee, the State Auditor shall be notified as of the amount and the purposes for which the appropriation and/or funding is to be transferred and shall be established upon the books of the Department of Finance and Administration and the State Auditor. If desired, the appropriation may be supplemental to those regularly appropriated for like purposes by the General Assembly for that agency.

The Sustainable Building Design Program is intended to provide additional appropriation authority for the Sustainable Building Design Program. Funding for the program may be made available by the Chief Fiscal Officer of the State, in the form of a loan, from a transfer from the Fund after the required review by the Arkansas Legislative Council or Joint Budget Committee, approval by the Chief Fiscal Officer of the State and approval through rules and regulations established and administered by the Arkansas Building Authority. Moneys made available for the repayment of a loan shall be deposited to the credit of the Fund as a "Refund to Expenditure" for the year in which the deposit is made. In the event that the funds for the repayment of a loan are held in depositories other than the State Treasury, the administrative head of the affected agency shall issue a check drawn against the funds, which shall be deposited in the Fund.

Agencies who receive loan funds shall be required to file a financial report annually for expenditures. The report shall be filed with the Chief Fiscal Officer of the State and the Director of Arkansas Building Authority as directed by the Manager.

## **II. Loan Programs**

### **A. Fund**

There shall be established within the Arkansas Building Authority a separate and segregated account for the purpose of ensuring the viability of the program through the creation of an inviolate corpus (principal account).

## **B. Annual Loans**

Projects funded during a regular fiscal annual loan period: These loans are submitted through the regular annual loan cycle with projects and expenditures occurring between July 1 and June 30 of each year. No loan shall be made for \$250,000 dollars or less. While loans may be made which exceed \$500,000, the self sustaining aspect of the funding of the program through origination fees shall be reviewed and justified by the Director prior to awards exceeding \$500,000.

## **III. Application for Funds Process**

### **A. Eligibility to Apply**

Applicants for funding from the Fund shall be agencies, boards and commissions of the State of Arkansas which receive General Revenue funding and are authorized by law to make renovations for state owned facilities which exceed the amount of \$250,000 and require approval by ABA.

- Applicants for projects to be funded by the American Recovery and Reinvestment Act of 2009 (ARRA) monies shall submit applications no later than December 12, 2011.
- Applicants for projects to be funded by the General Improvement Fund monies may submit applications on an as needed basis.

### **B. Loan Review Criteria**

1. ABA shall only approve those projects which exceed \$250,000 for the renovations of existing state owned facilities which ABA determines to be of value for sustainable design building purposes. Projects shall be selected for funding on the basis of their conformity with these purposes, pursuant to Arkansas laws. Projects may be bundled to meet the minimum threshold amount of exceeding \$250,000; however all contracting of these projects shall be made pursuant to federal and state laws, regulations and rules.

To qualify for funding in whole or in part as a sustainable building design project, the state owned facility shall be a facility of an agency and shall be designed, renovated and certified pursuant to the Arkansas Energy Office's requirements of "The Sustainable Energy-Efficient Building Program" which is currently stated a reduction of at least ten percent (10%) below the baseline energy consumption determined in accordance with the Performance Rating Method of Appendix G of the American Society of Heating, Refrigerating and Air-Conditioning Engineers, Standard 90.1-2007, as it existed on January 1, 2009.

The Sustainable Building Design Program Review Committee shall review the applications forwarded from the Manager and make recommendations to the Director for project loan approval.

2. Other review criteria include:

- a) Agency has completed their Strategic Energy Plan (StEP) and was approved by the StEP Energy Workgroup, and plan includes specific sustainable renovation projects within the Capital Projects section of the plan.
- b) Agency has contracted or will be contracting for a Measurement and Verification (M&V) of the project.
- c) Agency has completed a Life Cycle Cost Analysis. Should an agency determine an analysis is not needed; the Director may waive this requirement upon proper written justification presented by the agency.
- d) A statement from the Agency Director as to the agency's method of repayment of the loan.
- e) Renovations which may include:
  - i. Building exterior weatherization, air sealing, or thermal efficiency;
  - ii. Increase or improvement in building insulation;
  - iii. Door, window, or skylight replacement;
  - iv. Lighting technology upgrades, or reduction of the number of fixtures;
  - v. Heating, ventilation, heat recovery, steam system and air conditioning (HVACR) replacements;
  - vi. Improvements to energy control systems/sensors;
  - vii. Other energy efficiency projects such as VFD motors that will result in a significant reduction in the consumption of energy within a building.
- f) The following direct costs are eligible:
  - i. Building materials



- ii. Doors, windows and skylights;
  - iii. Mechanical systems and component including HVACR and hot water;
  - iv. Electrical systems and components including lighting and energy management systems;
  - v. Labor necessary for the installation of the energy efficient project;
  - vi. Design and planning of the energy efficient project which include but not limited to design professionals, consultants and Energy Service Companies (ESCO)
  - vii. Costs associated with energy audits, re-commissioning, or retrocommissioning, or any combination thereof which include but are not limited to design professionals, consultants and ESCOs.
- g) The following are not eligible costs:
- i. The costs of the project which are not directly related to energy efficiency measures;
  - ii. Any costs incurred for the financing of the project;
  - iii. Costs for equipment or systems that reduce energy costs without also resulting in reductions in the use of energy; however nothing in this section shall be deemed to prohibit costs associated with renewable energy or geothermal projects.
  - iv. Loan funds cannot be used to pay for consultants to write loan applications, reports, or manage the loaned funds.
- h) Energy efficient projects undertaken as a part of a renovation project that are covered by the prescriptive requirements of the Arkansas Energy Code must exceed the minimum Arkansas Energy Code requirements in order for their costs to be eligible for a loan from the fund.
- i) Conformity with other plans
- i. Conformity with plans, laws, and/or regulations currently existing, developed, and/or administered by other State or Federal agencies.

### **C. Loans**

There is only one category of loans for which application may be made.

1. Annual loans - Projects funded during a regular annual loan cycle with projects and expenditures occurring between July 1 and the following June 30.
2. Origination Fees – ABA shall credit an origination fee to the Arkansas Building Authority Maintenance Fund for expenses associated with the administration of the program. Applicant agencies shall remit an origination fee of .5% of the requested amount of the loan; however no origination fee may exceed \$2,500 per loan application.
3. The term for repayment of the loan shall not exceed ten (10) years.
4. State agencies shall certify that it will not make additional requests from general revenue for funding to be used for repayment of the project loan.

### **D. What Is/Is Not Funded**

Only those projects which conform to the purposes defined in the legislation, rules and loan application procedures will be considered. No loan funds shall be used to fund any other project or in any manner not defined within these rules. Loans shall be for a project renovation which exceeds \$250,000 on a state owned facility.

### **E. Loan Elements**

1. Design Professional, Consultant and ESCO Contracts: Licensed Design Professionals shall prepare plans and specifications for sustainable projects funded with the Funds. Contracts for such professionals, consultants and ESCOs shall follow the processes pursuant to federal and state laws, regulations and rules, however agencies do not have to contract for such services if they have licensed design professional(s) on staff. The design professional shall prepare and certify plans, specifications, a work-cost breakdown, and other required contract documents for submission and will observe the project work.

2. Contractors/Vendors: Contractors/Vendors shall be selected pursuant to applicable laws. The requirement for a contractor may be waived if the agency has staff expertise within the applicant agency.
3. Project Preparation/Plans and Specifications: All projects funded, in whole or in part, with the loan must be in accordance with the federal and state laws, regulations and rules.
4. Project. The project in its entirety, including amendments for design services and change orders to the project contract shall adhere to all applicable laws and rules, including but not limited to the ABAMSC for the entities under the jurisdiction of ABA.
5. Maintenance and Administration. Agencies who own the properties assisted by the revolving loan funds must agree that the property shall be maintained to every extent possible in accordance to applicable laws, codes, and rules. Additionally, the agency must agree to give ABA the right to observe the project at all reasonable times throughout the loan period to ascertain the agency's compliance with the loan agreement.
6. Site Visits. As work on the project progresses, in addition to regular visits by the Construction Section staff, other state staff representatives may make periodic site visits to observe the work in progress or visits after the completion of the project to observe how the project is functioning or operating, or both. Once work has begun on the project, the site visits may be made without notification to the agency.

#### **F. Application Instructions**

1. Application Form - Each loan application must include a completed loan application form. Loan applications may include more than one like project.
2. Approval by Agency's Chief Executive Officer and other approval - Each agency applicant must certify that the loan proposal has been previously submitted to and approved by its respective executive office and its board or commission as applicable. The name, email address and telephone number of the applicant's fiscal officer who will be handling the finances of the loan project are also required.
3. Acquisition Project Requirements - Agencies applying for acquisition funds must submit or have on file with ABA verification that such procedures have been met.
4. Application Obligations - Parties to a loan agreement specifically recognize that loan assistance from ABA creates an obligation to maintain the property described in the project agreement consistent within these rules and the act. The applicant agrees that the project is being renovated and maintained with loan funds (or that such funds are integral to the project) and shall not be converted to a use that would compromise the integrity of the original loan project. By the acceptance of a loan, the agency will give ABA staff and other state officials the right to inspect the property at all reasonable times to ascertain the agency's compliance with the loan agreement.
5. Returned Funds - If, for some reason, a project is terminated or if actual costs are less than anticipated or if the loan contract date has expired, all remaining unobligated funds will be returned to Arkansas Building Authority. All such funds shall be reclaimed by the Chief Fiscal Officer and returned back to the Fund.
6. Loan Extensions - If a loan project is delayed due to conditions beyond the applicant's control, the applicant may request in writing an extension of the project period. No more than one extension of up to one year shall be approved per loan.
7. Loan Submittal - One (1) original and one (1) electronic copy of the loan application will be required; however, only one copy is to be submitted by the stated deadline. Applicants will be encouraged to contact the Manager for assistance in preparing an application prior to its submittal to lessen the chance for errors.
  - a) After the Manager reviews the application for completeness and accuracy, the applicant will be notified. Applications which are not completed or where more information is warranted may result in delay of the application process.
  - b) After the Manager receives all application documents, they will be forwarded to the Director.

- c) The completed application will be sent to the Committee by the Manager. The Committee will review and make a recommendation to the Director regarding approval of the award.
- d) Upon receipt of the Committee's recommendation, the Director shall make a determination of the loan award.
- e) The Director may request the applicant make a presentation to explain the loan proposal. The presentation will be limited to 15 minutes per application.
- f) If a proposal is approved, all forms needed to implement the loan will be prepared.

#### **G. Review Committee Meetings**

A meeting with the Committee shall be held at the Director's discretion but no less than once a year. At that meeting, a report of the funds collected, donations, returned funds, all other funds available for loaning for the next loan period and other pertinent information may be topics of discussion and review.

Actions include, but are not limited to the following:

1. Manager shall recommend to the Director regarding the funding to be placed in the Fund for the year.
2. Review of the status of the current project and loans.

#### **H. Legal, Financial, and Reporting Requirements for Loan Recipients**

##### 1. Contracts

- a) After award decisions are made, award letters, contracts, final budget forms, and instructions shall be mailed to recipients. All documents are to be completed, signed, and returned to the Manager by the stated deadline. Failure to return the completed forms by the deadline may result in a delay of funding or forfeiture of the loan.
- b) Once the terms of the loan are finalized, no substantive changes which affect the scope or completion of the project may be made in the program or budget as described in the original loan application unless the agency submits a written request for a change in advance. This request is to be submitted to the Manager who shall provide it to the Director for approval or denial. Nothing shall prohibit the Director from requesting additional information from the agency.
- c) Once fully executed, the loan contract is a legal document. The Manager will provide instruction to the loan recipient on requisite submittals for the loan process and will work with the loan recipient to make corrections, however repeated failure to abide by the terms of the loan contract will result in immediate suspension of outstanding payments and recall of allocated funds.
- d) The forfeiture of a loan under such conditions will be reported to the Governor, the Legislative Task Force on Sustainable Building Design and Practices and to the Arkansas Legislative Council, and may result in the ABA no longer accepting loan applications from the forfeiting agency.

##### 2. Distribution/Reimbursement of Loan Payments

- a) When a loan award is made, the agency receiving the loan is given a new state appropriation and funding specific to the loan. The Department of Finance and Administration will establish an appropriation code and a fund code for each loan.
- b) Monies are transferred to the agency's new fund account according to the schedule as established by the Manager.
- c) Reimbursement of the loan shall be in accordance with the schedule as established by the Manager.
- d) All expenditures are subject to the State of Arkansas Accounting Procedures and Purchasing laws and rules and state public works laws and the accounting and budgetary procedures for capital improvements.

##### 3. Audit Requirement

For accounting purposes, financial records shall reflect all obligations and disbursements of loan and matching monies. The financial accounts shall be subjected to audit by the agencies of DFA, ABA, State of Arkansas, and/or the Federal Government as applicable. The agency shall be responsible for the safekeeping and identification of records maintained to account for funds awarded. Said records must be kept in the agency's file pursuant to the State Record Retention Schedule.

#### 4. Compliance with State and Federal Laws

Each loan project will comply with all applicable State and Federal laws relating to the nature and purposes of the loan project (i.e. ABAMSC, Davis Bacon, American Recovery and Reinvestment Act, Public Works, retention of records, NEPA, and SHPO etc.).

#### 5. Reports

Loan recipients are required to submit reports during the year to the Manager.

a) For all loans, a final financial summary of the official state financial report for all expenses, final narrative/activity reports ("final report") and photographs of the completed project as applicable are due no later than 30 calendar days after the project's completion or earlier as stated if ARRA funds are used as directed by the Manager.

b) Loan recipients shall submit complete monthly progress reports as directed by the Manager.

Reports shall include:

- i. Detailed narratives identifying the accomplishments associated with each project element;
- ii. Financial information for the specified time period with an outline of expenditures related to each project element and appropriate AASIS reports;
- iii. Appropriate photographs or other documentation to give a clear impression of the project's progress through the specified date;
- iv. Difficulties as well as the ease the project encountered with sustainable issues shall be discussed;
- v. Copies of articles from newspapers, magazines, or other publications related to the project; and
- vi. Agencies shall submit appropriate reports as outlined in the schedule provided by the Manager. A deadline extension shall be requested in writing if the agency is unable to submit the reports on time. Failure to submit reports as agreed shall be reported to the Chief Fiscal Officer of the State.

#### 6. Project Directors' Meeting

After loan awards are made, a mandatory meeting will be held with loan recipient agency directors or their designees. Any agency who does not send appropriate personnel to review the procedures at this meeting shall not receive funds until the agency has made arrangements to meet with the Manager.

#### 7. Personnel

a) Each loan recipient shall specify in writing one Project Contact and one Fiscal Officer. It is the responsibility of the loan recipient to keep the Manager informed of any changes in the designated contacts. The Project Contact must be employed by the agency.

b) Loan funds cannot be used to pay for consultants to write loan applications, loan reports, or manage the loaned funds. The agency applying for a loan shall be responsible for providing personnel to write and manage the loan, focus the project on the scope of the contract, respond to questions, submit reports in a timely manner, provide appropriate financial documents and reports, and monitor the work of architects, contractors, consultants, and extra help workers.

8. The required forms shall be made available to the agencies by the Manager. Forms may include but are not limited to the application, agreement, budget, agency progress report(s), and final report.

# Sustainable Building Design Revolving Loan Application

Construction Loan Approval applies to all state agency renovation projects on state owned facilities which exceed \$250,000. Please complete and return to the address provided below. Incomplete submittals will not be considered.

**RECEIVED**

MAY 13 2010

**1. AGENCY DATA**

State Agency Name  
Mailing Address

State Agency #  
**BUREAU OF LEGISLATIVE RESEARCH**

Street City County State Zip Code

**2. LOAN CONTACT PERSON**

Name Title Phone# Fax#

**3. ENERGY CONTACT PERSON**

Name Title Phone# Fax#

**4. General Information**

Please attach the following information to this form.

- a. Have design plans been approved by the ABA Design Review? If yes, have the plans been sent to the ABA Construction Section?
- b. Describe the proposed facility project and the proposed project budget costs.
- c. Provide a written, detailed, substantial justification for the proposed facility projects.
- d. What method of contracting does the agency plan to use?

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5. Total Loan Amount Requested ÷ 6. Estimated Annual Savings = 7. Simple Payback

\$  ÷ \$  =

**8. AGENCY CERTIFICATION**

I do hereby certify that I am duly authorized to submit this application to the Director of ABA for a loan to be approved on the basis of financial, sustainable and technical grounds on behalf of my state agency. I further certify that the information presented is true, correct and accurate to the best of my knowledge.

\_\_\_\_\_  
STATE AGENCY

\_\_\_\_\_  
DIRECTOR SIGNATURE

\_\_\_\_\_  
DATE

**MAIL APPLICATION MATERIALS TO:  
ABA Sustainable Revolving Loan Fund Manager  
Arkansas Building Authority  
501 Woodlane, Suite 101N  
Little Rock, AR 72201**

**SUSTAINABLE BUILDING DESIGN REVOLVING LOAN FUND  
AGREEMENT**

No. \_\_\_\_\_ Date of Issue \_\_\_\_\_ \$ \_\_\_\_\_

That on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, \_\_\_\_\_, a state agency, hereby acknowledges itself to owe and for value received hereby promises to reimburse and remit to pay to the Arkansas Building Authority, the sum of: \_\_\_\_\_ Dollars ( \$ \_\_\_\_\_ ), payable on a monthly basis as set forth below. Furthermore, an origination fee of \_\_\_\_\_ is hereby remitted to Arkansas Building Authority payable on a monthly basis as set forth below.

The State Agency agrees to submit proper documentation acceptable to ABA prior to any loan disbursement by ABA. The loan shall be disbursed in installments, no more frequently than monthly, following documentation presented by the State Agency to ABA of requests for disbursement to pay the costs associated with the renovation. Each request for disbursement shall be made on a form of voucher approved by ABA and DFA, supported by actual bills, statements or invoices to be paid with the Loan Installment. Under no circumstances shall the total of all installments disbursed by ABA to the State Agency exceed the amount of the Loan. Furthermore, State Agencies by their signature below hereby certify that it will not make additional requests from general revenue for funding to be used for repayment of the project loan.

State Agencies shall adhere to the all state and federal rules, regulations and laws and shall receive all requisite and necessary approvals prior to the start of any work for the renovation.

The ABA Director shall withhold all or any part of the apportionment due the Agency if it defaults in making payment as scheduled and apply the same as reimbursement of the amount issued. This Agreement is made pursuant to Arkansas Code Annotated §22-3-1901 et seq. Notification of any defaults shall be made by ABA to the Department of Finance and Administration and to the Governor.

The origination fee shall be \$ \_\_\_\_\_ and shall be due within 30 days of ABA approval. The principal payments shall begin on the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_. The years for reimbursement shall be \_\_\_\_\_ (not to exceed 10 years) with the final payment ending on the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_. Nothing shall prohibit an agency from prepayment prior to the final payment ending date.

IN WITNESS WHEREOF the heads of the State Agency have caused this Agreement to be executed in the name and on behalf of their respective state agencies and hereby affirm that they have the authority to enter into this Agreement.

State Agency:

Arkansas Building Authority

\_\_\_\_\_  
Director

\_\_\_\_\_  
Director

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

7-1-10

017.00

**ABA SUSTAINABLE BUILDING DESIGN DISBURSEMENT REQUESTS**  
**Submitted to ABA Revolving Loan Fund Manager**

Your loan authorizes your agency to implement specific renovation energy conservation measures and to receive loan funds up to the amount designated for each project. The following paragraph within the loan agreement describes the disbursement of the loan:

“The State Agency agrees to submit proper documentation acceptable to ABA prior to any loan disbursement by ABA. The loan shall be disbursed in installments, no more frequently than monthly, following documentation presented by the State Agency to ABA of requests for disbursement to pay the costs associated with the renovation. Each request for disbursement shall be made on a form of voucher approved by ABA and DFA, supported by actual bills, statements or invoices to be paid with the Loan installment. Under no circumstances shall the total of all installments disbursed by ABA to the State Agency exceed the amount of the Loan. Furthermore, the state agency certifies that it will not make any future requests for additional funding which will be used directly or indirectly to pay off the project loan.”

**PLEASE PRESENT YOUR REQUEST FOR LOAN DISBURSEMENT IN THE FOLLOWING MANNER:**

1. **Cover Letter.**
2. **Voucher Information Summary Sheet**, this sheet has to be completely filled out and signed by the appropriate authority.
3. **Disbursement Summary Sheet**, listing each project and its individual authorized loan amount, the current request for payment, total of all requests, retainage if applicable, and balance remaining for each project. Columns should be totaled to show balances for the entire loan. (See attached example.)
4. **Supporting Documents**, with signatures verifying that the project items have been delivered and that payment for them has been made by the State Agency. Copies of summary bills signed by the Contractor, plus copies of paid vouchers are normally sufficient.
5. **Mail Disbursement Attention to:**  
**ABA Sustainable Revolving Loan Fund Manager**  
**Arkansas Building Authority**  
**501 Woodlane, Suite 101N**  
**Little Rock, AR 72201**

Requests are processed on a quarterly basis.



**VOUCHER INFORMATION SUMMARY SHEET**

AGENCY: \_\_\_\_\_

REVOLVING LOAN FUND NUMBER: \_\_\_\_\_

PERIOD COVERED BY CLAIM: \_\_\_\_\_ THROUGH \_\_\_\_\_

TOTAL AMOUNT COVERED OF THIS REQUEST: \$ \_\_\_\_\_

ITEMIZATION OF THIS REQUEST BY BUDGET CONTRACT:  
(ATTACH LIST)

I HEREBY CERTIFY THE INFORMATION HEREIN AND WHICH IS ATTACHED  
HERETO IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

\_\_\_\_\_  
AGENCY DIRECTOR

\_\_\_\_\_  
DATE

**Tobacco Settlement**  
**Summary of Income, Expenses and Balances**

**N.4**

**INCOME**

<u>Month To Date - April 2010</u>	<u>Initial Balance</u>	<u>MSA Deposits</u>	<u>Investment Income</u>	<u>Ending Balance</u>
Arkansas Healthy Century Trust Fund	\$ 128,257,879	\$ -	\$ 101,181	\$ 128,359,060
Tobacco Program Pool	\$ 82,644,069	\$ 47,425,020	\$ 968	\$ 130,070,057
Tobacco Debt Service Fund	\$ -	\$ 5,000,000	\$ -	\$ 5,000,000
<b>Total</b>	<b>\$ 210,901,948</b>	<b>\$ 52,425,020</b>	<b>\$ 102,149</b>	<b>\$ 263,429,117</b>

**Fiscal Year To Date - FY '10**

Arkansas Healthy Century Trust Fund	\$ 126,778,466	\$ -	\$ 939,314	\$ 127,717,781
Tobacco Program Pool	\$ 110,674,623	\$ 47,425,020	\$ 57,086	\$ 158,156,729
Tobacco Debt Service Fund	\$ -	\$ 5,000,000	\$ -	\$ 5,000,000
<b>Total</b>	<b>\$ 237,453,089</b>	<b>\$ 52,425,020</b>	<b>\$ 996,400</b>	<b>\$ 290,874,509</b>

**Life - 1/11/01 - 4/30/10**

Arkansas Healthy Century Trust Fund	\$ -	\$ 100,000,000	\$ 27,717,781	\$ 127,717,781
Tobacco Program Pool	\$ -	\$ 476,427,150	\$ 13,387,010	\$ 489,814,160
Tobacco Debt Service Fund	\$ -	\$ 45,000,000	\$ -	\$ 45,000,000
<b>Total</b>	<b>\$ -</b>	<b>\$ 621,427,150</b>	<b>\$ 41,104,790</b>	<b>\$ 662,531,941</b>

**FUND BALANCES**

**04/30/10**

TSA Healthy Century Trust Fund	\$ 128,357,704
TSB Tobacco Settlement Program Fund	\$ 32,483,783
TSC Tobacco Settlement Commission	\$ 6,834,799
TSD Prevention & Cessation Program Fund	\$ 11,413,792
TSE Targeted State Needs Fund	\$ 2,633,073
TSF Biosciences Institute Fund	\$ 4,795,521
TSG Medicaid Expansion Fund	\$ 58,000,075
<b>Total</b>	<b>\$ 244,518,747</b>

Prepared by:  
 Bureau of Legislative Research  
 5/13/2010

## Tobacco Settlement Summary of Income, Expenses and Balances

### EXPENSES

As of 4/30/10	2002	2003	2004	2005	2006	2007	2008	2009	2010	TOTAL
TSB0000 Tobacco Settlement Program Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TSC0200 Tobacco Settlement Commission	\$ 3,149	\$ 670,891	\$ 768,502	\$ 654,272	\$ 453,531	\$ 806,779	\$ 975,671	\$ 1,153,383	\$ 1,063,499	\$ 6,549,677
TSD0100 Prevention & Cessation	\$ 2,274,755	\$ 13,624,294	\$ 12,895,637	\$ 11,610,903	\$ 9,744,413	\$ 12,077,561	\$ 10,507,063	\$ 12,046,511	\$ 9,911,351	\$ 94,692,487
TSD0200 Prevention & Cessation Minority Comm.	\$ 214,893	\$ 1,666,806	\$ 3,278,812	\$ 2,263,488	\$ 1,980,051	\$ 1,237,809	\$ 1,891,107	\$ 1,501,201	\$ 1,418,973	\$ 15,453,141
TSE0100 Minority Health Initiative	\$ 258,257	\$ 999,633	\$ 1,496,313	\$ 2,223,637	\$ 1,562,528	\$ 1,389,453	\$ 1,205,182	\$ 1,422,447	\$ 1,058,892	\$ 11,616,541
TSE0201 Donald W. Reynolds Center on Aging	\$ 575,812	\$ 2,179,428	\$ 1,563,298	\$ 2,128,476	\$ 1,535,195	\$ 1,643,114	\$ 1,306,293	\$ 2,119,389	\$ 1,669,565	\$ 14,720,571
TSE0202 Arkansas School of Public Health	\$ 913,012	\$ 3,219,800	\$ 2,737,543	\$ 2,799,128	\$ 2,401,305	\$ 2,364,746	\$ 2,119,073	\$ 3,018,394	\$ 2,483,124	\$ 22,056,125
TSE0203 Area Health Education Center	\$ 674,137	\$ 2,081,028	\$ 1,723,245	\$ 1,968,627	\$ 1,362,900	\$ 1,815,820	\$ 1,608,736	\$ 1,816,531	\$ 1,550,970	\$ 14,601,995
TSF0100 Biosciences ASU Jonesboro	\$ 343,688	\$ 4,749,099	\$ 2,947,823	\$ 4,039,154	\$ 2,291,379	\$ 3,726,834	\$ 2,978,941	\$ 3,504,197	\$ 2,066,603	\$ 26,647,718
TSF0200 Biosciences U of A 318	\$ 424,637	\$ 2,268,091	\$ 1,085,922	\$ 2,644,296	\$ 927,038	\$ 2,284,392	\$ 1,331,424	\$ 2,127,887	\$ 1,142,333	\$ 14,236,019
TSF0202 Biosciences U of A 321 Agri	\$ 700,426	\$ 2,050,587	\$ 2,040,819	\$ 1,717,326	\$ 1,686,786	\$ 1,523,739	\$ 1,578,277	\$ 1,881,613	\$ 1,264,111	\$ 14,443,684
TSF0300 Biosciences UAMS 365	\$ 1,366,936	\$ 5,930,979	\$ 5,252,128	\$ 4,528,243	\$ 3,983,235	\$ 4,439,805	\$ 2,091,252	\$ 3,978,149	\$ 2,382,667	\$ 33,953,394
TSG0100 Medicaid Expansion *	\$ 1,293,882	\$ 20,631,803	\$ 3,718,707	\$ 6,226,545	\$ 4,720,724	\$ 7,031,730	\$ 9,004,381	\$ 9,548,785	\$ 8,330,622	\$ 70,507,179
<b>Total</b>	\$ 9,043,582	\$ 60,072,439	\$ 39,508,747	\$ 42,804,295	\$ 32,649,086	\$ 40,341,784	\$ 36,597,400	\$ 44,118,489	\$ 34,342,711	\$ 339,478,531

\* TSC0100 Medicaid Expansion updated 10/7/2005 to reflect dollars transferred for Medicaid Expansion Expenses

# Estimated Tobacco Payment to Arkansas

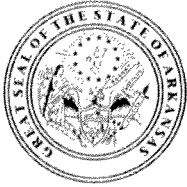
Bureau of Legislative Research Estimates of Arkansas' share of the MSA payments

Year	Department of Finance and Administration Estimate - Total Base Payments	Bureau of Legislative Research Estimated Adjusted Payments to Arkansas	Actual	Difference Actual and DFA Estimate - Total Base Payments	Difference Actual and Bureau of Legislative Research Estimated Adjusted Payments to Arkansas
1998	\$ 19,873,586.40	\$ 19,873,586.40	\$ -	\$ (19,873,586.40)	\$ (19,873,586.40)
1999					
2000	\$ 53,093,528.16	\$ 46,653,528.83	\$ -	\$ (53,093,528.16)	\$ (46,653,528.83)
2001	\$ 57,332,481.34	\$ 49,795,700.90	\$ 122,095,110.85	\$ 64,762,629.51	\$ 72,299,409.95
2002	\$ 68,839,576.04	\$ 62,531,719.27	\$ 62,180,504.67	\$ (6,659,071.37)	\$ (351,214.60)
2003	\$ 69,491,068.17	\$ 63,149,679.61	\$ 60,067,457.27	\$ (9,423,610.90)	\$ (3,082,222.34)
2004	\$ 57,997,749.64	\$ 55,208,178.82	\$ 52,688,976.05	\$ (5,308,773.59)	\$ (2,519,202.77)
2005	\$ 57,997,749.64	\$ 55,754,836.64	\$ 52,774,223.89	\$ (5,223,525.75)	\$ (2,980,612.75)
2006	\$ 57,997,749.64	\$ 56,307,464.07	\$ 48,446,984.69	\$ (9,550,764.95)	\$ (7,860,479.38)
2007	\$ 57,997,749.64	\$ 56,866,142.16	\$ 50,709,328.78	\$ (7,288,420.86)	\$ (6,156,813.38)
2008	\$ 65,648,761.34	\$ 65,007,193.91	\$ 57,309,117.30	\$ (8,339,644.04)	\$ (7,698,076.61)
2009	\$ 65,648,761.34	\$ 65,653,552.11	\$ 62,730,426.54	\$ (2,918,334.80)	\$ (2,923,125.57)
2010	\$ 65,648,761.34	\$ 66,307,044.80	\$ 52,425,020.27	\$ (13,223,741.07)	\$ (13,882,024.53)
2011	\$ 65,648,761.34	\$ 66,967,770.79			
2012	\$ 65,648,761.34	\$ 67,635,830.81			

# Estimated Tobacco Payment to Arkansas

Bureau of Legislative Research Estimates of Arkansas' share of the MSA payments

Year	Department of Finance and Administration Estimate - Total Base Payments	Bureau of Legislative Research Estimated Adjusted Payments to Arkansas	Actual	Difference Actual and DFA Estimate - Total Base Payments	Difference Actual and Bureau of Legislative Research Estimated Adjusted Payments to Arkansas
2013	\$ 65,648,761.34	\$ 68,311,327.47			
2014	\$ 65,648,761.34	\$ 68,994,365.40			
2015	\$ 65,648,761.34	\$ 69,685,051.23			
2016	\$ 65,648,761.34	\$ 70,383,493.67			
2017	\$ 65,648,761.34	\$ 71,089,803.56			
2018	\$ 66,278,410.62	\$ 72,492,780.12			
2019	\$ 66,278,410.62	\$ 73,222,094.72			
2020	\$ 66,278,410.62	\$ 73,959,701.36			
2021	\$ 66,278,410.62	\$ 74,705,721.07			
2022	\$ 66,278,410.62	\$ 75,460,277.32			
2023	\$ 66,278,410.62	\$ 76,223,496.07			
2024	\$ 66,278,410.62	\$ 76,995,505.84			
2025	\$ 66,278,410.62	\$ 77,776,437.77			
<b>TOTAL</b>	<b>\$ 1,687,336,137.03</b>	<b>\$ 1,747,012,284.74</b>	<b>\$ 621,427,150.31</b>		



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**N.5**

May 20, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: State Central Services Fund Report

Dear Co-Chairs:

Pursuant to Act 1022 of 2003, Section 1(g), please find attached a schedule of financial condition for the State Central Services Fund. The revenue and expenditure balances reflected are as of May 3, 2010 and have been reconciled to the Arkansas Administrative Statewide Information System.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachments

**State of Arkansas**  
**State Central Services Fund Analysis**  
**As of May 3, 2010**

<b>Beginning Fund Balance</b>		\$	<b>34,662,389.61</b>
Outlawed Warrants	\$ 36,529.57		
Prior Year Cancelled Warrants	3,574.71		
Prior Year Refunds to Expenditure	183,309.21		
Prior Year Revenue/Fees	<u>2,508,562.55</u>		
<b>Total Prior Year Adjustments</b>			<u>2,731,976.04</u>
<b>Adjusted Balance</b>	\$	\$	<b>37,394,365.65</b>
<b>Receipts /Net Transfers :</b>			
General Revenue Fees	\$ 90,566,973.50		
Additional General Revenue Fee	0.00		
Local Sales & Use Tax Fees - 3%	15,201,680.88		
Special Revenue Fees - 3%	22,934,573.97		
Special Revenue Fees - 1.5%	1,391,308.58		
Additional Special Revenue Fee	0.00		
Special Revenue Specified	11,642,730.31		
Other Revenues	7,426,624.13		
TAS Transfer In	71,031.74		
Transfers In	65,484,220.10		
Transfers Out	<u>(43,832,366.07)</u>		
<b>Net Receipts / Transfers</b>		\$	<u><b>170,886,777.14</b></u>
<b>Net Available for Disbursement</b>		\$	<b>208,281,142.79</b>
<b>Disbursements</b>			
<b>Expenditures</b>			
July	\$ (29,988,927.54)		
August	(22,618,766.48)		
September	(23,092,216.36)		
October	(24,667,979.59)		
November	(21,860,860.83)		
December	(28,811,890.13)		
January	(22,841,708.37)		
February	(20,960,365.50)		
March	(23,627,911.00)		
April	(23,118,028.92)		
May	0.00		
June	0.00		
<b>Total YTD Expenditures</b>		\$	<b>(241,588,654.72)</b>
<b>Payroll Funding Timing Difference</b>		\$	<u><b>0.00</b></u>
<b>Total Disbursements</b>		\$	<b>(241,588,654.72)</b>
Transfer from Budget Stabilization Trust	0.00		
Net Transfer from/(to) AGA	0.00		
Transfer from MMF Merit Adjust	0.00		
Transfer from MCF	42,448,521.04		
Loans From Budget Stabilization Trust	13,885,000.00		
Repayment to Budget Stabilization Trust	<u>\$ (13,885,000.00)</u>	\$	
<b>Net Other Transfers</b>			<u><b>42,448,521.04</b></u>
<b>Ending Balance</b>	\$	\$	<u><u><b>9,141,009.11</b></u></u>

**STATE CENTRAL SERVICES  
EXPENDITURE DETAIL BY AGENCY  
FY2010**

Agency Name	Authorized Appropriation	Reappropriation/ Carry Forward	Budgeted Amount	Monthly Expenditures 4/30/2010	YTD Total Expenditures 4/30/2010	Remaining Budget
Administrative Office of the Courts	\$ 12,432,080.00	\$ -	\$ 12,249,155.74	1,299,580.08	\$ 9,376,969.31	\$ 2,872,186.43
Arkansas Senate	3,910,116.00	2,400,000.00	6,310,116.00	94,559.54	983,831.87	5,326,284.13
Arkansas State Claims Commission	599,086.00	-	593,965.00	48,915.68	457,848.79	136,116.21
Auditor of State	24,948,554.00	-	24,948,561.50	1,729,672.78	20,439,340.83	4,509,220.67
Bureau of Legislative Research/Disbursing Officer	17,996,881.00	-	17,997,941.05	855,294.09	9,203,481.38	8,794,459.67
Commissioner of State Lands	3,283,060.00	-	3,267,807.00	205,965.01	2,081,056.91	1,186,750.09
Court of Appeals	4,107,062.00	-	4,059,124.50	336,165.56	3,182,577.60	876,546.90
Department of Finance and Administration						
Management Services Division	58,838,134.00	-	54,852,677.43	4,087,648.76	44,356,640.44	10,496,036.99
Revenue Division	94,066,284.00	475,459.00	94,811,703.52	6,882,923.05	73,423,153.81	21,388,549.71
Subtotal	152,904,418.00	475,459.00	149,664,380.95	10,970,571.81	117,779,794.25	31,884,586.70
Division of Legislative Audit	34,020,959.00	-	34,037,208.40	2,092,312.90	23,213,941.08	10,823,267.32
Governor's Mansion	1,054,273.00	-	1,057,193.16	1,174.81	675,364.63	381,828.53
House of Representatives	6,553,009.00	3,448,000.00	9,685,402.61	170,554.85	3,073,471.10	6,611,931.51
Office of Prosecutor Coordinator	926,955.00	-	942,208.75	73,187.98	780,674.73	161,534.02
Office of the Attorney General	14,534,711.00	-	14,426,062.37	1,101,672.46	11,085,613.28	3,340,449.09
Office of the Governor	5,534,996.00	-	5,535,279.00	366,219.21	3,788,390.11	1,746,888.89
Office of the Lieutenant Governor	361,469.00	-	378,986.54	7,422.29	279,481.00	99,505.54
Office of the Treasurer	3,907,512.00	-	3,882,245.27	270,616.69	2,619,875.89	1,262,369.38
Public Defender	21,127,653.00	-	21,189,112.14	1,683,786.29	17,446,723.41	3,742,388.73
Secretary of State	17,782,571.00	-	17,786,287.56	1,474,079.18	12,129,095.63	5,657,191.93
Supreme Court	4,058,859.00	-	4,059,110.19	336,277.71	2,991,122.92	1,067,987.27
<b>TOTAL</b>	<b>\$ 330,044,224.00</b>	<b>\$ 6,323,459.00</b>	<b>\$ 332,070,147.73</b>	<b>\$ 23,118,028.92</b>	<b>\$ 241,588,654.72</b>	<b>\$ 90,481,493.01</b>
<b>Less:</b>						
Reversions					\$ (52,517,320.49)	
Adjusted Budget					<u>\$ 279,552,827.24</u>	

**Projected Income** \$ 287,837,528.65 (net projected income after fund transfers - revised 1/11/10)  
**Projected Expenditures** \$ (291,161,091.93)  
**(Deficit)/Surplus** \$ (3,323,563.28)

Note: Budgeted may exceed Authorized due to Pay Plan holding and the processing of Marketing & Redistribution proceeds.