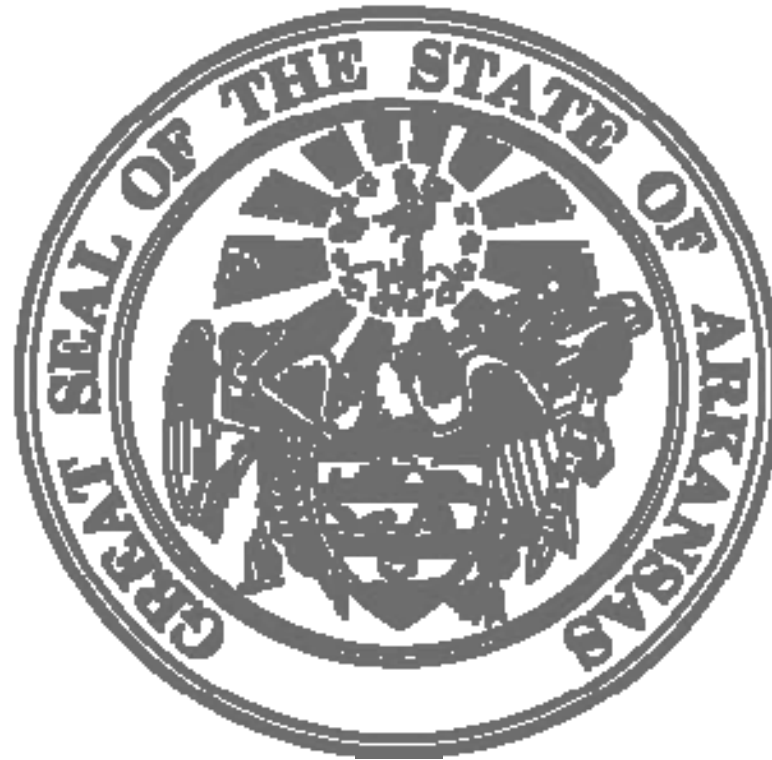


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Arkansas Public Higher Education General Operating & Capital Recommendations

2007-2009 Biennium



4-A

Volume 1
Universities

Arkansas Department of Higher Education

114 East Capitol, Little Rock, Arkansas 72201

October 2006

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**ARKANSAS PUBLIC HIGHER EDUCATION
GENERAL OPERATING AND CAPITAL RECOMMENDATIONS
2007-09 BIENNIUM**

**VOLUME 1
OVERVIEW AND UNIVERSITIES**

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Institutional Abbreviations

Four-Year Institutions

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas at Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

Two-Year Institutions

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University - Mountain Home	ASUMH
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
Cossatot Community College of the UA (DeQueen)	CCCUA
East Arkansas Community College (Forrest City)	EACC
Mid-South Community College (West Memphis)	MSCC
National Park Community College (Hot Springs)	NPCC
North Arkansas College (Harrison)	NAC
Northwest Arkansas Community College (Bentonville)	NWACC
Ouachita Technical College (Malvern)	OTC
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
Pulaski Technical College (North Little Rock)	PTC
Rich Mountain Community College (Mena)	RMCC
South Arkansas Community College (El Dorado)	SACC
Southeast Arkansas College (Pine Bluff)	SEAC
Southern Arkansas University - Tech (Camden)	SAUT
UA Community College at Batesville	UACCB
UA Community College at Hope	UACCH
UA Community College at Morrilton	UACCM

Non-Formula Institutions / Entities

ASU - System	ASU-SYS
ASU - Technical Center - Marked Tree	ASU-MT
ATU - Arkansas Valley Technical Institute (Ozarka)	ATU-AVTI
HSU - Southwest Arkansas Technology Learning Center	HSU - SWATLC
SAUT - Environmental Academy	SAUT-ECA
SAUT - Fire Academy	SAUT-FTA
UA - System (Little Rock)	UA-SYS
UA - Archeological Survey (Fayetteville)	UA-AAS
UA - Clinton School of Public Service (Little Rock)	UA-CS
UA - Criminal Justice Institute (Little Rock)	UA-CJI
UA - Division of Agriculture (Fayetteville and Little Rock)	UA-DIV AGRI
UA - School of Mathematics, Sciences, and the Arts (Hot Springs)	UA-ASMSA
UALR - Research and Public Service	UALR-RAPS
UAM - College of Technology - Crossett	UAM-CROSSETT
UAM - College of Technology - McGehee	UAM-MCGEHEE
University of Arkansas at Pine Bluff (Non-Formula)	UAPB
University of Arkansas for Medical Sciences	UAMS

**RECOMMENDATIONS FOR EDUCATIONAL AND GENERAL OPERATIONS
STATE-SUPPORTED INSTITUTIONS OF HIGHER EDUCATION
2007-09 BIENNIUM**

Background

A.C.A. §6-61-223 establishes the process and key components for formula development for funding public institutions of higher education. That language reads as follows:

“(a) The Arkansas Higher Education Coordinating Board will work with the state college and university Presidents Council to review, revise, and develop funding formulas which will, in principle, seek to provide fair and equitable state support to all postsecondary students across the state, regardless of the state institution attended, while at the same time recognizing: (1) The different needs for lower level, upper level, and graduate level instruction at the various institutions; (2) The requirements for specialized equipment, labs and smaller class sizes in some disciplines; and (3) Unique missions, such as agricultural extension services, research, medical sciences, workforce development, and public service; and (4) Growth, economies of scale, and other appropriate factors. (b) The formulas will be developed in consensus with the state college and university president’s council and presented to the Joint Budget Committee for review.”

At its April 28, 2006 meeting, the Arkansas Higher Education Coordinating Board (AHECB) approved the funding models for both the two-year colleges and the universities. These models had been developed in conjunction with presidents and chancellors after numerous meetings and revisions. Those meetings also resulted in two separate methodologies for the distribution of funds in the event full funding could not be realized. The two funding models were used to develop the comparative needs of Arkansas institutions of higher education in terms of the average funding levels of schools in the SREB region.

At this April 2006 meeting the Arkansas Higher Education Coordinating Board approved proposing to the General Assembly four (4) changes to the two-year college funding formula as contained in Act 1760 of 2005, after review of the formula as required by Section 2 of Act 1760. These changes were proposed by the college presidents and chancellors to the Department of Higher Education and to the AHECB after receiving unanimous approval by the presidents and chancellors at their February 4th meeting on the campus of Southeast Arkansas College in Pine Bluff. The proposed changes do not significantly increase nor

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decrease the overall recommendation for the two-year colleges. They do, however, make some significant differences in the funding for individual institutions which result from the introduction of economies of scale into portions of the formula. The changes were proposed by the presidents and chancellors because the changes seemed to provide for a model that more nearly reflected the needs of the individual campuses.

The proposed changes reflect the lower marginal cost for additional students while recognizing the higher cost for smaller schools, which primarily serve students in the most impoverished regions of the state. The higher cost of serving students in the poorer, economically distressed areas has been recognized in the public school funding model, and it would seem reasonable that the principle should also be applied to higher education.

Act 1760 requires the notification of the President Pro-Tempore of the Senate, the Speaker of the House of Representatives, and the Governor of proposed changes by October 15 of the year prior to each regular session. That letter of notification was mailed July 13, 2006, to the designated officials by the Director of the Arkansas Department of Higher Education.

The proposed changes to Act 1760 of 2005 are:

SSCH needed to produce an FTE Faculty Member in Allied Health - The number of SSCH needed to produce an FTE faculty member was reduced from 420 SSCH to 360. Nationally, the cost of Allied Health/Nursing programs is about 1.85 times the cost of General Education Classes. Under the formula in Act 1760 of 2005 the cost is only 1.57 times the cost of General Education. In addition, the standards of the Arkansas State Board of Nursing and accrediting associations require the smaller class size reflected in this change.

Given the state's emphasis on nursing programs, this proposed change should make a noticeable difference in the number of nursing students enrolled when the formula is fully funded.

Part-Time Faculty Adjustment - The adjustments in Act 1760 are 30% for schools with less than 1,500 FTE and 40% for schools with more than 1,500 FTE. The actual practice of the institutions in terms of the use of part-time faculty shows no difference between institutions with less than 1,500 FTE and those with 1,500 to 2,999 FTE enrollment. Both use approximately 30% adjunct faculty. At the same time schools with more than 3,000 FTE indicate more than 50% of their SSCH with part-time faculty. The change proposed would bring the part-time faculty adjustment closer to the actual practice of the schools. The proposed adjustments are 30% for colleges with less than 3,000 and 50% for colleges with

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greater than 3,000 FTE.

Facilities Maintenance and Operation – The Arkansas Higher Education Coordinating Board proposes that a space needs model be introduced to determine the amount of space a college needs based upon its enrollment and program types. The methodology in Act 1760 used an average of the space the colleges had in the past as a standard for comparison. That standard was 161 square feet per FTE student. The Act 1760 methodology also provided an intensity factor to schools with less than the average space per student. Under the proposed change, colleges would receive full funding for their actual square footage that is no more than 150% of the square footage needed as determined by the model. Partial funding would be provided for excess square footage above the space model determined need. Institutions with less square footage than their space model determined need would receive partial funds to replace the intensity factor used under the old formula. In some instances the intensity factor in the Act 1760 model produces unrealistic facilities maintenance recommendations in terms of the funding per square foot.

Institutional Support – The proposed change would introduce an economy-of- scale into the formula. Previously, the Institutional Support portion of the formula provided every school with 18% of the sum of the other expenditure functions. The change recommends that colleges with less than 1,000 FTE receive 21% of the total expenditures in recognition of certain initial costs incurred by institutions regardless of size. Colleges with 1,001 to 3,000 FTE would receive 18%, and schools with 3,001 or more FTE would receive 15% for Institutional Support in acknowledgement of known economy of scale breakpoints.

For the purposes of comparison, two sets of tables are presented. The first set of tables (Tables 1A – 1D) contains AHECB's recommendations as they would appear under Act 1760 of 2005. The second (Tables 2A – 2D) reflects the recommendations approved by the AHECB on August 4, 2006, using the proposed changes to Act 1760 of 2005. Institutions completed individual State Treasury appropriation act forms in the budget manuals based on the second set of tables (Tables 2A – 2D).

The staff reviewed the justification requests submitted by the non-formula group and prepared preliminary funding recommendations based upon those requests. After making preliminary recommendations, the staff conducted budget meetings with each non-formula entity. After the conclusion of all budget meetings, final recommendations were made in light of the appeals heard during the budget meetings.

The difference between the funding model determined needs of the entities compared to the Fiscal 2007 appropriations was

\$199 million, a 27.2 percent increase. The funding gap (the difference between the need and the current appropriation) is part of a phenomenon that always occurs when there is an extended economic downturn; enrollments increase, state support declines and tuitions increase to cover the loss of state support to fund the recommendations of the AHECB. It should be emphasized that the funding needs of the institutions are the funds needed to bring Arkansas higher education to the **average** funding level of the SREB region. The funding gap took a number of years to reach its current level. Therefore, the staff recommendations are based on a **four-year schedule for closing the gap** between needs and appropriations with the recommendations for the 2007-09 biennium representing the final two years of that schedule, which should completely close the gap and fully fund the formulas.

Operating Funding Recommendations for the 2007-2009 Biennium

Operating recommendations (Table 2A), based on the AHECB's approval of changes to the college formula, represent an 18% increase for colleges, a 15.1% increase for universities, and a 12.6% increase for nonformula entities for 2007-08. The operating recommendations for 2008-09 represent a 15.8% increase for colleges and a 15.3% increase for universities, and a 14.1% increase for the non-formula entities.

Colleges

The principles for determining operating needs address continued levels of funding for institutional bases, equity, small college adjustment, economies of scale, and funds for economic development initiatives. Specific aspects of the operating recommendations for two-year colleges (Table 2B) follow:

1. The first funds would be distributed for the state's general revenue portion of a 2.95% cost-of-living increase for faculty and staff.
2. Additional funding would be used to bring those institutions with less than 75% of their need funded by current appropriation to a **minimum** of 75% of need.
3. After addressing the cost-of-living increases and equity for institutions with less than 75% of their need funded, additional funds up to a total of \$20 million would be distributed on the basis of the funding formula need.
4. An additional \$6.6 million would be used to provide each institution \$300,000 for economic development initiatives. This

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\$6.6 million would be appropriated to the Department of Higher Education each year of the biennium and would be distributed to an institution after the submission of an approved plan for stimulating economic development in the region served by the institution. All plans submitted would be required to include accountability measures before funding would be released. Funds would only become a part of an institution's base for the next biennium if the institution's plan is implemented and has demonstrated economic improvements according to the stated accountability measures.

Universities

The Need-Based Funding Model, approved by the Coordinating Board on April 28, 2006, determined a need for new funds in the amount of \$108.4 million for Fiscal 2008 (Table 2C). With a goal of addressing equity and institutional need over a four-year span, the funding recommendations were calculated as follows:

1. Provide funds for the general revenue portion of a 2.95% educational and general salary increase.
2. Provide funds for a minimum appropriation of at least 75% of the determined need.
3. Distribute all remaining funds on the percent of the remaining new funds calculated for each institution.

The resulting staff recommendation was \$58.7 million in new funding for Fiscal 2008. For Fiscal 2009, the difference between the institutions' need as determined by the Need-Based Funding Model and the Fiscal 2008 funding recommendation became the new funding gap. Since 2009 is the last year remaining to close the equity gap, this difference represented the total funding recommendation for Fiscal 2009. Each institution's recommendation is based on:

1. The general revenue portion of a 2.95% increase for educational and general salaries.
2. All additional funds being distributed by the institution's share of the remaining need for new funding.

Non-Formula Entities

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The number of non-formula entities has expanded for the biennium and now includes: ASU-System Office, ASU-Technical Campus, ATU-Arkansas Valley Technical Institute, HSU-SWATLC, SAUT-Fire Training Academy, SAUT-Environmental Control Academy, University of Arkansas-System Office, UA-Division of Agriculture, UA-Archaeological Survey, UA-Criminal Justice Institute, UA-Clinton School of Public Service, UA-Arkansas School for Mathematics, Sciences and the Arts, UALR-Research and Public Service, UAMS, UAM College of Technology-McGehee, UAM College of Technology-Crossett, and UAPB non-formula entities.

General revenue requests from the non-formula entities totaled \$243.2 million (Table 2D). The staff determined the needed total funding level of the non-formula entities to be \$235.7 million, including the \$43 million new funds needed. Continuing the four-year schedule for achieving equity initiated in the 2005 legislative session and addressing the needs, ADHE staff has recommended \$24.3 million for the first year of the biennium and \$30.6 million for the second year of the biennium. The recommendation includes: the general revenue portion of a 2.95% increase in educational and general salaries; and funds for reducing the gap between appropriations and need.

Recommendations for state funding of the educational and general operations of Arkansas public institutions of higher education in the 2007-09 biennium are included in Tables 2A, 2B, 2C and 2D.

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Table 1A. Summary of AHECB Operating Needs & Recommendations for the 2007-09 Biennium
 (Based on Act 1760 of 2005)

	Fiscal Year 2006-07 Base				2007-08				2008-09			
					AHECB Recommendations			Funding Model Determined Need	AHECB Recommendations			Funding Model Determined Need
	RSA	EETF	WF2000	Total Fiscal Year Base	Total Appropriation	New Funds	Percent Increase		Total Appropriation	New Funds	Percent Increase	
Colleges	\$121,052,852	\$6,964,543	\$20,063,676	\$148,081,071	\$196,155,204	\$174,681,072	\$26,600,001	18.0%	\$202,453,782	\$202,453,782	\$27,772,710	15.9%
Universities	\$354,259,294	\$35,593,189	\$0	\$389,852,483	\$498,209,409	\$448,603,197	\$58,750,714	15.1%	\$517,268,608	\$517,268,608	\$68,665,411	15.3%
Non-Formula Entities	\$168,660,309	\$21,920,672	\$2,643,528	\$193,224,509	\$235,717,667	\$217,598,774	\$24,374,265	12.6%	\$248,175,543	\$248,175,543	\$30,576,769	14.1%
Total	\$643,972,455	\$64,478,404	\$22,707,204	\$731,158,063	\$930,082,279	\$840,883,043	\$109,724,980	15.0%	\$967,897,933	\$967,897,933	\$127,014,890	15.1%

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TABLE 1B. Colleges Operating Needs & Recommendations for the 2007-09 Biennium
(Based on Act 1760 of 2005)

Inst	2006-07			2007-08									2008-09				
	General Revenue Base (RSA, EETF & WF2000)	Fiscal Year 2005-06 FTE	FY 2006-07 Dollars Per FY2005-06 FTE Student	AHECB Recommendations					Total Appropriation	New Funds	% Increase	New Funds per FTE	AHECB Recommendations				
				Total Need	2.95% COLA	Raise to 75% of Need	Distribute by Formula	Economic Development Initiatives					Total Need	Total Appropriation *	New Funds	% Increase	New Funds per FTE
ANC	\$9,503,616	1,336	\$7,114	\$9,710,548	\$206,932	\$0	\$0	\$300,000	\$10,010,548	\$506,932	5.3%	\$379	\$10,223,584	\$10,223,584	\$213,036	2.1%	\$159
ASUB	\$13,405,859	2,898	\$4,626	\$15,977,953	\$260,871	\$0	\$253,033	\$300,000	\$14,219,765	\$813,905	6.1%	\$281	\$16,467,362	\$16,467,362	\$2,247,597	15.8%	\$776
ASUMH	\$3,905,912	863	\$4,525	\$5,321,087	\$75,492	\$9,412	\$145,638	\$300,000	\$4,436,454	\$530,542	13.6%	\$615	\$5,458,183	\$5,458,183	\$1,021,729	23.0%	\$1,184
ASUN	\$3,531,445	900	\$3,925	\$5,409,286	\$72,493	\$453,027	\$148,052	\$300,000	\$4,505,017	\$973,572	27.6%	\$1,082	\$5,561,568	\$5,561,568	\$1,056,551	23.5%	\$1,174
BRTC	\$7,520,664	1,631	\$4,610	\$9,436,980	\$127,691	\$0	\$195,819	\$300,000	\$8,144,174	\$623,510	8.3%	\$382	\$9,710,670	\$9,710,670	\$1,566,496	19.2%	\$960
CCCUA	\$4,140,023	718	\$5,764	\$5,110,201	\$82,010	\$0	\$97,237	\$300,000	\$4,619,270	\$479,247	11.6%	\$667	\$5,258,135	\$5,258,135	\$638,865	13.8%	\$889
EACC	\$6,177,162	1,047	\$5,901	\$6,427,019	\$133,407	\$0	\$12,749	\$300,000	\$6,623,318	\$446,156	7.2%	\$426	\$6,760,661	\$6,760,661	\$137,343	2.1%	\$131
MSCC	\$5,385,574	836	\$6,443	\$5,974,920	\$92,321	\$0	\$54,414	\$300,000	\$5,832,309	\$446,735	8.3%	\$534	\$6,151,644	\$6,151,644	\$319,335	5.5%	\$382
NAC	\$8,391,338	1,607	\$5,222	\$9,770,902	\$184,039	\$0	\$130,886	\$300,000	\$9,006,263	\$614,925	7.3%	\$383	\$10,033,667	\$10,033,667	\$1,027,404	11.4%	\$639
NPCC	\$10,054,324	1,888	\$5,326	\$11,069,140	\$221,328	\$0	\$86,871	\$300,000	\$10,662,523	\$608,199	6.0%	\$322	\$11,369,087	\$11,369,087	\$706,564	6.6%	\$374
NWACC	\$8,885,893	3,357	\$2,647	\$17,659,692	\$198,548	\$4,160,328	\$483,346	\$300,000	\$14,028,115	\$5,142,222	57.9%	\$1,532	\$18,153,721	\$18,153,721	\$4,125,606	29.4%	\$1,229
OTC	\$4,157,076	853	\$4,875	\$5,202,269	\$78,375	\$0	\$105,847	\$300,000	\$4,641,298	\$484,222	11.6%	\$568	\$5,343,248	\$5,343,248	\$701,950	15.1%	\$823
OZC	\$3,759,527	737	\$5,098	\$4,515,015	\$73,563	\$0	\$74,657	\$300,000	\$4,207,747	\$448,220	11.9%	\$608	\$4,643,146	\$4,643,146	\$435,399	10.3%	\$590
PCCUA	\$9,579,940	1,467	\$6,529	\$10,876,925	\$202,781	\$0	\$119,794	\$300,000	\$10,202,515	\$622,575	6.5%	\$424	\$11,196,721	\$11,196,721	\$994,206	9.7%	\$678
PTC	\$12,207,890	5,807	\$2,102	\$27,687,292	\$243,892	\$8,313,687	\$757,802	\$300,000	\$21,823,269	\$9,615,379	78.8%	\$1,656	\$28,563,246	\$28,563,246	\$6,739,977	30.9%	\$1,161
RMCC	\$3,098,370	533	\$5,818	\$3,475,034	\$68,265	\$0	\$33,764	\$300,000	\$3,500,399	\$402,029	13.0%	\$755	\$3,570,678	\$3,570,678	\$70,279	2.0%	\$132
SACC	\$6,498,304	1,031	\$6,301	\$6,990,174	\$140,765	\$0	\$38,439	\$300,000	\$6,977,508	\$479,204	7.4%	\$465	\$7,177,397	\$7,177,397	\$199,889	2.9%	\$194
SAUT	\$5,300,867	1,059	\$5,006	\$7,125,227	\$100,809	\$0	\$188,694	\$300,000	\$5,890,370	\$589,503	11.1%	\$557	\$7,347,072	\$7,347,072	\$1,456,702	24.7%	\$1,376
SEAC	\$6,899,792	1,572	\$4,388	\$8,320,651	\$151,206	\$0	\$139,002	\$300,000	\$7,490,000	\$590,208	8.6%	\$375	\$8,562,170	\$8,562,170	\$1,072,170	14.3%	\$682
UACCB	\$4,386,948	1,020	\$4,301	\$6,311,855	\$78,144	\$268,799	\$172,756	\$300,000	\$5,206,647	\$819,699	18.7%	\$804	\$6,479,152	\$6,479,152	\$1,272,505	24.4%	\$1,248
UACCH	\$5,895,639	830	\$7,105	\$6,014,672	\$119,033	\$0	\$0	\$300,000	\$6,314,672	\$419,033	7.1%	\$505	\$6,437,216	\$6,437,216	\$122,544	1.9%	\$148
UACCM	\$5,394,908	1,315	\$4,103	\$7,768,362	\$108,840	\$322,523	\$212,620	\$300,000	\$6,338,891	\$943,983	17.5%	\$718	\$7,985,454	\$7,985,454	\$1,646,563	26.0%	\$1,252
Total	\$148,081,071	33,304	\$4,446	\$196,155,204	\$3,020,805	\$13,527,776	\$3,451,420	\$6,600,000	\$174,681,072	\$26,600,000	18.0%	\$799	\$202,453,782	\$202,453,782	\$27,772,710	15.9%	\$834

* The 2008-09 Total Appropriation includes continuation of the \$300,000 for Economic Development Initiatives at each college.

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Table 1C. Universities: Operating Needs & Recommendations for the 2007-09 Biennium

Inst	2006-07			2007-08							2008-09					
	2006-07 General Revenue Base (RSA & EETF)	FY 2005- 06 FTE Students	2006-07 Dollars Per FY 2005-06 FTE Student	AHECB Recommendations				AHECB Recommendations								
				Funding Level Need as Determined by the Funding Model	2.95% Salary Increase	Raise to 75% of Need	Distribute based on Formula	Total Appropriation	New Funds	Percent Increase	New Funds per FTE	Funding Level Need as Determined by the Funding Model	Total Appropriation	New Funds	Percent Increase	New Funds per FTE
ASUJ	\$55,418,904	9,039	\$6,131	\$65,365,495	\$1,093,462	\$0	\$4,360,814	\$60,873,180	\$5,454,276	9.8%	\$603	\$67,911,046	\$67,911,046	\$7,037,866	11.6%	\$779
ATU	\$28,317,976	5,941	\$4,767	\$36,943,621	\$509,368	\$0	\$3,997,861	\$32,825,205	\$4,507,229	15.9%	\$759	\$38,446,139	\$38,446,139	\$5,620,934	17.1%	\$946
HSU	\$19,214,005	3,302	\$5,819	\$21,459,923	\$364,476	\$0	\$926,748	\$20,505,229	\$1,291,224	6.7%	\$391	\$22,323,718	\$22,323,718	\$1,818,489	8.9%	\$551
SAUM	\$15,473,956	2,851	\$5,428	\$18,568,417	\$252,553	\$0	\$1,399,848	\$17,126,357	\$1,652,401	10.7%	\$580	\$19,313,553	\$19,313,553	\$2,187,196	12.8%	\$767
UAF	\$110,788,365	15,858	\$6,986	\$147,014,069	\$2,143,912	\$0	\$16,787,778	\$129,720,055	\$18,931,690	17.1%	\$1,194	\$152,259,796	\$152,259,796	\$22,539,741	17.4%	\$1,421
UAFA	\$21,085,637	5,089	\$4,143	\$27,693,720	\$414,822	\$0	\$3,050,635	\$24,551,094	\$3,465,457	16.4%	\$681	\$28,882,324	\$28,882,324	\$4,331,230	17.6%	\$851
UALR	\$56,051,860	9,094	\$6,164	\$73,235,529	\$1,137,559	\$0	\$7,903,884	\$65,093,303	\$9,041,443	16.1%	\$994	\$75,967,422	\$75,967,422	\$10,874,119	16.7%	\$1,196
UAM	\$13,087,701	2,347	\$5,576	\$14,670,511	\$256,406	\$0	\$653,351	\$13,997,458	\$909,757	7.0%	\$388	\$15,257,758	\$15,257,758	\$1,260,300	9.0%	\$537
UAPB	\$22,243,204	3,061	\$7,267	\$23,674,593	\$407,260	\$0	\$504,459	\$23,154,923	\$911,719	4.1%	\$298	\$24,572,591	\$24,572,591	\$1,417,668	6.1%	\$463
UCA	\$48,170,875	10,638	\$4,528	\$69,583,531	\$894,123	\$3,122,650	\$8,568,746	\$60,756,394	\$12,585,519	26.1%	\$1,183	\$72,334,261	\$72,334,261	\$11,577,867	19.1%	\$1,088
Total	\$389,852,483	67,220	\$5,800	\$498,209,409	\$7,473,941	\$3,122,650	\$48,154,123	\$448,603,197	\$58,750,714	15.1%	\$874	\$517,268,608	\$517,268,608	\$68,665,411	15.3%	\$1,022

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Table 1D. Non-Formula Entities: Operating Needs & Recommendations for the 2007-09 Biennium




Institution/Entity	2006-07	2007-08						2008-09					
	Total Base	Request	Need		AHECB Recommendations			Request	Need		AHECB Recommendations		
		General Revenue Request	Fiscal Year 2007-08	% of Need Met	Total Appropriation	New Funds	% Increase	General Revenue Request	Fiscal Year 2008-09	% of Need Met	Total Appropriation	New Funds	% Increase
ASU System Office	\$1,501,972	\$2,277,582	\$1,877,666	80.0%	\$1,789,329	\$287,357	19.1%	\$2,342,096	\$1,932,977	92.6%	\$1,932,977	\$143,648	8.0%
ASU Tech Center	\$2,887,940	\$4,412,637	\$3,022,571	95.5%	\$2,998,602	\$110,662	3.8%	\$4,544,594	\$3,113,248	96.3%	\$3,113,248	\$114,646	3.8%
ATU-AR Valley TI	\$2,978,771	\$3,217,320	\$3,097,466	96.2%	\$3,083,069	\$104,298	3.5%	\$3,350,169	\$3,234,669	95.3%	\$3,234,669	\$151,600	4.9%
HSU SWATLC	\$206,536	\$212,732	\$212,732	97.1%	\$212,732	\$6,196	3.0%	\$219,114	\$219,114	97.1%	\$219,114	\$6,382	3.0%
SAUT-ECA	\$363,340	\$486,615	\$474,039	76.6%	\$423,122	\$59,782	16.5%	\$521,372	\$488,260	86.7%	\$488,260	\$65,138	15.4%
SAUT-FTA	\$1,300,456	\$2,947,185	\$1,987,405	65.4%	\$1,897,187	\$596,731	45.9%	\$3,044,759	\$2,056,279	92.3%	\$2,056,279	\$159,092	8.4%
UA-Sys	\$3,557,546	\$3,664,272	\$3,664,272	97.1%	\$3,664,272	\$106,726	3.0%	\$3,774,201	\$3,774,201	97.1%	\$3,774,201	\$109,928	3.0%
UA-AAS	\$2,091,435	\$3,160,037	\$2,708,172	77.2%	\$2,559,781	\$468,346	22.4%	\$3,251,838	\$2,947,037	86.9%	\$2,947,037	\$387,256	15.1%
UA-Division of Agri	\$62,264,844	\$77,084,177	\$77,084,177	80.8%	\$70,476,578	\$8,211,734	13.2%	\$79,456,431	\$79,456,431	88.7%	\$79,456,431	\$8,979,853	12.7%
UA-ASMSA	\$7,473,890	\$8,093,344	\$7,998,611	93.4%	\$7,911,599	\$437,709	5.9%	\$8,612,257	\$8,238,935	96.0%	\$8,238,935	\$327,336	4.1%
UA-Clinton School	\$2,172,933	\$2,898,121	\$2,338,121	92.9%	\$2,287,099	\$114,166	5.3%	\$2,985,065	\$2,408,265	95.0%	\$2,408,265	\$121,166	5.3%
UA-CJI	\$1,698,112	\$2,749,055	\$1,999,055	84.9%	\$1,871,500	\$173,388	10.2%	\$2,831,527	\$2,059,027	90.9%	\$2,059,027	\$187,527	10.0%
UALR-RAPS	\$3,350,744	\$6,146,090	\$3,773,820	88.8%	\$3,609,246	\$258,502	7.7%	\$6,289,751	\$3,886,481	92.9%	\$3,886,481	\$277,235	7.7%
UAM-Crossett	\$1,683,741	\$1,734,253	\$1,734,253	97.1%	\$1,734,253	\$50,512	3.0%	\$1,786,281	\$1,786,281	97.1%	\$1,786,281	\$52,028	3.0%
UAM-McGehee	\$2,017,624	\$2,236,364	\$2,236,364	90.2%	\$2,236,364	\$218,740	10.8%	\$2,461,412	\$2,461,412	90.9%	\$2,461,412	\$225,048	10.1%
UAMS	\$88,681,335	\$112,245,854	\$112,245,854	79.0%	\$101,580,952	\$12,899,617	14.5%	\$120,571,945	\$120,571,945	84.2%	\$120,571,945	\$18,990,993	18.7%
UAMS - Indigent Care	\$5,457,507	\$5,621,232	\$5,621,232	97.1%	\$5,621,232	\$163,725	3.0%	\$5,789,869	\$5,789,869	97.1%	\$5,789,869	\$168,637	3.0%
UAPB Nonformula	\$3,535,783	\$4,041,856	\$3,641,856	97.1%	\$3,641,856	\$106,073	3.0%	\$4,151,112	\$3,751,112	97.1%	\$3,751,112	\$109,256	3.0%
Total	\$193,224,509	\$243,228,727	\$235,717,667	82.0%	\$217,598,774	\$24,374,265	12.6%	\$255,983,793	\$248,175,543	87.7%	\$248,175,543	\$30,576,769	14.1%

NOTE: In some instances funds are recommended to replace GIF funding from previous biennia.

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Table 2A. Summary of AHECB Operating Needs & Recommendations for the 2007-09 Biennium
 (Based on changes to two-year college formula adopted by AHECB)

	Fiscal Year 2006-07 Base				2007-08				2008-09			
					AHECB Recommendations			Funding Model Determined Need	AHECB Recommendations			Funding Model Determined Need
	RSA	EETF	WF2000	Total Fiscal Year Base	Total Appropriation	New Funds	Percent Increase		Total Appropriation	New Funds	Percent Increase	
Colleges	\$121,052,852	\$6,964,543	\$20,063,676	\$148,081,071	\$196,318,617	\$174,681,071	\$26,600,000	18.0%	\$202,303,631	\$202,303,631	\$27,622,560	15.8%
Universities	\$354,259,294	\$35,593,189	\$0	\$389,852,483	\$498,209,409	\$448,603,197	\$58,750,714	15.1%	\$517,268,608	\$517,268,608	\$68,665,411	15.3%
Non-Formula Entities	\$168,660,309	\$21,920,672	\$2,643,528	\$193,224,509	\$235,717,667	\$217,598,774	\$24,374,265	12.6%	\$248,175,543	\$248,175,543	\$30,576,769	14.1%
Total	\$643,972,455	\$64,478,404	\$22,707,204	\$731,158,063	\$930,245,692	\$840,883,042	\$109,724,979	15.0%	\$967,747,782	\$967,747,782	\$126,864,740	15.1%

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TABLE 2B. Colleges Operating Needs & Recommendations for the 2007-09 Biennium
 (Based on changes to two-year college formula adopted by AHECB)

Inst	2006-07			2007-08									2008-09				
	General Revenue Base (RSA, EETF & WF2000)	Fiscal Year 2005-06 FTE	FY 2006-07 Dollars Per FY2005-06 FTE Student	AHECB Recommendations									AHECB Recommendations				
				Total Need	2.95% COLA	Raise to 75% of Need	Distribute by Formula	Economic Development Initiatives	Total Appropriation	New Funds	% Increase	New Funds per FTE	Total Need	Total Appropriation *	New Funds	% Increase	New Funds per FTE
ANC	\$9,503,616	1,336	\$7,114	\$9,710,548	\$206,932	\$0	\$0	\$300,000	\$10,010,548	\$506,932	5.3%	\$379	\$10,223,584	\$10,223,584	\$213,036	2.1%	\$159
ASUB	\$13,405,859	2,898	\$4,626	\$17,169,874	\$260,871	\$0	\$739,081	\$300,000	\$14,705,811	\$1,299,952	9.7%	\$449	\$17,634,344	\$17,634,344	\$2,928,533	19.9%	\$1,011
ASUMH	\$3,905,912	863	\$4,525	\$5,578,007	\$75,492	\$202,101	\$294,207	\$300,000	\$4,777,712	\$871,800	22.3%	\$1,010	\$5,714,306	\$5,714,306	\$936,594	19.6%	\$1,085
ASUN	\$3,531,445	900	\$3,925	\$5,960,548	\$72,493	\$866,473	\$314,384	\$300,000	\$5,084,795	\$1,553,350	44.0%	\$1,726	\$6,139,831	\$6,139,831	\$1,055,036	20.7%	\$1,173
BRTC	\$7,520,664	1,631	\$4,610	\$10,115,543	\$127,691	\$0	\$520,519	\$300,000	\$8,468,874	\$948,210	12.6%	\$581	\$10,402,566	\$10,402,566	\$1,933,692	22.8%	\$1,185
CCCUA	\$4,140,023	718	\$5,764	\$5,483,836	\$82,010	\$0	\$266,211	\$300,000	\$4,788,244	\$648,221	15.7%	\$903	\$5,638,369	\$5,638,369	\$850,125	17.8%	\$1,184
EACC	\$6,177,162	1,047	\$5,901	\$6,419,025	\$133,407	\$0	\$22,882	\$300,000	\$6,633,451	\$456,289	7.4%	\$436	\$6,770,794	\$6,770,794	\$137,343	2.1%	\$131
MSCC	\$5,385,574	836	\$6,443	\$6,146,600	\$92,321	\$0	\$141,081	\$300,000	\$5,918,976	\$533,402	9.9%	\$638	\$6,306,787	\$6,306,787	\$387,811	6.6%	\$464
NAC	\$8,391,338	1,607	\$5,222	\$10,567,233	\$184,039	\$0	\$420,235	\$300,000	\$9,295,612	\$904,274	10.8%	\$563	\$10,852,046	\$10,852,046	\$1,556,434	16.7%	\$969
NPCC	\$10,054,324	1,888	\$5,326	\$11,776,027	\$221,328	\$0	\$316,544	\$300,000	\$10,892,196	\$837,872	8.3%	\$444	\$12,088,992	\$12,088,992	\$1,196,796	11.0%	\$634
NWACC	\$8,885,893	3,357	\$2,647	\$15,169,265	\$198,548	\$2,292,508	\$800,089	\$300,000	\$12,477,038	\$3,591,145	40.4%	\$1,070	\$15,432,335	\$15,432,335	\$2,955,297	23.7%	\$880
OTC	\$4,157,076	853	\$4,875	\$5,587,958	\$78,375	\$0	\$285,347	\$300,000	\$4,820,798	\$663,722	16.0%	\$778	\$5,740,732	\$5,740,732	\$919,934	19.1%	\$1,079
OZC	\$3,759,527	737	\$5,098	\$4,907,519	\$73,563	\$0	\$226,679	\$300,000	\$4,359,769	\$600,242	16.0%	\$814	\$5,038,074	\$5,038,074	\$678,305	15.6%	\$920
PCCUA	\$9,579,940	1,467	\$6,529	\$10,727,597	\$202,781	\$0	\$199,347	\$300,000	\$10,282,068	\$702,128	7.3%	\$479	\$11,020,662	\$11,020,662	\$738,594	7.2%	\$503
PTC	\$12,207,890	5,807	\$2,102	\$23,605,172	\$243,892	\$5,252,097	\$1,245,034	\$300,000	\$19,248,911	\$7,041,021	57.7%	\$1,213	\$24,387,887	\$24,387,887	\$5,138,976	26.7%	\$885
RMCC	\$3,098,370	533	\$5,818	\$3,737,953	\$68,265	\$0	\$120,535	\$300,000	\$3,587,170	\$488,800	15.8%	\$918	\$3,832,893	\$3,832,893	\$245,723	6.9%	\$461
SACC	\$6,498,304	1,031	\$6,301	\$7,367,810	\$140,765	\$0	\$153,747	\$300,000	\$7,092,816	\$594,512	9.1%	\$576	\$7,531,911	\$7,531,911	\$439,095	6.2%	\$426
SAUT	\$5,300,867	1,059	\$5,006	\$7,168,757	\$100,809	\$0	\$372,813	\$300,000	\$6,074,489	\$773,622	14.6%	\$731	\$7,389,100	\$7,389,100	\$1,314,611	21.6%	\$1,241
SEAC	\$6,899,792	1,572	\$4,388	\$8,725,424	\$151,206	\$0	\$353,265	\$300,000	\$7,704,263	\$804,471	11.7%	\$512	\$8,976,819	\$8,976,819	\$1,272,556	16.5%	\$809
UACCB	\$4,386,948	1,020	\$4,301	\$6,363,926	\$78,144	\$307,853	\$335,660	\$300,000	\$5,408,605	\$1,021,657	23.3%	\$1,002	\$6,509,921	\$6,509,921	\$1,101,316	20.4%	\$1,080
UACCH	\$5,895,639	830	\$7,105	\$6,014,672	\$119,033	\$0	\$0	\$300,000	\$6,314,672	\$419,033	7.1%	\$505	\$6,437,216	\$6,437,216	\$122,544	1.9%	\$148
UACCM	\$5,394,908	1,315	\$4,103	\$8,015,323	\$108,840	\$507,744	\$422,761	\$300,000	\$6,734,253	\$1,339,345	24.8%	\$1,019	\$8,234,465	\$8,234,465	\$1,500,212	22.3%	\$1,141
Total	\$148,081,071	33,304	\$4,446	\$196,318,617	\$3,020,805	\$9,428,776	\$7,550,421	\$6,600,000	\$174,681,071	\$26,600,000	18.0%	\$799	\$202,303,631	\$202,303,631	\$27,622,560	15.8%	\$829



* The 2008-09 Total Appropriation includes continuation of the \$300,000 for Economic Development Initiatives at each college.

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Table 2C. Universities: Operating Needs & Recommendations for the 2007-09 Biennium

Inst	2006-07			2007-08								2008-09				
				AHECB Recommendations								AHECB Recommendations				
	2006-07 General Revenue Base (RSA & EETF)	FY 2005-06 FTE Students	2006-07 Dollars Per FY 2005-06 FTE Student	Funding Level Need as Determined by the Funding Model	2.95% Salary Increase	Raise to 75% of Need	Distribute based on Formula	Total Appropriation	New Funds	Percent Increase	New Funds per FTE	Funding Level Need as Determined by the Funding Model	Total Appropriation	New Funds	Percent Increase	New Funds per FTE
ASUJ	\$55,418,904	9,039	\$6,131	\$65,365,495	\$1,093,462	\$0	\$4,360,814	\$60,873,180	\$5,454,276	9.8%	\$603	\$67,911,046	\$67,911,046	\$7,037,866	11.6%	\$779
ATU	\$28,317,976	5,941	\$4,767	\$36,943,621	\$509,368	\$0	\$3,997,861	\$32,825,205	\$4,507,229	15.9%	\$759	\$38,446,139	\$38,446,139	\$5,620,934	17.1%	\$946
HSU	\$19,214,005	3,302	\$5,819	\$21,459,923	\$364,476	\$0	\$926,748	\$20,505,229	\$1,291,224	6.7%	\$391	\$22,323,718	\$22,323,718	\$1,818,489	8.9%	\$551
SAUM	\$15,473,956	2,851	\$5,428	\$18,568,417	\$252,553	\$0	\$1,399,848	\$17,126,357	\$1,652,401	10.7%	\$580	\$19,313,553	\$19,313,553	\$2,187,196	12.8%	\$767
UAF	\$110,788,365	15,858	\$6,986	\$147,014,069	\$2,143,912	\$0	\$16,787,778	\$129,720,055	\$18,931,690	17.1%	\$1,194	\$152,259,796	\$152,259,796	\$22,539,741	17.4%	\$1,421
UAFS	\$21,085,637	5,089	\$4,143	\$27,693,720	\$414,822	\$0	\$3,050,635	\$24,551,094	\$3,465,457	16.4%	\$681	\$28,882,324	\$28,882,324	\$4,331,230	17.6%	\$851
UALR	\$56,051,860	9,094	\$6,164	\$73,235,529	\$1,137,559	\$0	\$7,903,884	\$65,093,303	\$9,041,443	16.1%	\$994	\$75,967,422	\$75,967,422	\$10,874,119	16.7%	\$1,196
UAM	\$13,087,701	2,347	\$5,576	\$14,670,511	\$256,406	\$0	\$653,351	\$13,997,458	\$909,757	7.0%	\$388	\$15,257,758	\$15,257,758	\$1,260,300	9.0%	\$537
UAPB	\$22,243,204	3,061	\$7,267	\$23,674,593	\$407,260	\$0	\$504,459	\$23,154,923	\$911,719	4.1%	\$298	\$24,572,591	\$24,572,591	\$1,417,668	6.1%	\$463
UCA	\$48,170,875	10,638	\$4,528	\$69,583,531	\$894,123	\$3,122,650	\$8,568,746	\$60,756,394	\$12,585,519	26.1%	\$1,183	\$72,334,261	\$72,334,261	\$11,577,867	19.1%	\$1,088
Total	\$389,852,483	67,220	\$5,800	\$498,209,409	\$7,473,941	\$3,122,650	\$48,154,123	\$448,603,197	\$58,750,714	15.1%	\$874	\$517,268,608	\$517,268,608	\$68,665,411	15.3%	\$1,022

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Table 2D. Non-Formula Entities: Operating Needs & Recommendations for the 2007-09 Biennium



Institution/Entity	2006-07	2007-08						2008-09					
	Total Base	Request	Need		AHECB Recommendations			Request	Need		AHECB Recommendations		
		General Revenue Request	Fiscal Year 2007-08	% of Need Met	Total Appropriation	New Funds	% Increase	General Revenue Request	Fiscal Year 2008-09	% of Need Met	Total Appropriation	New Funds	% Increase
ASU System Office	\$1,501,972	\$2,277,582	\$1,877,666	80.0%	\$1,789,329	\$287,357	19.1%	\$2,342,096	\$1,932,977	92.6%	\$1,932,977	\$143,648	8.0%
ASU Tech Center	\$2,887,940	\$4,412,637	\$3,022,571	95.5%	\$2,998,602	\$110,662	3.8%	\$4,544,594	\$3,113,248	96.3%	\$3,113,248	\$114,646	3.8%
ATU-AR Valley TI	\$2,978,771	\$3,217,320	\$3,097,466	96.2%	\$3,083,069	\$104,298	3.5%	\$3,350,169	\$3,234,669	95.3%	\$3,234,669	\$151,600	4.9%
HSU SWATLC	\$206,536	\$212,732	\$212,732	97.1%	\$212,732	\$6,196	3.0%	\$219,114	\$219,114	97.1%	\$219,114	\$6,382	3.0%
SAUT-ECA	\$363,340	\$486,615	\$474,039	76.6%	\$423,122	\$59,782	16.5%	\$521,372	\$488,260	86.7%	\$488,260	\$65,138	15.4%
SAUT-FTA	\$1,300,456	\$2,947,185	\$1,987,405	65.4%	\$1,897,187	\$596,731	45.9%	\$3,044,759	\$2,056,279	92.3%	\$2,056,279	\$159,092	8.4%
UA-Sys	\$3,557,546	\$3,664,272	\$3,664,272	97.1%	\$3,664,272	\$106,726	3.0%	\$3,774,201	\$3,774,201	97.1%	\$3,774,201	\$109,928	3.0%
UA-AAS	\$2,091,435	\$3,160,037	\$2,708,172	77.2%	\$2,559,781	\$468,346	22.4%	\$3,251,838	\$2,947,037	86.9%	\$2,947,037	\$387,256	15.1%
UA-Division of Agri	\$62,264,844	\$77,084,177	\$77,084,177	80.8%	\$70,476,578	\$8,211,734	13.2%	\$79,456,431	\$79,456,431	88.7%	\$79,456,431	\$8,979,853	12.7%
UA-ASMSA	\$7,473,890	\$8,093,344	\$7,998,611	93.4%	\$7,911,599	\$437,709	5.9%	\$8,612,257	\$8,238,935	96.0%	\$8,238,935	\$327,336	4.1%
UA-Clinton School	\$2,172,933	\$2,898,121	\$2,338,121	92.9%	\$2,287,099	\$114,166	5.3%	\$2,985,065	\$2,408,265	95.0%	\$2,408,265	\$121,166	5.3%
UA-CJI	\$1,698,112	\$2,749,055	\$1,999,055	84.9%	\$1,871,500	\$173,388	10.2%	\$2,831,527	\$2,059,027	90.9%	\$2,059,027	\$187,527	10.0%
UALR-RAPS	\$3,350,744	\$6,146,090	\$3,773,820	88.8%	\$3,609,246	\$258,502	7.7%	\$6,289,751	\$3,886,481	92.9%	\$3,886,481	\$277,235	7.7%
UAM-Crossett	\$1,683,741	\$1,734,253	\$1,734,253	97.1%	\$1,734,253	\$50,512	3.0%	\$1,786,281	\$1,786,281	97.1%	\$1,786,281	\$52,028	3.0%
UAM-McGehee	\$2,017,624	\$2,236,364	\$2,236,364	90.2%	\$2,236,364	\$218,740	10.8%	\$2,461,412	\$2,461,412	90.9%	\$2,461,412	\$225,048	10.1%
UAMS	\$88,681,335	\$112,245,854	\$112,245,854	79.0%	\$101,580,952	\$12,899,617	14.5%	\$120,571,945	\$120,571,945	84.2%	\$120,571,945	\$18,990,993	18.7%
UAMS - Indigent Care	\$5,457,507	\$5,621,232	\$5,621,232	97.1%	\$5,621,232	\$163,725	3.0%	\$5,789,869	\$5,789,869	97.1%	\$5,789,869	\$168,637	3.0%
UAPB Nonformula	\$3,535,783	\$4,041,856	\$3,641,856	97.1%	\$3,641,856	\$106,073	3.0%	\$4,151,112	\$3,751,112	97.1%	\$3,751,112	\$109,256	3.0%
Total	\$193,224,509	\$243,228,727	\$235,717,667	82.0%	\$217,598,774	\$24,374,265	12.6%	\$255,983,793	\$248,175,543	87.7%	\$248,175,543	\$30,576,769	14.1%

NOTE: In some instances funds are recommended to replace GIF funding from previous biennia.

**RECOMMENDATIONS FOR STATE FUNDING OF CAPITAL PROJECTS
2007-09 BIENNIUM**

Background

From a total of \$108 million appropriated, the 2005 85th General Assembly allocated \$13 million for distribution from the General Improvement Fund (GIF) for various capital projects at institutions of higher education. To date, 100 percent of the allocation has been released to institutions from the GIF for higher education projects. However, the amount of funding available from the GIF has steadily decreased over the last two biennia. For the 2003-05 biennium, \$14 million was distributed for capital projects, which was down from the 2001-03 biennium when \$21.7 million was distributed for capital projects.

Capital Priorities

Capital priorities for General Improvement Fund projects in 2007-09 remain the same as those established in past biennia by the Arkansas Higher Education Coordinating Board. Those priorities are as follows:

- Technology infrastructure improvements including: installations or upgrades of local area networks (LANS), campus infrastructure to support increased bandwidth, and instructional technology equipment for classrooms and laboratories, as well as distance learning delivery systems.
- Critical maintenance projects where critical needs are defined as those which must be addressed before the end of 2009 and which, if neglected, could result in substantial damage to the structural integrity of the building, or are related to the imminent failure of building systems such as HVAC, electrical and plumbing. In addition, critical maintenance projects include those associated with ADA compliance and/or safety needs.
- Improvements in instructional, research, and clinical equipment as well as library holdings and technology.

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- Renovation of existing facilities to address changing program needs.
- New construction of facilities when renovation of an existing building to meet the need is either not cost effective or is not an option, e.g., new space to address enrollment growth.

In light of these priorities, institutions have responded with capital needs for the 2007-09 biennium. ADHE staff has evaluated the requests through site visits and discussions with campus officials and has recommended capital projects that meet the strategic needs of higher education through 2009.

Capital 2007-09 Recommendations

Arkansas higher education now has a current replacement value for its educational and general (E&G) space of \$3.7 billion. When this information is coupled with the fact that statewide, approximately 53 percent of the useful life of campus facilities has been expended, it is not surprising that the capital request for higher education is significant. A total of \$1.34 billion was requested by all institutions for technology infrastructure, renovation, and new construction. Staff used the following criteria when considering project funding recommendations:

- Institutional need for additional E&G square footage
- Condition of facilities (facilities condition index factor, critical maintenance needs)
- Age of facilities
- Debt service (capacity and utilization)
- Enrollment
- SREB category of the institution

In order to create consistency among project recommendations, ADHE staff recommended renovation and new construction project dollar amounts at a base rate per square foot. In addition to this base rate, recommendations were increased, when appropriate, for laboratory space, institutions located in Seismic Zone 3 and Zone 2, inclusion of an auditorium and for other special considerations (high tech, staging, and regional construction market).

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Staff recommendations for higher education capital projects are prioritized in funding categories “A” and “B” to provide a basis for the distribution of any funds that might become available.

As presented in Table 3, staff recommends requests for renovation, new construction, and technology infrastructure of \$268.9 million in Category A and \$16.3 million in Category B for a total of \$285.2 million. In addition, a total of \$15.9 million is recommended for the National LambdaRail/e-corridor and approximately \$17 million is recommended for critical maintenance, equipment, and library.

- **Construction, Renovation and Technology Infrastructure Projects**

Institutions requested \$1.34 billion in capital construction/renovation and technology infrastructure projects. Recommendations were made on the basis of institutions' demonstrating a compelling need for the projects.

Of the \$268.9 million recommended in Category A and \$16.3 million in Category B, \$158.6 million (56 percent) is for renovation, construction or technology infrastructure projects for four-year institutions; \$74.7 million (26 percent) for two-year institutions; and \$51.9 million (18 percent) for non-formula entities. Current physical plant assets at the four-year institutions represent about 58 percent of total higher education facilities assets, while two-year institutions and non-formula entities are evenly split with each having approximately 21 percent of the total assets. A narrative description of each recommended construction/renovation and technology infrastructure project follows in Table 4.

- **National LambdaRail/e-corridor**

Staff recommends \$12.7 in Category A and \$3.2 million in Category B for the National LambdaRail/e-corridor. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

- **Critical Maintenance, Equipment and Library**

Staff recommends approximately \$17 million for critical maintenance needs. Recommendations for critical maintenance projects meet the AHECB policy, which defines critical needs as those "which, if neglected, could result in substantial damage to the structural integrity of the building, or which address imminent failure of building systems."

Summary

The capital recommendation is significant; however, these recommendations reflect the continuing need to maintain the state's investment in higher education facilities and keep pace with the technological advances that are necessary for cutting edge academic programs.

Project categories and recommendations are summarized as follows:

<u>Project Category</u>	<u>Recommendations</u>
Renovation, Construction and Technology Infrastructure	\$285,158,766
Category A	268,883,766
Category B	16,275,000
National LambdaRail/e-Corridor	15,945,000
Critical Maintenance/Equipment/Library	16,975,000
GRAND TOTAL	\$318,078,766

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASUJ					
Projects:					
Wilson Hall Replacement	Construction	23,564,659	20,000,000	20,000,000	
Business Building	Construction	21,251,307			
Computer System & Distance Learning Infrastructure	Technology	11,000,000			
Nursing Classroom & Laboratory Bldg	Construction	13,090,000			
Campus Pedestrian Accessible Loop	Other	3,000,000			
West Campus Overpass	Other	1,341,667			
Campus Flood Control	Other	3,000,000			
Library Completion (6th Floor)	Construction	2,410,113			
Honors Hall	Construction	9,551,059			
Classroom/Administration Building	Construction	21,122,658			
Large Animal Instructional Center	Construction	1,269,714			
Museum Renovation/Improvements	Renovation	2,581,175			
Hemingway Pfeiffer Educational Ctr	Construction	2,944,507			
Lakeport Plantation Visitor Center	Construction	1,153,627			
New Testing Center	Construction	1,153,627			
Multipurpose Auditorium-ASU Tech Ctr/MT	Construction	8,200,964			
ASU-Paragould Improvements	Construction	526,838			
Instructional Equipment Improvements	Construction	3,608,504			
Project Total		130,770,419	20,000,000	20,000,000	
Critical Maintenance/Equipment/Library			1,000,000	1,000,000	
National LambdaRail/e-Corridor		540,000	540,000	540,000	
Total		131,310,419	21,540,000	21,540,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ATU					
Projects:					
Academic Classroom Facility	Construction	17,608,050	15,000,000	15,000,000	
Phase II McEver	Construction	7,312,700	1,000,000	1,000,000	
Techionery Building	Renovation	4,613,395			
AVTI Maintenance Building	Construction	526,847			
AVTI Front Entrance	Renovation	89,587			
AVTI Shop Building Complex	Construction	5,212,559			
Project Total		35,363,138	16,000,000	16,000,000	
Critical Maintenance/Equipment/Library			700,000	700,000	
National LambdaRail/e-Corridor		805,000	800,000	800,000	
Total		36,168,138	17,500,000	17,500,000	
HSU					
Projects:					
School of Business Building /Mooney Hall	Construction	11,002,400	7,000,000	7,000,000	
Technology Infrastructure Upgrade	Technology	5,087,890	2,700,000	2,700,000	
Underground Electrical Distribution	Other	1,061,780			
School of Nursing Building /Foster Hall	Construction	3,180,500			
Project Total		20,332,570	9,700,000	9,700,000	
Critical Maintenance/Equipment/Library			700,000	700,000	
National LambdaRail/e-Corridor		875,000	875,000	875,000	
Total		21,207,570	11,275,000	11,275,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
SAUM					
Projects:					
University Science Center	Construction	10,884,568	9,500,000	9,500,000	
Wharton Nursing Building	Renovation	1,493,394			
University Agriculture Center	Construction	4,970,281			
Bruce Center Conversion	Renovation	1,627,180			
Project Total		18,975,423	9,500,000	9,500,000	
Critical Maintenance/Equipment/Library			700,000	700,000	
National LambdaRail/e-Corridor		875,000	875,000	875,000	
Total		19,850,423	11,075,000	11,075,000	
UAF					
Projects:					
Nanotech1: Nanotechnology Research Center	Construction	61,264,250	32,000,000	32,000,000	
National LambdaRail Network Upgrade	Technology	2,855,654			
Plant Sciences 2	Construction	26,978,300			
College of Education & Health Professions	Construction	20,246,000			
Biotech1: Biotechnology Research Center	Construction	69,169,200			
Mullins Library with Honors College	Renovation	78,836,400			
Vol Walker Hall	Renovation	26,219,300			
Ozark Hall	Renovation	25,145,400			
Peabody Hall	Renovation	6,840,800			
Davis Hall	Renovation	3,422,400			
Engineering Hall	Renovation	13,523,700			
Center for Advanced Technology Development	Construction	19,234,300			
Project Total		353,735,704	32,000,000	32,000,000	
Critical Maintenance/Equipment/Library			1,250,000	1,250,000	
National LambdaRail/e-Corridor		1,900,000	1,900,000	1,900,000	
Total		355,635,704	35,150,000	35,150,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAFS					
Projects:					
Business Building	Construction	8,807,300	8,000,000	8,000,000	
Modern Language & Fine Arts Bldg	Construction	7,998,800	1,000,000	1,000,000	
Library Expansion	Construction	4,338,972			
Music / Performing Arts Building	Construction	7,948,800			
Project Total		29,093,872	9,000,000	9,000,000	
Critical Maintenance/Equipment/Library			700,000	700,000	
National LambdaRail/e-Corridor		720,000	720,000	720,000	
Total		29,813,872	10,420,000	10,420,000	
UALR					
Projects:					
Cyber College	Construction	22,000,000	21,000,000	21,000,000	
Campus Facility Infrastructure	Constr./Renov.	29,527,723			
Technology Infrastructure Upgrades	Technology	9,319,848			
Classroom and Library	Equipment	11,871,250			
Academic Building I	Construction	21,475,000			
Academic Building II	Construction	36,400,000			
Performing Arts & Ross Hall	Renovation	14,500,000			
One Stop Student Services Center	Construction	13,161,143			
Project Total		158,254,964	21,000,000	21,000,000	
Critical Maintenance/Equipment/Library			1,000,000	1,000,000	
National LambdaRail/e-Corridor		3,026,100	3,000,000	1,800,000	1,200,000
Total		161,281,064	25,000,000	23,800,000	1,200,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAM					
Projects:					
Upgrade Campus Communication Network and Connect to e-Corridor	Technology	1,041,108			
Upgrade Wide Area Network	Technology	150,000			
Replace Administrative Software	Technology	2,650,000			
Equipping Classrooms with Technology	Equipment	225,000			
Distance Education Software	Equipment	165,000			
Technology/Equipment	Tech./Equip.	Staff Rec	4,200,000	4,200,000	
Forest Resources Complex	Construction	6,000,000	5,200,000	4,600,000	600,000
Renovation of Math and Science Center and Music Building	Renovation	2,350,000			
District Cooling System Phase III	Construction	2,900,000			
Academic Learning Center	Construction	8,738,436			
Business & Industry Technical Training Center UAM CTC	Construction	1,197,500			
General Education Building UAM CTM	Construction	4,058,760			
Workforce/Collegiate Center UAM CTC	Construction	2,055,450			
Campus Roadway and Parking Improvements	Construction	1,200,000			
Project Total		32,731,254	9,400,000	8,800,000	600,000
Critical Maintenance/Equipment/Library			700,000	700,000	
National LambdaRail/e-Corridor		635,000	635,000	635,000	
Total		33,366,254	10,735,000	10,135,000	600,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAPB					
Projects:					
Campus Renovations & Repair	Renovation	8,000,000	8,000,000	8,000,000	
Technology Upgrades	Technology	270,000	270,000	270,000	
Student Field House/Wellness Complex	Construction	4,000,000			
Library Expansion	Construction	14,500,000	730,000	730,000	
Diversity Center	Construction	8,500,000			
Project Total		35,270,000	9,000,000	9,000,000	
Critical Maintenance/Equipment/Library			700,000	700,000	
National LambdaRail/e-Corridor		1,000,000	1,000,000	1,000,000	
Total		36,270,000	10,700,000	10,700,000	
UCA					
Projects:					
Education Building	Construction	10,862,500	10,800,000	10,800,000	
Business Building	Construction	16,333,750	12,200,000	12,200,000	
Nursing/OT Building	Construction	14,685,000			
Science Building	Construction	16,575,000			
Burdick Academic Building	Renovation	6,365,000			
Psychology Building	Construction	7,867,500			
Old Main Building	Renovation	10,098,000			
Mashburn Hall	Renovation	6,000,000			
Doyne Health Science Center	Renovation	7,200,000			
Music and Theater Building	Construction	16,290,000			
Project Total		112,276,750	23,000,000	23,000,000	
Critical Maintenance/Equipment/Library			1,000,000	1,000,000	
National LambdaRail/e-Corridor		2,895,000	2,800,000	1,800,000	1,000,000
Total		115,171,750	26,800,000	25,800,000	1,000,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
Subtotal Four Year					
Projects		\$ 926,804,094	\$ 158,600,000	\$ 158,000,000	\$ 600,000
Critical Maintenance		\$ -	\$ 8,450,000	\$ 8,450,000	\$ -
National LambdaRail/e-Corridor		\$ 13,271,100	\$ 13,145,000	\$ 10,945,000	\$ 2,200,000
Total		\$ 940,075,194	\$ 180,195,000	\$ 177,395,000	\$ 2,800,000
SAUT - Environmental Academy					
Projects:					
EA Instructional Addition	Renovation	480,000	400,000	400,000	
Project Total		480,000	400,000	400,000	
Critical Maintenance/Equipment/Library			50,000	50,000	
Total		480,000	450,000	450,000	
SAUT - Fire Academy					
Projects:					
Heat Building Renovation (Camden)	Renovation	375,000	375,000	375,000	
Exterior Fire Suppression Simulator	Construction	355,000	325,000	25,000	300,000
Interior Fire Simulators (Camden)	Renovation	780,000			
Confined Space Simulator (Camden)	Construction	100,000			
Exterior Fire Simulators (Remote Sites)	Construction	655,000			
Confined Space Simulators (Remote)	Construction	120,000			
Interior Fire Simulators (Remote Sites)	Renovation	630,000			
Project Total		3,015,000	700,000	400,000	300,000
Critical Maintenance/Equipment/Library			50,000	50,000	
Total		3,015,000	750,000	450,000	300,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UA - Div. Of Agriculture					
Projects:					
Rice Research & Extension Center	Construction	6,708,000	6,500,000	6,500,000	
CES Headquarters Facility, Phase II	Construction	7,000,000	7,000,000	1,000,000	6,000,000
Technology Upgrade	Technology	1,546,000	1,500,000	1,500,000	
Plant Growth Facility & Seed Laboratory	Construction	2,652,586			
Renovation of Old Lab Space in AFLS Bldg.	Renovation	1,211,500			
Southeast Branch Station - Rohwer	Construction	695,500			
Fruit Substation - Clarksville	Construction	690,500			
Project Total		20,504,086	15,000,000	9,000,000	6,000,000
Critical Maintenance/Equipment/Library			600,000	600,000	
Total		20,504,086	15,600,000	9,600,000	6,000,000
UA - Clinton School					
Projects:					
UA Clinton School Campus	Construction	16,800,000	6,000,000	1,000,000	5,000,000
Project Total		16,800,000	6,000,000	1,000,000	5,000,000
Critical Maintenance/Equipment/Library			25,000	25,000	
Total		16,800,000	6,025,000	1,025,000	5,000,000
UA - Criminal Justice Institute					
Projects:					
Criminal Justice Institute Building	Construction	3,963,720	3,750,000	1,000,000	2,750,000
Project Total		3,963,720	3,750,000	1,000,000	2,750,000
Critical Maintenance/Equipment/Library			200,000	200,000	
Total		3,963,720	3,950,000	1,200,000	2,750,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UA-AAS					
Projects:					
Large format scanner	Equipment	22,516	22,516	22,516	
Dual sensor gradiometer	Equipment	18,200	18,200	18,200	
Magnetometer upgrade	Equipment	12,500	12,500	12,500	
Utility scan for GPR	Equipment	3,200	3,200	3,200	
Shallow antenna for GPR	Equipment	5,200	5,200	5,200	
Resistivity Geoscan RM-15	Equipment	20,150	20,150	20,150	
4 Topcon total stations	Equipment	22,000	22,000	22,000	
Project Total		103,766	103,766	103,766	
Critical Maintenance/Equipment/Library			50,000	50,000	
Total		103,766	153,766	153,766	
UA-ASMSA					
Projects:					
Science Laboratory Upgrade	Renovation	500,000	500,000	500,000	
Instructional Technology	Technology	350,000	200,000	200,000	
Multi-Purpose Building	Construction	2,300,000			
Project Total		3,150,000	700,000	700,000	
Critical Maintenance/Equipment/Library			50,000	50,000	
Total		3,150,000	750,000	750,000	
UA-SYS					
Projects:					
Technology Upgrade	Technology	44,053	44,000	44,000	
UA System Office Expansion	Renovation	874,600	156,000	156,000	
Project Total		918,653	200,000	200,000	
Critical Maintenance/Equipment/Library			50,000	50,000	
Total		918,653	250,000	250,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAMS					
Projects:					
Education Building IV	Construction	10,000,000	10,000,000	10,000,000	
Renovation Arkansas State Hospital Space	Renovation	5,000,000	5,000,000	5,000,000	
Renovation of Educ. II Building and Library	Renovation	6,000,000	6,000,000	6,000,000	
Barton Research Building Renovation	Renovation	5,463,000	4,000,000	4,000,000	
New Building for Arkansas Cancer Research	Construction	35,000,000			
Renovation of Space for Regional Programs /	Renovation	2,100,000			
Completion of Laboratories in Biomedical	Construction	2,200,000			
Hospital Two-Pipe System	Renovation	3,009,061			
Campus Radio System	Technology	1,539,850			
Campus Wide Lockdown / Access Control	Technology	329,780			
UAMS / CARTI Building, Construction of Additional Floors	Construction	6,525,000			
Project Total		77,166,691	25,000,000	25,000,000	
Critical Maintenance/Equipment/Library			1,250,000	1,250,000	
National LambdaRail/e-corridor		3,000,000	2,800,000	1,800,000	1,000,000
Total		80,166,691	29,050,000	28,050,000	1,000,000
Subtotal Non-Formula					
Projects		\$ 126,101,916	\$ 51,853,766	\$ 37,803,766	\$ 14,050,000
Critical Maintenance/Equipment/Library		\$ -	\$ 2,325,000	\$ 2,325,000	\$ -
National LambdaRail/e-Corridor		\$ 3,000,000	\$ 2,800,000	\$ 1,800,000	\$ 1,000,000
Total		\$ 129,101,916	\$ 56,978,766	\$ 41,928,766	\$ 15,050,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ANC					
Projects:					
Campus Technology Upgrade	Technology	2,000,000	2,000,000	2,000,000	
Nursing Facility: Burdette Center	Renovation	500,000	500,000	500,000	
"B" and "C" Buildings	Renovation	2,000,000			
General Classroom Building	Construction	3,500,000			
Workforce Training Building	Construction	6,000,000			
Center for Science and Technology	Construction	11,000,000			
Project Total		25,000,000	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		25,000,000	2,750,000	2,750,000	
ASUB					
Projects:					
ASU-Searcy Phase II Masterplan Dev.	Constr./Renov.	5,608,500	3,800,000	3,800,000	
Math & Science Building Renovation	Renovation	1,509,400	1,500,000	1,500,000	
ASU-Searcy Phase III Masterplan Dev.	Construction	4,526,000			
Math & Science Annex Renovation	Renovation	220,500	220,000	220,000	
Performing Arts Building	Construction	10,415,000			
Project Total		22,279,400	5,520,000	5,520,000	
Critical Maintenance/Equipment/Library			350,000	350,000	
Total		22,279,400	5,870,000	5,870,000	
ASUMH					
Projects:					
Community Development Center	Construction	10,127,140	2,500,000	2,500,000	
Project Total		10,127,140	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		10,127,140	2,750,000	2,750,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASUN					
Projects:					
Transportation Technology Center	Construction	4,000,000	2,500,000	2,500,000	
Physical Plant Building	Construction	1,000,000			
Technology Center Renovation	Renovation	1,000,000			
Classroom Building	Construction	3,000,000			
Project Total		9,000,000	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		9,000,000	2,750,000	2,750,000	
BRTC					
Projects:					
Auto Body Classroom & Shop	Construction	500,000	500,000	500,000	
Renovation old Auto Body Facility	Renovation	250,000	250,000	250,000	
Renovation Auto Technology Bldg.	Renovation	250,000	250,000	250,000	
Academic Complex - Paragould Site	Construction	2,000,000	2,000,000	2,000,000	
Project Total		3,000,000	3,000,000	3,000,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		3,000,000	3,250,000	3,250,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
CCCUA					
Projects:					
Technology Infrastructure Upgrade	Technology	181,800	181,000	181,000	
Technology Equipment	Technology	225,600	225,000	225,000	
Exterior Renovations - Ashdown	Renovation	503,025	500,000	500,000	
Roof Replacement - Building "C"	Renovation	585,800	585,000	585,000	
Roof Replacement - Fra/Pul Bldg	Renovation	312,000	310,000	310,000	
Interior Renovation - Building "C"	Renovation	133,300	133,000	133,000	
Roof Replacement - Building "D"	Renovation	39,400	39,000	39,000	
Health Careers Center	Construction	6,538,500			
Wet Lab/Classrooms - Fire Training	Construction	490,000	490,000	490,000	
Smoke Maze - Fire Training	Construction	70,000	70,000	70,000	
Project Total		9,079,425	2,533,000	2,533,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		9,079,425	2,783,000	2,783,000	
EACC					
Projects:					
Fine Arts Center	Construction	5,249,582	3,000,000	2,500,000	500,000
Vocational/Technology Building	Construction	1,239,500			
Student Activities Center	Construction	1,510,331			
Classroom Building 2	Renovation	478,710			
Maintenance/Storage Building	Renovation	138,930			
Project Total		8,617,053	3,000,000	2,500,000	500,000
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		8,617,053	3,250,000	2,750,000	500,000
MSCC					
University Center	Construction	6,600,000	2,500,000	2,500,000	
Project Total		6,600,000	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		6,600,000	2,750,000	2,750,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
NAC					
Projects:					
Allied Health Center	Construction	8,675,000	3,700,000	3,700,000	
Classroom Renovation	Renovation	555,000			
Security & Communication	Technology	300,000			
Campus Center	Construction	8,330,000			
Project Total		17,860,000	3,700,000	3,700,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		17,860,000	3,950,000	3,950,000	
NPCC					
Projects:					
Infrastructure Improvements	Technology	629,000	629,000	629,000	
Classroom Technology	Technology	621,500	621,500	621,500	
Renovation of Health Science/Nursing Bldg.	Renovation	736,926	736,500	736,500	
Project Total		1,987,426	1,987,000	1,987,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		1,987,426	2,237,000	2,237,000	
NWACC					
Projects:					
Technology Infrastructure Improvements	Technology	1,220,000	1,220,000	1,220,000	
Renovation of Burns Hall	Renovation	6,044,073	6,040,000	5,780,000	260,000
Addition to Workforce Technology Center	Construction	5,362,850			
General Classroom Building	Construction	13,782,954			
Project Total		26,409,877	7,260,000	7,000,000	260,000
Critical Maintenance/Equipment/Library			400,000	400,000	
Total		26,409,877	7,660,000	7,400,000	260,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
OTC					
Projects:					
Instructional Technology Center	Construction	2,411,489	2,330,000	2,330,000	
Smart Classroom/Laboratories	Technology	400,000	100,000	100,000	
Applied Science Renovation	Renovation	71,437	70,000	70,000	
Project Total		2,882,926	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		2,882,926	2,750,000	2,750,000	
OZC					
Projects:					
Campus Unification/Grounds Beautification	Construction	125,000	125,000	125,000	
Technology Center	Construction	1,706,000	1,700,000	1,700,000	
Administration Building Renovation	Renovation	322,500	290,000	290,000	
Miller Complex Renovation	Renovation	250,000	250,000	250,000	
Project Total		2,403,500	2,365,000	2,365,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		2,403,500	2,615,000	2,615,000	
PCCUA					
Projects:					
Compress Video Classrooms (7)	Technology	400,000	400,000	400,000	
Technology Infrastructure Improvements	Technology	190,000	190,000	190,000	
New roofs for Five (5) Buildings	Renovation	475,000	475,000	475,000	
Pillow-Thompson House Renovations	Renovation	150,000	150,000	150,000	
Ohio Street Properties	Renovation	500,000			
Addition to Motor Pool Building	Renovation	30,000			
Elevators for Classroom & Library	Renovation	150,000	150,000	150,000	
Classroom and Auditorium-Stuttgart	Construction	4,400,000	1,500,000	1,135,000	365,000
Project Total		6,295,000	2,865,000	2,500,000	365,000
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		6,295,000	3,115,000	2,750,000	365,000

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
PTC					
Projects:					
Aviation Maintenance Technology Hangar	Construction	2,752,875	2,750,000	2,750,000	
Renovation of Science Building for Labs	Renovation	1,430,000	1,430,000	1,430,000	
Renovation of Classroom Bldg & Offices	Renovation	6,006,050	5,820,000	5,820,000	
Fine Arts Building	Construction	10,427,750			
Classroom & Laboratory Building SCCC	Construction	7,449,000			
Physical Education Building	Construction	5,653,900			
Infrastructure Upgrade	Infrastructure	220,834			
Project Total		33,940,409	10,000,000	10,000,000	
Critical Maintenance/Equipment/Library			700,000	700,000	
Total		33,940,409	10,700,000	10,700,000	
RMCC					
Projects:					
Developmental Instructional Facility & Library	Const./Renov.	8,894,000	2,500,000	2,500,000	
Renovate Science Labs & Greenhouse	Renovation	562,000			
Renovate Nursing Facilities	Renovation	260,000			
Campus Signage	Construction	114,000			
Instructional Classroom Facility	Construction	4,117,250			
Project Total		13,947,250	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		13,947,250	2,750,000	2,750,000	
SACC					
Projects:					
Health Sciences Building	Construction	11,790,000	2,500,000	2,500,000	
Project Total		11,790,000	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		11,790,000	2,750,000	2,750,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
SAUT					
Projects:					
Technology/Multi Purpose Building	Construction	9,552,500	2,500,000	2,500,000	
Project Total		9,552,500	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		9,552,500	2,750,000	2,750,000	
SEAC					
Projects:					
Renovations TCN & TCS	Renovation	350,000	350,000	350,000	
Library/Classroom Building	Construction	4,000,000	3,000,000	3,000,000	
Founders Hall Renovation	Renovation	150,000	150,000	150,000	
Child Development & Daycare Center	Renovation	250,000	200,000	200,000	
Community Center	Construction	11,500,000			
Project Total		16,250,000	3,700,000	3,700,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		16,250,000	3,950,000	3,950,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UACCB					
Projects:					
Nursing and Allied Health Facility	Construction	3,996,000	3,000,000	2,500,000	500,000
Old Nursing Building	Renovation	1,679,100			
Underground Utility Renovation	Renovation	75,000	75,000	75,000	
Main Classroom/Administration Building	Renovation	756,250			
Business and Industry Building	Construction	2,195,100			
General Instruction Classroom Building	Construction	2,513,700			
Workforce and Community Education Center	Construction	1,498,000			
Land Acquisition	Other	300,500			
Project Total		13,013,650	3,075,000	2,575,000	500,000
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		13,013,650	3,325,000	2,825,000	500,000
UACCH					
Projects:					
Science Technology Center	Construction	3,512,937	2,500,000	2,500,000	
Technology Infrastructure	Technology	1,240,000			
Administration Building	Construction	3,994,000			
Project Total		8,746,937	2,500,000	2,500,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		8,746,937	2,750,000	2,750,000	
UACCM					
Projects:					
Library	Construction	3,210,500	3,200,000	3,200,000	
Health, Physical Educ & Recreation Building	Construction	3,481,795			
Professional Studies Complex	Construction	4,013,300			
Project Total		10,705,595	3,200,000	3,200,000	
Critical Maintenance/Equipment/Library			250,000	250,000	
Total		10,705,595	3,450,000	3,450,000	

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Table 3: Capital Requests / Recommendations for the 2007-2009 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM. Priority		
			A	B	
Subtotal Two-Year					
Projects		\$ 269,488,088	\$ 74,705,000	\$ 73,080,000	\$ 1,625,000
Critical Maintenance/Equipment/Library		\$ -	\$ 6,200,000	\$ 6,200,000	\$ -
Total		\$ 269,488,088	\$ 80,905,000	\$ 79,280,000	\$ 1,625,000
Grand Total					
Projects		\$ 1,322,394,098	\$ 285,158,766	\$ 268,883,766	\$ 16,275,000
Critical Maintenance/Equipment/Library		\$ -	\$ 16,975,000	\$ 16,975,000	\$ -
National LambdaRail/e-corridor		\$ 16,271,100	\$ 15,945,000	\$ 12,745,000	\$ 3,200,000
Total		\$ 1,338,665,198	\$ 318,078,766	\$ 298,603,766	\$ 19,475,000

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**Table 4.
Narrative Descriptions of
Capital Projects for Renovation and New Construction for the 2007-09 Biennium***

Arkansas State University

Wilson Hall Replacement. Arkansas State University requests \$23,564,659 for the construction of a 120,000 square foot facility consisting of high technology classrooms, teaching laboratories and instructional spaces. This facility will house the departments of English and Philosophy, History, Political Science, Languages, Criminology, Sociology, Social Work and Geography. Each of these spaces will utilize “state of the art” equipment and furnishings in optimal room sizes and configurations to enhance the methodology faculty and students use to communicate information, solve problems, and interact in time and space. This project has been requested since 1987-89 biennium, originally as a renovation of Wilson Hall and recently as a replacement building (since seismic statutes make renovation of Wilson Hall unfeasible). In 1999-01 the renovation was recommended for \$12,356,372 by ADHE. Money was appropriated but none was allocated to the project. In 2001-03, the Learning Technology Center was recommended for \$10,560,000 in Category “A” and \$4,560,000 in Category B and it actually received \$2,745,835 in General Improvement Fund funding as the Liberal Arts Teaching Building. In 2003-05 the project was recommended for \$4,000,000 in Category A and \$7,800,000 in Category B but it received no funding. In 2005-07 the project was recommended for \$6,000,000 in Category A and \$9,795,000 in Category B but funding was not allocated.

Recommendation: \$20,000,000 (Category A)

National LambdaRail. ADHE staff recommends \$540,000 for expenses related to the connection of Arkansas State University to the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$540,000 (Category A)

Arkansas Tech University

Academic Classroom Facility. Arkansas Tech University requests \$17,608,050 for the construction of a 99,000 square foot Academic Classroom Facility. The building will be used for lecture classes and will be equipped with state-of-the-art technology and instructional communications systems. State funds for this project were previously requested in 1993-95, 1995-97, 1997-99, 1999-01, 2001-03, and 2003-05. ADHE recommended \$5,397,500 in 1999-01 for this project, and \$700,000 was funded through the General Improvement Fund. In 2001-03 the project was recommended for \$6,000,000 in Category A and \$3,000,000 in Category B, but funds were not appropriated. In 2003-05 the project was recommended for \$8,000,000 in Category A and \$2,800,000 in Category B but funds were not appropriated for this project. In 2005-07 the project was recommended for \$10,000,000 in Category A and \$2,870,000 in Category B.

Recommendation: \$15,000,000 (Category A)

Phase II McEver. Arkansas Tech University also requests \$7,312,700 for the construction of a 20,000 square foot addition to McEver Hall, which houses the departments for Physical and Life Science. The Phase II addition will consist of three classrooms, three laboratories and six faculty offices. State funds were previously requested for this project in 1991-93, 1993-95, 1995-97, 2003-05, and 2005-07, but it did not receive a recommendation during those periods. The project was not requested in 1997-99, 1999-01, and 2001-03.

Recommendation: \$1,000,000 (Category A)

National LambdaRail. ADHE staff recommends \$800,000 for expenses related to the connection of Arkansas Tech University to the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$800,000 (Category A)

Henderson State University

School of Business Building / Mooney Hall Renovation. Henderson State University requests \$11,002,400 to construct a new 31,350 square foot School of Business and to renovate Mooney Hall, the current location for the School of Business. The proposed new building will also house the Small Business Development Center. The second and third floors of Mooney currently house the School of Business and will be renovated for use by various Student Affairs offices. In 2001-03, \$5,500,000 was requested in state funds for this project. ADHE recommended \$2,156,000 in Category A and \$2,156,000 in

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Category B. The project was appropriated \$5,500,000 and it received \$683,012 from the General Improvement Fund. Because funding was insufficient for construction, the available money was used for renovation of other University facilities. In 2003-05 the project was recommended for \$5,200,000 in Category B, but no money was appropriated. In 2005-07 the project was recommended for \$4,000,000 in Category A and \$2,000,000 in Category B.

Recommendation: \$7,000,000 (Category A)

Technology Infrastructure Upgrade. Henderson State University also requests \$5,087,890 for upgrades to the technological infrastructure in multiple areas on campus as well as construction of an 18,000 square foot Campus Technology Center. This project will bring together the current patchwork of technological services on the campus. This is the first request for this project.

Recommendation: \$2,700,000 (Category A)

National LambdaRail. ADHE staff recommends \$875,000 for expenses related to the connection of Henderson State University to the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$875,000 (Category A)

Southern Arkansas University-Magnolia

University Science Center. Southern Arkansas University-Magnolia requests \$10,884,568 to construct a 60,000 square foot University Science Center. The building will house all of the University's science programs and laboratories. Currently, science classes are held in three different buildings in order to accommodate the growth of the programs, with the main laboratories being in the Administration Building. Space now used for science classrooms will be converted to larger classrooms. In 1999-01, ADHE recommended \$4,423,847 to renovate four buildings, some of which contained science laboratories. The Legislature appropriated \$4,820,478, and \$1,200,000 was funded through the General Improvement Fund. In 2001-03, ADHE recommended \$3,680,000 in Category A and \$1,840,000 in Category B for the Center, but the project received no appropriation and was not funded. In 2003-05, ADHE recommended \$5,500,000 in Category A and \$2,700,000 in Category B for the University Science Center. The project had \$230,000 in General Improvement Fund allocated for 2003-05, and it received \$118,331 from that allocation. In 2005-07, ADHE recommended \$5,000,000 in Category A and \$3,970,000 in Category B of which General Improvement Funds of \$493,701 were distributed.

Recommendation: \$9,500,000 (Category A)

National LambdaRail. ADHE staff recommends \$875,000 for expenses related to the connection of Southern Arkansas University-Magnolia to the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$875,000 (Category A)

University of Arkansas-Fayetteville

Nanotechnology Research Center. The University of Arkansas-Fayetteville requests \$61,264,250 to construct a 125,000 square foot research facility. The Nanotechnology Research Center will include clean and non-clean laboratories, offices, and support functions for the College of Engineering, Arts and Sciences, and Agriculture. The building will house a state of the art imaging facility for high-resolution electron microscopy, manipulation, and fabrication of materials at the atomic level. This is the first request for this project.

Recommendation: \$32,000,000 (Category A)

National LambdaRail. ADHE staff recommends \$1,900,000 for expenses related to the connection of University of Arkansas-Fayetteville to the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$1,900,000 (Category A)

University of Arkansas at Fort Smith

Business Building. The University of Arkansas-Fort Smith requests \$8,807,300 to construct a 59,000 square foot College of Business building. This project will house instructional classrooms as well as faculty offices. This project was requested in 2005-07, but it did not receive a recommendation.

Recommendation: \$8,000,000 (Category A)

Modern Language & Fine Arts Building. The University of Arkansas-Fort Smith also requests \$7,998,800 to construct a 54,000 square foot facility to house the departments of English, Humanities through the Arts, Journalism, Honors, and an Institute for Foreign Language. This project was requested in 2005-07, but it did not receive a recommendation.

Recommendation: \$1,000,000 (Category A)

National LambdaRail. ADHE staff recommends \$720,000 for expenses related to the connection of University of Arkansas-Fort Smith to the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$720,000 (Category A)

University of Arkansas at Little Rock

Cyber College. The University of Arkansas at Little Rock requests \$22,000,000 to construct the 111,000 square foot Donaghey College of Information Science and Systems Engineering (Cyber College) building. The facility will house the College of Information Science and System Engineering. The facility will include up to eight computer training lab modules, research labs, and a Virtual Reality Center for three-dimensional computer data modeling and cutting-edge research. The building will also feature a “Cybertorium” multi-media auditorium facility with an entry lobby/museum component. The 82nd General Assembly designated \$5,000,000 for this project. A request for \$5,000,000 was submitted and recommended in Category A by ADHE in 2001-03. During that biennium, the project received \$1,437,920 from the General Improvement Fund. In the 2003-05 biennium, ADHE recommended \$5,000,000 in Category A; the legislature allocated \$300,000 of which \$154,345 of that was received. In 2005-07, ADHE recommended \$7,000,000 in Category A and \$1,000,000 in Category B, but no funding was allocated.

Recommendation: \$21,000,000 (Category A)

National LambdaRail. The University of Arkansas at Little Rock also requests \$3,026,100 for expenses related to the connection of the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$1,800,000 (Category A); \$1,200,000 (Category B)

University of Arkansas at Monticello

Technology Upgrade. The University of Arkansas at Monticello has requested \$4,231,108 for various technology upgrades. This request includes upgrading the campus computer network and installing a wide area network to help establish secure voice and data links with its Crossett and McGehee campuses. In addition, they need to replace the administrative software, equip classrooms with multimedia equipment and network connections, and purchase distance education software. The network upgrade has been requested since the 2001-03 biennium but has neither been recommended nor funded. This is the second time the wide area network has been requested and \$90,000 was allocated from the General Improvement Fund for merger costs (\$46,303 of which was received in FY2003-04). This is the second request to replace the administrative software. The first request for funding to equip classrooms with multimedia equipment and network connections was in 2003-05, but it was not recommended or funded. The initial request for distance education improvements was in 1999-01 and again in 2001-03 and 2003-05. It received an allocation of \$25,000 from the 2003-05 General Improvement Fund of which \$12,862 was distributed.

Staff combined all six projects into one recommendation to allow for maximum flexibility.

Recommendation: \$4,200,000 (Category A)

Forest Resources Complex. The University of Arkansas at Monticello has requested \$6,000,000 to construct a 30,000 square foot annex to the Forest Resource Complex. This annex will include state-of-the-art classrooms, research laboratories, and meeting facilities. The new addition will provide the capacity and infrastructure needed for undergraduate, graduate, and continuing education programs. This project was first requested in 2003-05, but it did not receive a recommendation for funding. It was requested again in 2005-07 and \$60,000 was allocated for planning.

Recommendation: \$4,600,000 (Category A); \$600,000 (Category B)

National LambdaRail. The University of Arkansas at Monticello also requests \$635,000 for expenses related to the connection of the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$635,000 (Category A)

University of Arkansas at Pine Bluff

Campus Renovations and Repair. The University of Arkansas at Pine Bluff has requested campus wide renovations and repairs including ADA compliance, repair and replacement of roofing systems, environmental control systems, and subterranean drainage and foundation repairs. These are major critical maintenance issues that threaten to shutdown the campus if not addressed. ADA compliance was first requested in the 2003-05 biennium and was recommended for \$8,700,000 in Category B by ADHE, but funding was not allocated. In 2005-07, ADHE recommended \$5,000,000 in Category A and \$2,000,000 in Category B of which General Improvement Funds of \$150,000 were distributed.

Recommendation: \$8,000,000 (Category A)

Technology Upgrades. The University of Arkansas at Pine Bluff also requests \$270,000 for technology upgrades. This includes acquisition of hardware and software that will facilitate the implementation of a single sign-on system. This project will enhance the current network infrastructure to increase integrity, stability and performance of the campus network. A Blackboard Building Access system will provide building security for students as well as for areas such as communication rooms and data centers. This is the first request for this project.

Recommendation: \$270,000 (Category A)

Library Expansion. The University of Arkansas at Pine Bluff also requests \$14,500,000 to construct a 40,000 square foot expansion to the library. This will allow for improvements in library services, collections and learning resources, and to meet accreditation standards. This is the third request for this project.

Recommendation: \$730,000 (Category A)

National LambdaRail. The University of Arkansas at Pine Bluff also requests \$1,000,000 for expenses related to the connection of the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$1,000,000 (Category A)

University of Central Arkansas

Education Building. The University of Central Arkansas requests \$10,862,500 for the construction of a 50,000 square foot facility consisting of the Departments of Early Childhood and Special Education, Middle/Secondary Education and Instructional Technologies, Candidate Services and Field Experience, Technology Learning Center, Child Study Center, and Professional Development Center. This project has been requested several times but has never been funded.

Recommendation: 10,800,000 (Category A)

Business Building. The University of Central Arkansas also requests \$16,333,750 to construct a 75,000 square foot facility to house the College of Business Administration. The building will consist of classroom and office space for the current enrollment needs and will provide one large lecture hall for invited speakers, videoconferencing capabilities similar to the type found in businesses, an advising center, and study areas for students. This project was requested in 2005-07, but it did not receive a recommendation for funding.

Recommendation: \$12,200,000 (Category A)

National LambdaRail. ADHE staff recommends \$2,800,000 for expenses related to the connection of University of Central Arkansas to the National LambdaRail. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications.

Recommendation: \$1,800,000 (Category A); \$1,000,000 (Category B)

Southern Arkansas University Tech – Environmental Academy

Environmental Academy Instructional Addition. The Environmental Academy requests \$480,000 for an addition to the existing classroom facility. This addition will allow the Academy's Backflow, Electrical Troubleshooting, Pump Maintenance, and Wet Chemistry Labs and classrooms, which are currently located in the Manufacturing Building to be housed on-site at the Academy. This is the first request for this project.

Recommendation: \$400,000 (Category A)

Southern Arkansas University Tech – Fire Academy

Heating Building Renovation (Camden). The Fire Academy requests \$375,000 to replace the exterior and interior block of the Burn Building. The windows and doors will also be replaced to keep the structure safe while training firefighters. This project was requested in 2005-07, but it did not receive a recommendation.

Recommendation: \$375,000 (Category A)

Exterior Fire Suppression Simulator. The Fire Academy also requests \$355,000 to construct a 4,000 square foot modernized hot drill area so that firefighters in Arkansas will have more access to live-fire training involving the extinguishment of exterior fires. The hot drill area will include a simulated automobile fire, an industrial pipe fire, a split flange fire, a propane tank fire, a running fuel fire, and a railroad tank fire simulator, all housed on two concrete pads. This is the third request for this project.

Recommendation: \$25,000 (Category A); \$300,000 (Category B)

University of Arkansas – Division Of Agriculture

Rice Research and Extension Center. The Division of Agriculture requests \$6,708,000 to construct a 41,480 square foot research complex. The new Center will provide state-of-the-art research and greenhouse space sophisticated enough to handle the new frontiers of research and large enough to bring the disparate research programs under one roof, including adequate facilities to enhance the critical Extension component. This project will also include renovation of the current structure to provide necessary administrative and office space. This project was requested in 2005-07, but it did not receive a recommendation. **Recommendation: \$6,500,000 (Category A)**

Cooperative Extension Service Headquarters Facility – Phase II. The Cooperative Extension Service (CES) requests \$7,000,000 to construct a 32,610 square foot Phase Two of the CES headquarters facility. The present CES facility has exceeded capacity and no space is available for renovation. The proposed site is part of the UA property already assigned to CES. The proposed building will be a stand-alone structure that connects to the educational wing of CES headquarters. The facility will house three distance education classrooms, three conference rooms, graphic design and web support services, offices, meeting rooms and work space for the Program Development and Evaluation Unit; and offices, training rooms, and support facilities for the Computer Department. It was requested for funding in both the 2001-03 and 2003-05 biennia. In

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2003-05, ADHE recommended it for \$4,700,000 in Category B, but it was not funded. In 2005-07, ADHE recommended it for \$700,000 in Category A and \$4,000,000 in Category B, but it was not funded

Recommendation: \$1,000,000 (Category A) and \$6,000,000 (Category B)

Technology Upgrade. The CES is also requesting \$1,546,000 in technology upgrades. CES has a bandwidth shortage that needs to be addressed. It also needs to implement managed document imaging, replace some of the 405 desktops and laptops that are at least four years old, upgrade the telephone switch, connect to Internet 2, implement a content-management system, upgrade the distance learning infrastructure, and establish a mobile computer lab to allow computers to go to where they are needed. This project was first requested in 2005-07 and was given a recommendation of \$1,000,000 in Category A but it was not funded.

Recommendation: \$1,500,000 (Category A)

University of Arkansas - Clinton School of Public Service

Clinton School Campus. The Clinton School requests \$16,800,000 to purchase land and construct a 106,040 square foot all-inclusive building that will house administration offices, classrooms, faculty offices, auditorium, library, lounges, and a reception hall. It will also include housing for visiting faculty. This is the first request for this project.

Recommendation: \$1,000,000 (Category A); \$5,000,000 (Category B)

University of Arkansas - Criminal Justice Institute

Criminal Justice Institute Building. The Criminal Justice Institute requests \$3,963,720 to construct a 30,000 square foot Criminal Justice Institute Building, including classrooms, office space, conference rooms, computer server room, computer laboratory, fingerprint recovery area, mock crime scene area, and a 10,000 square foot forensic education and training laboratory. In 1999-01, ADHE recommended \$4,556,250, but the project was not funded. In 2001-03, ADHE recommended \$750,000 for planning in Category A; \$520,000 for architectural fees in Category B; and \$3,530,000 for Phase I construction in Category C. The project received no appropriation in 2001-03. In 2003-05 and 2005-07, ADHE recommended \$4,800,000 in Category B, but the project received no funding.

Recommendation: \$1,000,000 (Category A); \$2,750,000 (Category B)

University of Arkansas - Archeological Survey

Equipment Upgrade. The Arkansas Archeological Survey requests \$103,766 to purchase various types of research equipment such as a color copier scanner, gradiometer, magnetometer, utility scan, ground penetrating radar antenna, GeoScan, and TopCon Total Station. In 2005-07, \$17,195 was requested for the magnetometer and color copier scanner. ADHE recommended \$11,500 in Category B for the purchase of the magnetometer, but no funding was allocated. This is the first request for the ground penetrating radar antenna, GeoScan, utility scan, gradiometer and the Total Station. Staff combined these seven requests into one narrative for simplicity.

Recommendation: \$103,766 (Category A)

University of Arkansas - Arkansas School for Math, Science and Art

Science Laboratory Upgrade. The Arkansas School of Math, Science and Art requests \$500,000 to renovate 1,600 square feet of the chemistry laboratories. The current condition of the space presents liability for the State of Arkansas as mandated safety features are lacking, and wiring, plumbing, and gas connections are substandard. In order for the students to conduct research and experiments safely, the labs should be remodeled including installation of safety showers, eyewash stations, fume hoods, conforming sinks/faucets/valves, etc. This is the first request for this project.

Recommendation: \$500,000 (Category A)

Instructional Technology. The Arkansas School for Mathematics, Sciences, and the Arts requests \$350,000 for upgrades to instructional technology. This includes installing five (5) SMART Boards for classrooms, upgrading computers including memory/monitors/hard drives, migrating to wireless network, and increasing security of data. In 2005-07, ADHE recommended \$250,000 in Category A and \$211,000 was allocated for this project.

Recommendation: \$200,000 (Category A)

University of Arkansas – System Office

Technology Upgrade. The University of Arkansas System Office requests \$44,053 to purchase an additional server and to enhance security that is needed to adapt to the financial and benefit industry's transmission of data in secured formats. Also, software and cabling for the campus security system must be replaced. This is the first request for this project.

Recommendation: \$44,000 (Category A)

University of Arkansas System Office Expansion. The University of Arkansas System Office also requests \$874,600 for an expansion/renovation project to provide additional office spaces, conference room, and to expand the server room and IT facilities for a total square footage of 3,000. This is the first request for this project.

Recommendation: \$156,000 (Category A)

University of Arkansas for Medical Sciences

Education Building IV. The University of Arkansas for Medical Sciences requests \$10,000,000 to construct an additional 40,000 square foot education building to provide classroom space for the Colleges of Medicine, Pharmacy and Nursing. The new educational building will include two 200-seat auditoria, one 90-seat auditorium, two 55-seat classrooms and ten 20-seat conference rooms. The building will also contain a simulation laboratory needed to meet accreditation standards. The proposed site for the new facility will be across from the Fay W. Boozeman College of Public Health and will be connected via a bridge walkway. This is the first request for this project.

Recommendation: \$10,000,000 (Category A)

Renovation Arkansas State Hospital Space. The University of Arkansas for Medical Sciences also requests \$5,000,000 for renovation to the recently acquired State Hospital. This renovation will include the removal of asbestos and security fixtures; redevelopment of the clinical space into classrooms, teaching laboratories, conference rooms, and offices; and replacement of furnishings and mechanical systems necessary to meet current code standards. By allowing most of the programs in the College of Health Related Professions to be consolidated in one location, this space will provide the college with a centralized home and its students with a more cohesive learning experience. This is the first request for this project.

Recommendation: \$5,000,000 (Category A)

Renovation of Education II Building and Library. The University of Arkansas for Medical Science also requests \$6,000,000 for renovation and expansion of the Education II Building and Library. This project entails upgrading the HVAC and elevator infrastructure, expanding study space in the Library and other areas to provide for more students and to accommodate the ways in which students, faculty, staff, and Library patrons have come to use computers in their work, study, and research. This project also includes replacement of aging computer and laboratory equipment for teaching. This project is part of a larger request that was submitted for the 2005-07 biennium.

Recommendation: \$6,000,000 (Category A)

Barton Research Building Renovation. The University of Arkansas for Medical Science also requests \$5,463,000 to renovate the Barton Research Building. This renovation will provide new laboratories, which are necessary to attract funded research scientists to UAMS. This project consists of clearing floors 1-3 and reconfiguring them for modern laboratory research. This is the first request for this project.

Recommendation: \$4,000,000 (Category A)

National LambdaRail. The University of Arkansas for Medical Science also requests \$3,000,000 to upgrade the network to provide the bandwidth to support National Lambda Rail connectivity, video conferencing, and future clinical and research projects. The National LambdaRail is a major initiative of U.S. research universities and private sector technology companies to provide a national scale infrastructure for research and experimentation in networking technologies and applications. This is the first request for this project.

Recommendation: \$1,800,000 (Category A); \$1,000,000 (Category B)

Arkansas Northeastern College

Campus Technology Upgrade. Arkansas Northeastern College requests \$2,000,000 to upgrade technology across the campus. This request includes new wiring (fiber optic and copper), new hardware, new integrated software, solutions for classroom and on-line instruction, and both compressed video and Internet based platforms for all locations of ANC. This is the first request for this project.

Recommendation: \$2,000,000 (Category A)

Nursing Facility: Burdette Center. Arkansas Northeastern College has requested \$500,000 to renovate the Nursing Facility in Burdette. This will allow for the anticipated growth in both students and faculty. A nursing facility expansion was a part of the projects recommended in both 2001-03 and 2003-05. The merger of Mississippi County Community College and Cotton Boll Technical Institute created the opportunity for the expansion to be made more efficiently on the Burdette campus. This project was requested again in 2005-07. ADHE recommended \$250,000 in Category A and \$250,000 in Category B.

Recommendation: \$500,000 (Category A)

Arkansas State University – Beebe

ASU-Searcy Phase II Masterplan Development. Arkansas State University-Beebe requests \$5,608,500 to renovate and construct new space for the Occupational Programs such as Diesel, Auto Body, Electronics, and Machining. Classrooms will be shared by the programs and the labs and shops would be dedicated to specific programs. The new space will allow the campus to free up classrooms in other buildings for credit programs, where labs or shops are not needed, and non-credit workforce training. This is the first request for this project.

Recommendation: \$3,800,000 (Category A)

Math and Science Building Renovation. Arkansas State University-Beebe also requests \$1,509,400 to renovate the Math and Science Building. This project entails removing the old science labs and renovating the space for new academic use. The rest of the building needs new floor covering, ceiling tile and grids, painting, remodeling of the restrooms, and a general dress up and remodeling of faculty offices and non-assignable footage. This is the first request for this project.

Recommendations: \$1,500,000 (Category A)

Math and Science Annex Renovation. Arkansas State University-Beebe also requests \$220,500 to renovate the Math and Science Annex. This project entails replacing the entire interior of the building as well as a complete overhaul of the electrical and mechanical systems. This is the first request for this project.

Recommendations: \$220,000 (Category A)

Arkansas State University – Mountain Home

Community Development Center. Arkansas State University-Mountain Home requests \$10,127,140 to construct a 65,871 square foot Community Development Center. The project will house the following areas: Business/Industry and Workforce/Continuing Education; Arts and Performing Arts facilities; an outdoor amphitheater; and a center for general community education. This project was first requested for the 2003-05 biennium when ADHE recommended it for \$2,000,000 in Category B. It received a legislative allocation of \$53,652 of which it received \$27,603 in 2003-04. In 2005-07, ADHE recommended \$250,000 in Category A and \$4,750,000 in Category B, but no funding was allocated for this project.

Recommendation: \$2,500,000 (Category A)

Arkansas State University – Newport

Transportation Technology Center. Arkansas State University-Newport requests \$4,000,000 to construct a 20,000 square foot Transportation Technology Center. This Center will include classrooms, faculty offices, and a drive-through laboratory, which will exceed the capabilities of existing facilities. Students will receive a more thorough hands-on orientation to the equipment in an environment more conducive to the educational process without being hampered by poor weather conditions. This project was requested in 2005-07 but did not receive a recommendation from ADHE.

Recommendation: \$2,500,000 (Category A)

Black River Technical College

Auto Body Classroom and Shop. Black River Technical College requests \$500,000 for the construction of a 6,000 square foot facility to house the Auto Body Program. This project will allow the Auto Body Program to be located in one building. Moving the classroom and lab will allow the students to no longer cross a high traffic area and will provide better access to tools and machines used in demonstrating and performing vehicle repair. This project has previously been requested.

Recommendation: \$500,000 (Category A)

Old Auto Body Facility Renovation. Black River Technical College also requests \$250,000 for renovation of the 3,387 square foot Auto Body facility. This renovation will provide classrooms that will be used for math, english, history and fine arts. This is the first request for this project.

Recommendation: \$250,000 (Category A)

Technology Center Renovation. Black River Technical College also requests \$250,000 for renovation of the Auto Technology Building. This project entails repainting the shop walls, replacing ceiling insulation, and repainting the floor with lines marking lanes and work areas. Overhead doors and other exterior doors will be replaced as well as renovating and adding restroom facilities that meet ADA code. This is the first request for this project.

Recommendation: \$250,000 (Category A)

Academic Complex-Paragould Site. Black River Technical College also requests \$2,000,000 to construct a 15,500 square foot facility to house three classrooms, three computer labs, one distance education classroom, two science labs, one multi-

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purpose room, five faculty offices, and one student service area. The college currently has only one permanent structure on the Paragould site, which is used for business and industry training and one portable building used for education classes. This is the first request for this project.

Recommendation: \$2,000,000 (Category A)

Cossatot Community College-University of Arkansas

Technology Infrastructure Upgrade. Cossatot Community College-University of Arkansas requests \$181,800 to upgrade the technology infrastructure. This entails increasing bandwidth and implementing wireless access points throughout the campus. This will allow any classroom to be used as a technology lab and will allow students anywhere/ anytime access to the Internet for online learning solutions and distance education courses. This is the second request for this project.

Recommendation: \$181,000 (Category A)

Technology Equipment. Cossatot Community College-University of Arkansas also requests \$225,600 to replace computers in seven computer labs and provide five computers for instructor's use in the classroom. Projectors, mounting racks, and viewing screens will also be installed in academic classrooms. This is the second request for this project.

Recommendation: \$225,000 (Category A)

Exterior Renovations-Ashdown. Cossatot Community College-University of Arkansas also requests \$503,025 to renovate 53,600 square feet of space, which will include adding a technology lab and meeting room, painting the exterior, and replacing the exterior façade. This is the second request for this project.

Recommendation: \$500,000 (Category A)

Roof Replacement. Cossatot Community College-University of Arkansas requests \$937,200 to replace the roofs on Building C, D, and Frachiseur-Pulliam. All buildings will be replaced with a pitched, standing seam metal roof. This is the second request to replace the roofs on Building C and Frachiseur-Pulliam and the first request for Building D. Staff combined all three projects into one narrative for simplicity.

Recommendation: \$934,000 (Category A)

Interior Renovation-Building C. Cossatot Community College-University of Arkansas requests \$133,300 to conduct interior renovations to Building C. This project entails replacing the hallway lighting, ceiling grid and ceiling tile, and recovering the

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walls while incorporating into the changes a display area for student artwork. The floor covering will also be replaced and new exterior doors will be added for increased energy efficiency and updated appearance. This is the second request for this project.

Recommendation: \$133,000 (Category A)

Wet Lab/Classrooms – Fire Training. Cossatot Community College-University of Arkansas requests \$490,000 to construct a 2,500 square foot classroom and “wet” laboratory for the training of area volunteer firefighters as well as environmental students in hazardous material situations. This is the third request for this project.

Recommendation: \$490,000 (Category A)

Smoke Maze – Fire Training. Cossatot Community College-University of Arkansas requests \$70,000 to construct a 1,800 square foot facility to train volunteer fire fighters in the areas of victim search and rescue, SCBA training, and firefighter rescue. This is the third request for this project.

Recommendation: \$70,000 (Category A)

East Arkansas Community College

Fine Arts Center. East Arkansas Community College requests \$5,249,582 to construct a 33,730 square foot Fine Arts Center. The facility will house the Humanities Department, which includes Music, Speech and Drama. The facility will also be available to the community’s cultural, civic, and societal organizations. It will include classrooms, offices, an art studio, practice rooms, a performance area, and an auditorium. In 1999-01, the College requested \$2,430,000. ADHE recommended \$3,037,500 and \$350,000 was funded through the General Improvement Fund. In 2001-03, the College requested \$5,531,065. ADHE recommended \$3,700,000 in Category A. The College received a \$725,000 allocation from the Legislature’s General Improvement Fund and \$521,246 was received. In 2003-05, the College requested \$5,512,128 and was recommended for \$3,500,000 in Category A. The College received a Legislative allocation of \$290,000 of which it received \$149,200 in 2003-04. In 2005-07, \$5,359,054 was requested and a recommendation of \$3,000,000 in Category A was given by ADHE. A fully funded Legislative allocation of \$50,000 was provided during this period.

Recommendation: \$2,500,000 (Category A); \$500,000 (Category B)

Mid-South Community College

University Center. Mid-South Community College requests \$6,600,000 to construct a 38,000 square foot University Center. This new facility will expand access to baccalaureate programs in engineering, geospatial science, bioscience, business, and education. These programs will build on programs that exist at partnering universities and will reside at the University Center. This is the first request for this project.

Recommendation: \$2,500,000 (Category A)

North Arkansas College

Allied Health Center. North Arkansas College requests \$8,675,000 to construct a 40,000 square foot Allied Health Center. The new facility will meet the current need for classrooms, laboratories and offices for instructors and support staff for all Allied Health programs including Registered Nursing, Practical Nursing, Radiologic Technology, Emergency Medical Science, Surgical Technology and Medical Technology. Classrooms for community health education will be included as well. State Funds were first requested for this project in 1999-01. ADHE recommended \$2,632,500 and \$200,000 was funded through the General Improvement Fund. In 2001-03, the College requested \$11,107,618 to construct a 68,000 square foot Health Education Center. ADHE recommended \$2,221,524 (maintenance endowment) in Category C. The Governor designated \$100,000 from the General Improvement Fund and the Legislature designated \$125,000 from the General Improvement Fund. They received \$89,870 from the General Improvement Fund. In 2003-05, the College requested \$7,564,000 and ADHE recommended the project for \$6,300,000 in Category B. The project received an allocation of \$35,000 of which they received \$18,007 in 2003-04. In 2005-07, the College requested \$7,024,729 and a recommendation of \$300,000 in Category A and \$5,500,000 in Category B was given by ADHE. No funding was allocated during this time period.

Recommendation: \$3,700,000 (Category A)

National Park Community College

Infrastructure Improvements. National Park Community College requests \$629,000 to upgrade the infrastructure in order to serve the needs of students in the areas of testing, on campus classes, and web based classes. This project consists of

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replacing the existing dual T-1 internet connection with a T-3 connection, increasing the bandwidth of the LAN by replacing the current network switches with high performance switches throughout the campus, providing secure wireless access to campus students by adding two (2) Wireless Access Control Servers, six (6) access control modules, and forty (40) access points, consolidating the network servers that are scattered across campus with server array technology, and providing centralized backup power for mission critical servers by installing three (3) units. This is the second request for this project.

Recommendation: \$629,000 (Category A)

Classroom Technology. National Park Community College also requests \$621,500 to upgrade classroom technology. This project entails replacing the Compressed Interactive Video (CIV) System with two (2) state of the art systems as well as replacing twenty (20) classroom printers with network color laser jet printers. Spam/Spyware protection will be installed to combat the problem of spam and spyware. Versatile Classroom Multimedia Stations will be implemented in twenty-five (25) classrooms to provide multimedia delivery method to enhance the student learning experience. These multimedia stations will include teaching lecterns, and a combination of smart boards, symposiums, document cameras, and scanners. This is the second request for this project.

Recommendation: \$621,500 (Category A)

Renovation of Health Science/Nursing Building. National Park Community College also requests \$736,926 to renovate the 11,068 square foot Health Sciences/Nursing Building into a Center for Teaching and Learning. This will take place after the new Center for Nursing and Health Sciences is completed. The renovated center will house a one-stop instructional support center (tutoring, testing center, at risk student advising), developmental classrooms, and a teaching improvement center for faculty. This is the first request for this project.

Recommendation: \$736,500 (Category A)

Northwest Arkansas Community College

Technology Infrastructure Improvements. Northwest Arkansas Community College requests \$1,220,000 to upgrade the technology infrastructure in Burns Hall. This project will consist of upgrading communications cabling, implementing a network system to carry all computer, telephone, and wireless communication, replacing sub-standard switches and routers, implementing redundant data recovery equipment and software for mass storage, back-up data, and disaster recovery of existing information. Twenty (20) classrooms will be turned into "smart classrooms" and a "smart card" security system will

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be implemented for all classrooms and offices in Burns Hall. In 2005-07, a \$1,000,000 appropriation was received in the Legislative session of which \$121,701 was funded.

Recommendation: \$1,220,000 (Category A)

Renovation of Burns Hall. Northwest Arkansas Community College also requests \$6,044,073 to renovate Burns Hall. Currently, all Student Services functions are housed in Burns Hall, but a Student Center Plaza is being constructed and all programs will be moved to this new facility. Burns Hall will be renovated to accommodate the expansion of the current library and a professional development center for faculty. The addition of four (4) classrooms and the replacement of the Exterior Insulation and Finish Systems with brick on the outside of the building will also be done in this renovation. In 2005-07, a \$2,000,000 appropriation was received in the Legislative session of which \$100,000 was funded.

Recommendation: \$5,780,000 (Category A); \$260,000 (Category B)

Ouachita Technical College

Instructional Technology Center. Ouachita Technical College requests \$2,411,489 to construct a 15,500 square foot Instructional Technology Center. This building will be composed of classrooms and laboratories with built-in instructional technologies such as wireless telecommunications systems, dedicated instructional computers and software, computer enhanced audio/visual apparatus, and flexible furniture and fixtures to readily accommodate student teamwork and learning communities thus creating “smart classrooms.” This is the second request for this project.

Recommendation: \$2,330,000 (Category A)

Smart Classroom/Laboratories. Ouachita Technical College also requests \$400,000 to equip a 16,000 square foot high-tech classroom building with the latest instructional technology. Computers, LCD projectors, wired and wireless telecommunications, presentation equipment, audio/visual equipment and other technology will be found in these “smart classrooms”. This is the second request for this project.

Recommendation: \$100,000 (Category A)

Applied Science Renovation. Ouachita Technical College also requests \$71,437 for major renovation and remodeling of the facility that houses the Applied Science Technologies programs. This project entails the completion of a four bay construction project, which will also provide an additional 1,200 square feet that will be used as a fully functional lab and

classroom. Safety and privacy concerns regarding this facility have been raised which prompted this request. This is the third request for this project.

Recommendation: \$70,000 (Category A)

Ozarka College

Campus Unification/Grounds Beautification. Ozarka College requests \$125,000 to conduct various grounds beautification projects. This project consists of constructing sidewalks to connect the various buildings on campus, landscaping around each of the buildings, and adding signage at the main entrance and other designated locations throughout the campus. Also more external lighting will be added to ensure the safety of students and staff during the evening hours. This is the first request for this project.

Recommendation: \$125,000 (Category A)

Technology Center. Ozarka College also requests \$1,706,000 to construct a 10,000 square foot Technology Center. This facility will consist of interactive video classrooms, computer labs, general-purpose classrooms, rooms for network equipment, office space and restroom facilities. All aspects of the Distance Learning program will be housed in this one building. This is the second request for this project.

Recommendation: \$1,700,000 (Category A)

Administration Building Renovation. Ozarka College also requests \$322,500 to renovate the exterior of the Administration Building so that it more closely resembles other buildings on campus. A new entrance to the Administration Building will be constructed to achieve this. This project has been requested three times but has not received a recommendation for funding.

Recommendation: \$290,000 (Category A)

Miller Complex Renovation. Ozarka College also requests \$250,000 to repair the roof on the Miller Complex. The Miller Complex consists of general classrooms, auditorium, computer lab and offices, which are in danger of water damage and/or student injury if the roof is not repaired. This is the fifth request for this project.

Recommendation: \$250,000 (Category A)

Phillips Community College of the University of Arkansas

Compress Video Classrooms (7). Phillips Community College of the University of Arkansas requests \$400,000 to start the replacement of outdated equipment used in our compressed video classrooms. Four of the rooms are at least six (6) years old and the others are four (4) years old. New technology and newer versions of the equipment will make the transmission of the lectures much better for the students and instructors. This is the second request for this project.

Recommendation: \$400,000 (Category A)

Technology Infrastructure Improvements. Phillips Community College of the University of Arkansas also requests \$190,000 for improvements to the technology infrastructure. This project consists of replacing all remaining hubs with gigabit switches, enclosing all switches in secure housing, purchasing fiber optic testing equipment, converting old RS232 technology to network technology and providing the means for the entire district, Helena, Stuttgart, and DeWitt, to go wireless to each LAN and the internet. This is the third request for this project.

Recommendation: \$190,000 (Category A)

New Roofs for Five (5) Buildings. Phillips Community College of the University of Arkansas also requests \$475,000 to replace the shingled roofs and the thick insulations below the sloped roofs of five (5) buildings. The roofs were replaced on these buildings 15-20 years ago and are beginning to show wear. This is a preventive step to keep from having more problems in the near future. This is the fourth request for this project.

Recommendation: \$475,000 (Category A)

Pillow-Thompson House Renovations. Phillips Community College of the University of Arkansas also requests \$150,000 for renovations to the 1896 Victorian home, which is used for classrooms. This project consists of replacing much of the wood on the front porch, installing tinted storm windows on the West side of the house and repairing damage to the exterior, gutters and air conditioning unit caused by a pecan tree in the back yard. This is the first request for this project.

Recommendation: \$150,000

Elevators for Classroom and Library. Phillips Community College of the University of Arkansas also requests \$150,000 to satisfy ADA requirements of accessing the third floor of both the Classroom and Library buildings. Both buildings were

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constructed in 1968 without ready access to the third floor classrooms except by stairs. Elevators or Chair Lifts will be utilized with modification through the exterior walls to the third floor. This is the second request for this project.

Recommendation: \$150,000 (Category A)

Classroom and Auditorium-Stuttgart. Phillips Community College of the University of Arkansas also requests \$4,400,000 to construct an 18,000 square foot facility for classrooms and an auditorium on the Stuttgart campus. The new facility will consist of at least four classrooms with offices nearby, restrooms, and an auditorium to seat at least 150 people. The auditorium will be utilized by allowing more than 50 students to meet at one time and also by providing a place where business and industry can hold meetings for up to 150 people. This is the fourth request for this project.

Recommendation: \$1,135,000 (Category A); \$365,000 (Category B)

Pulaski Technical College

Aviation Maintenance Technology Hangar. Pulaski Technical College requests \$2,752,875 for the construction of a 25,000 square foot aviation hangar. The existing facilities will not accommodate large aircrafts, such as corporate jets that are prominent in the local industry. Also, the College will soon receive a \$300,000 Leer 24 aircraft that is too large to fit in the present hangar, which will limit the ability to use it effectively for training and secure it from the elements. This project was requested for 2003-05 but it was not recommended or funded. In 2005-07, ADHE recommended \$500,000 in Category A and \$2,200,000 in Category B, but it did not receive funding.

Recommendation: \$2,750,000 (Category A)

Renovation of Science Building for Labs. Pulaski Technical College also requests \$1,430,000 to renovate the Science Building to create two new 900 square-foot science labs and update two existing labs. The College currently offers ten different science courses in biology, microbiology, chemistry, physical science, physics, anatomy and physiology. Lab requirements exceed the capacity of the three existing modern science labs. This is the third request for this facility, which was not recommended for the 2003-05 biennium. In 2005-07, ADHE recommended \$1,000,000 in Category A and \$5,000,000 in Category B, but it did not receive funding.

Recommendation: \$1,430,000 (Category A)

Renovation of Classroom Building and Offices. Pulaski Technical College also requests \$6,006,050 to renovate a facility consisting of administration, classroom and office space. This building was built in 1976 with concrete block walls, which are

not energy efficient, and a flat roof that has numerous leaks that have to be constantly repaired. This is the second request for this project.

Recommendation: \$5,820,000 (Category A)

Rich Mountain Community College

Developmental Instructional Facility and Library Learning Center. Rich Mountain Community College requests \$8,894,000 to construct a 35,000 square foot facility to house developmental classrooms and labs, Student Support services, library/resource center, Adult Education program and an 1,800 seat auditorium. This project also entails renovating many facilities that will become vacant when the new building is constructed. This is the fifth request for this facility. In 2005-07, ADHE recommended \$200,000 in Category A and \$1,400,000 in Category B.

Recommendation: \$2,500,000 (Category A)

South Arkansas Community College

Health Sciences Building. South Arkansas Community College requests \$11,790,000 to construct a 60,000 square foot Health Science Building. The new facility will house the College's Allied Health programs and science labs which are now located in the Ben Whitfield Classroom Building. The Ben Whitfield Classroom Building is no longer adequate for all the programs since most of the Colleges growth has been in health related fields. This project was first requested for \$2,895,940 for a 22,750 square foot facility for 1999-01 and 2001-03. The project was first recommended for \$1,200,000 in Category B and \$1,200,000 in Category C by ADHE for 2001-03, but it was not funded. It was also recommended by ADHE for \$400,000 in Category B for 2003-05, but it was not funded. In 2005-07, ADHE recommended \$250,000 in Category A and \$4,250,000 in Category B and received \$50,000 in funding.

Recommendation: \$2,500,000 (Category A)

Southeast Arkansas College

Renovations Technology Center North and South. Southeast Arkansas College requests \$350,000 to renovate Technology Center North and South. The focus of the renovation will be to convert the electronics lab, Student Services facility and Wage/Pathways facilities into classroom and office space. Also included in this renovation is the demolition of the

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shop areas, repair of the south wall of Technology Center North and the north wall of Technology Center South and updating of the color scheme of both Centers to match other facilities. This is the second request for this project.

Recommendation: \$350,000 (Category A)

Library/Classroom Building. Southeast Arkansas College also requests \$4,000,000 to construct a 48,000 square foot facility to house a library and classrooms. The new building will be two-story with the library occupying all of the second floor and classrooms occupying the first floor. The current library does not meet national professional standards, but this addition will allow the College to meet accreditation standards. This is the first request for this project.

Recommendation: \$3,000,000 (Category A)

Founders Hall Renovation. Southeast Arkansas College also requests \$150,000 to renovate Founders Hall, which houses the Nursing and Allied Health programs and the library. The construction of the new library and classroom building will allow the College to use all of Founders Hall to expand both the Nursing and Allied Health programs. This is the first request for this project.

Recommendation: \$150,000 (Category A)

Child Development and Daycare Center. Southeast Arkansas College also requests \$250,000 to renovate an 8,000 square foot facility into a daycare center that can also be utilized in the Child Development program. The facility was once used as a church and has a large auditorium, offices, classrooms, and a fellowship hall. The daycare will provide an excellent opportunity for observation and interaction in the Child Development program and also provide parents in the community a professional daycare since two have recently closed. This is the first request for this project.

Recommendation: \$200,000 (Category A)

Southern Arkansas University Tech

Technology/Multi-Purpose Building. Southern Arkansas University Tech requests \$9,552,500 to construct an 85,000 square foot Career Center/Multi-Purpose Building to house administrative and service areas for secondary and post-secondary career programs. Instructional classrooms and laboratory areas for career programs such as Automotive Technology, Auto-body Repair Technology, Diesel Technology, Small Engine Repair Technology, Welding and Metal Trades Technology, and Heating and Air Conditioning Technology will also be located in this new facility. A large multi-purpose room that will seat a maximum 1,500 people for events such as graduation ceremonies for SAU Tech, the Arkansas Fire

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Training Academy, and the Arkansas Law Enforcement Training Academy will also be included in this facility. This is the second request for this project.

Recommendation: \$2,500,000 (Category A)

University of Arkansas Community College at Batesville

Nursing and Allied Health Facility. The University of Arkansas Community College at Batesville requests \$3,996,000 to construct a 22,000 square foot facility to house instructional classrooms, technical labs, faculty and staff offices, and lecture halls needed to support the Allied Health program. This project was requested for 1999-01, 2001-03, and 2003-05, but it was not recommended by ADHE or funded through the General Improvement Fund. In 2005-07, ADHE recommended \$500,000 in Category A and \$700,000 in Category B but no funding was allocated for this project.

Recommendation: \$2,500,000 (Category A); \$500,000 (Category B)

Underground Utility Renovation. The University of Arkansas Community College at Batesville also requests \$75,000 to connect the new Nursing and Allied Health facility to underground utilities. Expanding the underground utility access will require approximately 350 feet of conduit and lines, a pull box, and the placement of a ground mounted transformer. This is the first request for this project.

Recommendation: \$75,000 (Category A)

University of Arkansas Community College at Hope

Science and Technology Center. The University of Arkansas Community College at Hope requests \$3,512,937 to build a 22,000 square foot annex to the Distance Learning Center. The annex will house four science labs, nursing labs, lecture hall, four classrooms and ten faculty offices. All classrooms will be equipped with the latest technology for both on campus instruction and distance education. In 2005-07, ADHE recommended \$250,000 in Category A and \$2,750,000 in Category B.

Recommendation: \$2,500,000 (Category A)

University of Arkansas Community College at Morrilton

Library. The University of Arkansas Community College at Morrilton requests \$3,210,500 to construct a 27,000 square foot Library. The new library is needed to meet North Central Association Higher Learning Commission accreditation. A library

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addition costing \$396,000 was requested for 2003-05, but it was not recommended or funded. In 2005-07, ADHE recommended \$500,000 in Category A and \$1,200,000 in Category B but no funding was received.

Recommendation: \$3,200,000 (Category A)

* Presented to the AHECB as "Table 2: Summary of Capital Requests/Recommendations for the 2007-09 Biennium"

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DEFINITIONS OF EXPENDITURE CATEGORIES

Academic Support. This category includes funds expended primarily to provide support for an institution's primary mission--teaching, research, and public service. Academic support is comprised of the following sub-categories:

Libraries. Includes salaries and operating expenses of separately organized libraries and media centers that are the responsibility of the librarian.

Museums and Galleries. Includes expenditures for activities that provide for the collection, preservation, and exhibition of historical materials, art objects, scientific displays, etc.

Organized Activities Related to Educational Departments. Includes expenditures for activities that provide support services to the three primary programs: teaching, research, and public service. These activities usually provide an opportunity for students to gain practical experience. Examples of organized activities are campus radio stations or farms.

Other Academic Support. Includes educational media services, academic computing, academic administration (deans and their support costs), and faculty development.

Equipment Replacement. Funds are provided for the replacement of worn or obsolete equipment. This is not an expenditure category in accounting terms since the expenditures will occur in other categories where equipment is used.

Institutional Support. This category includes administrative costs for institutional management such as the president's office, fiscal and personnel management, chief academic and student service officers, administrative computing, and other central institutional services that cannot be allocated to a specific department or activity.

Instruction. This category includes expenditures for all activities that are part of an institution's instructional program. Expenditures for credit and non-credit courses, for academic, vocational, and technical instruction, for remedial and tutorial instruction, and for any regular, special, and extension sessions are included. This category includes the following sub-categories:

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Teaching Salaries. Includes only that portion of faculty salaries devoted to the teaching of credit-equivalency courses.

Departmental Operating Expenses. Includes salaries, wages, equipment, and operating expenses of instructional departments exclusive of teaching salaries.

Off-Campus Credit. Includes all credit courses offered anywhere other than in the facilities or on the grounds of a four-year institution, branch campus, or technical college. Included are expenditures for community college courses offered anywhere outside the district in which the community college is located.

Non-Credit Instruction. Encompasses courses which are not part of a degree or certificate program, such as community service courses.

Plant Maintenance and Operation. This category includes all costs associated with operating the campus buildings including utilities, and routine repair and maintenance of buildings.

Public Service. This category includes expenditures for non-instructional services beneficial to individuals and groups external to the institution. Examples are workshops, administration of non-credit courses, concerts and other cultural events intended primarily for the public, and cooperative extension services.

Research. This category includes all expenditures for activities specifically and separately organized to produce research outcomes. Included are expenditures for institutes, research centers, and program and project research.

Scholarships and Fellowships. This category includes expenditures in the form of outright grants to students selected by the institution and financed from unrestricted funds in the form of tuition remission. Performance or athletic scholarships are not included.

Student Services. This category includes expenditures for the offices of admissions, registrar, deans of men and women, financial aid, and counseling. Student service activities are those that contribute to the student's emotional and physical well-being and to their intellectual, cultural, and social development outside the context of formal academic instruction.

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Transfers. Included are funds that are transferred from the unrestricted current funds group to any of the restricted fund groups. Transfers are classified in the following three categories:

Auxiliary Transfers. This item applies to the four-year institutions and their two-year branches. An auxiliary enterprise is an entity that exists to furnish goods or services to students, faculty, or staff, and charges a fee directly related to, although not necessarily equal to, the cost of the goods or services. Most auxiliaries are expected to be self-supporting. These include dormitories, bookstores, food services, and health services. Student organizations, publications, and college unions may require a subsidy from the unrestricted educational and general budget.

Mandatory Transfers. This category includes transfers to restricted fund groups, excluding auxiliaries, arising out of legal agreements related to the financing of educational facilities, and grant agreements with the federal government or other external sources.

Non-Mandatory Transfers. This category includes transfers from the unrestricted current funds group to any restricted fund group to serve a variety of objectives unique to an institution. The most common non-mandatory transfers are to auxiliaries, to the plant reserve fund, and other transfers to loan funds, quasi-endowment funds, or pre-payments of debt principal.

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Table 5. Annual Full-Time Equivalent Enrollment (FTE) for FY2005-06

Four-Year Universities		Two-Year Colleges			
2005-06 FTE		2005-06 FTE		2005-06 FTE	
ASUJ	9,039	ANC	1,336	OTC	853
ATU	5,941	ASUB	2,898	OZC	737
HSU	3,302	ASUMH	863	PCCUA	1,467
SAUM	2,851	ASUN	900	PTC	5,807
UAF	15,858	BRTC	1,631	RMCC	533
UAFS	5,089	CCCUA	718	SACC	1,031
UALR	9,094	EACC	1,047	SAUT	1,059
UAM	2,347	MSCC	836	SEAC	1,572
UAPB	3,061	NAC	1,607	UACCB	1,020
UCA	10,638	NPCC	1,888	UACCH	830
		NWACC	3,357	UACCM	1,315
Subtotal	67,220				33,305
Grand Total					100,525

Note: For funding purposes, FTE calculated as of July 1, 2006.

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TABLE 6
2006-2007 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
LOCAL TAXES (IN-DISTRICT)				
ANC	1,708	1,440	220	1,660
CCCUA	1,708	1,350	270	1,620
EACC	1,708	1,470	150	1,620
MSCC	1,708	1,410	210	1,620
NAC	1,708	1,530	150	1,680
NPCC	1,708	1,590	140	1,730
NWACC	1,708	1,740	385	2,125
PCCUA	1,708	1,560	350	1,910
RMCC	1,708	1,350	90	1,440
SACC	1,708	1,710	190	1,900
UACCB	1,708	1,500	400	1,900
UACCH	1,708	1,620	178	1,798
UACCM	1,708	1,920	510	2,430
AVERAGE ANNUAL	1,708	1,553	249	1,803
LOCAL TAXES (OUT-OF-DISTRICT/IN-STATE)				
ANC	2,075	1,740	220	1,960
ASUMH	2,075	2,130	240	2,370
CCCUA	2,075	1,650	270	1,920
EACC	2,075	1,710	150	1,860
MSCC	2,075	1,740	210	1,950
NAC	2,075	2,130	150	2,280
NPCC	2,075	1,890	140	2,030
NWACC	2,075	2,700	385	3,085
PCCUA	2,075	2,160	350	2,510
RMCC	2,075	2,070	90	2,160
SACC	2,075	1,950	190	2,140
UACCB	2,075	1,800	400	2,200
UACCH	2,075	1,770	178	1,948
UACCM	2,075	2,100	510	2,610
AVERAGE ANNUAL	2,075	1,967	249	2,216
NO LOCAL TAXES (IN-STATE)				
ASUB	2,075	2,130	330	2,460
ASUN	2,075	2,130	150	2,280
BRTC	2,075	1,980	90	2,070
OTC	2,075	1,560	420	1,980
OZC	2,075	1,950	410	2,360
PTC	2,075	2,160	270	2,430
SAUT	2,075	1,890	630	2,520
SEAC	2,075	1,500	220	1,720
AVERAGE ANNUAL	2,075	1,911	321	2,228

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6
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2006-2007 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
LOCAL TAXES				
ASUMH	6,827	3,660	240	3,900
ANC	6,827	3,240	220	3,460
CCCUA	6,827	4,950	270	5,220
EACC	6,827	2,070	150	2,220
MSCC	6,827	3,150	210	3,360
NAC	6,827	4,230	150	4,380
NPCC	6,827	3,810	140	3,950
NWACC	6,827	3,810	385	4,195
PCCUA	6,827	2,970	350	3,320
RMCC	6,827	5,100	90	5,190
SACC	6,827	3,600	190	3,790
UACCB	6,827	3,600	400	4,000
UACCH	6,827	3,450	178	3,628
UACCM	6,827	3,060	510	3,570
AVERAGE ANNUAL	6,827	3,621	249	3,870
NO LOCAL TAXES				
ASUB	6,827	3,660	330	3,990
ASUN	6,827	3,660	150	3,810
BRTC	6,827	5,340	90	5,430
OTC	6,827	3,120	420	3,540
OZC	6,827	5,040	410	5,450
PTC	6,827	3,570	270	3,840
SAUT	6,827	2,520	630	3,150
SEAC	6,827	3,000	220	3,220
AVERAGE ANNUAL	6,827	3,637	321	4,054

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6
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2006-2007 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION UNDERGRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
UAF	5,640	4,590	1,218	5,808
LEVEL 1 GROUP AVERAGE	5,640	4,590	1,218	5,808
ASUJ	4,932	4,470	1,240	5,710
UALR	4,932	4,440	1,071	5,511
UCA	4,932	4,695	1,315	6,010
LEVEL 3 GROUP AVERAGE	4,932	4,535	1,209	5,744
ATU	4,670	4,470	410	4,880
HSU	4,670	4,410	800	5,210
LEVEL 4 GROUP AVERAGE	4,670	4,440	605	5,045
SAUM	4,624	4,260	630	4,890
LEVEL 5 GROUP AVERAGE	4,624	4,260	630	4,890
UAM	4,388	3,150	1,000	4,150
UAPB	4,388	3,300	1,154	4,454
UAFS	4,388	2,580	760	3,340
LEVEL 6 GROUP AVERAGE	4,388	3,010	971	3,981

FOUR-YEAR INSTITUTION UNDERGRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
UAF	17,817	12,724	1,218	13,942
LEVEL 1 GROUP AVERAGE	17,817	12,724	1,218	13,942
ASUJ	13,830	11,520	1,240	12,760
UALR	13,830	11,655	1,071	12,726
UCA	13,830	9,390	1,315	10,705
LEVEL 3 GROUP AVERAGE	13,830	10,855	1,209	12,064
ATU	12,787	8,940	410	9,350
HSU	12,787	8,820	800	9,620
LEVEL 4 GROUP AVERAGE	12,787	8,880	605	9,485
SAUM	11,042	6,450	630	7,080
LEVEL 5 GROUP AVERAGE	11,042	6,450	630	7,080
UAM	12,395	7,080	1,000	8,080
UAPB	12,395	7,710	1,154	8,864
UAFS	12,395	7,410	760	8,170
LEVEL 6 GROUP AVERAGE	12,395	7,400	971	8,371

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6
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2006-2007 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION GRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
UAF	6,609	6,480	918	7,398
LEVEL 1 GROUP AVERAGE	6,609	6,480	918	7,398
ASUJ	5,022	4,524	1,006	5,530
UALR	5,022	4,992	857	5,849
UCA	5,022	4,716	1,071	5,787
LEVEL 3 GROUP AVERAGE	5,022	4,744	978	5,722
ATU	5,065	4,080	376	4,456
HSU	5,065	4,392	702	5,094
LEVEL 4 GROUP AVERAGE	5,065	4,236	539	4,775
SAUM	4,580	4,224	500	4,724
LEVEL 5 GROUP AVERAGE	4,580	4,224	500	4,724
UAM	4,802	3,528	792	4,320
UAPB	4,802	3,384	957	4,341
LEVEL 6 GROUP AVERAGE	4,802	3,456	875	4,331

FOUR-YEAR INSTITUTION GRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
UAF	16,973	15,329	918	16,247
LEVEL 1 GROUP AVERAGE	16,973	15,329	918	16,247
ASUJ	12,825	11,436	1,006	12,442
UALR	12,825	10,968	857	11,825
UCA	12,825	9,432	1,071	10,503
LEVEL 3 GROUP AVERAGE	12,825	10,612	978	11,590
ATU	12,580	8,160	376	8,536
HSU	12,580	8,784	702	9,486
LEVEL 4 GROUP AVERAGE	12,580	8,472	539	9,011
SAUM	10,224	5,976	500	6,476
LEVEL 5 GROUP AVERAGE	10,224	5,976	500	6,476
UAM	11,664	7,920	792	8,712
UAPB	11,664	7,848	957	8,805
LEVEL 6 GROUP AVERAGE	11,664	7,884	875	8,759

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

TABLE 6
REVISOR
2006-2007 Full-time Annualized Fall Tuition and Mandatory Fees
PROFESSIONAL UNDERGRADUATE

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
RESIDENT				
UAMS - HRP	N/A	4,860	175	5,035
UAMS- NURSING	N/A	4,272	175	4,447
NONRESIDENT				
UAMS - HRP	N/A	11,730	175	11,905
UAMS- NURSING	N/A	10,656	175	10,831

PROFESSIONAL GRADUATE

INSTITUTION	ADHE ESTIMATE OF 2006-07 SREB AVERAGE TUITION & FEES	2006-07 ANNUAL TUITION	2006-07 ANNUAL FEES	2006-07 TUITION & FEES
RESIDENT				
UAF-LAW	11,438	6,801	990	7,791
UALR-LAW	11,438	6,888	1,140	8,028
UAMS-MEDICINE	15,732	14,792	603	15,395
UAMS-PHARMACY	10,415	8,400	175	8,575
UAMS-GRADUATE	N/A	5,040	175	5,215
NONRESIDENT				
UAF-LAW	24,735	14,619	990	15,609
UALR-LAW	24,735	14,832	1,140	15,972
UAMS-MEDICINE	38,942	29,548	603	30,151
UAMS-PHARMACY	27,639	16,800	175	16,975
UAMS-GRADUATE	N/A	10,800	175	10,975

* ADHE staff has estimated the 2006-07 SREB tuition fee amounts using a four year average increase of the median SREB annual tuition fee rates.

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ARKANSAS STATE UNIVERSITY - JONESBORO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

I. Introduction:

Arkansas State University-Jonesboro's strategic plan is aligned with requests for legislative appropriations for the biennium ending June 30, 2009, and has been prepared in accordance with Act 221 of 2001. The requested revenue appropriations are those necessary to maintain existing programs at a quality level and to bring the university's funding to a level that will support actual enrollment. The programs offered by the university are designed to meet the needs of the state, the region, and the nation. They require an adequate level of funding to provide quality educational experiences that the taxpayers of Arkansas need and deserve. Educating young people for a global knowledge-based economy, as well as retraining and lifelong learning for the already employed citizens of Arkansas and providing the impetus and support for technology-based economic development, are the key to diversifying and improving our state's economy. Both the innovative and more traditional programs offered by Arkansas State University-Jonesboro have been developed in cooperation with the Arkansas State Board of Higher Education and are continuously under review both internally and externally. Internal reviews are conducted by departmental, college, and institutional committees of faculty, administrative staff, and students. External reviews are performed by the Arkansas Department of Higher Education, regional and national accrediting agencies, as well as professional associations. This continuous review process ensures consistent quality and vitality in the programs and activities of the university to better serve the state.

II. Institutional Mission Statement:

Arkansas State University educates leaders, enhances intellectual growth and enriches lives. (ASU= e³)

III. Institutional Goals:

Arkansas State University aspires to be an academic leader recognized for innovation and quality in teaching and learning, international standing in strategic research areas, and commitment to outreach and service to the Delta and beyond.

ARKANSAS STATE UNIVERSITY - JONESBORO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

IV. Programs and Program Definitions:

PROGRAM 1: STRENGTHEN INSTRUCTION

Program Definition: Enhance and improve the quality of instruction.

Goal 1: Maintain a High Quality Level in Existing University Facilities

Objective: To provide for on-going renewal of aging building infrastructure.

Strategies:

- Undertake renovation and system replacement projects to remedy the following typical deferred maintenance/capital renewal needs:
 - As buildings age, the exterior envelope deteriorates even with the best routine maintenance to the point that major roof replacements and upgrades to exterior walls or foundations are required.
 - Mechanical, electrical, and plumbing systems designed 30 plus years ago do not meet current standards for indoor air quality and major components reach a stage of obsolescence beyond their useful life requiring replacement to function reliably.
 - Elevators, fire alarms, and fire protection systems installed 30 plus years ago do not meet current code standards and become functionally obsolete with age, necessitating major component upgrades and/or redesign and replacement.
 - Classrooms and labs designed 30 plus years ago do not meet HVAC and electrical requirements for current technology. Lighting types and lumen levels, interior finishes, and furnishings do not meet the needs and expectations of today's teaching and learning environment and detract from the ultimate success of students and faculty in their academic and research pursuits.

The above strategies will have the effect of contributing positively to increased enrollment, retention, and graduation rates of both undergraduate and graduate students, as well as the recruitment and retention of high quality faculty.

ARKANSAS STATE UNIVERSITY - JONESBORO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

Goal 2: Increase the personal safety of every student, faculty, and staff member on the campus.

Objective: To incorporate the use of personal safety devices issued to any student, faculty, or staff upon request. The device utilizes a transmitter which is carried by a person and if emergency aid is needed a signal can be sent to campus police.

Strategies:

- Enables a person using the personal safety device to alert campus police in an emergency. When activated, a person's photo and location projects onto a computer screen in the police dispatch center and in police vehicles. In addition, the person's medical and/or personal information contained in the database will be displayed.
- Enable police to track and monitor movements of a victim in the event of an emergency.
- Replace the static and sparsely placed emergency phones now utilized on campus.

Goal 3: Increase access to academic programs and services through technology.

Objective: To expand the university's impact on learning and training by providing electronic access to programs and services to those citizens beyond physical reach of the campus and often beyond reach of any Arkansas campus.

Strategies:

- The university purchases and implements hardware and software applications to make available on-line access to services:
 - Admissions application process.
 - Advisement and Registration available on-line.
 - Web portals developed to communicate with students and deliver specific, targeted services.
 - Podcasts, blogs, and RSS (Really Simple Syndication is a lightweight XML format designed for sharing

ARKANSAS STATE UNIVERSITY - JONESBORO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- headlines and other Web content) to reach students beyond our physical boundaries.
- Increase the number of courses available to citizens beyond the campus through courses delivered online, by media appropriate to the individual student (CD, DVD, VHS), as well as media preferred by young people (mp3 and podcasts) and positioning ourselves to deliver rich content via smart phones within one to two years.
 - The university will provide server space for students to store materials and create websites.
 - The university continues to seek an appropriate e-portfolio solution for students.
 - Expand access to online scholarly databases in major research areas. The university will expand its holding of online databases.
 - Provide digitization of research materials for collaborative research projects with scholars on campus and at other institutions.
 - Expand server space to accommodate peer-reviewed journals inherited or begun by faculty members.
 - Provide web space at ASU to maintain sites of professional organizations.
 - Expand the cache of projectors and laptops for faculty presentations in various fora, including presentations to local and regional civic and business organizations as well as public schools.
 - Increase the number of on-line courses and academic programs available to students.

Goal 4: Enhance funding for Library Resources.

Objective: To acquire and/or license academic resources that expand the amount of relevant and necessary materials available for use by students, faculty, and researchers, thereby increasing opportunities for success.

Strategies:

- Enable the Library to acquire additional resources to support research and student learning as well as cover annual cost increases due to inflation.
- Develop and implement new methods of accessing academic resources that enhance the effective use of those resources.

ARKANSAS STATE UNIVERSITY - JONESBORO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Expand Library instruction programs to increase the skill level of the university community in the areas of research strategies, online searching, and identifying relevant resources.

Goal 5: Create a Student Solutions Center

Objective: Provide a centralized service center in the Reng Student Services Center to provide cross-functional assistance to prospective and current students. Potential service areas represented might include the Registrar's office, Financial Aid and Scholarships, Residence Life, Career Services (for work-study placements), Student Accounts, etc.

Strategies:

- The Student Solutions Center (SSC) staff will assist students who have questions and/or issues that need resolving in a one-stop setting. The SSC staff will be cross-trained in several areas and will serve students on-site at the center instead of sending students to various offices to obtain the services/information needed. The SSC will also provide evening service hours to students taking evening classes.

Goal 6: Improve the Quality of Teachers for Arkansas

Objective: Enhance student learning and student access to education through continued commitment to professional development schools, development of faculty expertise in technology-rich delivery systems, university outreach to underperforming public schools in our region of service, and professional faculty development.

Strategies:

- To achieve the above objective the College of Education has partnered and will continue our partnership with seven public elementary schools. Partnership schools strengthen the education for students and create opportunities for the university and K-12 schools to have authentic and exemplary practices coupled with continuous inquiry, research and reflection. Our continued membership in the Holmes Group, a national

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consortium of colleges of education, strengthens our abilities to meet academic needs of our faculty and students. The COE desires to expand our partnerships to mid-level and secondary schools.

- The College of Education continues to lead in technology related delivery systems. The professional education programs use an electronic portfolio for students known as Live Text. The college continues to use compressed and internet video and increasingly web-assisted and web-based courses to develop courses to place bound students. The college is developing the university's first web/assisted based degree (MSE-Special Education). The college needs to expand and enlarge our ability to delivery more courses and programs on-line.
- The College of Education is delivering academic outreach support services to a variety of schools in northeast Arkansas and the Delta. There is a need to enlarge our outreach in math/science and reading/literacy in our service area and to provide continuing support, particularly in support of math/science and other STEM initiatives. Additional personnel will improve the results in these critical academic areas.
 - The two Math and Science consortia, run under the auspices of the Center for Education Excellence, have six full-time professional educators in the areas of math and science working with school districts and teachers to enhance and enrich teaching and learning in these underperforming schools.
 - Grants have provided a number of opportunities to provide access for students to higher education through programs for students and teachers such as the Advanced Placement Institute, which just completed its third year in existence, the Educational Renewal Zones program, which address the needs of schools labeled as distressed by the state of Arkansas, and the Childhood Services program, which delivers services to pre-kindergarten programs and workers state-wide.

Goal 7: Provide New and Expanding Health Professions Programming to Meet Workforce Needs in the Delta and the State of Arkansas.

Objective: Provide programs and the technological infrastructure to meet the documented and projected needs of a changing health professions workforce. The University is focusing on increasing the numbers and educational levels of health practitioners in the Delta/Arkansas region.

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Strategies:

- Create partnerships that provide accessible degree programs at associate, baccalaureate and graduate levels and create post-professional opportunities for practicing clinicians.

Goal 8: Enhance Funding levels for Degree Centers to accommodate Enrollment Growth, Enrollment Geographical Shifts, Advancing Technological Needs, and Requests for Additional Educational Programs.

Objective: To obtain adequate funding per full-time equivalent (FTE) student proportional to the university's growth, to offer baccalaureate and graduate degree programs at ASU Degree Centers established on two-year campuses, to expand those programs to meet the needs of presently unserved students, and to acquire and maintain appropriate technology to properly deliver and enhance instruction at off-campus locations.

Strategies:

- Arkansas State University-Jonesboro continues to show enrollment growth. With more adequate funding, the university will renew and expand efforts to meet the educational needs of the citizens of the region and state.
- ASU-J's enrollment growth for the past eight years can be attributed in large part to the four-year and graduate programs offered in ASU degree centers established in cooperation with five two-year institutions in the state. Centers were established in order that ASU-J might provide higher education opportunities for a large portion of the state's population. ASU-J also has agreements with two four-year institutions to provide graduate degrees and two additional off-campus ASU-J facilities which have significantly impacted enrollment statistics. ASU-J seeks to expand this successful operation and grow the degree centers and other off-campus sites.
- ASU-J has been a leader in the state and the region in the use of internet protocol video (IPV) technology for the past twelve years. This technology is used for the delivery of quality instruction, advisement of students, distance interviews for graduating students and potential ASU-J employees, and professional development of faculty at degree centers and distant sites. It is imperative that, as technology changes, ASU-J has the ability to upgrade our IPV sites to reflect those changes. ASU-J requires adequate targeted funding to maintain this

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technology and its services to the students, communities, and fellow institutions in Arkansas, the region, and the nation.

Goal 9: Enhancement of the College of Engineering

Objective: Expand and upgrade the College of Engineering with the infrastructure enhancement which will provide international competitiveness and regional relevance to engineering and technology at ASU-J.

Strategies:

- Arkansas State University- Jonesboro is seeking funds to provide the necessary infrastructure for the College of Engineering to contribute to regional economic development. Development of named degrees in Civil Engineering, Mechanical Engineering, and Electrical Engineering in addition to the current general Engineering degree will provide stronger academic training to serve regional needs and a basis for international competitiveness.
- Implementation of more experiential learning and service learning in the engineering curriculum is needed to improve competitiveness and relevance. Considerable enhancement of teaching labs and modern equipment and instrumentation is needed to support these curriculum innovations.

Goal 10: To enhance the unique and innovative Heritage Studies Ph.D. Program

Objective: To effectively integrate the research activities of the Heritage Studies Ph.D. Program into academic instruction and community service provided by and through Arkansas State University – Jonesboro

Strategies:

- Encourage and support faculty who direct dissertations and chair portfolio committees
- Provide practicum experiences involving doctoral students in practical and innovative heritage-related career development opportunities

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- Provide support for Delta Heritage Initiatives in the identification, preservation, development, and promotion of heritage sites that enrich the historic and cultural diversity of Arkansas and the Lower Mississippi River Valley Region
- Stimulate and support innovative interdisciplinary research that studies the heritages represented at Arkansas State University – Jonesboro and the communities that the university serves

Goal 11: Enhance Technology and Safety

Objective: This will allow ASU-J to move its technology infrastructure from shared technology to switched technology.

Strategies:

- Network performance has been increased 100 times its current capacity. Several classrooms will be renovated to accommodate the latest technology to enhance the learning environment. Several locations will have security cameras installed to enhance safety and security. The cameras will be directly wired to the campus police dispatcher's office.

Goal 12: Create a Digital Media Learning Environment and Research Center

Objectives:

- To extend a contemporary digital media environment for students studying journalism, radio-television, new media and/or digital media and design.
- To create a center in which faculty and students can explore innovations to improve content and delivery of news to local communities.

Strategies:

- Optimize the conversion of video production facilities to digital/high definition technology.

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- Pursue alternative delivery methods for public content, as well as private feeds to other educational institutions, media outlets using, e.g., cell phone video and specialized IP delivery, streaming audio and video and podcasting.
- Develop a cross-platform multimedia newsroom to encourage collaboration news products by students in print, broadcast and online journalism.
- Complete KASU's conversion to digital technology and expansion of service via Internet streaming.
- Establish a multimedia, cross-platform training program for professionals, teachers, and others wanting to expand and enhance their knowledge of digital media hardware and software.

Goal 13: Create a Diverse University Environment

Objective: To attract and retain a diversified student body.

Strategies:

- Investigate becoming aligned with Tom Eppley's Arkansas Commitment program in order to assist in attracting some of Arkansas' brightest African-American students to ASU.
- Work with local industries to provide internships for participants in the Arkansas Commitment program and for participants in other minority recruiting programs seeking to keep the "brightest" in Arkansas.
- Supplement these programs with existing efforts to recruit first-generation college students and students from economically disadvantaged backgrounds
- Develop recruiting activities, techniques, and materials that specially and successfully target minority students
- Develop and implement a mentoring program for minority students who are most at risk of dropping out. For example, African American males have a six-year graduation rate of 15 percent. A mentoring program implemented as part of the FYRE program for all first-and second-year African American males would work to improve the graduation rate. The program will be residence hall based with African American male peer counselors living in the residence halls serving as "Big Brothers" to first- and second-year African American male students. The peer mentors will meet weekly with the students to guide them through and around pitfalls

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while leading them toward success. The mentors also will make sure the students are becoming involved in positive things on campus and check on their academic progress/performance throughout the semester to assist in retention efforts.

- Integrate diversity and global perspectives into the academic curriculum and into programs and services for the university community.
- Develop capstone courses focusing on diversity and multiculturalism.
- Incorporate a focus on diversity and multiculturalism in general education and first year experience courses as appropriate.
- Implement a system of responsibility, accountability, and recognition for advancing a multiculturally diverse campus community

Goal 14: Diversify Faculty and Staff

Objective: To attract and retain a diverse faculty and staff at Arkansas State University-Jonesboro.

Strategies:

- To fully implement Arkansas State University-Jonesboro's five-year diversity plan; and to continue the university's Affirmative Action Policy.
- As positions become available, every college, department, and administrative unit will extend personal contacts to qualified minority candidates to assure them of Arkansas State University's commitment to diversity.
- To identify potential minority faculty from among our graduate students and temporary faculty, and provide professional development opportunities for junior faculty.
- To direct resources toward recruitment efforts to attract and retain qualified minority faculty in highly competitive disciplines, and qualified minority staff in highly competitive functions and services.

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Goal 15: Increase Student Success

Objective: To improve retention and graduation rates of ASU-J students.

Strategies:

- Enhance the Restart@State intervention program for students placed on academic suspension. The program has helped 60 percent of “high academic risk” students to get on track to academic success.
- Continue development of the “College Choices” intervention program for students placed on academic probation at the conclusion of their first enrollment period. This program’s objective is to steer students back on track before they go on academic suspension.
- Expand the Early Alert Program to identify and assist “at risk” students regardless of classification. Current intervention measures concentrate on intrusive advising for first-year students to improvements in study skills, time management, and other academic skills.
- Increase the focus on, and availability of, learning assistance activities and programs
 - Develop a **Center for Student Success** that provides multifaceted approaches to learning assistance
 - Supplemental Instruction (SI)
 - Peer Tutoring
 - Learning Diagnostics Lab
 - Video Study Sessions
 - Group and Problem-Based Learning
 - Transitional Instruction (developmental/remedial) and “Bridge Programs “ for at-risk students
- Develop a comprehensive academic advising system that promotes student success
 - Increase academic advisor mentoring and training opportunities through the development of a **Master Advisor Program** for faculty
 - Emphasize the importance of first year advising
 - Develop career programming to target undecided majors

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- Recognize and reward advising as teaching
- Implement an intrusive advising and intervention program
- Conduct regular assessments of advising practices
- Develop programs and services that address the needs of non-traditional and under-represented students
 - Increase availability of web-based courses and academic programs
 - Increase evening and weekend course availability with a rotation schedule that allows for completion of academic programs in a timely manner

Goal 16: Improve the quality of instruction and learning in developmental education.

Objective: To provide graduate level programming in developmental education disciplines to enhance instruction and learning in higher education institutions for under prepared students. (Note: Nationwide, there are few programs of study that focus on preparing higher education faculty for instruction of developmental course work. This program will prepare faculty for teaching in two- and four-year institutions within the state and region.

Strategies:

- Offer graduate certificate and specialist training in the teaching of developmental education disciplines.
- Develop learning laboratories for enhancing the learning outcomes of under prepared students which will be used in conjunction with graduate programming.
- Encourage and support graduate and faculty research in developmental education.

Goal 17: Expand the effectiveness of the Future Arkansas Leaders Scholarship Program

Objective: This program seeks to expand financial resources to Future Arkansas Leaders (FAL). The FAL program is designed to award more scholarships to deserving students in Arkansas. The program currently is only funded for 20 scholarships to be offered to students just graduating from high school.

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Expansion of this program would greatly enhance the educational opportunities of students in Arkansas.

Strategies:

- Offer 50 scholarships per year to students just graduating from high school.

Goal 18: Increase Numbers and Quality of Graduate Students

Objective: As ASU-J continues to move toward doctoral status as a university, masters and doctoral programs will be competing for the brightest students across the nation and abroad. Active recruitment programs and competitive graduate assistantships are essential ways ASU-J can attract the most able students who will work with faculty on the research projects which are a part of the developing programs.

Strategies:

- Develop a Graduate Student Recruitment Scholarship. Many universities include a tuition waiver with their awards to graduate assistantships. While ASU-J has been waiving out-of-state tuition for graduate assistants for a number of years, the necessity of paying in-state tuition, combined with the fact that ASU-J stipend rates are not highly competitive, means that some very fine students who would otherwise choose to attend ASU-J and work with our fine faculty cannot afford to do so. Other universities are offering higher stipends.
- Support administrative activities of the new Director of the Molecular Biosciences program to facilitate regional and national recruitment through interactions with regional undergraduate institutions, presentations at national meetings, national advertisements, and effective and compelling web pages.
- Develop innovative programs that incorporate cross-disciplinary and entrepreneurial experiences and internships for students.

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Goal 19: Create new Professional Science Masters (PSM) Programs Along with other Applied Masters Programs.

Objective: The Professional Science Masters (PSM) is a two-year post-graduate degree for science and mathematics majors who are interested in a wider variety of career options than provided by current graduate programs in the sciences and mathematics. Program graduates have advanced training tailored to area and regional needs and are ready to contribute to the economic growth of businesses and industries within the region.

Strategies: A PSM degree offers training in industry-specific skills – including business basics, project management, intellectual property, regulatory affairs, clinical trials management, and/or finance – along with advanced work in the student's discipline. These programs are developed in concert with industry and are designed to dovetail into present and future professional career opportunities. Programs consist of two years of training in an emerging or interdisciplinary area. Many include internships and "cross-training" in business and communications.

Goal 20: Expand Scholarships for 2-year Students who Transition to ASU-J

Objective: To expand the financial resources to fund transfer scholarships for two-year students to attend either ASU-J or one of the degree centers at Beebe or Mountain Home.

Strategies:

- Provide tuition and fees up to 15 hours for a total of four semesters for 160 new transfer students per year. Two-year cycle money is needed for two years and then money will recycle itself every year.

Goal 21: Increase the Recruitment and Retention of Classified Employees

Objective: To improve the recruitment and retention of ASU-J classified staff through the funding of the Career Ladder Incentive Program.

Strategies:

- Since the merit program for classified employees was discontinued, the pay plan improvement has been the

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only source of pay raises for classified employees.

- The lack of positions in many job categories has limited the university's ability to promote employees where the responsibilities have clearly exceeded the current job classifications.
- The Career Ladder Incentive Program will provide ASU-J the ability to give lump sum bonus payments up to 8% of annual salary to employees who have completed competency-based criteria; however, the program was not funded permanently.
- The Career Ladder Incentive Program will provide ASU-J with the ability to promote to a higher grade within a series once competency-based criteria have been satisfied.

Goal 22: Improve the recruitment and retention of ASU-J faculty and non-classified staff

Objective: To improve the recruitment and retention of ASU-J faculty and non-classified staff through more competitive salaries and professional development opportunities.

Strategies:

- Improve entry-level and existing chronically low salaries compared to regional market levels.
- Provide increased opportunities for relevant faculty and staff development.
- Recruit highly qualified faculty having multiple research applications to be used to further develop interdisciplinary areas of study.
- Institute mentoring programs and tenure/promotion workshops.

Goal 23: Increase Americans with Disabilities Act Compliance and Support

Objective: To make all academic and student support services accessible and supportive of students, faculty, and staff with disabilities.

Strategies:

- The enrollment of students with disabilities at ASU-J has increased significantly. Approximately 400 students

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with disabilities continue to make constant contact with the Office of Disability Services through one or more of our organized programs.

- Consequently, the demands placed upon the Office of Disability Services and this university to comply with the requirements and intent of Section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act are greatly exacerbated.
- It is imperative that programs are implemented and that students feel comfortable in requesting and utilizing required accommodations. In many instances, a lack of access could negatively impact retention rates.

Goal 24: Enhance Funding for First Year Student Housing

Objective: To develop and implement a First Year Residential program and maximize the opportunity for successful retention of first year students.

Strategies:

- Reconfigure residential space and common area space into classrooms and advising centers accessible inside residence halls.
- Employ 60 Resident Assistants and Peer Mentors trained in student development. These paraprofessionals will live on the floors with the First Year students providing guidance and serving as role models.
- Provide funds to support faculty outreach programs designed to bring faculty members into the students' residence halls for educational, occupational, and service programs.
- Design and construct three "faculty in residence" apartments inside the residence halls. Faculty members will reside in these apartments. These faculty members will interact with residents formally and informally cultivating mentoring relationships.

Goal 25: Expand Experiential Learning in the Curricula

Objective: To enhance the quality of significant learning and discipline-specific skills development through the

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implementation of experiential and service learning experiences throughout the curricula.

Strategies:

- Fund 200 undergraduate research aide positions to be distributed across all disciplines, which will be supervised by faculty who engage in discipline-specific research and service activities designed to improve skills development and relevant learning within the discipline.

Goal 26: Expand innovative professional development opportunities for teachers in K-12 focusing on Arkansas history.

Objective: Assist teachers in the region in meeting legislatively mandated standards for professional development and in-service training.

Strategies:

- Utilize ASU's Delta Heritage SITES (System Initiatives for Teaching and Economic Support) to offer educational opportunities that focus on aspects of Arkansas history that have national significance.
- Expand on offerings at the Hemingway-Pfeiffer Museum and Educational Center at Piggott. During the summer 2006, more than 300 teachers participated in staff development workshops approved for credit through the Arkansas Department of Education. These teachers have requested that additional sessions be offered in the future.
- Develop classes similar to those offered at the Piggott Heritage SITE at the Southern Tenant Farmers Museum in Tyrnza and the Lakeport Plantation at Lake Village. Both Heritage SITES are scheduled to open during FY 07.
- Develop a broad range of professional development opportunities that are in line with curriculum frameworks and incorporate fresh ideas and new approaches that will enhance teaching and learning.

PROGRAM 2: RESEARCH

Program Definition: Make improvements and expand the current research initiatives.

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Goal 1: Increase Research Supportive Infrastructure

Objective: Facilitate fund raising efforts, equipment acquisition and maintenance, scholarly development and compliance with federal guidelines

Strategies:

- Institute workshops in grant writing
- Assist with identifying funding opportunities for scholarly activities and support equipment
- Institute and oversee institutional committees to ensure compliance with federal guidelines for biosafety, animal care and use, time and effort reporting, radiation safety, chemical safety and export controls.
- Support maintenance contracts on key instrumentation and infrastructure investments to ensure research competitiveness.
- Support travel to locations for exhibits, presentations or symposia participation

Goal 2: Increase Expertise in Specific Research Clusters

Objective: Gain national and international prominence in multiple areas of expertise in faculty and staff scholarly activities.

Strategies:

- Enhance existing research clusters in Environmental Science and Toxicology; Laser Sensors and Applications; Learning-Centered Research, Evaluation and Implementation; and Heritage and Cultural Studies, through strategic faculty hires, enabling start up packages, seed grants, and graduate student support.
- Enhance emerging targeted research clusters in Plant Biotechnology, Food Science, and Technology
- Identity Management Solutions through multiple faculty hires, enabling start up packages, seed grants, and graduate student support.
- Enhance library access to scholarly publications—online and in print.

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Goal 3: Achieve knowledge-based economic development in northeast Arkansas.

Objective: Enhance opportunities for faculty and staff in colleges and administrative departments to become entrepreneurial and to increase intellectual property-driven activities.

Strategies:

- File patent and copyright applications based on disclosures of inventions from faculty and staff.
- Pursue opportunities to license technology and copyrights to outside companies.
- Enable development of new companies from within the university community to capitalize on internal inventions and copyrights.
- Develop a technology incubator and a research park.

Goal 4: Enhance and Integrate the Arkansas Biosciences Institute Initiative funded from the Tobacco Settlement Proceeds.

Objective: To effectively utilize the research and academic activities of the Arkansas Biosciences Institute (ABI) within Arkansas State University-Jonesboro, the community, region, and state.

Strategies:

- Provide support for the teaching and service activities of the ABI faculty.
- Develop, in conjunction with other ABI partners, a joint program of electronic journal access.
- Increase outreach activities using the proven interest in the plant biotechnology research conducted within ABI to increase interest in STEM disciplines.
 - Conduct summer camps for middle level – high school students.
 - Expand the Biotech in a Box program to be able to train teachers and reach larger number of middle to high school students in northeastern Arkansas.
 - Provide a program of paid research internships for under-represented undergraduate students to create awareness of career opportunities.

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- Provide mentoring programs to enhance research skills of non-ABI faculty through interactions with ABI researchers and staff.
- Provide support for the technology transfer and economic development activities of ABI faculty and staff.
- Create monthly seminar series bringing in nationally known speakers on a wide array of topics.
- Create monthly forums for community and press on appropriate issues of public concern. Develop a traveling ABI speakers' series to communicate ABI research activities to other institutions and constituencies throughout the state.
- Provide maintenance and utility support to the ABI facility. Recent utility increases have significantly impacted the ABI budget.

Goal 5: Establish an Institute for Environmental Sustainability

Objective: To establish Arkansas State University-Jonesboro as a Research Institute bridging Agriculture, Industry, and the Environment

Strategies:

- Research in the Institute will center on global issues of environmental sustainability in agricultural deltas.
- Integrating agriculture in the Arkansas Delta with research programs in the environmental sciences, faculty and students will work in cross-disciplinary research teams whose research centers on issues of environmental sustainability in the region, nationally, and internationally.
- Coordinate programs such as the federally funded Mississippi Embayment Ground Water Resources Study and the Delta Water Center and the on-going plant-based research of the Arkansas State University-Arkansas Biosciences Institute will lead to the development of a nationally and internationally renowned center of excellence.
- The Institute will foster a climate of collaboration and serve as a virtual research institute for research efforts in assessing the impact of agriculture (both historical and current) on the environment.
- Additional faculty hires would be targeted to the study of emerging issues such as phytoremediation that

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leverages the biotechnology work of the Arkansas Biosciences Institute with the environmental assessment expertise of Arkansas State University faculty. An additional focus will be an expansion of the Ecotoxicology Research Facility to include the issues associated with nanotechnology thus bridging environmental research with emerging industrial and medical sectors.

- The Institute will serve students and the community through internships, fellowships, and public forums/conferences designed to disseminate research and Institute developed technologies to the stakeholders of the region.

Goal 6: Establish a Forensics Laboratory

Objective: To leverage the research strengths of Arkansas State University faculty to establish a satellite forensics laboratory for the State of Arkansas

Strategies:

- In collaboration with the Arkansas State Crime Laboratory, identify areas in which faculty expertise and facilities at Arkansas State University-Jonesboro could be leveraged to assist the state laboratory in processing evidence.
- Current and new analytical instrumentation at Arkansas State University-Jonesboro will be linked to state laboratory needs by providing a centralized location for a satellite testing facility to be housed at the Arkansas State University-Jonesboro campus.
- Research and teaching within the Forensic Science program will be leveraged into the Arkansas State University-Jonesboro Forensics Laboratory by providing training opportunities for students.
- The establishment of a Forensics Laboratory at Arkansas State University-Jonesboro will lead to the development of new employment opportunities for highly trained students.
- The Forensics Laboratory at Arkansas State University-Jonesboro will be an analytical facility available to law enforcement agencies throughout the state.

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Goal 7: Enhance Agricultural Research and Education to Serve Eastern Arkansas

Objective: Expand support of the ASU-J Farm Complex to enable implementation of new research initiatives.

Strategies:

- The ASU-J Farm Complex requires extensive facilities upgrades in order to support new and expanded research initiatives, including expanded collaborations with the UA Division of Agriculture, USDA, EPA, and other state, regional, and national collaborators.

Goal 8: Expand Research in the Mississippi River Delta Region

Objective: Integrate research needs and opportunities in the Delta with the ASU Heritage Studies Ph.D. program.

Strategies:

- The Arkansas Delta is the most impoverished region in the state and one of the poorest regions in the nation, yet it has a rich and complex natural and cultural heritage that must be documented.
- Little research has been done to understand this region's comparative relationship with other river deltas in the world, other regions in the South, or the rest of Arkansas. Such comparative studies will continue as a focus of the Heritage Studies Ph.D. program.
- Much of the heritage of the Delta resides in oral tradition and must be systematically gathered and transcribed for use in further research and for the benefit of future generations. Already, the development of this region in the early 20th century is being lost as this generation passes.

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PROGRAM 3: COMMUNITY SERVICE

Program Definition: Continue to closely align our campus services and academic programs with our community's needs.

Goal 1: Provide Student Affairs Internships.

Objective: Provide support for student education and professional development by funding Student Affairs internships. Students in professional programs such as Counseling Ed.S. and College Student Personnel Services M.S. requires internship experience prior to graduation.

Strategies:

- Enable Student Affairs departments to attract and support promising future Student Affairs professionals through paid internship positions funded through part-time employment appropriations.
- Enable departments to expand professional services to the university community in a cost efficient manner.

Goal 2: Provide Academic and Professional Internships and Service Learning Opportunities.

Objective: Develop resources for internships and service learning opportunities for students to work within the community and Delta region.

Strategies:

- Partner students through internships or service learning opportunities with civic or cultural organizations (i.e. Spanish majors working at the Hispanic Community Center)
- Enable departments to expand professional services to the university, local and regional community, and government in a cost efficient manner (i.e. Center for Survey Research, Political Science Department)
- Partner students with local industry for research or professional internships.

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Goal 3: Provide Opportunities for the Public and Alumni to Stay On Campus.

Objective: Renovate the Kays Home into an attractive, state of the art bed and breakfast, similar to the Captain Henderson House (www.hsu.edu/Captain-Henderson-House) at HSU and Carnall Hall (www.innatcarnallhall.com) at the University of Arkansas, Fayetteville.

Strategies:

- The facility would provide an on campus place for alums and others to stay while visiting the area.
- Each suite could be supported by, and named for, a major benefactor.

Goal 4: Provide Public Facilities Operational and Maintenance Support

Objective: To obtain operational support that will enable ASU-J to provide cultural and community enrichment opportunities to Jonesboro and Northeast Arkansas residents.

Strategies:

- ASU-J supports community enrichment opportunities through public access to university facilities including, but not limited to the Fowler Center, the Dean B. Ellis Library, the ASU Museum, and the Center for Economic Development, the Equine Center, the football stadium, the Convocation Center, Regional Programs, and other campus facilities.
- Funding for public use of these facilities would allow ASU-J to continue to offer access to our state taxpayers at a minimal charge.

Goal 5: Develop an Inter-modal Mass Transit, Pedestrian Access, and Parking System

Objective: To make ASU-J accessible and navigable by vehicular, pedestrian, and bimodal transportation methods.

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Strategies:

- Construction of a tri-level parking garage located on the Southwest portion of campus will provide additional parking spaces to support growth in this region of campus; e.g., the Biosciences Research facility, a new College of Business building and the new Recreational/Athletic fields. Funds are needed for operational costs and bond debt payments.
- Development of a shuttle service to facilitate parking in locations on the periphery of the campus core. Individuals parking in the out-lying areas would have access to a shuttle which would transport them from vehicles to the campus core at appointed schedules during the day. This will have a double benefit of freeing up not only premium parking places, but lessening the vehicular traffic on campus, thus increasing safety. Funds are needed for operational costs and debt payments.

Goal 6: Expand programs that contribute to community economic development

Objective: Establish Delta Heritage SITES (System Initiatives for Teaching and Economic Support) to serve as an economic catalyst and educational laboratory in the Arkansas Delta region.

Strategies:

- ASU has developed the Hemingway-Pfeiffer Museum and Educational Center at Piggott and is in the process of restoring and opening the Southern Tenant Farmers Museum in Tyronza and the Lakeport Plantation in Lake Village.
- Each of these SITES tells a story of national significance and has the potential to attract tourists and preservation professionals from around the country establishing heritage tourism as an economic engine for the communities.
- Based on current tourism expenditures in the region, each SITE is projected to generate \$1.2 million in direct tourism expenditures annually in each community.

ARKANSAS STATE UNIVERSITY - JONESBORO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Evidence of the potential for these sites to stimulate economic development has been demonstrated in Piggott, where the community added 18 new tourism-related businesses within the first two years after opening the Hemingway-Pfeiffer Museum.
- These Heritage SITES, in conjunction with the ASU Museum, the Department of Arkansas Heritage, the Arkansas Parks and Tourism Department and other partners will provide preservation, heritage tourism and museum development assistance, and services to other communities within their respective regions.

Goal 7: Enhance Economic Development throughout the Delta.

Objective: Position the Delta Center for Economic Development as the entity to regionalize or bring together entities throughout the Delta to coordinate and facilitate continued Economic development for the area.

Strategies:

- Support the Delta Economic Development Center to adequately meet ADHE's requirement that economic development activities are a key component of the role, scope, and mission of academic institutions throughout the state.
- Facilitate the acquisition of and participation in the development of funding enhancement of the infrastructure of the Delta Center for Economic Development in order to expand/grow economic development activities throughout the state.

Goal 8: Enhance the Position and Image of the College of Business

Objective: Enhance the College of Business with new facilities that will support student growth and retention, recruitment and retention of qualified faculty, and the image of the College regionally, nationally, and internationally.

ARKANSAS STATE UNIVERSITY - JONESBORO

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Strategies:

- Pursue additional EDA funds of \$1.5-\$1.8 million to combine with previously awarded EDA funds of \$1.8 million.
- Leverage the \$3.3-\$4.6 million of federal funds to acquire additional state, federal, and private funds to construct a modern, state-of-the-art facility to house the College of Business and the Delta Center for Economic Development.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

ARKANSAS STATE UNIVERSITY - JONESBORO
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	38,128,391	42,216,239	44,271,645	46,378,436
2 RESEARCH	2,160,382	174,820	379,191	588,670
3 PUBLIC SERVICE	3,153,579	2,488,072	2,550,274	2,614,031
4 ACADEMIC SUPPORT	13,211,227	12,741,378	13,439,583	14,275,573
5 STUDENT SERVICES	6,533,926	4,942,685	5,066,252	5,442,908
6 INSTITUTIONAL SUPPORT	11,281,608	16,267,199	18,673,879	19,933,619
7 PHYSICAL PLANT M&O	11,295,681	11,160,420	11,939,431	13,737,916
8 SCHOLARSHIPS & FELLOWSHIPS	10,813,503	12,776,449	16,095,860	16,998,257
9				
10				
11				
12				
13 MANDATORY TRANSFERS	2,075,050	2,580,266		
14 AUXILIARY TRANSFERS	1,027,282	1,057,166		
15 NON-MANDATORY TRANSFERS	4,971,427			
16 TOTAL UNREST. E&G EXP.	\$104,652,056	\$106,404,694	\$112,416,115	\$119,969,410
17 NET LOCAL INCOME	51,835,414	50,285,790	51,542,935	52,058,364
18 PRIOR YEAR BALANCE	266,252	700,000	0	0
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	52,492,872	55,418,904	60,873,180	67,911,046
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **	57,518			
23 TOTAL SOURCES OF INCOME	\$104,652,056	\$106,404,694	\$112,416,115	\$119,969,410

Actual Other State Funds = Higher Education Tuition Adjustment Fund reimbursement

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

ARKANSAS STATE UNIVERSITY - JONESBORO
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	46,549,758	47,804,574	46,549,758	47,065,187
2 ALL OTHER FEES	1,750,097	1,164,300	1,750,097	1,750,097
3 OFF-CAMPUS CREDIT	101,242	0	101,242	101,242
4 NON-CREDIT INSTRUCTION		160,000	0	0
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	548,558	389,550	256,079	256,079
6 INVESTMENT INCOME	399,870	400,000	399,870	399,870
7 OTHER CASH INCOME:	2,485,889	1,169,254	2,485,889	2,485,889
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	51,835,414	51,087,678	51,542,935	52,058,364
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$51,835,414	\$51,087,678	\$51,542,935	\$52,058,364

FORM 07-3

OTHER:	
FEDERAL GRANTS	729,373
STATE GRANTS	277,903
PRIVATE/LOCAL GIFTS AND GRANTS	968,305
RENTAL AND LEASE INCOME	55,083
MISCELLANEOUS	455,225
	2,485,889

**APPROPRIATION FOR STATE TREASURY
REVENUE FUND
2007-09 BIENNIUM**

FUND CKA0000

INSTITUTION ARKANSAS STATE UNIVERSITY - JONESBORO

APPROPRIATION 299

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	49,309,964	49,438,241	54,113,687	59,596,533		
2 EXTRA HELP WAGES	61,656	118,456	120,825	123,242		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	4,260,358	5,210,176	5,616,481	6,565,000		
5 OPERATING EXPENSES	2,624,995	4,280,843	5,079,317	5,941,697		
6 CONFERENCE FEES & TRAVEL		59,500	0	0		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY		201,600	230,800	230,800		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION	500,000	500,000	500,000	500,000		
11						
12						
13						
14 TOTAL APPROPRIATION	56,756,973	59,808,816	65,661,111	72,957,271	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	50,613,886	53,253,625	59,105,920	66,402,080		
17 EDUCATIONAL EXCELLENCE TRUST FUND	5,490,876	5,891,423	5,891,423	5,891,423		
18 SPECIAL REVENUES * [WF2000]	497,940	663,768	663,768	663,768		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS	224,339					
22 TOTAL INCOME	\$56,827,041	\$59,808,816	\$65,661,111	\$72,957,271	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

Actual Other State Treasury Funds = Higher Education Tuition Adjustment Fund reimbursement, NSF reimbursement grants, and M&R proceeds

**APPROPRIATION DOCUMENT
REVISOR FOR STATE TREASURY
2007-09 BIENNIUM**

FUND TSF0100

INSTITUTION ARKANSAS STATE UNIVERSITY - JONESBORO
ARKANSAS BIOSCIENCES INSTITUTE

APPROPRIATION 318

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	1,119,900	1,684,119	2,230,616	2,230,616		
2 EXTRA HELP WAGES		1,000	51,000	51,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	255,036	386,685	673,341	673,341		
5 OPERATING EXPENSES	917,321	695,693	1,140,245	1,140,245		
6 CONFERENCE FEES & TRAVEL			100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY		89,368	720,000	720,000		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	2,292,256	2,856,865	4,915,202	4,915,202	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS	3,162,896	2,856,865	4,915,202	4,915,202		
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$3,162,896	\$2,856,865	\$4,915,202	\$4,915,202	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 205000

INSTITUTION ARKANSAS STATE UNIVERSITY - JONESBORO

APPROPRIATION A70

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	14,617,092	25,068,384	25,820,436	25,820,436		
2 EXTRA HELP WAGES	3,004,603	13,172,775	13,567,958	13,567,958		
3 OVERTIME		200,000	200,000	200,000		
4 PERSONAL SERVICES MATCHING	1,765,817	14,032,623	14,453,602	14,887,210		
5 OPERATING EXPENSES	14,051,718	26,318,908	27,108,475	27,921,729		
6 CONFERENCE FEES & TRAVEL	2,961,014	4,029,129	4,150,003	4,150,003		
7 PROFESSIONAL FEES AND SERVICES	7,316,859	6,098,000	6,280,940	6,280,940		
8 DATA PROCESSING		8,155,000	8,399,650	8,399,650		
9 CAPITAL OUTLAY	12,050,176	6,000,000	6,180,000	6,180,000		
10 CAPITAL IMPROVEMENTS		80,000,000	80,000,000	80,000,000		
11 DEBT SERVICE	6,020,080	8,000,000	8,240,000	8,240,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		4,000,000	4,120,000	4,120,000		
13 RESALE		125,000	125,000	125,000		
14						
15						
16 CONTINGENCY		50,000,000	50,000,000	50,000,000		
17 TOTAL APPROPRIATION	\$61,787,359	\$245,199,819	\$248,646,064	\$249,892,926	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS						
20 FEDERAL CASH FUNDS						
21 OTHER CASH FUNDS	61,787,359	245,199,819	248,646,064	249,892,926		
22 TOTAL INCOME	\$61,787,359	\$245,199,819	\$248,646,064	\$249,892,926	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	2,128	1,632	1,690	2,289	2,179		
TOBACCO POSITIONS	50	20	28	56	56		
EXTRA HELP **	2,114	594	594	2,114	2,114		

** Includes both ABI and all ASU-Jonesboro extra help positions

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

REVISED DOCUMENT

ARKANSAS STATE UNIVERSITY - JONESBORO

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	6,618,757	10,102,314		-\$3,483,557	6,808,377	9,834,954	0	-\$3,026,577
2 RESIDENCE HALL	4,396,959	2,595,644	929,158	\$872,157	5,320,714	3,492,124	2,050,707	-222,117
3 MARRIED STUDENT HOUSING	1,382,845	287,779	777,326	\$317,740	1,518,910	328,269	896,169	294,472
4 FACULTY HOUSING	149,134	37,332		\$111,802	366,000	214,380	0	151,620
5 FOOD SERVICES	215,354	162,077		\$53,277	240,000	165,548	0	74,452
6 COLLEGE UNION	2,360,376	857,343	1,882,972	-\$379,939	2,350,000	599,554	1,547,300	203,146
7 BOOKSTORE	184,639	7,657		\$176,982	265,000	67,224	0	197,776
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	154,709	191,152		-\$36,443	213,200	212,297	0	903
9 STUDENT HEALTH SERVICES				\$0	0	0	0	0
10 OTHER	3,950,857	2,864,088	544,204	\$542,565	4,809,188	3,540,029	0	1,269,159
11 SUBTOTAL	\$19,413,630	\$17,105,386	\$4,133,660	-\$1,825,416	\$21,891,389	\$18,454,379	\$4,494,176	-\$1,057,166
12 ATHLETIC TRANSFER **	1,027,282			1,027,282				1,057,166
13 OTHER TRANSFERS ***	727,801			727,801				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$21,168,713	\$17,105,386	\$4,133,660	-\$70,333	\$21,891,389	\$18,454,379	\$4,494,176	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

OTHER includes: Northpark Plaza, Student Development & Leadership, Fitness Center, Camps & Clinics, Farms, Convocation Center, Parking Garage, Longevity Bonus Pool, Post Office, Copy center, Library Xerox machines, Arkansas Services Center, and Vending.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 1402

SECTION#: 4

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CARRY FORWARD. Such appropriation as is authorized in this Act which remains at the end of the first fiscal year of the biennium may be carried forward into the second fiscal year of the biennium there to be used for the same purposes.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 1402

SECTION#: 5

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER RESTRICTIONS. The appropriations provided in this act shall not be transferred under the provisions of Arkansas Code 19-4-522 or the provisions of Arkansas Code 6-62-104, but only as provided by this act.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 1402

SECTION#: 6

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER PROVISIONS. The state-supported institution of higher education in this act may transfer appropriations between the various line items within each appropriation contained in this appropriation act. Such transfers shall be made only after the approval of the Department of Higher Education and the Chief Fiscal Officer of the State, and the approval of the Legislative Council.

The General Assembly has determined that the institution in this act could be operated more efficiently if some flexibility is given to that institution and that flexibility is being accomplished by providing authority to transfer between items of appropriation made by this act. Since the General Assembly has granted the institution broad powers under the transfer of appropriations, it is both necessary and appropriate that the General Assembly maintain oversight of the utilization of the transfers by requiring prior approval of the Legislative Council in the utilization of the transfer authority. Therefore, the requirement of approval by the Legislative Council is not a severable part of this section. If the requirement of approval by the Legislative Council is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 1402

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

POSITIONS. (a) Nothing in this act shall be construed as a commitment of the State of Arkansas or any of its agencies or institutions to continue funding any position paid from the proceeds of the Tobacco Settlement in the event that Tobacco Settlement funds are not sufficient to finance the position.

(b) State funds will not be used to replace Tobacco Settlement funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

(c) A disclosure of the language contained in (a) and (b) of this Section shall be made available to all new hire and current positions paid from the proceeds of the Tobacco Settlement by the Tobacco Settlement Commission.

(d) Whenever applicable the information contained in (a) and (b) of this Section shall be included in the employee handbook and or Professional Services Contract paid from the proceeds of the Tobacco Settlement.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 1402

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

COMPLIANCE WITH OTHER LAWS. Disbursement of funds authorized by this act shall be limited to the appropriation for such agency and funds made available by law for the support of such appropriations; and the restrictions of the State Purchasing Law, the General Accounting and Budgetary Procedures Law, the Regular Salary Procedures and Restrictions Act, or their successors, and other fiscal control laws of this State, where applicable, and regulations promulgated by the Department of Finance and Administration, as authorized by law, shall be strictly complied with in disbursement of said funds.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 1402

SECTION#: 9

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

LEGISLATIVE INTENT. It is the intent of the General Assembly that any funds disbursed under the authority of the appropriations contained in this act shall be in compliance with the stated reasons for which this act was adopted, as evidenced by Initiated Act 1 of 2000, the Agency Requests, Executive Recommendations and Legislative Recommendations contained in the budget manuals prepared by the Department of Finance and Administration, letters, or summarized oral testimony in the official minutes of the Arkansas Legislative Council or Joint Budget Committee which relate to its passage and adoption.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 2120

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

REALLOCATION OF RESOURCES. Upon determination by the President of Arkansas State University that a reallocation of resources for purposes of reorganization or consolidation of administrative functions within the university is necessary for efficient and effective operations of the university, the president, with approval of the Arkansas State University Board of Trustees, may have the authority to transfer positions, appropriations and related funds between campuses, divisions, branches, and other budgetary units of Arkansas State University. The transfers of positions, programs, or activities shall be used for those purposes for which the appropriations were approved by the General Assembly. The transfers, consolidation, or reorganization which involve academic programs shall be reviewed by the Department of Higher Education and receive prior approval from the Legislative Council or the Joint Budget Committee.

Determining the maximum number of employees and the maximum amount of appropriation and general revenue funding for a state agency each fiscal year is the prerogative of the General Assembly. This is usually accomplished by delineating such maximums in the appropriation act(s) for a state agency and the general revenue allocations authorized for each fund and fund account by amendment to the Revenue Stabilization law. Further, the General Assembly has determined that Arkansas State

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 2120

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

University may operate more efficiently if some flexibility is provided to Arkansas State University authorizing broad powers under this Section. Therefore, it is both necessary and appropriate that the General Assembly maintain oversight by requiring prior approval of the Legislative Council or Joint Budget Committee as provided by this section. The requirement of approval by the Legislative Council or Joint Budget Committee is not a severable part of this section. If the requirement of approval by the Legislative Council or Joint Budget Committee is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 2120

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

LEASES. Notwithstanding any law to the contrary, the Board of Trustees of Arkansas State University may hereafter lease facilities for operations for room and board purposes in any manner and upon terms the board deems to be in the best interest of the university. Action taken under this act shall be by written resolution adopted by ~~at least a majority of the members of the board.~~

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 2120

SECTION#: 9

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CONTINGENCY. (a) To address needs emerging from the restructuring of the Technical Institutes, ASU Technical Center shall establish and maintain for the ~~2005-2007~~ 2007-2009 biennium a pool of four (4) unclassified positions and three (3) classified positions, payable from funds appropriated for ASU Technical Center. These positions are to be used by ASU Technical Center in the event that the number and level of positions available to address the needs emerging from business and industry driven program expansions exceeds the number of positions regularly authorized for ASU Technical Center.

(b) ASU Technical Center shall provide justification to the Department of Finance and Administration's Office of Personnel Management (OPM) for the need to allocate titles from this position pool. No classifications will be assigned to the pool until such time as specific positions are requested and justified by ASU Technical Center, recommended by OPM and approved by the Legislative Council. ASU Technical Center may transfer positions from this pool to any pay schedule the agency currently maintains or any pay schedule the agency receives during the ~~2005-2007~~ 2007-2009 biennium.

(c) Determining the number of personnel to be employed by a state agency is the prerogative of the General Assembly and is usually accomplished by delineating the maximum number of personnel by

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 2120

SECTION#: 9

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

identifying job titles and the maximum grade or salary attached to those titles. The General Assembly has determined that ASU Technical Center could be operated more efficiently if some flexibility is given to that agency. That flexibility is being accomplished by providing growth pools in subsection (a) of this section and since the General Assembly has granted the agency broad powers under the growth pool concept, it is both necessary and appropriate that the General Assembly maintain oversight of the utilization of the growth pool by requiring prior approval of the Legislative Council in the utilization of the growth pool. Therefore, the requirement of approval by the Legislative Council is not a severable part of this section. If the requirement of approval by the Legislative Council is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.

If the agency requests continuation of a "Growth Pool" position(s) as established herein during the next biennium, the position(s) must be requested as a new position(s) in the agencies biennial budget request.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: 2120

SECTION#: 10

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management ~~or~~ and control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: new1

SECTION#: new1

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CHANCELLOR HOUSING ALLOWANCE. The state-supported institution of higher education in this act may provide a monthly housing allowance for its system president of \$3,000, for its four-year campus chancellors of \$2,500, and for its two-year campus chancellors of \$1,500 to assist in recruiting and retaining effective leadership for higher education within the state. Any housing allowances must be approved by the Board of Trustees of Arkansas State University.

NOT RECOMMENDED DUE TO A.C.A 6-62-108 AND 6-61-525

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: new2

SECTION#: new2

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL SALARY ALLOWANCE FOR SELECT POSITIONS. The state-supported institution of higher education in this act may provide market salary adjustments for non-classified positions for which it deems difficult to recruit or retain. Such positions may be paid at 35% above line item maximums under extraordinary circumstances as determined by the institution and approved by the President of the system and the Board of Trustees of Arkansas State University.

NOT RECOMMENDED DUE TO A.C.A 6-63-309

2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT

REVISED DOCUMENT

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: new3

SECTION#: new3

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SALARY ADJUSTMENTS. Due to the highly competitive nature of the employment market in the service area of Arkansas State University, the institution shall be authorized to employ new candidates or retain current employees at rates of pay not to exceed Pay Level III for the following classifications:

K153, Secretary II/Grade 12

K155, Secretary I/Grade 11

G171, Custodial Worker I/Grade 3

G045, Equipment Operator/Grade 8

Y001, Apprentice Tradesman/Grade 11

E006, Caregiver I/Grade 4

E004, Caregiver II/Grade 8

E006, Caregiver III/Grade 12

B045, Laboratory Coordinator/Grade 15

N318, Curator/Grade 20

Arkansas State University shall report all such salary adjustments to the State Office of Personnel (Higher Education Section) not later than the pay period following such action and the Office of Personnel Management shall in turn report such actions to the Uniform Personnel Committee at the next

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0125 AR STATE UNIVERSITY - JONESBORO

ACT#: new3

SECTION#: new3

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

scheduled meeting. Authority under this section shall be subject to audit by both the Office of Personnel Management and the Legislative Council and may be rescinded for improper use of application. The provisions of this section shall be in effect only from July 1, 2007 to June 30, 2009.

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

ARKANSAS STATE UNIVERSITY - JONESBORO
 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 :						<u>1,342</u>
(As of November 1, 2005)						
Nonclassified Administrative Employees:						
White Male:	<u>112</u>	Black Male:	<u>18</u>	Other Male:	<u>11</u>	Total Male: <u>141</u>
White Female:	<u>144</u>	Black Female:	<u>16</u>	Other Female:	<u>5</u>	Total Female: <u>165</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>187</u>	Black Male:	<u>24</u>	Other Male:	<u>5</u>	Total Male: <u>216</u>
White Female:	<u>322</u>	Black Female:	<u>42</u>	Other Female:	<u>9</u>	Total Female: <u>373</u>
Faculty:						
White Male:	<u>209</u>	Black Male:	<u>13</u>	Other Male:	<u>17</u>	Total Male: <u>239</u>
White Female:	<u>177</u>	Black Female:	<u>21</u>	Other Female:	<u>10</u>	Total Female: <u>208</u>
Total White Male:						<u>508</u>
Total White Female:						<u>643</u>
Total Black Male:						<u>55</u>
Total Black Female:						<u>79</u>
Total Other Male:						<u>33</u>
Total Other Female:						<u>24</u>
Total White:						<u>1,151</u>
Total Black:						<u>134</u>
Total Other:						<u>57</u>
Total Minority:						<u>191</u>
Total Employees:						<u>1,342</u>

FORM 07-8

<p><i>Finding:</i></p>	<p>Our follow-up of the prior years' audits of the Institution's data center, Human Resources System (HRS), Financial Reporting System (FRS), Loan Management System (LMS) and Student Information System (SIS) applications revealed the following:</p> <p>Access Security Controls are again not adequate.</p> <p>Access security privileges assigned to users appear to be excessive in some cases.</p>
<p><i>Recommendation:</i></p>	<p>The Institution develop and implement a formal review process to establish adequate, yet not excessive, security access privilege needs by job role and or specific employee, and a process be established for comparing those needs to actual security access privileges granted.</p>
<p><i>Institution's Response:</i></p>	<p>Each functional area has reviewed individual access for appropriateness. Changes and/or reductions in access are being implemented and a signoff indicating that the access granted is required for completion of job responsibilities has been completed by the data owners. This was complete and documented in June of 2005.</p>
<p><i>Finding:</i></p>	<p>Program Change Controls are again not adequate.</p> <p>The following weaknesses were noted:</p> <ul style="list-style-type: none"> • There is no automated system being used to monitor changes to source code. Currently, the Information & Technology Services Department depends on manual procedures to monitor changes to source code but there are no safeguards or controls to ensure that source code is in sync with compiled code or that a copy of source is saved prior to modification. • There are no restrictions in place to prevent Programmers from accessing; modifying and moving source code changes into production. <p>Although the Program Change Control process in place does require testing of</p>

	<p>source code changes and appears to be adequate, the procedure is not documented and there are no documented test results by which to gauge adequacy of source code change tests.</p>
<p><i>Recommendation:</i></p>	<ul style="list-style-type: none"> • Implement a system to track and monitor source code changes. • Implement controls to prevent Programmers from accessing source code in the production environment (to include checking out their own version of source code) and from moving their own code modifications into the production environment. • The Program Change Control process be modified to require standard testing of application source code changes and those tests be documented for proof of diligent and adequate testing efforts.
<p><i>Institution's Response:</i></p>	<ul style="list-style-type: none"> • Source code changes are noted with inline source code comments and manually tracked in a database. Additionally, if the changes are SCT supplied, then SCT documentation is provided. If the change is an ASU modification, the modification is documented, the original SCT source code is renamed programnamex.c and retained in the modified library ("SRCA") and the modified source is placed in the ASU modified library ("SCRA") under the programname.c. The IBM VSE Librarian facility shows creation date and modification date but does not have control nor audit features. The IBM VSE Librarian facility will be removed from service in September 2008 when the IBM is decommissioned on account of the new ERP solution. With the implementation of the new ERP system source code changes will be implemented by the Data Base Administrators (DBAs) only. • With the implementation of the new ERP system and its security structure, source code changes will be made to production by the DBAs only providing a "gatekeeper" for source changes. • Request forms were modified effective September 2004 based on the 2004 audit findings providing a testing signoff for functional areas.
<p><i>Finding:</i></p>	<p>Disaster Recovery or Business Continuity Plans are again not adequate.</p> <p>The following weaknesses were noted:</p>

	<ul style="list-style-type: none"> • There is no formal, documented and approved Disaster Recovery Plan. This situation could cause the University to be without computer-processing abilities for an extended period of time in the event of a disaster or major interruption; no disaster recovery testing has been done, without adequate testing of the plan, there is no way to insure the plan will work as designed. • There is no formal, documented and approved Business Continuity Plan. Application users have no manual procedures to activate in disaster situation. Without manual procedures, operations could not continue after a disaster.
<p><i>Recommendation:</i></p>	<ul style="list-style-type: none"> • A formal Disaster Recovery Plan be developed, documented, approved by management and tested on a periodic basis, a copy of this plan should also be stored at a secure off-site location. At a minimum, the plan should: <ol style="list-style-type: none"> a. Identify critical applications that must be restored. b. Identify software and data file backups needed to restore these applications. Backup retention periods and a secure off-site storage location should be identified and utilized. c. Address the acquisition of replacement hardware should it be needed. d. Identify an alternate processing site should it be needed. e. Identify alternate procedures the users can use to cope with the unavailability of the computer application during the recovery period. • A formal Business Continuity Plan be developed, documented, approved by management and tested on a periodic basis, a copy of this plan should also be stored at a secure off-site location; Each application's user department should develop, test and document manual procedures to be used in lieu of their respective computerized application in the event the application can no longer be utilized.
<p><i>Institution's Response:</i></p>	<ul style="list-style-type: none"> • A Disaster Recovery Plan Team is currently meeting on a weekly basis with the goal of developing and documenting a formal plan by mid-

	<p>February 2006 with a tentative test scheduled for summer 2006.</p> <ul style="list-style-type: none">• Phase II (Spring 2006) of the Disaster Recovery Plan process will include preparation of Continuity Plans for each application's department.
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REVISED DOCUMENT

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY - SYSTEM
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 NON-CLASSIFIED SALARIES	981,752	1,126,537	2,741,948	1,182,864	2,822,080	1,242,007
2 CLASSIFIED SALARIES	142,894	34,720	193,166	35,762	198,331	36,834
3 STAFF BENEFITS	251,220	348,377	880,534	365,796	906,123	384,086
4 EXTRA HELP	16,202	0	17,066	0	17,578	0
5 MAINTENANCE & OPERATIONS	220,007	552,192	1,137,516	652,192	1,171,640	702,192
6 CAPITAL OUTLAY	31,000	0	0	0	0	0
7 TRAVEL	92,157	49,500	101,970	54,450	105,030	59,895
8 DEBT SERVICE						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$1,735,232	\$2,111,326	\$5,072,200	\$2,291,063	\$5,220,782	\$2,425,014
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE	266,151	609,354	627,635	501,734	646,463	492,037
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	1,469,081	1,501,972	4,444,565	1,789,329	4,574,319	1,932,977
20 WORKFORCE 2000		0				
21 TOBACCO SETTLEMENT FUNDS		0				
22 OTHER STATE FUNDS **		0				
23 TOTAL SOURCES OF INCOME	\$1,735,232	\$2,111,326	\$5,072,200	\$2,291,063	\$5,220,782	\$2,425,014

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY - TECHNICAL CENTER

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 NON-CLASSIFIED SALARIES	1,334,376	1,294,840	1,493,831	1,333,685	1,538,557	1,373,696
2 CLASSIFIED SALARIES	410,717	426,006	438,786	438,786	451,950	451,950
3 STAFF BENEFITS	500,510	508,480	586,279	523,734	603,534	539,446
4 EXTRA HELP	11,760	56,800	68,504	58,504	70,559	60,259
5 MAINTENANCE & OPERATIONS	1,187,694	1,591,661	2,234,702	1,633,739	2,301,744	1,674,120
6 CAPITAL OUTLAY	52,993	120,800	604,222	120,800	622,349	124,424
7 TRAVEL	6,288					
8 DEBT SERVICE						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$3,504,338	\$3,998,587	\$5,426,324	\$4,109,249	\$5,588,693	\$4,223,895
17 NET LOCAL INCOME	863,589	1,110,647	1,110,647	1,110,647	1,110,647	1,110,647
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	2,142,809	2,224,172	3,812,262	2,334,834	3,974,631	2,449,480
20 WORKFORCE 2000	497,940	663,768	503,415	663,768	503,415	663,768
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$3,504,338	\$3,998,587	\$5,426,324	\$4,109,249	\$5,588,693	\$4,223,895

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

ARKANSAS STATE UNIVERSITY - TECHNICAL CENTER
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	610,277	564,000	564,000	564,000
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS		0	0	0
6 INVESTMENT INCOME	4,258	0	0	0
7 OTHER CASH INCOME:	249,054	546,647	546,647	546,647
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	863,589	1,110,647	1,110,647	1,110,647
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$863,589	\$1,110,647	\$1,110,647	\$1,110,647

FORM 07-3

OTHER:
RESALE 139,133
MISCELLANEOUS 109,921
249,054

ARKANSAS TECH UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

The basis of all institutional goals, objectives, and plans at Arkansas Tech University is founded in the Role and Scope of the University as determined by the State of Arkansas and the University Mission Statement approved by the Board of Trustees.

Arkansas Tech University operates with one preeminent goal and purpose: to produce, for the benefit of our students and the people of Arkansas, the highest quality instruction and service which is possible within limits of the resources over which we have been appointed as stewards.

All other activity on our campus, be it research, public service, outreach, student services, the administration of faculty and personnel policies, management of fiscal resources, the planning, construction, and maintenance of the physical plant, private fund raising, or student extracurricular activities, is designed to augment, enhance and supplement this primary purpose of high quality instruction.

To ensure the orderly pursuit of this goal, Arkansas Tech University has engaged in a Strategic Planning process involving the entire campus community, and assisted and facilitated by the most outstanding higher education authority and consulting services in the United States. The University is committed to a major update of our existing Strategic Plan during the 2006-07 Academic Year.

Arkansas Tech University (TECH) is presently in the midst of its twelfth year of implementation of this campus-wide strategic plan. Great strides have been made towards meeting priorities and objectives set forth in the plan. We propose to

◆ ***Enhance the creation and delivery of first quality education services***

Arkansas Tech University remains committed to its role as the premier teaching university in the region it serves. In order to maintain its position, Tech will:

ARKANSAS TECH UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Maintain high quality programs in Engineering, Science, Education, Business, Applied Science, and Liberal Arts
- Ensure faculty salaries and compensation are regionally competitive
- Continue reviewing and strengthening of our SREB Category 4 classification
- Continue to assess learning achievement in all academic programs
- Continually review undergraduate and graduate academic programs and services
- Selectively engage in new program development
- Integrate technology into instructional pedagogy
- Increase accessibility of educational programs and services through technology-enhanced delivery systems
- Increase diversity in faculty, staff, and students
- Ensure that physical facilities enhance and support the learning environment
- Strive for and maintain specialized programmatic accreditation (in addition to regional accreditation) in all areas where we have degree programs
- Through collaborative efforts of Academic Affairs and Student Services, address leadership, citizenship, ethical behavior, and other skills that require both cognitive development and actual experience

◆ ***Develop and implement an Enrollment Management Plan to include effective marketing of the University***

Arkansas Tech is one of the few universities in the nation which has actually met its internal enrollment management goal for size of the student body. We have reached our desired enrollment and for ten successive years, aggregate test scores and grades of each freshman class have been above the state, regional, and national averages. Raising standards have produced eight consecutive years of record enrollments (1999-2000, 2000-2001, 2001-2002, 2002-2003, 2003-2004, 2004-05, 2005-06, and 2006-07).

ATU

ARKANSAS TECH UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

This, however, does not mean that we have realized all of our enrollment management objectives. In spite of receiving a national award for our retention program “Bridge to Excellence” Tech, like all of the other public universities in Arkansas, has a graduation rate below the national average for institutions of our size and type. Therefore, achieving a six year graduation rate that is above the national average for institutions similar to ours now becomes our primary focus in enrollment management. As before, Arkansas Tech will:

- Continue to support the academic advising center as the primary link with our freshman and undeclared students
- Expand partnerships with business, industry, (such as through the Professional Development Institute), educational, and social services to ensure Tech meets the needs of our constituents
- Strengthen ties with public secondary schools to assist prospective students and their families in making appropriate choices regarding Tech and all of higher education
- Coordinate all activities that impact on the student’s decision to attend and stay at Arkansas Tech University through the office of Enrollment Management to ensure resources are used in the most efficient manner
- Utilizing Career Services, smooth the transition from Higher Education to the world of work and career
- Maintain effective Alumni Relations

◆ ***Improve the physical facilities and appearances of the campus***

Arkansas Tech University will continue to improve, enhance, and modernize its physical facilities. To this end, Tech will:

- Continue to press for building a new academic building to address classroom shortages. (We currently have faculty and programs housed in six temporary portable buildings.)
- Continue to renovate and remodel existing buildings when possible

ATU

ARKANSAS TECH UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Develop and build new residential housing capabilities
- Continue good stewardship of fiscal resources to allow for proper maintenance and upgrading of existing facilities

◆ ***Enhance external fund raising and public relations***

Arkansas Tech University realizes that it needs to continue its partnerships with private individuals and corporations. It is through these partnerships that Tech is able to fund scholarships and strengthen academic programs for increased accessibility and enhanced learning. Tech will:

- Develop additional endowed chairs to attract and retain exemplary faculty
- Solicit support for scholarships to allow wider participation in Tech's educational programs
- Aggressively seek partnerships to help the University pursue activities that enhance the student experience at Tech
- Seek to enhance endowments for proper maintenance and updating of technology and facilities
- Solicit support for Academic programs and facilities

The Mission Statement of the University, adopted by the Board of Trustees and the campus community, is presented below:

ARKANSAS TECH UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

Arkansas Tech University, founded in 1909, is a multipurpose, state-supported institution of higher education dedicated to providing an opportunity for higher education to the people of Arkansas and to service the intellectual and cultural needs of the region in which it is located. The University offers a variety of programs committed to excellence in undergraduate and graduate studies. These programs are designed to prepare students to meet the demands of an increasingly competitive and intellectually challenging future by providing opportunities for intellectual growth, skill development, and career preparation. The institution monitors student mastery of general education and specialized studies, retention and graduation rates, and quality of teaching and academic programs to verify and facilitate demonstrable improvements in student knowledge and skills between entrance and graduation.

The basis for the student's intellectual growth and scholarly skill development is the general education program, which provides the context for more advanced and specialized studies and the foundation for life long learning. The general education curriculum is designed to provide university level experiences that engender capabilities in communication, abstract inquiry, critical thinking, analyzing data, and logical reasoning; an understanding of scientific inquiry, global issues, historical perspectives, literary and philosophical ideas, and social and governmental processes; the development of ethical perspectives; and an appreciation for fine and performing arts.

The University provides a range of specialized studies to prepare students to enter career fields or to continue their education at the postgraduate level. Specialized studies are offered within several areas of emphasis: business, professional education, liberal and fine arts, physical and life sciences, information technology, engineering, and applied sciences. Graduate work leading to the master's degree in selected disciplines provides advanced, specialized education which strengthens the academic and professional competence of students and enhances their capacities for scholarly inquiry and research.

The primary function of the University is teaching. *Scholarly research and other professional activities of the faculty, continuing education, and community service are encouraged, promoted, and supported. In keeping with its focus on **teaching**, the University seeks to recruit, develop, and retain faculty who are dedicated to quality teaching and providing dynamic classroom learning experiences that integrate theory and practice. The institution values academic*

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ARKANSAS TECH UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

participate in Center research projects. All Center research projects include a requirement for the researcher to mentor at least one student.

Education

The Center has teamed with the Arkansas Tech Math and Science Institute to offer a graduate course in energy and natural resources for K-12 teachers. This course provides education and laboratory work to better prepare science teachers to teach energy and natural resource topics. Participants are provided equipment, which they take to their school, to reinforce teaching of energy and natural resource topics in K-12 classes.

Service

The Center is making expertise in electrical engineering, mechanical engineering, and geology available, when feasible and requested, to help meet the goals of the Arkansas Manufacturing Extension Network. Cooperation with the Network is expected to increase the quality and quantity of faculty-industry interaction thereby promoting economic development and technology transfer.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

ARKANSAS TECH UNIVERSITY-RUSSELLVILLE CAMPUS
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	21,560,703	22,474,045	24,513,310	27,260,290
2 RESEARCH	715,590	693,723	759,016	844,072
3 PUBLIC SERVICE	5,550	5,623	6,152	6,842
4 ACADEMIC SUPPORT	4,374,830	4,857,341	5,314,514	5,910,062
5 STUDENT SERVICES	3,094,650	3,122,183	3,416,043	3,798,847
6 INSTITUTIONAL SUPPORT	7,034,708	7,665,316	8,386,775	9,326,604
7 PHYSICAL PLANT M&O	4,427,752	4,594,515	5,026,950	5,590,274
8 SCHOLARSHIPS & FELLOWSHIPS	8,507,760	8,896,048	9,733,343	10,824,069
9 OTHER	(22,034)			
10				
11				
12				
13 MANDATORY TRANSFERS	690,086	2,208,019	2,415,838	2,686,558
14 AUXILIARY TRANSFERS	1,171,608	1,163,757	1,273,290	1,415,976
15 NON-MANDATORY TRANSFERS	763,028	347,657	380,378	423,004
16 TOTAL UNREST. E&G EXP.	\$52,324,231	\$56,028,227	\$61,225,609	\$68,086,598
17 NET LOCAL INCOME	29,122,321	27,640,797	28,400,404	29,640,459
18 PRIOR YEAR BALANCE	29,221	69,454	0	0
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	26,580,785	28,317,976	32,825,205	38,446,139
20 WORKFORCE 2000	0	0	0	0
21 TOBACCO SETTLEMENT FUNDS	0	0	0	0
22 OTHER STATE FUNDS **	0	0		
23 TOTAL SOURCES OF INCOME	\$55,732,327	\$56,028,227	\$61,225,609	\$68,086,598

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

ARKANSAS TECH UNIVERSITY-RUSSELLVILLE CAMPUS
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	25,814,701	25,619,957	26,004,286	27,244,341
2 ALL OTHER FEES	136,228	82,200	95,975	95,975
3 OFF-CAMPUS CREDIT	435,329	350,000	465,750	465,750
4 NON-CREDIT INSTRUCTION	931,606	295,652	415,000	415,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	423,963	385,200	411,025	411,025
6 INVESTMENT INCOME	922,767	450,000	500,000	500,000
7 OTHER CASH INCOME:	457,727	457,788	508,368	508,368
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	29,122,321	27,640,797	28,400,404	29,640,459
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	0	0		
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$29,122,321	\$27,640,797	\$28,400,404	\$29,640,459

FORM 07-3

Line 7 Other

Endowment Income	150,705	360,000	360,000	360,000
Other Sources	<u>307,022</u>	<u>97,788</u>	<u>148,368</u>	<u>148,368</u>
Total	457,727	457,788	508,368	508,368

**REVISOR FOR STATE TREASURY
2007-09 BIENNIUM**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION 567

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	21,684,851	24,183,791	26,638,923	28,972,660		
2 EXTRA HELP WAGES	1,062,909	1,091,515	1,283,702	1,286,093		
3 OVERTIME	0	0	0	0		
4 PERSONAL SERVICES MATCHING	4,005,598	3,665,714	4,619,290	6,545,482		
5 OPERATING EXPENSES	2,180,993	2,046,675	2,847,984	4,358,198		
6 CONFERENCE FEES & TRAVEL	29,625	29,625	49,625	49,625		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	70,000	70,000		
8 CAPITAL OUTLAY	0	0	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0		
10 FUNDED DEPRECIATION	348,881	348,881	398,750	398,750		
11						
12						
13						
14 TOTAL APPROPRIATION	\$29,312,857	\$31,366,201	\$35,908,274	\$41,680,808	\$0	\$0
15 PRIOR YEAR FUND BALANCE	29,221	69,454	0	0		
16 GENERAL REVENUE	26,896,181	28,592,902	33,204,429	38,976,963		
17 EDUCATIONAL EXCELLENCE TRUST FUND	1,840,579	1,974,845	1,974,845	1,974,845		
18 SPECIAL REVENUES * [WF2000]	546,876	729,000	729,000	729,000		
19 FEDERAL FUNDS IN STATE TREASURY	0	0	0	0		
20 TOBACCO SETTLEMENT FUNDS	0	0	0	0		
21 OTHER STATE TREASURY FUNDS	0	0	0	0		
22 TOTAL INCOME	\$29,312,857	\$31,366,201	\$35,908,274	\$41,680,808	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 2100000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION B11

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	5,839,764	13,700,000	14,000,000	14,000,000		
2 EXTRA HELP WAGES	1,792,441	1,170,086	1,200,000	1,200,000		
3 OVERTIME	11,759	89,775	90,125	90,125		
4 PERSONAL SERVICES MATCHING	3,866,744	6,197,467	6,408,578	6,408,578		
5 OPERATING EXPENSES	13,624,540	16,620,349	17,931,450	18,505,561		
6 CONFERENCE FEES & TRAVEL	938,835	627,500	650,125	650,125		
7 PROFESSIONAL FEES AND SERVICES	1,045,717	644,000	750,500	750,500		
8 DATA PROCESSING	0	170,000	170,000	170,000		
9 CAPITAL OUTLAY	5,404,029	6,625,000	7,136,000	7,136,000		
10 CAPITAL IMPROVEMENTS	3,887,778	26,000,000	26,750,000	26,750,000		
11 DEBT SERVICE	1,387,508	2,223,563	3,967,750	4,175,750		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	11,150,000	13,150,000	13,150,000		
13 PROMOTIONAL ITEMS	24,003	25,000	50,000	50,000		
14						
15						
16 CONTINGENCY	0	16,700,000	17,500,000	17,500,000		
17 TOTAL APPROPRIATION	\$37,823,118	\$101,942,740	\$109,754,528	\$110,536,639	\$0	\$0
18 PRIOR YEAR FUND BALANCE	0	0	0	0		
19 LOCAL CASH FUNDS	28,961,245	92,774,015	100,008,878	100,402,090		
20 FEDERAL CASH FUNDS	8,861,873	9,168,725	9,745,650	10,134,549		
21 OTHER CASH FUNDS	0	0	0	0		
22 TOTAL INCOME	\$37,823,118	\$101,942,740	\$109,754,528	\$110,536,639	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	1,170	887	918	1,257	1,189		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	958	387	460	958	958		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

ARKANSAS TECH UNIVERSITY
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	1,933,851	2,762,267	98,552	(926,968)	1,856,305	2,645,488	172,466	(961,649)
2 RESIDENCE HALL	3,984,020	2,870,671	926,144	187,205	4,038,076	3,118,616	919,460	0
3 MARRIED STUDENT HOUSING	0	0	0	0	0	0	0	0
4 FACULTY HOUSING	0	0	0	0	0	0	0	0
5 FOOD SERVICES	3,252,006	2,942,483	103,402	206,121	3,412,400	3,306,787	105,613	0
6 COLLEGE UNION	0	0	0	0	0	0	0	0
7 BOOKSTORE	2,508,990	2,462,822	0	46,168	2,534,956	2,534,956	0	0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	318,845	396,873	0	(78,028)	48,940	87,424	0	(38,484)
9 STUDENT HEALTH SERVICES	7,026	144,366		(137,340)	6,500	152,780	0	(146,280)
10 OTHER	471,035	483,216	0	(12,181)	0	0	0	0
11 SUBTOTAL	\$12,475,773	\$12,062,698	\$1,128,098	(\$715,023)	\$11,897,177	\$11,846,051	\$1,197,539	(\$1,146,413)
12 ATHLETIC TRANSFER **	945,000			945,000	961,649			961,649
13 OTHER TRANSFERS ***	16,016			16,016	184,764			184,764
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$13,436,789	\$12,062,698	\$1,128,098	\$245,993	\$13,043,590	\$11,846,051	\$1,197,539	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Line 10 Other

Athletic Camps	71,535	78,880
Music Camps	390,655	396,627
G/T Camps	<u>8,845</u>	<u>7,709</u>
Total	471,035	483,216

FORM 07-6

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0130 AR TECH UNIVERSITY

ACT#: 2185

SECTION#: 6

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FOOD SERVICE. If Arkansas Tech University cancels the current contract for food service and chooses to operate the food service with University employees, the President, upon authorization of the Board of Trustees, is allowed to utilize the contingent positions contained in Section 1 of this Act, provided he or she has obtained approval from the Department of Higher Education and the Chief Fiscal Officer of the State, who has sought prior review by the Arkansas Legislative Council.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0130 AR TECH UNIVERSITY

ACT#: 2185

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees of Arkansas Tech University may make special allowances available to any coach who coaches more than one sport in an amount not to exceed \$10,000 per year for any one coach during each year of the ~~2005-2007~~ 2007-2009 biennium. Further, if the special allowance funds authorized herein are utilized, Arkansas Tech University shall report annually to the Legislative Joint Auditing Committee the exact disposition of those special allowance funds. The provisions of this section shall be in effect from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0130 AR TECH UNIVERSITY

ACT#: 2185

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

ARKANSAS TECH INSTITUTE. The Arkansas Tech Institute (ATI) shall be administered under the direction of Arkansas Tech University. Utilizing a multidisciplinary collaboration of professionals, ATI shall explore, develop, implement, and evaluate new and better ways to integrate the teaching, study and performance of business, engineering, and computer sciences for academic, economic, and economic development purposes in Arkansas. ATI priorities shall include, but are not limited to, economic development, technology development, and "cyber-park" development. Arkansas Tech University shall make annual reports to the Arkansas Legislative Council on all matters of funding, existing programs, and services offered through ATI. The provisions of this section shall be in effect from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0130 AR TECH UNIVERSITY

ACT#: 2185

SECTION#: 9

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

ARKANSAS TECH UNIVERSITY

 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 : 630 (As of November 1, 2005)							
Nonclassified Administrative Employees:					Total	Total	
White Male:	55	Black Male:	2	Other Male:	1	58	
White Female:	66	Black Female:	1	Other Female:	1	68	
Nonclassified Health Care Employees:					Total	Total	
White Male:	0	Black Male:	0	Other Male:	0	0	
White Female:	0	Black Female:	0	Other Female:	0	0	
Classified Employees:					Total	Total	
White Male:	77	Black Male:	0	Other Male:	2	79	
White Female:	167	Black Female:	3	Other Female:	6	176	
Faculty:					Total	Total	
White Male:	122	Black Male:	1	Other Male:	13	136	
White Female:	111	Black Female:	2	Other Female:		113	
Total White Male:	254	Total Black Male:	3	Total Other Male:	16	Total Male:	273
Total White Female:	344	Total Black Female:	6	Total Other Female:	7	Total Female:	357
Total White:	598	Total Black:	9	Total Other:	23	Total Employees:	630
				Total Minority:	32		

FORM 07-8

<p><i>Finding:</i></p>	<p>During the review of Data Center and Information System application controls, the following deficiencies were noted:</p> <ol style="list-style-type: none"> 1. The Data Center does not have adequate safeguards in that: <ul style="list-style-type: none"> • There is no automatic switch over for the backup cooling system. • There is no contingency plan for disaster recovery. 2. The application controls for the Accounts Payable and Purchasing were not adequate in that: <ul style="list-style-type: none"> • There is no formal process whereby program change requests are made and approved, prioritized and tracked. • Final test results are not approved prior to the change going into production. • Programs are moved to and from production and test libraries by the programming staff.
<p><i>Recommendation:</i></p>	<p>Implement controls to safeguard data and obtain approval for programming changes by appropriate personnel.</p>
<p><i>Institution's Response:</i></p>	<p>We concur.</p>

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

ARKANSAS TECH UNIVERSITY-OZARK CAMPUS

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	1,552,781	1,981,708	2,054,743	2,054,743	2,153,213	2,153,213
2 RESEARCH	0	0	0	0	0	0
3 PUBLIC SERVICE	0	0	0	0	0	0
4 ACADEMIC SUPPORT	72,129	74,531	77,278	77,278	80,981	80,981
5 STUDENT SERVICES	175,309	188,084	195,016	195,016	204,362	204,362
6 INSTITUTIONAL SUPPORT	819,829	949,902	984,910	984,910	1,032,109	1,032,109
7 PHYSICAL PLANT M&O	315,736	337,205	349,632	349,632	366,388	366,388
8 SCHOLARSHIPS & FELLOWSHIPS	17,139	16,750	17,367	17,367	18,200	18,200
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	276,033	41,760	43,299	43,299	45,374	45,374
16 TOTAL UNREST. E&G EXP.	\$3,228,956	\$3,589,940	\$3,722,245	\$3,722,245	\$3,900,627	\$3,900,627
17 NET LOCAL INCOME	593,209	611,169	639,176	639,176	665,958	665,958
18 PRIOR YEAR BALANCE	0	0	0	0	0	0
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	2,155,975	2,249,771	2,354,069	2,354,069	2,505,669	2,505,669
20 WORKFORCE 2000	546,876	729,000	729,000	729,000	729,000	729,000
21 TOBACCO SETTLEMENT FUNDS	0	0	0	0	0	0
22 OTHER STATE FUNDS **	0	0				
23 TOTAL SOURCES OF INCOME	\$3,296,060	\$3,589,940	\$3,722,245	\$3,722,245	\$3,900,627	\$3,900,627

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM**

ARKANSAS TECH UNIVERSITY-OZARK CAMPUS
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	507,153	567,844	597,351	624,133
2 ALL OTHER FEES	17,095	12,275	12,275	12,275
3 OFF-CAMPUS CREDIT	0	0	0	0
4 NON-CREDIT INSTRUCTION	15,495	15,000	13,000	13,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	20,395	11,600	11,600	11,600
6 INVESTMENT INCOME	10,329	4,000	4,500	4,500
7 OTHER CASH INCOME:	22,743	450	450	450
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	593,210	611,169	639,176	665,958
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	0	0		
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$593,210	\$611,169	\$639,176	\$665,958

FORM 07-3

Line 7 Other

Other Sources	4,743	450	450	450
Endowment Income	<u>18,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total	22,743	450	450	450

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HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- I. Henderson State University has had considerable success in defining its mission to provide an exceptional undergraduate educational experience to Arkansas students. Described as “Arkansas’s public liberal arts university,” the university has for over a decade striven to employ outstanding faculty members who are student-oriented and to recruit a “diverse, superior student body.” The result of that effort has been the development of an outstanding faculty and an exceptional student body. In 1989, freshmen at Henderson scored at approximately the 30th percentile nationally on college admission tests. In 2003, Henderson freshmen scored at approximately the 67th percentile. This significant change has produced a thorough cultural and intellectual change on campus. All of these changes are the result of significant institutional planning efforts that have taken place since 1988.

Henderson State University completed a another significant strategic planning effort in 2005-2006, an effort designed to further institutionalize many of the positive changes that have resulted from previous work and to develop a strong foundation for institutional growth. University trustees led this planning effort by establishing major goals for the next five years. Faculty, students, staff and administrators have outlined steps which are being taken to achieve those goals. The outcome of that effort should be a much stronger Henderson State University.

However, as the planning process proceeds, Henderson State University will continue to focus on its primary goals: teaching and service. While there is an expectation that faculty members will engage in research and scholarly activity, there is no doubt in anyone’s mind that teaching and service are at the heart of our enterprise.

- II. Institutional Mission Statement

Henderson State University is Arkansas’s public liberal arts university. The foundation of the undergraduate academic experience is based on a comprehensive core of courses in the arts and sciences. Further, the university is committed to offering strong professional programs in teacher education and business administration at both the undergraduate and graduate levels. These programs are widely acclaimed and have

HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

earned the approval of significant national accrediting organizations, such as the National Council for the Accreditation of Teacher Education and the Association to Advance Collegiate Schools of Business. Newer programs, such as graduate curricula designed to prepare teachers of English as a Second Language, represent an attempt by the institution to be responsive to the needs of our state and community. Because scholarly and creative endeavors are essential complements to excellence in teaching, the University encourages and supports such efforts on the part of both its faculty and students. For ten years, for instance, Henderson has hosted the Arkansas Undergraduate Research Conference, so that students engaged in research might have a forum at which to present their work. In addition to enriching the university, these endeavors form the foundation on which Henderson builds appropriate programs in response to the needs of the various communities that it serves. In close concert with those communities, Henderson applies its expertise to develop programs that will promote the preservation and improvement of the quality of life in Arkansas.

III. Institutional Goals

Provide Quality Instruction

Provide Quality Service to Internal and External Constituencies

IV. Programs and Program Definitions

Program 1. Instruction

Goal 1. Provide Quality Instruction

HENDERSON STATE UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

Objective 1.

Henderson State University endeavors to provide an education that will nurture in each student the capability to master a particular field of study and to gain productive employment based upon an exemplary liberal arts foundation.

Objective 1. Strategies

Henderson State University will establish reasonable workloads and will support and maintain

- low student teacher ratios;
- a proper balance between the employment of highly qualified full-and part-time faculty;
- appropriate resources for the acquisition of library holdings and emerging technologies;
- quality instructional facilities;
- faculty development opportunities.

Program 2. Service

Goal 2. Provide Quality Service to Internal and External Constituencies of the University.

Objective 1.

Increase the number of service initiatives for students, faculty, and staff within the campus while also developing additional opportunities within the community, region and throughout the state.

Objective 1. Strategies

- Develop undergraduate courses that focus on service learning opportunities
- Support Work Force Development Programs through the Southwest Arkansas Teaching and Learning Center and others
- Expand service to local and area businesses and governmental agencies
- Develop additional articulation agreements with area community colleges.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

HENDERSON STATE UNIVERSITY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	15,470,441	16,584,899	17,696,087	19,271,039
2 RESEARCH	275,073	256,698	273,897	298,274
3 PUBLIC SERVICE	93,908	96,689	103,167	112,349
4 ACADEMIC SUPPORT	1,138,894	1,336,372	1,425,909	1,552,815
5 STUDENT SERVICES	1,865,993	1,890,387	2,017,043	2,196,560
6 INSTITUTIONAL SUPPORT	5,415,206	5,123,710	5,466,999	5,953,561
7 PHYSICAL PLANT M&O	3,394,683	3,514,067	3,749,509	4,083,216
8 SCHOLARSHIPS & FELLOWSHIPS	4,604,780	4,901,520	5,229,922	5,695,385
9				
10				
11				
12				
13 MANDATORY TRANSFERS	1,056,489	1,082,405	2,369,103	2,354,499
14 AUXILIARY TRANSFERS	1,219,349	1,040,393	1,040,393	1,040,393
15 NON-MANDATORY TRANSFERS	746,072	19,683		
16 TOTAL UNREST. E&G EXP.	\$35,280,888	\$35,846,823	\$39,372,029	\$42,558,091
17 NET LOCAL INCOME	16,296,041	16,632,818	18,866,800	20,234,373
18 PRIOR YEAR BALANCE	674,375			
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	18,310,472	19,214,005	20,505,229	22,323,718
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **				
23 TOTAL SOURCES OF INCOME	\$35,280,888	\$35,846,823	\$39,372,029	\$42,558,091

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	13,170,731	15,068,319	16,077,900	17,508,833
2 ALL OTHER FEES	2,106,377	1,013,478	1,081,381	1,177,624
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	8,863	8,000	8,000	8,200
6 INVESTMENT INCOME	25,638	25,000	25,000	30,000
7 OTHER CASH INCOME:	984,432	518,021	1,674,519	1,509,715
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	16,296,041	16,632,818	18,866,800	20,234,372
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$16,296,041	\$16,632,818	\$18,866,800	\$20,234,372

Other cash line includes indirect cost recovery, gifts from foundation, fines and penalties and other miscellaneous income.

FORM 07-3

**APPROPRIATION FORM STATE TREASURY
 REVISIONS FOR HEAT
 2007-09 BIENNIUM**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	14,492,672	15,027,894	15,500,000	16,200,000		
2 EXTRA HELP WAGES	20,000	20,000	25,000	25,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	2,005,800	2,092,510	2,500,000	3,000,000		
5 OPERATING EXPENSES	1,524,195	1,691,154	2,074,723	2,624,594		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY	470,745	470,745	500,000	575,000		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION		118,238	118,238	118,238		
11						
12						
13						
14 TOTAL APPROPRIATION	18,513,412	19,420,541	20,717,961	22,542,832	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	16,609,420	17,377,657	18,675,077	20,499,948		
17 EDUCATIONAL EXCELLENCE TRUST FUND	1,903,992	2,042,884	2,042,884	2,042,884		
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$18,513,412	\$19,420,541	\$20,717,961	\$22,542,832	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 2090000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION A74

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	5,106,006	62,000,000	66,000,000	71,000,000		
2 EXTRA HELP WAGES	583,740	3,500,000	3,750,000	4,000,000		
3 OVERTIME	14,609	750,000	800,000	875,000		
4 PERSONAL SERVICES MATCHING	4,014,489	16,500,000	17,600,000	19,000,000		
5 OPERATING EXPENSES	5,097,162	26,500,000	28,350,000	30,000,000		
6 CONFERENCE FEES & TRAVEL	869,156	2,500,000	2,675,000	2,900,000		
7 PROFESSIONAL FEES AND SERVICES	757,709	3,500,000	3,750,000	4,000,000		
8 DATA PROCESSING		2,500,000	2,675,000	2,900,000		
9 CAPITAL OUTLAY	9,032,490	8,000,000	8,500,000	9,250,000		
10 CAPITAL IMPROVEMENTS		15,000,000	20,000,000	22,500,000		
11 DEBT SERVICE	1,575,756	11,500,000	12,000,000	12,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	10,624,016	41,000,000	43,800,000	47,500,000		
13						
14						
15						
16 CONTINGENCY		50,000,000	53,000,000	57,000,000		
17 TOTAL APPROPRIATION	\$37,675,133	\$243,250,000	\$262,900,000	\$282,925,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	37,675,133	243,250,000	262,900,000	282,925,000		
20 FEDERAL CASH FUNDS						
21 OTHER CASH FUNDS						
22 TOTAL INCOME	\$37,675,133	\$243,250,000	\$262,900,000	\$282,925,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	734	562	573	749	741		
TOBACCO POSITIONS							
EXTRA HELP **	950	133	950	950	950		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

HENDERSON STATE UNIVERSITY

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	1,233,419	2,632,874		(1,399,455)	1,031,390	2,638,844		\$ (1,607,454.0)
2 RESIDENCE HALL	1,753,200	1,065,853	431,085	256,262	2,835,500	1,528,022	780,659	526,819
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING	2,400	904		1,496	2,400	672		1,728
5 FOOD SERVICES	1,616,453	1,382,861		233,592	2,024,045	1,788,455		235,590
6 COLLEGE UNION	220,673	263,439		(42,766)	223,355	311,640		(88,285)
7 BOOKSTORE	78,171	0		78,171	75,000			75,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	105,723	145,571		(39,847)		49,000		(49,000)
9 STUDENT HEALTH SERVICES	178,061	216,811		(38,750)	236,504	236,504		0
10 OTHER	811,020	966,315	86,941	(242,235)	746,077	880,868		(134,791)
11 SUBTOTAL	\$5,999,120	\$6,674,627	\$518,026	(\$1,193,533)	\$7,174,271	\$7,434,005	\$780,659	\$ (1,040,393)
12 ATHLETIC TRANSFER **	1,027,282			1,027,282	1,040,393			1,040,393
13 OTHER TRANSFERS ***	166,251			166,251				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$7,192,653	\$6,674,627	\$518,026	\$0	\$8,214,664	\$7,434,005	\$780,659	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0100 HENDERSON STATE UNIVERSITY

ACT#: 2182

SECTION#: 6

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FOOD SERVICE. If Henderson State University cancels the current contract for food service and chooses to operate the food service with University employees, the President, upon authorization of the Board of Trustees, is allowed to utilize the contingent positions contained in Section 1 of this Act, provided he or she has obtained approval from the Department of Higher Education and the Chief Fiscal Officer of the State, who has sought prior review by the Arkansas Legislative Council.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

REVISED DOCUMENT
2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT

INST: 0100 HENDERSON STATE UNIVERSITY

ACT#: 2182

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCE. The Board of Trustees of Henderson State University may make special allowances available to any coach who coaches more than one sport in an amount not to exceed \$10,000 per year for any one coach during each year of the ~~2005-2007~~ 2007-2009 biennium. Further, if the special allowance funds authorized herein are utilized, Henderson State University shall report annually to the Legislative Joint Auditing Committee the exact disposition of those special allowance funds.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0100 HENDERSON STATE UNIVERSITY

ACT#: 2182

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

HENDERSON STATE UNIVERSITY _____
 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 :						<u>445</u>
(As of November 1, 2005)						
Nonclassified Administrative Employees:						
White Male:	<u>54</u>	Black Male:	<u>4</u>	Other Male:	<u>1</u>	Total Male: <u>59</u>
White Female:	<u>43</u>	Black Female:	<u>10</u>	Other Female:	<u>1</u>	Total Female: <u>54</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>46</u>	Black Male:	<u>6</u>	Other Male:	<u>1</u>	Total Male: <u>53</u>
White Female:	<u>83</u>	Black Female:	<u>27</u>	Other Female:	<u>1</u>	Total Female: <u>111</u>
Faculty:						
White Male:	<u>96</u>	Black Male:	<u>3</u>	Other Male:	<u>6</u>	Total Male: <u>105</u>
White Female:	<u>56</u>	Black Female:	<u>6</u>	Other Female:	<u>1</u>	Total Female: <u>63</u>
Total White Male:						<u>196</u>
Total White Female:						<u>182</u>
Total Black Male:						<u>13</u>
Total Black Female:						<u>43</u>
Total Other Male:						<u>8</u>
Total Other Female:						<u>3</u>
Total White:						<u>378</u>
Total Black:						<u>56</u>
Total Other:						<u>11</u>
Total Minority:						<u>67</u>
Total Employees:						<u>445</u>

FORM 07-8

<i>Finding:</i>	No findings noted
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**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

HENDERSON STATE UNIVERSITY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1						
2 SOUTHWEST ARK TECH LEARNING CTR	202,940	206,536	212,732	212,732	219,114	219,114
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$202,940	\$206,536	\$212,732	\$212,732	\$219,114	\$219,114
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	202,940	206,536	212,732	212,732	219,114	219,114
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$202,940	\$206,536	\$212,732	\$212,732	\$219,114	\$219,114

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

I. Introduction: Vision Statement

Southern Arkansas University, Magnolia, a center of learning since 1909, will continue to pursue excellence, building upon its rich heritage as a respected regional institution of higher education.

Believing that learning is the most important experience at SAU, a caring faculty and staff commit themselves to educating students to be productive citizens with successful careers and fulfilling lives. The SAU community envisions a university in which students pursue academic goals and engage in challenging learning experiences led by well-qualified and well-compensated faculty. The SAU community will emphasize individual, academic, and career counseling and advisement, support small class sizes to promote frequent and positive interactions between students and faculty, and encourage intellectual dialogue and debate in a collegial environment.

The learning experience will prepare students to compete successfully in an ever-changing, diverse global environment, incorporating technology as a critical aspect of the learning experience. SAU includes in its vision such experiences as virtual classrooms, distance learning, co-curricular activities, and internship and research opportunities.

The SAU community envisions a student-oriented university built around responsible citizenship and ethical values. The faculty and staff will develop in each student a sense of social responsibility by embracing ethnic, racial, and cultural awareness as a core value and by actively building greater diversity among students, faculty, administration, and staff. Such an environment facilitates personal and social growth for all members of the University community.

The University understands that learning is a dynamic process that requires strategic planning, meaningful assessment, and thoughtful response. For this process to succeed, SAU will value the input of all its members and will be committed to continuous improvement.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

By realizing its vision of excellence, Southern Arkansas University will continue to serve the region as a center of higher education, cultural enrichment, and lifelong learning, reaching out to students of all ages and providing educational opportunities for an expanding spectrum of learners.

II. Institutional Mission Statement: Mission Statement

The mission of Southern Arkansas University is to educate students for productive and fulfilling lives in a global environment by providing opportunities for intellectual growth, individual enrichment, skill development, and meaningful career preparation. The University believes in the worth of the individual and accepts its responsibility for developing in its students those values and competencies essential for effective citizenship in an ever-changing, free, and democratic society. Further, the University encourages and supports excellence in teaching, scholarly and creative endeavors, and service.

III. Institutional Goal(s)

- Goal 1: To position student learning as the most important experience on campus, so that the highest value is given to educational experiences that are creative, scholarly, and have active student learning.
- Goal 2: To provide a superior education which develops students' abilities to think critically and creatively, solve problems, adhere to ethical principles, value diversity, and communicate effectively.
- Goal 3: To recruit and retain diverse, well-qualified, and student-centered faculty and staff.
- Goal 4: To recruit, retain, and graduate students who have the opportunity to succeed with the support of an educational community of committed and caring faculty and staff.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Goal 5: To provide the opportunity for all students to have a full, meaningful, and well-rounded university life.
- Goal 6: To instill in students a strong work ethic and a concern for society, preparing them to exercise their responsibilities and rights as citizens and members of the community workforce.
- Goal 7: To preserve a caring and collegial environment.
- Goal 8: To organize an efficient and effective campus where every member of the University community participates in the accomplishment of the University mission and goals.
- Goal 9: To reinforce a positive image through the achievements of students, faculty, and staff; the appearance of the buildings and grounds; and contacts with the public.
- Goal 10: To position the University as a model in the utilization of technology.

IV. Programs and Program Definitions

Program I Instruction (Learning)

The Instruction (learning) Program includes classroom and laboratory activities, instructional outreach, and all of the support services needed to make learning occur.

- Goal 1: To position student learning as the most important experience on campus, so that the highest value is given to creative, scholarly teaching and active student learning.**

Objective 1: Establish a professional development program to increase teaching effectiveness of all faculty.

SAU

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Strategy A. Maintain a professional development program.
Strategy B. Conduct surveys both internally and externally to determine needs.
- Objective 2: Strengthen the scholarly, creative, and research activities of the students, faculty, and staff.
Strategy A. Increase scholarly activity of faculty to include: additional research activities, increased number of publications and presentations by faculty and students.
- Objective 3: Continue academic assistance to enable students to learn.
Strategy A. Provide supplemental instruction in general education and other required courses.
Strategy B. Operate a Tutoring Center.
- Objective 4: Equip classrooms and laboratories to meet current and future learning needs.
Strategy A. Inventory needs in classrooms and laboratories periodically.
- Objective 5: Ensure that the general education curriculum is current and reflects the mission and values of the institution and meets the Arkansas Department of Higher Education (ADHE) guidelines for academic programs.
Strategy A. General Education Committee will monitor and recommend changes in the general education requirements.
- Objective 6: Evaluate scholarship and financial aid resources and ensure they are applied for the mutual benefit of the students and the University.
Strategy A. Scholarship Committee will monitor resources and policies of the University for scholarship and financial aid and recommend changes when appropriate.
- Objective 7: Ensure all facilities are adequately maintained.
Strategy A. Attempt to obtain funding for critical and deferred maintenance needs as listed in the Facilities Audit Report.
- Goal 2: To provide the opportunity for all students to have a full, meaningful, and well-rounded university life.

SOUTHERN ARKANSAS UNIVERSITY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

Objective 1: Enhance the opportunity for both on-campus, and off-campus students to participate in co-curricular activities.

Strategy A. Student Affairs Committee will review opportunities and make recommendations for improvements as appropriate.

Objective 2: Provide excellent residential living experiences.

Strategy A. Conduct periodic surveys and implement appropriate suggestions.

Strategy B. Review other successful residence hall programs.

Objective 3: Operate state-of-the-art student and community center.

Objective 4: Train workers to learn and appreciate their roles as student staff and their importance to the University.

Strategy A. Observe a student worker appreciation day.

Strategy B. Provide training for student workers.

Objective 5. Enhance opportunities for students to participate in the decisions that impact student life.

Strategy A. Continue student memberships on University standing and ad hoc committees.

Objective 6. Provide a safe, secure, and civil learning environment.

Strategy A. Maintain a University Police Department actively engaged in community policing.

Strategy B. Conduct civility campaigns.

Program II Public Service and Scholarly Activity

Public Service includes positive cultural and educational exchange between the SAU community and external groups and includes the necessary support activities. Scholarly Activity includes research, creative activities, and other activities of discovery that improve the faculty member's ability to instruct or add knowledge.

SOUTHERN ARKANSAS UNIVERSITY

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Goal 1: Present activities on campus for the region and encourage SAU community participation in the region.

Objective 1: Provide culturally enriching activities for the citizens of South Arkansas.

Objective 2: Increase student, faculty, and staff participation in civic and service activities.

Objective 3: Meet the higher education needs of business and industry, schools, government agencies, and other organizations.

Strategy A. Offer programs to meet identified needs.

Objective 4: Form additional meaningful partnerships with P-12 schools, co-ops, and other higher education institutions and where possible, expand funding support through grants

Strategy A. Identify potential partnerships and attempt to find grant support.

Objective 5: Form meaningful partnerships with business and industry, public and private organizations, and government agencies to support economic development and other needs of South Arkansas.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

SOUTHERN ARKANSAS UNIVERSITY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	11,022,552	11,869,750	12,785,587	13,960,121
2 RESEARCH	183,255	211,255	221,934	239,861
3 PUBLIC SERVICE	223,612	153,236	165,059	189,392
4 ACADEMIC SUPPORT	2,782,878	3,123,537	3,364,540	3,767,305
5 STUDENT SERVICES	2,156,012	2,172,623	2,340,256	2,536,937
6 INSTITUTIONAL SUPPORT	2,955,278	3,258,290	3,509,691	3,804,655
7 PHYSICAL PLANT M&O	3,035,761	4,013,223	4,322,872	4,891,281
8 SCHOLARSHIPS & FELLOWSHIPS	4,609,456	5,220,428	5,481,450	5,755,523
9				
10				
11				
12				
13 MANDATORY TRANSFERS	543,478	665,928	670,000	670,000
14 AUXILIARY TRANSFERS	722,849	750,000	750,000	750,000
15 NON-MANDATORY TRANSFERS	199,969	37,000		
16 TOTAL UNREST. E&G EXP.	\$28,435,100	\$31,475,270	\$33,611,389	\$36,565,075
17 NET LOCAL INCOME	13,873,644	15,729,776	16,485,032	17,251,522
18 PRIOR YEAR BALANCE		271,538		
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	14,738,101	15,473,956	17,126,357	19,313,553
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **	8,095			
23 TOTAL SOURCES OF INCOME	\$28,619,840	\$31,475,270	\$33,611,389	\$36,565,075

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

OTHER STATE FUNDS:

TUITION ADJUSTMENT FUND \$8,095

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

SOUTHERN ARKANSAS UNIVERSITY

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	11,825,126	13,952,639	14,650,271	15,382,785
2 ALL OTHER FEES	218,049	146,600	160,000	165,000
3 OFF-CAMPUS CREDIT	72,543	81,601	89,761	98,737
4 NON-CREDIT INSTRUCTION	100,352	45,000	50,000	55,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	274,804	289,000	300,000	305,000
6 INVESTMENT INCOME	87,275	60,000	60,000	60,000
7 OTHER CASH INCOME:	1,295,495	1,154,936	1,175,000	1,185,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	13,873,644	15,729,776	16,485,032	17,251,522
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$13,873,644	\$15,729,776	\$16,485,032	\$17,251,522

FORM 07-3

OTHER CASH INCOME

FEDERAL CWSP	386,758	369,686	370,000	370,000
GRANTS/GIFTS	632,554	615,000	620,000	630,000
SALES/SERVICES	94,567	26,750	40,000	40,000
TRAFFIC FINES/PERMITS	85,228	100,000	100,000	100,000
OTHER	96,388	43,500	45,000	45,000
	<u>1,295,495</u>	<u>1,154,936</u>	<u>1,175,000</u>	<u>1,185,000</u>

**APPROPRIATION FOR STATE TREASURY
REVENUE FUND
2007-09 BIENNIUM**

FUND CSA 0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 292

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	12,558,134	13,135,535	14,401,357	16,318,553		
2 EXTRA HELP WAGES	23,000	23,000	25,000	25,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	1,741,838	1,799,184	2,000,000	2,150,000		
5 OPERATING EXPENSES	286,112	267,000	400,000	500,000		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION	253,652	253,652	300,000	320,000		
11						
12						
13						
14 TOTAL APPROPRIATION	\$14,862,736	\$15,478,371	\$17,126,357	\$19,313,553	\$0	\$0
15 PRIOR YEAR FUND BALANCE	5,524	4,415				
16 GENERAL REVENUE	13,612,419	14,266,158	15,918,559	18,105,755		
17 EDUCATIONAL EXCELLENCE TRUST FUND	1,125,681	1,207,798	1,207,798	1,207,798		
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY	111,017					
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS	8,095					
22 TOTAL INCOME	\$14,862,736	\$15,478,371	\$17,126,357	\$19,313,553	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION A63 FORM CASH FUNDS
2007-09 BIENNIUM**

FUND 2080000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION A63

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	1,827,754	4,500,000	6,000,000	6,500,000		
2 EXTRA HELP WAGES	1,760,787	2,825,000	3,800,000	3,800,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	1,320,640	2,500,000	4,000,000	4,000,000		
5 OPERATING EXPENSES	7,677,117	11,200,000	12,500,000	12,900,000		
6 CONFERENCE FEES & TRAVEL	174,320	800,000	900,000	900,000		
7 PROFESSIONAL FEES AND SERVICES	326,095	850,000	900,000	900,000		
8 DATA PROCESSING	21,437	525,000	500,000	500,000		
9 CAPITAL OUTLAY	1,758,048	2,300,000				
10 CAPITAL IMPROVEMENTS		1,800,000	3,000,000	3,000,000		
11 DEBT SERVICE	1,277,889	2,200,000	2,400,000	2,500,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,900,000	2,000,000	2,000,000		
13						
14						
15						
16 CONTINGENCY		8,000,000	9,000,000	9,000,000		
17 TOTAL APPROPRIATION	\$16,144,087	\$39,400,000	\$45,000,000	\$46,000,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	13,873,644	15,729,776	16,485,032	17,251,522		
20 FEDERAL CASH FUNDS						
21 OTHER CASH FUNDS	2,270,443	23,670,224	28,514,968	28,748,478		
22 TOTAL INCOME	\$16,144,087	\$39,400,000	\$45,000,000	\$46,000,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	512	389	386	511	515		
TOBACCO POSITIONS							
EXTRA HELP **	1,600	1,304	1,475	1,800	1,800		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

SOUTHERN ARKANSAS UNIVERSITY
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	923,586	2,032,956		(\$1,109,370)	844,050	2,004,333		(\$1,160,283)
2 RESIDENCE HALL	2,818,429	2,519,456	702,065	(403,092)	3,429,317	2,367,216	733,620	328,481
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING	50,406	12,267		38,139	45,000	15,614		29,386
5 FOOD SERVICES	1,695,658	1,303,790		391,868	1,865,869	1,417,806		448,063
6 COLLEGE UNION	136,374	236,068	555	(100,249)	128,270	151,625		(23,355)
7 BOOKSTORE	94,743	6,540		88,203	100,000	5,915		94,085
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	261,075	250,204		10,871	205,174	247,883		(42,709)
9 STUDENT HEALTH SERVICES	95,186	167,724		(72,538)	91,337	173,552		(82,215)
10 POST OFFICE	101,517	160,874		(59,357)	98,300	159,084		(60,784)
11 SUBTOTAL	\$6,176,974	\$6,689,879	\$702,620	(\$1,215,525)	\$6,807,317	\$6,543,028	\$733,620	(\$469,331)
12 ATHLETIC TRANSFER **	750,000			750,000	750,000			750,000
13 OTHER TRANSFERS ***	(58,351)			(58,351)	(52,200)			(52,200)
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$6,868,623	\$6,689,879	\$702,620	(\$523,876)	\$7,505,117	\$6,543,028	\$733,620	\$228,469

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FORM 07-6

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0105 SOUTHERN AR UNIVERSITY

ACT#: 2180

SECTION#: 6

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0105 SOUTHERN AR UNIVERSITY

ACT#: New1

SECTION#: New1

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TUITION REIMBURSEMENT. The Board of Trustees of Southern Arkansas University shall be authorized to reimburse tuition, fees, and other educational related expenses of current faculty who seek additional education levels that will benefit the university in meeting accreditation and professional standards. Reimbursement shall be authorized only when the reimbursement request has been documented by the institution to meet critical shortage instructional areas.

INSTITUTION REQUEST

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

SOUTHERN ARKANSAS UNIVERSITY _____
 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 : <u>327</u>						
(As of November 1, 2005)						
Nonclassified Administrative Employees:					Total	Total
White Male:	<u>13</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Male: <u>14</u>
White Female:	<u>10</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Female: <u>10</u>
Nonclassified Health Care Employees:					Total	Total
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Female: <u>0</u>
Classified Employees:					Total	Total
White Male:	<u>38</u>	Black Male:	<u>7</u>	Other Male:	<u>1</u>	Male: <u>46</u>
White Female:	<u>89</u>	Black Female:	<u>23</u>	Other Female:	<u>0</u>	Female: <u>112</u>
Faculty:					Total	Total
White Male:	<u>72</u>	Black Male:	<u>8</u>	Other Male:	<u>7</u>	Male: <u>87</u>
White Female:	<u>49</u>	Black Female:	<u>6</u>	Other Female:	<u>3</u>	Female: <u>58</u>
Total White Male:	<u>123</u>	Total Black Male:	<u>16</u>	Total Other Male:	<u>8</u>	Total Male: <u>147</u>
Total White Female:	<u>148</u>	Total Black Female:	<u>29</u>	Total Other Female:	<u>3</u>	Total Female: <u>180</u>
Total White:	<u>271</u>	Total Black:	<u>45</u>	Total Other:	<u>11</u>	Total Employees: <u>327</u>
				Total Minority:	<u>56</u>	

FORM 07-8

<p><i>Finding:</i></p>	<p>Our audit of the University’s data center and POISE payroll and student accounts receivable applications revealed the following:</p> <ol style="list-style-type: none"> 1. Data Access Security Controls are not adequate. <p>The following weaknesses were noted:</p> <ul style="list-style-type: none"> • Passwords do not contain a minimum number of characters or mixture alpha and numeric characters. <ul style="list-style-type: none"> • Passwords are not required to be changed on a sufficient, recurring basis and a password file is not maintained to prevent re-use of previous passwords. • Accounts are not locked for sufficient period of time after repeated unsuccessful logon attempts. • Multiple terminated employees’ security was identified as active within the application. • Security access appears excessive for several POISE application user accounts as they were: <ol style="list-style-type: none"> 1. Assigned full, unrestricted access to all object files on the system via the BYPASS special privilege. 2. Assigned access to DMS-Plus, which, according to the DMS-Plus System Procedural Guide “provides a set of tools to establish databases and to manipulate the data within them”. • Wireless access points at Southern Arkansas University transmit data in an unencrypted form. Unauthorized users can capture and corrupt data traveling across the network.
<p><i>Recommendation:</i></p>	<p>We recommend the following corrective action for the above-mentioned points as follows:</p>

	<ul style="list-style-type: none"> • Implement strengthened password controls to decrease the likelihood of unauthorized access to the application. • Security Administrator establish a process to periodically review all user accounts to ensure that only authorized individuals have the ability to access applications and terminated employee accounts be removed. • Security Administrator review and revise security accounts defined in Open VMS to restrict access to only what is necessary for users to perform their job functions. • Measures be taken to ensure end-to-end security of the overall network. Wireless Encryption (WEP) should be turned on and set at the highest level.
<p><i>Institution's Response:</i></p>	<p>In order to strengthen password controls, Information Technology Services (ITS) at SAU will investigate the options available with the systems and applications in use and implement feasible controls over time. Some password control changes can be made more quickly than others. Additionally some desired functionality may be dependant on the applications software vendors and their willingness to make possible code modifications.</p> <p>There currently exists a procedure involving a form titled "Faculty/Staff Payroll Termination Form" which is used to communicate to key departments that handle user accounts when accesses for an individual leaving the University are to be terminated. This is currently a manual procedure and it may be possible for someone to be overlooked or, at least, a lengthy delay in terminating the appropriate accounts. ITS will investigate the possibility of establishing a regularly executed electronic process to determine the terminated individuals for a specific time frame and attempt to verify the termination of any accounts associated with these individuals.</p> <p>ITS will investigate the feasibility of establishing "roles" for users thus reducing unnecessary access privileges. Much of this will be dependent on the functionality of the application software. However, if necessary,</p>

	<p>DMS system parameters may be able to assist in establishing the desired accesses and roles.</p> <p>Wireless security options will be explored to determine ways to restrict access that can be implemented and supported with the current resources available.</p>
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<p><i>Finding:</i></p>	<p>2. Data Integrity Controls are not adequate.</p> <p>The following weaknesses were noted:</p> <ul style="list-style-type: none"> • Four out of the twelve updateable fields tested (33%) within the POISE payroll application failed to prevent entry of erroneous data (data not valid for field tested). • Proper segregation of functions has not been achieved because the application programmer and vendor have the ability to change data in the payroll and student accounts receivable application. This access to both program logic and application data files violates industry standards.
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<p><i>Recommendation:</i></p>	<p>We recommend the following corrective action for the above-mentioned points as follows:</p> <ul style="list-style-type: none"> • Work with the application vendor to conduct review of field edit rules to strengthen integrity checks at the point data is entered on screens within the application. • Grant update access to the vendor only on an as needed basis and log access purpose along with date and time.
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<p><i>Institution's</i></p>	<p>ITS will convey this concern to the applications software vendor(s).</p>
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<p><i>Response:</i></p>	<p>Currently when a technical support person associated with the software vendor logs into the system an email including the information for whom, why, and when the access was granted, is generated and sent to the ITS Director and the primary Application Program for the software. A procedure to limit vendor access only on an as needed basis will be investigated.</p>
<p><i>Finding:</i></p>	<p>3. Disaster Recovery and Business Continuity Plans are not adequate.</p> <p>The following weaknesses were noted:</p> <ul style="list-style-type: none"> • The Disaster Recovery Plan is not adequate and could cause the university to be without computer-processing abilities for an extended period of time in the event of a disaster or major interruption; no disaster recovery testing has been done, without adequate testing of the plan, there is no way to insure the plan will work as designed. • Backup tapes are stored at three locations throughout the campus, which are only 150-200 yards from the primary computer facility. In the event a disaster strikes the campus, the current files as well as the backup files could be destroyed, which would make the application very difficult to restore. • There is no formal, documented and approved Business Continuity Plan. Application users have no manual procedures to activate in disaster situation. Without manual procedures, operations could not continue after a disaster.
<p><i>Recommendation:</i></p>	<p>We recommend the following corrective action for the above-mentioned points as follows:</p> <ul style="list-style-type: none"> • Revise their Disaster Recovery Plan to address the deficiencies

	<p>identified, have the plan approved by management and test the plan on a regular basis.</p> <ul style="list-style-type: none"> • Store backups at a secure, off-site facility no less than one mile distant from the primary facility. • Develop a formal Business Continuity Plan and test the plan whenever major process changes occur, a copy of this plan should also be stored at a secure off-site location. Each application's user department should develop, test and document manual procedures to be used in lieu of their respective computerized application in the event the application can no longer be utilized.
<p><i>Institution's Response:</i></p>	<p>The process of updating the ITS Disaster Recovery Plan (DRP) has already started. Once completed it will be reviewed and approved by management. The testing of the DRP will be more problematic, but efforts will be made to conduct such tests.</p> <p>Off-site storage of backup tapes is already being done. The tapes are exchanged on a weekly basis. More formal procedures will be developed and included in the DRP later.</p> <p>ITS intends to include the BCP as part of the DRP document. Separate documents can be maintained; however, much of the same information would be duplicated. Individuals involved with the processes identified will have input into the recovery procedures and will be adequately trained to carry out the procedures. Again testing will be problematic. Both the DRP and BCP will be stored in key secure on-site and off-site locations.</p>

<p><i>Finding:</i></p>	<p>4. Data Center Environmental Issues.</p> <p>The following weaknesses were noted:</p> <ul style="list-style-type: none"> • The Data Center did not have an automated fire suppression system. • The Data Center is not formally monitored for proper humidity and does not have a backup cooling system installed, which could lead to damage to electronic equipment and loss of processing ability.
<p><i>Recommendation:</i></p>	<p>We recommend the following corrective action for the above-mentioned points as follows:</p> <ul style="list-style-type: none"> • Determine if the cost of purchasing/maintaining a fire suppression system outweighs the risk of losing computer-processing abilities in the event of a fire. • Purchase a humidity monitoring device and determine if the cost of purchasing/maintaining a backup cooling system outweighs the risk of losing computer-processing abilities in the event of the outage of the primary cooling system.
<p><i>Institution's Response:</i></p>	<p>A cost benefit analysis can be done.</p> <p>Bids have been let to secure a backup (secondary) cooling system for the main ITS Data Center.</p>

UNIVERSITY OF ARKANSAS SYSTEM OFFICE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

The University of Arkansas System Administration provides a wide variety of services in support of the Board of Trustees, the President, campuses and entities. The mission statement and the strategic plan for the University of Arkansas System Administration follow.

INSTITUTION MISSION STATEMENT

The University of Arkansas System Administration carries out the governance and administration of the University of Arkansas System in accord with policies of the Board of Trustees and the President through delegated authority. The system administration provides assistance to the campuses and entities in achieving the comprehensive mission of the University of Arkansas System.

INSTITUTIONAL GOALS

Goal One: To provide administrative staff support for the governing Board of Trustees and the President as the chief executive officer of the University of Arkansas System.

Goal Two: To provide services that assist the individual campuses and entities in achieving the comprehensive mission of the University of Arkansas System.

PROGRAMS AND DEFINITIONS

General Administration

General Administration includes activities related to general administrative operations, executive direction, and central executive-level activities concerned with management and long-range planning for the University of Arkansas System.

Goal One: *To provide administrative staff support for the governing Board of Trustees and the President as the Chief Executive Officer of the University of Arkansas System.*

UNIVERSITY OF ARKANSAS SYSTEM OFFICE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

Objective One: Provide financial and accounting services

Strategy One: Advance the development and implementation of policies of financial reporting for the Board of Trustees and other constituents.

Strategy Two: Develop for the Board of Trustees and the President a report on annual operating budgets with quarterly updates for all campuses and entities.

Objective Two: Provide legal and regulatory counsel.

Strategy One: Provide representation for the Board of Trustees and the President in all litigation that is a result of the performance of their System duties and responsibilities.

Objective Three: Promote community and government relations

Strategy One: Improve public relations and the coordination of media contacts for the Board of Trustees, the President and the University of Arkansas System.

Objective Four: Provide internal audit services

Strategy One: Prepare a risk assessment report for the University of Arkansas System for the Board of Trustees and the President.

Institutional Support

Institutional Support includes legal and fiscal operations, planning and programming, internal audit, support services to campuses and entities; and activities concerned with community and government relations.

Goal One: *To provide services that assist the individual campuses and entities in achieving the comprehensive mission of the University of Arkansas.*

UNIVERSITY OF ARKANSAS SYSTEM OFFICE

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Objective One: Provide financial and accounting services

Strategy One: Provide and administer a program of employee benefits and risk management

Strategy Two: Provide financial and accounting advice, reporting and consultation and the preparation of consolidated financial reports.

Strategy Three: Coordinate, develop, and implement investment policies for all pooled funds of the University of Arkansas System.

Strategy Four: Strengthen overview of procedures and policies for all capital construction.

Objective Two: Provide legal and regulatory counsel

Strategy One: Provide legal and regulatory counsel to the campuses and entities of the University of Arkansas System to include representation in litigation.

Strategy Two: Minimize the risk of liability or loss to the University by coordination, communication and advice to the campuses and entities concerning applicable legal and regulatory matters.

Strategy Three: Support campuses and entities in the exercise of governance authority within applicable policies of the Board; state, federal and local laws and regulations; and judicial decisions.

Objective Three: Promote community and government relations

Strategy One: Serve as an advocate and liaison between the state and federal government and the campuses and entities of the System.

Objective Four: Provide internal audit services

Strategy One: Provide risk assessed audit services to insure an accountable use of resources and the cost effective performance of the campuses and entities in accordance with applicable laws, regulations and Board of Trustee policies.

Objective Five: Promote cooperative and collaborative programs for campuses and entities.

Strategy One: Coordinate the development of distance learning opportunities provided by campuses and entities.

Strategy Two: Expand strategies for planning, assessment and development that encourage consensus building among

UA-SYS

REVISED DOCUMENT

UNIVERSITY OF ARKANSAS SYSTEM OFFICE

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

all campuses and entities.

UA-SYS

**SUMMARY OF UNRESTRICTED EDUCATION REVENUE GENERAL FUND CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS SYSTEM ADMINISTRATION

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES	3,108,358	3,395,809	3,497,683	3,497,683	3,602,614	3,602,614
2 STAFF BENEFITS	675,502	706,049	727,230	727,230	749,047	749,047
3 EXTRA HELP	106,701	97,592	97,592	97,592	97,592	97,592
4 MAINTENANCE & OPERATIONS	1,258,644	1,087,583	1,120,210	1,120,210	1,153,817	1,153,817
5 DEBT SERVICE	437,585	469,328	469,328	469,328	469,328	469,328
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$5,586,790	\$5,756,361	\$5,912,044	\$5,912,044	\$6,072,398	\$6,072,398
17 NET LOCAL INCOME	1,959,806	2,198,815	2,247,772	2,247,772	2,298,197	2,298,197
18 PRIOR YEAR BALANCE	161,150					
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	3,465,834	3,557,546	3,664,272	3,664,272	3,774,201	3,774,201
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$5,586,790	\$5,756,361	\$5,912,044	\$5,912,044	\$6,072,398	\$6,072,398

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM**

UNIVERSITY OF ARKANSAS SYSTEM ADMINISTRATION
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	(446)			
7 OTHER CASH INCOME:	1,960,252	2,198,815	2,247,772	2,298,197
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	1,959,806	2,198,815	2,247,772	2,298,197
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$1,959,806	\$2,198,815	\$2,247,772	\$2,298,197

FORM 07-3

Note: Other cash income is reimbursement revenue for services.

**UNIVERSITY OF ARKANSAS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2005-07 APPROPRIATION REQUESTS**

Institutional Role and Scope

As a nationally competitive student-centered research university serving Arkansas and the world, the University of Arkansas has identified five major institutional goals: strengthening academic quality and reputation by enhancing and developing programs of excellence in teaching, learning, research, and outreach; increasing the size and quality of the student body; enhancing diversity among our faculty, students, and staff; increasing public financial support; and increasing private gift support.

The University of Arkansas is the largest and oldest state institution of higher education and the primary state and land-grant university in Arkansas, offering the state's most comprehensive array of undergraduate, professional, graduate, and honors programs. Through these programs, students have the opportunity to participate in nationally competitive research, to study abroad, and to work in business, industry, and other institutions through internships. Courses and degree programs are offered by both traditional and technology-mediated instruction to students at other campuses and sites in Arkansas and some military bases and at international sites.

The University of Arkansas also provides a wide range of public- and economic development-related services including—most especially—technical and professional services to further the economic growth of Arkansas. In addition, University of Arkansas assists other institutions of public and higher education in Arkansas by providing specialized resources, such as computing, library, and information technology services and expertise in many disciplines. Public- and economic development-related services are provided through the various academic departments, schools, and colleges and by specialized units such as the Arkansas Leadership Academy, the Legal Clinic, the Small Business Development Center, the Community Design Center, Genesis (the technology-based business incubator), the Center for Arkansas and Regional Studies, the Division of Continuing Education, and the Arkansas Research and Technology Park.

Recognized as a Carnegie Research University, the University of Arkansas is the only comprehensive research university in Arkansas. Pursuit of research, scholarly and creative endeavors is a significant responsibility of faculty members at the University of Arkansas, along with integrating original scholarship with teaching and public service activities. Such integrated efforts are designed to advance the frontiers of knowledge and to apply that knowledge

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to improve human understanding, advance economic development and the standard of living and quality of life of people in Arkansas, the nation, and the world. University of Arkansas research, scholarly and creative programs also play important roles in graduate education and increasingly in undergraduate programs as well. Indeed, the integrated scholarly activities of faculty members and staff are marks of overall instructional quality for students at all levels and at locations around the world.

Research and scholarly efforts at the University of Arkansas are pursued by faculty members through the various academic departments, schools, and colleges and through specialized units such as the Business and Economic Research Center, the Mack Blackwell Rural Transportation Center, the High Density Electronics Center, the Center for Advanced Spatial Technologies, the Center for Protein Structure and Function, the Center for Semiconductor Physics in Nanostructures, and the Institute of Food Science and Engineering. Campus centers and initiatives are evaluated periodically in relation to their productivity and relevance to the economic development needs of the state, with new centers added and current ones discontinued on the basis of performance.

Nationally Competitive Students, Nationally Competitive University

The University of Arkansas is enrolling and graduating more students than any other institution in Arkansas. The current student body as a whole is the most academically accomplished in University of Arkansas history. The University of Arkansas is well on its way to retaining Arkansas' best students and attracting scholars from throughout the world. And the University's progress brings positive national notice to the State of Arkansas.

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Retaining and Attracting Good Students

The State of Arkansas must strive to keep its high school students in-state for college. For too long, too many bright young Arkansans chose to attend college elsewhere. The emergence of the University of Arkansas as a *nationally competitive, student-centered research university serving Arkansas and the world* helps ensure that Arkansas' talented young people will stay in Arkansas and grow its economy, technology, and quality of life. A report by the Southern Technology Council makes the argument for retaining and attracting high school students.

- States can expect to retain 76% of their “stayers” (people who attend high school and college in the same state).
- States can expect to retain 43% of their “arrivers” (people who attend high school elsewhere but receive their college education in the focal state).
- States can only expect to retain 23% of their “leavers” (those who leave the state for a college in a different state).

Leading Arkansas Public Higher Education

The University of Arkansas, as Arkansas' flagship university, is leading the charge to encourage more Arkansans to enroll in college and to graduate more Arkansans from college. Despite the fact that Arkansans live on average only 9.5 miles from a 4-year, 2-year, or 2-year branch public college, Arkansas' college-going rate and graduation rate are below acceptable levels.

The expansion of two-year public institutions in Arkansas has not made the impact hoped for in college-going and graduation rates. The University of Arkansas, in its unique dual responsibility as the state university and the major land-grant university, strives to “lead by example” by emerging as a nationally competitive, student-centered research university serving Arkansas and the world.

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Fueling the Arkansas Economy

The University of Arkansas strives to fuel the Arkansas economy:

- Through its graduates

The knowledge-based economy of the 21st Century requires skilled, educated workers. University of Arkansas graduates receive the nationally competitive education necessary to succeed in the knowledge-based economy—the kind of education that will fuel the economic and cultural growth of the State of Arkansas.

- By attracting businesses to Arkansas

Proximity to nationally competitive public research universities is often a deciding factor when relocating existing businesses. Corporations such as Wal-Mart have cited the University of Arkansas as a major reason why they are headquartered in Arkansas.

- By supporting new businesses

The GENESIS Technology Incubator provides new, technology-based companies with research and development support by providing access to university labs and facilities as well as technical support from University of Arkansas researchers. GENESIS has been nationally recognized as a model technology business incubator. Since its creation, GENESIS has spawned 25 new companies and has created 1,021 new jobs in Arkansas.

The new Arkansas Research and Technology Park (ARTP) will create, at completion, approximately 2,000 high-tech, high-paying, permanent jobs. Construction of the ARTP will create nearly 1,600 construction jobs and employee compensation of \$27.1 million. The ARTP is expected to generate \$17.7 million in state and local tax revenue over the life of the project operation.

The University of Arkansas Economic Development Institute (UAEDI) works with communities across the state to create business, education, and governmental partnerships that will attract new industry to the state and strengthen existing industry within the state. Currently, it is providing assistance to Cross County in the Delta, as well as Crossett in Ashley County.

University of Arkansas Research

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Among the 45 institutions of higher learning in Arkansas, the University of Arkansas is the state's only doctoral/research university-extensive, as categorized by the Carnegie Foundation for the Advancement of Teaching. This category is defined as producing at least 50 doctoral recipients per year. As such, the University of Arkansas is in the top tier of 150 research universities among the nation's 4,048 post-secondary institutions.

In FY06, the University generated \$109.6 million, compared to \$63.2 million in FY99, in total research and development expenditures, according to the National Science Foundation. These totals include not only the external research awards actually spent in that year, but also institutional funds and un-recovered indirect costs. The \$109.6 million in total R&D expenditures during the first year of the biennium represents a 13.4 percent increase over the \$96.7 million expended in the first year of the previous biennium. In FY06 \$34 million of the University's research expenditures were derived from federal funding sources. Since increases in federal expenditure are somewhat cyclic over two year intervals, the annual compound growth rate of smoothed data has been 10.67% since FY98. This is more than 70% higher than total research and development expenditures annual compound growth rate since FY98 of 6.2% calculated on the same basis.

The University of Arkansas is positioned to deliver profound economic and cultural benefits to the State of Arkansas and the world through its research program.

- Fulbright College chemistry professor Z. Ryan Tian and his colleagues have created assemblies of nanowires that show potential in applications such as armor, flame-retardant fabric, bacteria filters, oil cracking, controlled drug release, decomposition of pollutants and chemical warfare agents. The university has applied for patent protection on the process used to create the free-standing membranes for filtration and catalysis, and is looking for industrial partners to license and commercialize various applications of the nanopaper technology.
- Law professor Harrison Pittman's research on agritourism in Arkansas was featured at the 2006 Governor's Conference on Tourism and served as platform for discussion at Agritourism in Arkansas: A Strategic Conversation, a recent conference on the potential for an agritourism industry in Arkansas.
- A new definitive biography of Lyndon Baines Johnson, written by Fulbright College history professor Randall Woods, the John A. Cooper Distinguished Professor of History, drew the attention of scholars worldwide and

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was reviewed in the New York Times and the Chronicle of Higher Education.

- Chemical engineering researchers R.E. Babcock, Ed Clausen and Brian Mattingly, associated with the Mack Blackwell Transportation Center, have developed an optimized method of converting chicken fat into biodiesel fuel. The novel project could lead to using chicken fat -- a plentiful, accessible and low-cost feed stock -- as an inexpensive supplement to petroleum-based diesel fuel.
- Fulbright College art professor Lynn Jacobs and co-author Jeremy Hyman wrote a book for students, "Professor's Guide to Getting Good Grades," which was featured in U.S. News and World Reports Guide to Colleges and Universities this fall as well as in the New York Times and USA Today.
- Walton College researchers Carol Reeves and Anne O'Leary-Kelly conducted the first large-scale study specifically examining the effect of domestic violence on the workplace. They found that individuals who have been abused miss work for health-related reasons more frequently and are tardy more often than employees who are not victims of domestic violence. They hosted the Workplace Effects of Family Violence Conference in November 2005, which was sponsored by the researchers, the Walton College and the National Institute of Justice.
- In the College of Education and Health Professions, researchers Gary Ritter and Jay Greene in the department of education reform have created The School Performance Index in an attempt to disentangle school quality from student advantages and disadvantages in Arkansas.
- Researcher Steve Luoni, director of the UA Community Design Center together with colleagues and students in the School of Architecture and in the College of Engineering, have designed a new kind of neighborhood for the Benton County chapter of Habitat for Humanity. "Green" streets, storm water gardens and wetlands are among the new ideas planned for the Habitat Trails project, which has won the 2006 Education Honors Award from the American Institute of Architects and a planning award in the 2006 EDRA/Places Awards for Place Design, Planning and Research.
- Marty Matlock and Scott Osborn, researchers in the Bumpers College, the Division of Agriculture and the College of Engineering, have invented a simple, scalable machine that can help create clean drinking water more effectively and efficiently than conventional systems. Under exclusive license from the university, BlueInGreen LLC, a start-up company and entrepreneurial partnership between the inventors and Virtual Incubation Co., a Fayetteville-based, technology enterprise development firm, is developing the technology into

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a commercial product.

- New results from a study by Walton College researcher Bill Hardgrave and colleagues on the impact of RFID technology on out-of-stock products at select Wal-Mart stores revealed a 30-percent reduction in out of stocks for products selling, on average, between 0.1 and 15 units per day.
- The Division of Agriculture has created the Watershed Research and Education Center, which will provide a laboratory and outdoor classroom that will focus on environmental issues. The center is being built on the Arkansas Agricultural Research and Extension Center, located north of the University of Arkansas campus in Fayetteville. Researchers from the Bumpers College and the College of Engineering will conduct research at the center.

Outreach and Athletics

From its campus in Fayetteville, the University of Arkansas benefits every part of our state through its outreach programs.

- Six programs comprised of thirteen classes in the College of Education and Health Professions provide training free of charge to approximately 4,000 early childhood educators throughout Arkansas. The programs, funded by more than \$1.0 million in grants from the Arkansas Department of Health & Human Services, Division of Childcare and Early Childhood Education through the Federal Childcare Development Fund, provide child care professionals with the knowledge and skills to implement developmentally appropriate experiences for children prior to their entry into kindergarten.
- The UA's Arkansas Leadership Academy, in partnership with other institutions and organizations, provides training to upgrade the skills of K-12 administrators around the State.
- Since 1995, the UA Community Design Center (UACDC) has provided award-winning, innovative planning to communities and organizations throughout Arkansas. Most recently, the Center has designed a Habitat for Humanity neighborhood that is now under construction.
- The Center for Business and Economic Research (CBER) offers economic, demographic, and business data to all Arkansans. CBER outreach programs have benefited every region of the state, from the Brinkley Long Range Development Project in the Arkansas Delta, to the Philander Smith Neighborhood Revitalization Plan in

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- Little Rock, to the El Dorado Comprehensive Planning Study.
- The Center for Advanced Spatial Technology (CAST) regularly participates in and sponsors demonstrations, tours, talks, workshops, training sessions, and meetings to promote the transfer of geospatial technologies to schools, communities, government agencies, and the private sector.
 - The Law School's Legal Clinic provides legal representation in civil and criminal proceedings, free of charge, to poverty-level citizens. Student attorneys represent juveniles in delinquency matters and aid in family law matters.
 - The University's Economic Development Institute (UAEDI) assists communities and groups around the State with development efforts and maintains a database of individuals and programs in the University of Arkansas System that focus on activities related to economic development in Arkansas.
 - The Arkansas Poll annually provides objective information on how Arkansans feel about a variety of issues and policies.
 - The Pryor Center for Oral and Visual History is recording and archiving interviews with Arkansans who have played key roles in the State's development and history.
 - The UA Center for Mathematics and Science Education provides quality resources and materials to the home, private and public education communities in Arkansas.
 - Razorback and Lady Razorback athletics bring national recognition and renown to the State of Arkansas and provide Arkansas fans everywhere with pride and a sense of community.

The University of Arkansas hopes to instill in the people of Arkansas the same sort of pride in academic accomplishments that they have for the Hogs' athletic accomplishments. Just as the University of Arkansas is competitive on a national level on the field and in the gym, the University of Arkansas looks to compete in the labs and classrooms.

**SUMMARY OF UNRESTRICTED EDUCATIONAL FUNDS GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

UNIVERSITY OF ARKANSAS, FAYETTEVILLE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	92,827,130	89,484,719	104,348,145	116,109,418
2 RESEARCH	14,971,738	13,906,124	16,215,549	18,043,234
3 PUBLIC SERVICE	8,422,847	5,334,619	6,221,600	6,922,848
4 ACADEMIC SUPPORT	22,336,480	22,228,388	25,921,649	28,843,326
5 STUDENT SERVICES	17,051,923	13,782,124	16,071,624	17,883,087
6 INSTITUTIONAL SUPPORT	22,602,119	28,725,515	33,496,648	37,272,118
7 PHYSICAL PLANT M&O	25,620,399	26,236,496	30,595,424	34,043,890
8 SCHOLARSHIPS & FELLOWSHIPS	24,178,182	16,834,520	19,629,349	21,841,808
9 LAW SCHOOL	9,703,978	11,492,445		
10				
11				
12				
13 MANDATORY TRANSFERS	9,156,406	9,370,054	9,370,054	9,370,054
14 AUXILIARY TRANSFERS	751,397	750,013	750,013	750,013
15 NON-MANDATORY TRANSFERS	6,191,242			
16 TOTAL UNREST. E&G EXP.	\$253,813,841	\$238,145,017	\$262,620,055	\$291,079,796
17 NET LOCAL INCOME	138,057,459	127,356,652	132,900,000	138,820,000
18 PRIOR YEAR BALANCE	10,932,285			
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	104,180,511	110,788,365	129,720,055	152,259,796
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **	643,586			
23 TOTAL SOURCES OF INCOME	\$253,813,841	\$238,145,017	\$262,620,055	\$291,079,796

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

Detail on "Other State Funds":

Act 431 Filing Fees Funds	353,425
GIF-Botanical Gardens	21,460
KBL13EJ	224,701
KBL13EH	5,000
KBL13EP	29,000
KBL13ER	10,000
Total "Other State Funds"	643,586

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

UNIVERSITY OF ARKANSAS, FAYETTEVILLE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	102,089,874	103,192,904	108,400,000	113,820,000
2 ALL OTHER FEES	3,208,631	80,000	100,000	100,000
3 OFF-CAMPUS CREDIT	686,669	386,000	400,000	400,000
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	2,000,318	3,000,000	2,500,000	2,500,000
7 OTHER CASH INCOME:	30,071,967	20,697,748	21,500,000	22,000,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	138,057,459	127,356,652	132,900,000	138,820,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$138,057,459	\$127,356,652	\$132,900,000	\$138,820,000

FORM 07-3

Detail on Line 7:

Private Gifts, Grants & Contracts	7,228,152
Endowment Income	710,672
Sales & Services-Educational Activities	12,740,316
Other	<u>9,392,827</u>
Total Other - Actual	30,071,967

Indirect Cost Recovery	6,500,000
Administrative Service Charge	185,000
Legal Education/Filing Fees Funds (Law School)	1,769,000
Dedicated (departmentally-generated) Revenues	<u>12,243,748</u>
Total "Other"	20,697,748

REVISOR'S COMMENTS
APPROPRIATION STATE TREASURY
2007-09 BIENNIUM

FUND CAA 0000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION 534

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	132,704,682	140,049,673	160,000,000	185,000,000		
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	31,339,001	32,821,077	40,000,000	46,250,000		
5 OPERATING EXPENSES	7,286,070	8,050,635	9,302,435	10,377,907		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850		
11						
12						
13						
14 TOTAL APPROPRIATION	173,406,603	182,998,235	211,379,285	243,704,757	0	0
15 PRIOR YEAR FUND BALANCE	1,316,402					
16 GENERAL REVENUE	158,111,425	167,953,944	195,959,994	228,285,466		
17 EDUCATIONAL EXCELLENCE TRUST FUND	13,625,350	14,619,291	14,619,291	14,619,291		
18 SPECIAL REVENUES *	353,426	425,000	800,000	800,000		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	173,406,603	182,998,235	211,379,285	243,704,757	0	0

* Special Revenues: Circuit Court Filing Fees

FORM 07-4

REVISED DOCUMENT

APPROPRIATION ACT FORM - STATE TREASURY
2007-09 BIENNIUM

FUND TSF 0200

INSTITUTION TOBACCO FUNDS - FAYETTEVILLE

APPROPRIATION 319

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	294,642	586,622	586,622	586,622		
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	32,656	132,987	132,987	132,987		
5 OPERATING EXPENSES	515,174	586,622	586,622	586,622		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY	84,565	1,040,259	1,040,259	1,040,259		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	927,037	2,346,490	2,346,490	2,346,490	0	0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS	1,687,828	2,346,490	2,346,490	2,346,490		
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	1,687,828	2,346,490	2,346,490	2,346,490	0	0

* Report WF2000 funds on line 18 - "Special Revenues".

**APPROPRIATION SET DOCUMENT
2007-09 BIENNIUM**

FUND 2000000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION B03

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	89,635,412	161,500,000	177,650,000	195,415,000		
2 EXTRA HELP WAGES	16,208,804	22,550,000	24,805,000	27,285,500		
3 OVERTIME	1,126,898	2,900,000	3,190,000	3,509,000		
4 PERSONAL SERVICES MATCHING	15,988,355	38,000,000	41,800,000	45,980,000		
5 OPERATING EXPENSES	109,048,321	120,000,000	130,700,000	145,500,000		
6 CONFERENCE FEES & TRAVEL	15,642,060	17,000,000	20,500,000	23,600,000		
7 PROFESSIONAL FEES AND SERVICES	20,155,813	25,000,000	31,750,000	34,800,000		
8 DATA PROCESSING						
9 CAPITAL OUTLAY	66,952,316	28,000,000	30,800,000	33,880,000		
10 CAPITAL IMPROVEMENTS		92,500,000	101,750,000	111,925,000		
11 DEBT SERVICE	255,151	4,000,000	4,400,000	4,840,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		13,500,000	14,850,000	16,335,000		
13						
14						
15						
16 CONTINGENCY		169,300,000	181,480,000	196,973,000		
17 TOTAL APPROPRIATION	\$335,013,130	\$694,250,000	\$763,675,000	\$840,042,500	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	335,013,130	694,250,000	763,675,000	840,042,500		
20 FEDERAL CASH FUNDS						
21 OTHER CASH FUNDS						
22 TOTAL INCOME	\$335,013,130	\$694,250,000	\$763,675,000	\$840,042,500	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED	ACTUAL	BUDGETED	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
	2005-07	2005-06	2006-07	2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	6,875	5,156	5,406	7,020	6,958		
TOBACCO POSITIONS							
EXTRA HELP **	3,808	2,276	3,808	3,808	3,808		

FORM 07-5

* Recommended classified number not available from OPM.

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

REVISED DOCUMENT

APPROPRIATION ACT FORM - CASH FUNDS
2007-09 BIENNIUM

FUND 2000000

INSTITUTION U OF A SOILS TESTING AND RESEARCH

APPROPRIATION B76

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	536,020	847,000	931,700	1,024,870		
2 EXTRA HELP WAGES	82,040	119,000	130,900	143,990		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	144,345	187,000	205,700	226,270		
5 OPERATING EXPENSES	431,303	847,000	931,700	1,024,870		
6 CONFERENCE FEES & TRAVEL	25,215	85,000	93,500	102,850		
7 PROFESSIONAL FEES AND SERVICES		85,000	93,500	102,850		
8 DATA PROCESSING		16,500	18,150	19,965		
9 CAPITAL OUTLAY	181,684	508,000	558,800	614,680		
10 CAPITAL IMPROVEMENTS		1,705,000	1,875,500	2,063,050		
11 DEBT SERVICE						
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		847,000	931,700	1,024,870		
13						
14						
15						
16 CONTINGENCY		429,000	471,900	519,090		
17 TOTAL APPROPRIATION	\$1,400,607	\$5,675,500	\$6,243,050	\$6,867,355	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	1,400,607	5,675,500	6,243,050	6,867,355		
20 FEDERAL CASH FUNDS						
21 OTHER CASH FUNDS						
22 TOTAL INCOME	\$1,400,607	\$5,675,500	\$6,243,050	\$6,867,355	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED	ACTUAL	BUDGETED	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
	2005-07	2005-06	2006-07	2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS							
TOBACCO POSITIONS							
EXTRA HELP **							

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

UNIVERSITY OF ARKANSAS, FAYETTEVILLE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL				BUDGETED			
	2005-06				2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	43,245,626	35,972,183	5,485,990	\$1,787,453	44,842,200	38,831,006	6,011,194	\$0
2 RESIDENCE HALL	17,317,761	12,061,066	2,023,396	3,233,299	16,932,731	14,479,146	2,314,108	139,477
3 MARRIED STUDENT HOUSING	695,309	558,800		136,509				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION	2,237,670	2,545,020	917,301	(1,224,651)	2,432,814	1,932,066	471,207	29,541
7 BOOKSTORE	14,392,682	14,308,007		84,675	14,404,599	14,404,599		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	1,500,129	1,159,581	217,672	122,876	2,322,881	2,322,881		0
9 STUDENT HEALTH SERVICES	4,816,801	4,336,729	327,701	152,371	4,713,177	4,384,010	329,167	0
10 OTHER	5,222,937	2,667,129	1,917,062	638,746	5,757,439	5,022,855	1,874,141	(1,139,557)
11 SUBTOTAL	\$89,428,915	\$73,608,515	\$10,889,122	\$4,931,278	\$91,405,841	\$81,376,563	\$10,999,817	-\$970,539
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	1,147,685	2,913,441		(1,765,756)	750,013			750,013
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$90,576,600	\$76,521,956	\$10,889,122	\$3,165,522	\$92,155,854	\$81,376,563	\$10,999,817	-\$220,526

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

<u>Detail on "Other":</u>	<u>Income</u>	<u>Expense</u>	<u>Debt Svc</u>	<u>Net Income</u>
Transit & Parking	5,184,839	2,585,396	1,917,062	682,381
Miscellaneous Auxiliary	38,098	81,733		(43,635)
Total "Other"	5,222,937	2,667,129	1,917,062	638,746

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 425

SECTION#: 3

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CARRY FORWARD. Such appropriation as is authorized in this Act which remains at the end of the first fiscal year of the biennium may be carried forward into the second fiscal year of the biennium there to be used for the same purposes.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 425

SECTION#: 4

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER RESTRICTIONS. The appropriations provided in this act shall not be transferred under the provisions of Arkansas Code 19-4-522 or the provisions of Arkansas Code 6-62-104, but only as provided by this act.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 425

SECTION#: 5

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER PROVISIONS. The state-supported institution of higher education in this act may transfer appropriations between the various line items within each appropriation contained in this appropriation act. Such transfers shall be made only after the approval of the Department of Higher Education and the Chief Fiscal Officer of the State, and the approval of the Legislative Council.

The General Assembly has determined that the institution in this act could be operated more efficiently if some flexibility is given to that institution and that flexibility is being accomplished by providing authority to transfer between items of appropriation made by this act. Since the General Assembly has granted the institution broad powers under the transfer of appropriations, it is both necessary and appropriate that the General Assembly maintain oversight of the utilization of the transfers by requiring prior approval of the Legislative Council in the utilization of the transfer authority. Therefore, the requirement of approval by the Legislative Council is not a severable part of this section. If the requirement of approval by the Legislative Council is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 425

SECTION#: 6

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

POSITIONS. (a) Nothing in this act shall be construed as a commitment of the State of Arkansas or any of its agencies or institutions to continue funding any position paid from the proceeds of the Tobacco Settlement in the event that Tobacco Settlement funds are not sufficient to finance the position.

(b) State funds will not be used to replace Tobacco Settlement funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

(c) A disclosure of the language contained in (a) and (b) of this Section shall be made available to all new hire and current positions paid from the proceeds of the Tobacco Settlement by the Tobacco Settlement Commission.

(d) Whenever applicable the information contained in (a) and (b) of this Section shall be included in the employee handbook and or Professional Services Contract paid from the proceeds of the Tobacco Settlement.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 425

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

COMPLIANCE WITH OTHER LAWS. Disbursement of funds authorized by this act shall be limited to the appropriation for such agency and funds made available by law for the support of such appropriations; and the restrictions of the State Purchasing Law, the General Accounting and Budgetary Procedures Law, the Regular Salary Procedures and Restrictions Act, or their successors, and other fiscal control laws of this State, where applicable, and regulations promulgated by the Department of Finance and Administration, as authorized by law, shall be strictly complied with in disbursement of said funds.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 425

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

LEGISLATIVE INTENT. It is the intent of the General Assembly that any funds disbursed under the authority of the appropriations contained in this act shall be in compliance with the stated reasons for which this act was adopted, as evidenced by Initiated Act 1 of 2000, the Agency Requests, Executive Recommendations and Legislative Recommendations contained in the budget manuals prepared by the Department of Finance and Administration, letters, or summarized oral testimony in the official minutes of the Arkansas Legislative Council or Joint Budget Committee which relate to its passage and adoption.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 13

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER PROVISION. Immediately upon the effective date of this Act the Chief Fiscal Officer of the State, Treasurer of State and Auditor of State shall transfer on their books the sum of Three Hundred Thousand Dollars (\$300,000) from the Special State Assets Forfeiture Fund to the University of Arkansas Fund for allocation to the Criminal Justice Institute. Of the total monies transferred by this section, One Hundred Fifty Thousand Dollars (\$150,000) shall be allocated each fiscal year of the ~~2005-2007~~ 2007-2009 biennium to the Criminal Justice Institute for education and training to assist the criminal justice community in addressing the clandestine methamphetamine activities in the State.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 14

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

REFUND TO EXPENDITURES. Proceeds derived from the maturity or redemptions of investments as authorized in Section 6 of this Act for the University of Arkansas Soils Testing and Research Program shall be deemed "Refunds to Expenditures" for all monies invested.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 15

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

Arkansas Code 13-6-205 is amended to read as follows:

(c) The Arkansas Archeological Survey is authorized to enter into contracts with and to receive and expend gifts, grants, or other funds from federal, private, or other sources to be used in furtherance of the program of the Survey within the limitations of the maximum annual salary rates as set forth by law.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 16

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

USE AND DISBURSING OFFICER. The funds appropriated under Section 3 and allocated in Item (5) of Section 4 of this Act are to be used for the personal services and maintenance and general operation of the Arkansas Archeological Survey and for no other purpose. The Vice-President for Finance and Administration of the University of Arkansas is hereby made the disbursing officer for the appropriation made by this Act.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. For the purpose of providing necessary allowances for housing and other unusual expenses incurred by or on behalf of the athletic directors, associate and assistant athletic directors, head coaches, assistant coaches, offensive coordinators, defensive coordinators, and head trainers in the men's and women's Athletic Departments at the University of Arkansas, Fayetteville, the Board of Trustees may make special allowances available therefor in such amounts as the Board of Trustees may determine as justified, an equitable allowance in view of the unusual and exacting duties of said athletic directors, associate and assistant athletic directors, head coaches, assistant coaches, offensive coordinators, defensive coordinators, and head trainers in the men's and women's Athletic Departments at the University of Arkansas, Fayetteville, and for the purpose of providing such allowances, the Board of Trustees is authorized to expend from the auxiliary income of the University of Arkansas, Fayetteville, which is derived from athletic event receipts, or from contributions from sources other than state funds, an amount not to exceed ten thousand dollars (\$10,000) each for such purposes during each year of the ~~2005-2007~~ 2007-2009 biennium for the athletic directors, associate athletic directors, and head coaches, and an amount not to exceed one thousand dollars (\$1,000) each for the assistant athletic directors, assistant coaches, offensive coordinators, defensive

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~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

coordinators, and head trainers. Any such allowances shall be in addition to the regular salary of such athletic directors, associate and assistant athletic directors, head coaches and assistant coaches. Further, if the special allowance funds authorized herein are utilized the University of Arkansas, Fayetteville shall report annually to the Arkansas Legislative Joint Auditing Committee the exact disposition of those special allowance funds. In recognition of the extra work involved in the participation of intercollegiate athletic teams in post-season competition, and to promote exceptional achievement in the total sports program, the Chancellor of the University of Arkansas, Fayetteville, in accordance with policies issued by the Board of Trustees of the University of Arkansas, may approve additional compensation of up to one month's salary for the Athletic Department and Band personnel when any athletic team participates in post-season competition or achieves exceptional recognition, which shall be in addition to the regular salaries authorized by law, provided that the additional compensation shall be paid from contributions from sources other than public funds.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 18

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

ADDITIONAL PAYMENTS AUTHORIZED. The Board of Trustees of the University of Arkansas is hereby authorized to make additional payments to head coaches at the University of Arkansas, Fayetteville, from revenues generated by contracts with vendors of athletic apparel, shoes and other products in such amounts as may be established by the Board of Trustees for performance by the coaches of consulting and other obligations pursuant to contracts between the University and such vendors. Such additional payments to head coaches shall not be considered salary and shall not be deemed or construed to exceed the maximum salaries established for such coaches by the General Assembly. Nothing in this section shall be construed to reduce or eliminate the authority granted elsewhere in Arkansas statutes for the payment of allowances or bonuses to coaches at the University of Arkansas, Fayetteville.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 19

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

APPRENTICE PROGRAM WAGES. All apprentices in the University of Arkansas U.S. Department of Labor Approved Apprentices Program shall be appointed into the State of Arkansas Classified Pay Plan title of Grade 11 Apprentice Tradesman at the then Labor Market Entry Rate.

Using successive Arkansas Department of Labor Prevailing Wage Determination (ADLPWD) Building Rates for Washington County for the craft title matching that Apprentice's craft training, individual Apprentices shall be paid progressively increasing wages upon successful completion of successive years of training for their craft. Progressively increasing, as strongly encouraged for this type program by the U.S. Department of Labor, is defined as a percentage difference between the Apprentice's current salary and the next year's ADLPWD Washington County Building Rates Basic Hourly Rate for their chosen craft, but not less than the preceding year's wages with any authorized cost of living (COLA) applied.

Upon successful completion of the Apprentice Program, fulfillment of all related licensing requirements, and availability of an open Skilled Trades Worker position (if not already in a Grade 17 Skilled Trades Worker position), all Apprentice Program graduates shall be promoted to a Grade 17 Skilled Trades Worker position and earn the ADLPWD Washington County Building Rates Basic Hourly Rate for the classification of their chosen craft except that: "No Apprentice Program graduate shall earn

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~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

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SECTION#: 19

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

wages less than Grade 17 Skilled Trades Worker Level I."

The provisions of this section shall be in effect only from July 1, ~~2005~~
2007 through June 30, ~~2007~~ 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ REVISED DOCUMENT

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 20

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SUMMER SCHOOL EMPLOYEES. Employees of School for Mathematics, Sciences, and the Arts who, in addition to working during the regular school term also work during the summer months, shall be authorized as additional compensation an amount not to exceed ~~1/12-1/10~~ of their annual salary for each month or part thereof worked. Such additional compensation shall not be construed as exceeding the maximum salary authorized for said employees. Payment of additional compensation shall be limited to employees in the following classifications as follows:

<u>SUMMER SCHOOL PROGRAM POSITIONS</u>	<u>CLASS CODE</u>
Teacher School for Math & Science—	7611
Counselor School for Math & Science—	7612
Dorm Supv. School for Math & Science—	7613
Asst. Supv. School for Math & Science—	7614
Asst. Dorm Supv. School for Math & Science—	8808
<u>Residential Mentor</u>	

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 21

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TEACHER GRANT RELATED FUNDING PROVISION. Teachers of the School for Mathematics and Sciences and the Arts who, in addition to fulfilling annual teaching contract requirements also write grants, grant progress reports and write and publish papers may be authorized as additional annual compensation an amount up to ~~1/12~~ 1/10 of their annual salary. Such additional compensation shall not be construed as exceeding the maximum salary authorized for said employees. The additional compensation authorized by this section shall only be paid from a portion of the grant monies received and shall not be paid from state general revenues or Educational Excellence Trust Fund monies.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 22

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TYPE 2 TRANSFER. Effective July 1, 2005, all duties, functions, records, property, obligations, personnel, and authority to levy and collect diagnostic and laboratory fees, pursuant to Arkansas Code §2-33-111 and §2-33-112, for the Springdale Laboratory of the Arkansas Livestock and Poultry Commission are hereby transferred by a Type 2 transfer from the Arkansas Livestock and Poultry Commission to the Division of Agriculture of the University of Arkansas.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0135 UNIVERSITY OF AR AT FAYETTEVILLE

ACT#: 2125

SECTION#: 23

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FUND
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 :						<u>4,369</u>
(As of November 1, 2005)						
Nonclassified Administrative Employees:						
White Male:	<u>127</u>	Black Male:	<u>12</u>	Other Male:	<u>2</u>	Total Male: <u>141</u>
White Female:	<u>87</u>	Black Female:	<u>10</u>	Other Female:	<u>4</u>	Total Female: <u>101</u>
Nonclassified Health Care Employees:						
White Male:	<u>3</u>	Black Male:	<u>0</u>	Other Male:	<u>2</u>	Total Male: <u>5</u>
White Female:	<u>13</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>15</u>
Classified Employees:						
White Male:	<u>1,137</u>	Black Male:	<u>72</u>	Other Male:	<u>197</u>	Total Male: <u>1,406</u>
White Female:	<u>1,377</u>	Black Female:	<u>119</u>	Other Female:	<u>240</u>	Total Female: <u>1,736</u>
Faculty:						
White Male:	<u>553</u>	Black Male:	<u>20</u>	Other Male:	<u>98</u>	Total Male: <u>671</u>
White Female:	<u>241</u>	Black Female:	<u>16</u>	Other Female:	<u>37</u>	Total Female: <u>294</u>
Total White Male:	<u>1,820</u>	Total Black Male:	<u>104</u>	Total Other Male:	<u>299</u>	Total Male: <u>2,223</u>
Total White Female:	<u>1,718</u>	Total Black Female:	<u>146</u>	Total Other Female:	<u>282</u>	Total Female: <u>2,146</u>
Total White:	<u>3,538</u>	Total Black:	<u>250</u>	Total Other:	<u>581</u>	Total Employees: <u>4,369</u>
				Total Minority:	<u>831</u>	

FORM 07-8

INFORMATION SYSTEM FINDINGS FOR ALL CAMPUSES TESTED

Control Weakness							
Campus	Data Access Security	Data Integrity	Program Change Control	System Interfaces	Disaster Recovery and Business Continuity Plans	Data Center Environmental Issues	Data Center General Controls
University of Arkansas Fayetteville	X	X	X	X	X		
University of Arkansas Little Rock	X	X	X		X	X	X
University of Arkansas Pine Bluff	X		X		X	X	
University of Arkansas Monticello	X	X	X		X	X	X
University of Arkansas for Medical Sciences	X						

X – Control Weakness Observed

Data Access Security - The organization should control logical access to and use of IT computing resources. Access should be restricted by the implementation of adequate identification, authentication and authorization mechanism, linking user and resources with access rules. Such mechanism should prevent unauthorized personnel from gaining access to computing resources. Procedures should be in place to keep authentication and access mechanisms effective.

Data Integrity – The organization should establish procedures to ensure that data processing validation and editing are performed on data as it is entered into the system.

Program Change Control – The organization should maintain an adequate program change control system that encompasses authorization to submit program change requests, approval, prioritization and tracking of requests. Determine that access to source and object code is adequately controlled and that changes have been tested.

System Interfaces – The organization should ensure that data transferred in/out of the application should be subjected to controls that ensure the integrity of the data transferred.

Disaster Recovery and Business Continuity – The organization should have a written, approved and tested Disaster Recovery Plan (DRP) in place that will allow recovery from any major interruption or disaster. A DRP includes procedures for providing hardware, software, supplies and personnel to operate the backup computer facilities or restore the primary computer facilities in the event of a major interruption or disaster.

Data Center Environmental Issues – The organization should assure that sufficient measures are put in place and maintained for protection against environmental factors (e.g. fire, water detection, backup power, back up air systems and monitoring of temperature/humidity). Specialized equipment and devices to monitor and control the environment should be installed.

Data Center General Controls – The organization should assure that sufficient controls are in place to provide for effective data center administration and physical security.

Note: Specific observations and recommendations were communicated to the campus administrations, for the campuses noted above.

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UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

I. Introduction

The Division of Agriculture of the University of Arkansas System is unique in the overall scheme of higher education in Arkansas. It is composed of the Agricultural Experiment Station and the Cooperative Extension Service and has the basic mission of discovery of knowledge through research and, through extension education, helping Arkansans put that knowledge to work in their daily lives. Through its programs, the Division reaches out into all 75 counties and touches nearly every rural and urban citizen in the state.

Many Division faculty have joint appointments with institutions of higher education to carry their research and extension expertise into the classroom. Experiment Station scientists and Extension specialists are located at the University of Arkansas-Fayetteville, University of Arkansas-Monticello, University of Arkansas-Pine Bluff, University of Arkansas-Little Rock and at Arkansas State University in Jonesboro. In addition, faculty are headquartered at the Cooperative Extension Service in Little Rock and at four Research and Extension Centers at Hope, Keiser, Stuttgart and Monticello. There are seven branch research stations across the state. Programs are taken directly into local communities through County Extension offices in all 75 counties.

Because of the highly diverse programs ranging from basic science in areas like molecular genetics to practical applications in production agriculture to developing Arkansas youth for a better future through 4-H, the priority goals for the Division are equally broad and diverse.

II. Institutional Mission Statement

The University of Arkansas System is a comprehensive, multi-campus, publicly aided institution dedicated to the improvement of the mind and spirit through the development and dissemination of knowledge.

UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

III. Institutional Goal(s)

The mission of the University of Arkansas Division of Agriculture is education and research. The Division is dedicated to improving the lives of Arkansans by generating knowledge through research and putting that knowledge to use through education. Within the broad context of this mission, the Division will:

Foster the improvement of agriculture and agribusiness,
Improve the stewardship of natural resources and the environment,
Ensure a safe, nutritious food supply,
Strengthen Arkansas families,
Develop leadership skills and productive citizenship among youth and adults,
Enhance economic security and financial responsibility among the citizens of the state, and
Improve the quality of life in communities across Arkansas.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

University of Arkansas Division of Agriculture
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Salaries - Nonclassified	35,874,259	42,351,715	48,251,725	44,469,301	49,576,080	49,576,080
2 Salaries - Classified	8,976,207	9,310,261	10,108,100	9,775,775	10,342,580	10,342,580
3 Staff Benefits	12,458,519	13,856,926	15,570,348	14,549,772	15,986,309	15,986,309
4 Operating Expenses	15,509,294	15,932,152	19,077,688	17,943,690	19,464,415	19,813,422
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS	105,112	100,456	100,456	100,456	100,456	100,456
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	2,667,590	0				
16 TOTAL UNREST. E&G EXP.	\$75,590,981	\$81,551,510	\$93,108,317	\$86,838,994	\$95,469,840	\$95,818,847
17 NET LOCAL INCOME	18,033,138	19,286,666	16,362,416	16,362,416	16,362,416	16,362,416
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	58,504,337	62,264,844	76,745,901	70,476,578	79,107,424	79,456,431
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **	285,843					
23 TOTAL SOURCES OF INCOME	\$76,823,318	\$81,551,510	\$93,108,317	\$86,838,994	\$95,469,840	\$95,818,847

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

Other State Funds: **

Oenology Tax	10,808
Mineral Lease - Crit Mntnce	62,633
County Education Support	19,402
Soybean Rust	150,000
ALPC Lab transfer	43,000
	<hr/>
	285,843

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

University of Arkansas Division of Agriculture
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	397,660	118,000	354,000	371,700
7 OTHER CASH INCOME:	17,635,478	19,168,666	16,722,666	16,722,666
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	18,033,138	19,286,666	17,076,666	17,094,366
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$18,033,138	\$19,286,666	\$17,076,666	\$17,094,366

FORM 07-3

Other Cash Income Consists of:

Federal Appropriations	9,336,465	12,640,536	9,640,536	9,640,536
County Appropriations	2,395,331	2,649,130	2,649,130	2,649,130
Other Sales/Services	5,804,667	3,879,000	4,433,000	4,433,000
Private Gifts	99,015			
	17,635,478	19,168,666	16,722,666	16,722,666

REVISED DOCUMENT

APPROPRIATION ACT FORM - STATE TREASURY
2007-09 BIENNIUM

FUND TSF 0202

INSTITUTION TOBACCO FUNDS - AGRI EXPERIMENT STATION

APPROPRIATION 321

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
			1	1,067,436	1,358,521	1,308,521
2						
3						
4	268,850	347,969	347,969	347,969		
5	217,671	375,000	375,000	375,000		
6	8,796	15,000	15,000	15,000		
7						
7			75,000	75,000		
8	124,033	250,000	225,000	225,000		
9						
10						
11						
12						
13						
14	1,686,786	2,346,490	2,346,490	2,346,490	0	0
15						
16						
17						
18						
19						
20	1,687,828	2,346,490	2,346,490	2,346,490		
21						
22	1,687,828	2,346,490	2,346,490	2,346,490	0	0

* Report WF2000 funds on line 18 - "Special Revenues".

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UNIVERSITY OF ARKANSAS – ARKANSAS ARCHEOLOGICAL SURVEY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

INTRODUCTION:

The Arkansas Archeological Survey, an independent unit of the University of Arkansas System, operates a statewide program of archeological research, public service, and public education. Eleven Research Stations are strategically located in the state. The Coordinating Office is located in Fayetteville.

Archeologists at the eleven research stations conduct basic archeological research and provide assistance to citizens of Arkansas and to state and federal agencies. Eight research stations are located on university campuses (UAF, UAPB, UAM, UAFS, ATU, HSU, ASU, SAU). Research stations are also located at Toltec Mounds Archeological State Park, Parkin Archeological State Park, and in the city of Blytheville. The Survey's Coordinating Office in Fayetteville houses the administrative offices, the State Archeologist's office, the Registrar's office, Computer Services, Sponsored Research Program, and the Publications Office. Survey administrative staff includes the Director, the Assistant Director for Financial Affairs, an administrative secretary, and an accounting technician. The Survey has 43 employees statewide.

HIGHLIGHTS OF RECENT WORK:

George Sabo, Research Station Archeologist at UAF, is finishing the third year of a NEH funded project to document and uncover the meaning of Native American rock art in the Ozarks. As part of the project an interactive website was developed so students and the public can learn about rock art in Arkansas. See www.rockart.uark.edu.

With funding from the Arkansas Natural and Cultural Resource Council, Kathy Cande, project archeologist in the Coordinating Office, has excavated the last two years at Old Davidsonville State Park. Dishes, bottles, and coins manufactured in England, China and Spain were recovered reflecting the diverse array of materials that were available on the Arkansas frontier in the early 1800's. The new information and the uncovered artifacts will be used in interpretative displays at the park.

UNIVERSITY OF ARKANSAS – ARKANSAS ARCHEOLOGICAL SURVEY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

A new research station was established at UA Fort Smith. Tim Mulvihill was reassigned from the Parkin Archeological State Park research station to conduct excavations at the 1836 Drennen-Scott House. UAFS purchased the historic property in 2005 and will use it as a training center in the Historical Interpretation degree program.

The Survey provided over 400 teacher packets containing information on Arkansas archeology and history to Arkansas public school teachers. At meetings of the Teachers of Arkansas Studies Council, the Arkansas Conference on Teaching, and University Days at UAF, 93 public school teachers enrolled in workshops conducted by the Survey's education specialist Mary Kwas. In addition, Survey personnel gave 27 presentations at public schools to over 4000 students.

Survey personnel taught 19 college classes to 357 undergraduate and graduate students at 7 university campuses. Courses taught by Survey archeologists fulfill basic education requirements and contribute to several undergraduate majors and graduate degree programs. Survey archeologists also served on 37 thesis or internship committees for UAF graduate students in the Anthropology and Environmental Dynamics programs.

Survey archeologists wrote 38 articles for the Butler Center Encyclopedia of Arkansas History and Culture that will be available online in May, 2006.

In FY 05, Arkansas Archeological Society members and the general public logged over 11,000 volunteer hours helping Survey archeologists excavate sites, catalog artifacts, and move collections.

In 2003, Ann Early, State Archeologist, began a cemetery preservation program because of numerous citizen calls for help. To date over 650 cemeteries have been added to the Survey's databases, workshops on preservation techniques have been presented in conjunction with the Arkansas Humanities Council, and Survey archeologists have help local communities prepare maps and preservation plans.

FUNDING GOALS FOR 2007-2009 BIENNIUM:

UNIVERSITY OF ARKANSAS – ARKANSAS ARCHEOLOGICAL SURVEY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

1. The number one goal for the new biennium is to obtain funds for the maintenance of the Archeological Survey Building.
2. The salaries of Survey employees are up to 30% below market value. In order to attract and keep qualified archeologists additional funds are needed for salaries.
3. In order to serve the growing demand for cemetery preservation, the Survey needs to develop, train, and fund an archeological geophysical remote sensing team.
4. Additional funds are needed for African American cemetery preservation. These funds will be transferred to the Arkansas Humanities Council. The Council has a respected and effective grant selection process that provides all organizations a fair chance to obtain funds.
5. The Survey needs to hire or obtain the services of an educational technology specialist to further develop online information for the general public and public schools.

JUSTIFICATION AND RATIONALE FOR FUNDING GOALS FOR 2007-2009 BIENNIUM:

1. Funding for utilities and maintenance of the 1999 Survey Building was allocated from the General Improvement Fund in the 1999-2001 biennium. These funds were never added to the Survey's General Revenue Fund and consequently the Survey was forced to pay for utilities and general maintenance out of funds allocated for salaries and other purposes. Utility costs have increased 5-10% a year for the last three years and a HVAC Preventive Maintenance Program is needed at an annual cost of \$6,558. There are absolutely no reserve funds should the building be damaged by a storm, and the insurance deductible for the building is \$150,000. Obtaining these funds is the highest priority for the next biennium.
2. Despite respectable salary increases in FY 06 and FY 07, Survey salaries for Research Station Archeologists and other

UA-AS

UNIVERSITY OF ARKANSAS – ARKANSAS ARCHEOLOGICAL SURVEY

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

PhD-level archeologists in the Coordinating Office are still 20- 30% below the average salaries for comparable positions in history and anthropology at the University of Arkansas – Fayetteville. Survey archeologists have the same teaching, research, and public service responsibilities as faculty at major research institutions. Survey salaries for MA and BA level archeologists are 40% below similar positions requiring comparable training and experience at the Arkansas Highway and Transportation Department, the U.S. Forest Service and U.S. Army Corps of Engineers, and private archeological consulting firms. Due to low salaries the Survey has lost 5 MA level archeologists to AHTD and private archeological firms since FY 01. Because of its good reputation, the Survey has always been able to attract the very best archeologists. However, with below average salaries it is becoming increasingly difficult to recruit and retain well-trained, experienced archeologists. For example, the Survey had only 14 applicants for the Research Station Archeologist position at SAU, and good jobs are scarce for Ph.D.'s in archeology. The Survey has too much work to do to train BA and MA level archeologists who after gaining experience take higher paid positions with state and federal agencies and private consulting firms.

3. In 2000, the Survey purchased state-of-the-art archeological geophysical remote sensing equipment. This equipment measures changes in the magnetic and electrical frequencies in soil caused by subsurface disturbances, such as graves, buried walkways, cisterns and prehistoric houses. As news of the equipment continues to spread, the Survey cannot meet the demand for the use of this equipment to locate unmarked graves in existing cemeteries. We are even getting requests for its use from people in Oklahoma. The Survey needs additional personnel trained in its use who can help local cemetery preservation organizations in their work. Additionally, the Survey needs to replace and upgrade some of this equipment (see 2007-2009 capital budget request).

4. In the 2005-2007 Biennium the General Assembly appropriated \$65,000 from the General Improvement Fund to the Survey for an African American cemetery preservation program. These funds were transferred to the Arkansas Humanities Council for African American cemetery preservation. The Arkansas Humanities Council has an established, formal grant process for distributing these funds to applicants. The demand for cemetery preservation assistance is growing year by year and the Survey cannot afford to respond to all requests. Additional funds are needed for grants to local organizations.

UNIVERSITY OF ARKANSAS – ARKANSAS ARCHEOLOGICAL SURVEY

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

5. The Survey needs an educational technology specialist to maintain and develop websites for public schools, teachers, and the general public. There is a great demand for education materials about Arkansas history and archeology. With only 43 employees the Survey cannot respond effectively to the needs of the state's public schools. The most economical manner to delivery this information is the internet.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

ARKANSAS ARCHEOLOGICAL SURVEY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Classified Salaries	1,244,303	1,280,946	1,964,703	1,567,574	2,014,364	1,802,614
2 Non Classified Salaries	106,675	160,429	165,242	196,365	170,199	226,016
3 Extra Help	5,971					
4 Fringe Benefits	353,033	372,125	550,441	455,481	571,969	524,259
5 Maintenance	243,647	277,935	479,651	340,361	495,306	394,148
6 Survey Publications	32,114	30,000	30,900	30,900	31,827	31,827
7						
8 African American Cemeteries(Pass Thru)	60,402					
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	25,931					
16 TOTAL UNREST. E&G EXP.	\$2,072,076	\$2,121,435	\$3,190,937	\$2,590,681	\$3,283,665	\$2,978,864
17 NET LOCAL INCOME	30,000	30,000	30,900	30,900	31,827	31,827
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	2,065,566	2,091,435	3,160,037	2,559,781	3,251,838	2,947,037
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$2,095,566	\$2,121,435	\$3,190,937	\$2,590,681	\$3,283,665	\$2,978,864

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

ARKANSAS ARCHEOLOGICAL SURVEY

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME				
7 OTHER CASH INCOME: *	30,000	30,000	30,900	31,827
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	30,000	30,000	30,900	31,827
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$30,000	\$30,000	\$30,900	\$31,827

* Survey Publications

FORM 07-3

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UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

The Clinton School's *vision* is of a world of leaders who work with others to build healthy, engaged and vibrant communities.

The *mission* of the Clinton School is to educate and prepare individuals for public service that incorporates a strategic vision, an authentic voice, and a commitment to the common good.

We will realize our mission by:

1. Operating as a professional school, linking both academic and practical parameters to explore the world of public service.
2. Establishing and maintaining a community of students, scholars, and experienced public servants to address the challenges that confront local, state and international leaders.
3. Finding innovative ways to address community problems through partnerships and alliances that mobilize and leverage the resources of the public, for-profit, non-profit and volunteer sectors.
4. Developing the skills necessary to manage complex problems, taking into account the experience and interests of those who come to public service after first pursuing careers in other fields.
5. Emphasizing the importance of civic engagement in the process of comprehensive community development.
6. Continuously evaluating the School's efforts to remain responsive to the needs of our students and to improving the public sector.

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

The primary goals of the University of Arkansas Clinton School of Public Service (UACS) during the next biennium are to continue increasing enrollment and programming as well as to continue strengthening its relationship with the state.

UACS is the seventh university based program named for a President and the third located on the campus of a presidential library (Lyndon B. Johnson School at the University of Texas and George H.W. Bush School at Texas A&M University). The LBJ School opened in 1970 with 18 students and now (36 years later) has about 325 students. The Bush School opened in 1997 with 19 students and now (9 years later) has about 125 students.

UACS opened in 2005 with 16 students in its inaugural class. The second class, which began in August 2006, has 22 students so its enrollment growth is comparable to the two other schools located on presidential library campuses.

After fully implementing a Master of Public Service (MPS) degree program, UACS is looking to expand, considering implementation of an Executive MPS degree program, certificate programs and a joint degree program with the UALR William H. Bowen School of Law as well as other institutions.

UACS has developed and now offers the only Master of Public Service degree (ADHE-approved) in the country. The innovative curriculum requires 36 credit hours, 14 of which are earned in the field working on three community service projects: practicum (group project in an Arkansas community), international internship and capstone (individual project).

The inaugural class returned this fall from their summer international internships, countries including Vietnam, Sudan, South Africa, Bolivia, Sri Lanka and the Netherlands. After completing their individual public service projects during the fall, they will graduate in December.

Inaugurating an **Executive MPS Program** for part-time students is being reviewed for implementation in the next biennium. Classes would be held nights and weekends, and special arrangements would have to be made to accommodate the three public service projects. An Executive program will enable UACS to broaden its applicant pool and serve more Arkansans. The

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

availability of faculty to teach in such an expanded enrollment program and the availability of space are the critical determinates of *when* we can begin such an effort.

UACS is committed to enhancing the capacity to work across disciplinary, racial, ethnic and geographical boundaries. Because law serves as a universal language, a joint degree program with the Bowen School of Law is an opportunistic partnership. Through recruitment and working in the community, UACS has seen a large interest in a MPS – JD joint degree.

As UACS continues to attract engaged public servants to its student body, the School will also serve as a center for public dialogue and community conversation. It has already hosted internationally-prominent leaders including former Secretary of State Henry Kissinger; Congressman John Lewis; Supreme Court Justice Stephen Breyer; Marta de Fox, wife of Mexican President Vicente Fox; Senator Bob Dole; historian John Hope Franklin; President Jose Maria Aznar of Spain and Senator John Edwards. During the fall, several guest lecturers and public programs will continue to enrich our curriculum. Former Secretary of State Madeleine Albright, former Vice President Dan Quayle, David Eisenhower, grandson of President Dwight Eisenhower; Ron Fournier, former AP chief political correspondent; John Hofmeister, CEO of Shell Oil; former Senator Howard Baker; Bob Cohn, Executive Editor of Wired Magazine; and Carol Browner, former head of EPA are among the many guest lectures visiting during the Fall semester. The School is also hosting conferences and other events on public service, philanthropy and public policy.

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

University of Arkansas Clinton School of Public Service
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Salaries - Unclassified	725,173	1,427,138	2,056,484	1,469,952	2,118,179	1,514,051
2 Extra Help Wages	59,700	61,030	62,861	62,861	64,747	64,747
3 Staff Benefits	151,721	298,782	430,802	307,745	443,726	316,978
4 Scholarships	106,606	166,000	114,948	170,980	118,396	176,109
5 Maintenance & Operating	748,882	682,601	575,779	662,143	593,052	802,182
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	(37,317)					
16 TOTAL UNREST. E&G EXP.	\$1,754,765	\$2,635,551	\$3,240,874	\$2,673,681	\$3,338,100	\$2,874,067
17 NET LOCAL INCOME	234,326	282,900	342,753	386,582	353,035	465,802
18 PRIOR YEAR BALANCE		179,718				
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	1,978,812	2,172,933	2,898,121	2,287,099	2,985,065	2,408,265
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$2,213,138	\$2,635,551	\$3,240,874	\$2,673,681	\$3,338,100	\$2,874,067

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM**

University of Arkansas Clinton School of Public Service
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	193,100	268,500	371,750	450,525
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	13,345	14,400	14,832	15,277
7 OTHER CASH INCOME (remainder of planning money held at UAMS)	27,881			
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	234,326	282,900	386,582	465,802
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$234,326	\$282,900	\$386,582	\$465,802

FORM 07-3

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**CRIMINAL JUSTICE INSTITUTE
UNIVERSITY OF ARKANSAS SYSTEM**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Mission Statement:

The mission of the Criminal Justice Institute (CJI), a division of the University of Arkansas System, is to enhance the professional and technical effectiveness of Arkansas' criminal justice entities by offering continuing education in the fields of management, forensic sciences, computer applications, and other specialized areas of law enforcement, together with providing research and technical assistance. CJI is committed to continuing and strengthening collaborative efforts with other higher education institutions and criminal justice agencies in order to provide advanced education and professional development for the Arkansas law enforcement community.

Goal:

To achieve its mission, CJI must design, enhance, and implement curriculum in management, forensic sciences, computer applications, and other specialized areas of law enforcement that meet the unique education and training needs of today's Arkansas criminal justice community. CJI is also committed to meeting the technical and information support needs of this vitally important group.

Objective and Strategies:

Objective: CJI will enhance the knowledge and skills of the Arkansas law enforcement community in management, forensic sciences, computer applications, and other specialized areas of law enforcement, as well as provide needed services that allow Arkansas law enforcement agencies to better serve their constituencies.

Strategies: 1) CJI will enhance the managerial, administrative, and leadership capabilities of Arkansas law enforcement supervisors and executives by

UA-CJI

**CRIMINAL JUSTICE INSTITUTE
UNIVERSITY OF ARKANSAS SYSTEM**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

means of management and leadership curriculum, programs, and public services.

- 2) CJI will ensure that Arkansas law enforcement personnel keep pace with current trends and initiatives in forensic science and computer technology by means of curriculum, programs, and public services. CJI will strive to acquire funding to deliver these courses statewide.
- 3) CJI will address critical issues as they emerge by developing and delivering curriculum, programs, and public services targeted for areas of identified need within Arkansas law enforcement.
- 4) CJI will continue partnering with colleges and universities across the State to expand higher education opportunities for Arkansas law enforcement. Through two unique programs—Crime Scene Investigation and Law Enforcement Administration—law enforcement personnel can now obtain Certificates of Proficiency, Technical Certificates, and Associate of Applied Science Degrees in fields of study specific to the law enforcement profession.
- 5) CJI will continue to apply for and obtain federal grant funds to assist in providing education, training, research, and technical assistance to the Arkansas criminal justice community.
- 6) CJI will strive to reacquire lost funding to better serve Arkansas law enforcement by reinstating and revising needed services that assist

UA-CJI

**CRIMINAL JUSTICE INSTITUTE
UNIVERSITY OF ARKANSAS SYSTEM**

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

them in their continued efforts to best serve the communities of Arkansas. Services that would be reinstated and revised include a) job analyses and job descriptions, b) policy and procedures manuals, c) supplemental research activities, and d) curriculum and instruction for dispatchers.

- 7) CJI will strive to acquire funding for the creation of a School Resource Officer Program. The proposed program will assist school resource officers and school administration in enhancing school safety and providing an enriched learning environment for students. The program will consist of three components: 1) education and training, 2) safe school assessments of Arkansas schools, and 3) planning tools to enhance school safety. Implementation of this program will address the current need for uniform standards and training for school resource officers across the state.

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

CRIMINAL JUSTICE INSTITUTE--UA SYSTEM

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Public Service	2,337,592	2,580,216	2,749,055	1,871,500	2,831,527	2,059,027
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$2,337,592	\$2,580,216	\$2,749,055	\$1,871,500	\$2,831,527	\$2,059,027
17 NET LOCAL INCOME	569,062	503,200				
18 PRIOR YEAR BALANCE		228,904				
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	1,637,774	1,698,112	2,749,055	1,871,500	2,831,527	2,059,027
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **	150,000	150,000				
23 TOTAL SOURCES OF INCOME	\$2,356,836	\$2,580,216	\$2,749,055	\$1,871,500	\$2,831,527	\$2,059,027

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

**Special State Asset Forfeiture Funds

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

CRIMINAL JUSTICE INSTITUTE-UA SYSTEM

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME				
7 OTHER CASH INCOME: *	569,062	503,200		
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	569,062	503,200	0	0
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$569,062	\$503,200	\$0	\$0

*Indirect Cost Recovery

FORM 07-3

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ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES, AND THE ARTS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

Institution Mission Statement

The mission of the Arkansas School for Mathematics, Sciences and the Arts (ASMSA), in implementing its legislated vision, is to create, encourage and sustain throughout the State of Arkansas an educational community of academically talented students, faculty and staff that seek to pursue knowledge of mathematics, the sciences, the arts, and other curriculum. This mission has applications both on campus and throughout the state.

To accomplish this mission, ASMSA strives to offer at the ASMSA campus a challenging academic environment with emphasis on flexible learning styles and a well-rounded education. The school is comprised of a student body reflective of the diversity of Arkansas, living in a non-threatening safe residential environment, enhanced by positive moral and value influences from the family and home where the students have a strong sense of belonging and self-discipline is apparent.

To further accomplish this mission, ASMSA will:

- serve as a model for Arkansas schools,
- serve as a center for teacher education,
- provide courses and learning opportunities through distance education that promote efficiency, adequacy and equity to all school districts in Arkansas,
- promote the use and advancement of technology in the classroom, and
- serve to increase the public's awareness of the importance of advanced education in mathematics, sciences, technology, humanities, and the performing arts.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES, AND THE ARTS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

Institutional Goals

Goal One: To provide a challenging academic and residential life program for educationally gifted students in mathematics and sciences and, eventually, in the arts.

Goal Two: To provide distance education programs in academic areas in which school districts in Arkansas need assistance due to qualified teacher shortage.

Goal Three: To develop and deliver teacher enhancement opportunities to districts throughout Arkansas.

Programs and Definitions

- **Residential Academic Program:**

This program includes all students who reside on campus for the two-year program. ASMSA is responsible, by law, for their residential and academic programs.

Objective One: Provide residential programming

Strategy One: Develop a comprehensive development program that will seek external funds for the construction of a multi-purpose building to be used for exercise, rehearsals, assemblies, group meetings, and performances.

Strategy Two: Provide adequate professional development for residential staff members that will ensure employee retention.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES, AND THE ARTS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

Strategy Three: Increase safety measures to ensure compliance with current safety codes and ADA requirements.

Objective Two: Provide a dynamic and rigorous academic program

Strategy One: Implement revisions that enhance the curricular offerings and allow for additional student research opportunities and individual enhancement.

Strategy Two: Renovate all science laboratories over a five-year span to make them state-of-the-art and in line with modern science teaching and safety.

Strategy Three: Upgrade technology equipment on a three-year rotation schedule.

Strategy Four: Seek funding for the purchase of biotechnology equipment to enhance the science curriculum.

Strategy Five: Offer enhancement courses to entering students who have deficient mathematical and writing abilities.

Strategy Six: Offer summer mathematics and science programs for students from underrepresented populations and areas of the state.

Strategy Seven: Add a minimum of one new arts course per year.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES, AND THE ARTS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

- **Distance Education Program**

The Office of Distance Education has grown from serving 228 students during its first year of operation (1998-99) to over 2,600 students in AY2005-06.

Goal one: Deliver quality instruction to school districts by use of distance education media in order to provide equitable educational opportunities for all Arkansas students.

Objective One: Provide services requested by school districts.

Strategy One: Continue to gather and analyze curriculum data from school districts on needs.

Strategy Two: Develop new courses as needed.

Strategy Three: Develop standards for course assessment, data analysis, and reporting to the school districts.

Objective Two: Promote distance education.

Strategy One: Attend workshops, conferences, and meetings to promote distance education.

Strategy Two: Work with other institutions and agencies to coordinate distance education efforts to maximize efficiency and cost savings.

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES, AND THE ARTS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

Strategy Three: Develop teacher enhancement programs that utilize distance education.

Objective Three: Promote new methods for the delivery of distance education.

Strategy One: Continue writing and submitting federal grants for distance education.

Strategy Two: Examine new distance education technologies for instructional and cost effectiveness.

- **Teacher Enhancement includes programs that assist Arkansas teachers with maintaining areas of expertise.**

Goal One: To provide professional development and continuing education programs for teachers.

Strategy One: Offer workshops at locations around the state during the school year.

Strategy Two: Offer summer programs on the ASMSA campus.

Strategy Three: Work with state agencies in determining teacher needs in Arkansas.

**ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES, AND THE ARTS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

- **Facilities Enhancement**

This program includes the overall development of the campus that impacts the three core areas of the ASMSA mission statement.

Objective One: Develop a facilities master plan.

Strategy One: Work with the State to secure funding to refurbish campus buildings.

Strategy Two: Build a multi-purpose facility that would serve as an assembly area and performance venue while allowing for the expansion of the arts program.

Strategy Three: Ensure that the existing facilities are brought up to code, are ADA compliant, and reflect the special missions of ASMSA.

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

UA-ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	3,035,681	3,466,000	4,062,683	3,880,938	4,390,041	4,056,719
2 ACADEMIC SUPPORT	726,046	820,600	855,973	855,973	926,202	886,202
3 STUDENT SERVICES	1,041,188	1,175,150	1,202,688	1,202,688	1,251,136	1,251,136
4 INSTITUTIONAL SUPPORT	807,255	1,081,100	1,095,322	1,095,322	1,128,182	1,128,182
5 OPERATION & MAINT OF PLANT	1,378,477	1,831,040	1,876,677	1,876,677	1,916,696	1,916,696
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	583,469					
16 TOTAL UNREST. E&G EXP.	\$7,572,116	\$8,373,890	\$9,093,344	\$8,911,599	\$9,612,257	\$9,238,935
17 NET LOCAL INCOME	1,559,684	900,000	1,000,000	1,000,000	1,000,000	1,000,000
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	6,837,454	7,473,890	8,093,344	7,911,599	8,612,257	8,238,935
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$8,397,138	\$8,373,890	\$9,093,344	\$8,911,599	\$9,612,257	\$9,238,935

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM**

UA-ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES				
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME				
7 OTHER CASH INCOME:	1,559,684	900,000	1,000,000	1,000,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	1,559,684	900,000	1,000,000	1,000,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$1,559,684	\$900,000	\$1,000,000	\$1,000,000

Note: Distance Education Grants and Contract Income for classes taught to state-wide public schools

FORM 07-3

**REVISOR FOR STATE TREASURY
2007-09 BIENNIUM**

FUND CMS0000

INSTITUTION UA-ARKANSAS SCHOOL FOR MATH, SCIENCES AND THE ARTS

APPROPRIATION 2FD

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	3,488,502	3,513,691	3,667,849	3,813,385		
2 EXTRA HELP WAGES	13,275	25,000	25,000	25,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	991,288	1,021,370	1,062,574	1,091,360		
5 OPERATING EXPENSES	1,461,231	2,223,829	2,460,176	2,606,190		
6 CONFERENCE FEES & TRAVEL	37,500	50,000	50,000	55,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	435,390	475,000	485,000	498,000		
8 CAPITAL OUTLAY	10,000	165,000	161,000	150,000		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	6,437,186	7,473,890	7,911,599	8,238,935	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	335,260	497,378	935,087	1,262,423		
17 EDUCATIONAL EXCELLENCE TRUST FUND	6,502,194	6,976,512	6,976,512	6,976,512		
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$6,837,454	\$7,473,890	\$7,911,599	\$8,238,935	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 2000300

INSTITUTION UA-ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION B03

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	7,401	543,309	4,000,000	4,000,000		
2 EXTRA HELP WAGES			100,000	100,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	3,835	145,230	1,000,000	1,000,000		
5 OPERATING EXPENSES	466,486	136,461	3,000,000	3,000,000		
6 CONFERENCE FEES & TRAVEL	24,444	25,000	100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES	3,281		250,000	250,000		
8 DATA PROCESSING						
9 CAPITAL OUTLAY	46,012	50,000	5,000,000	5,000,000		
10 CAPITAL IMPROVEMENTS						
11 DEBT SERVICE			500,000	500,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS						
13 PROMOTIONAL ITEMS			15,000	15,000		
14						
15						
16 CONTINGENCY						
17 TOTAL APPROPRIATION	\$551,460	\$900,000	\$13,965,000	\$13,965,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	1,559,684	900,000	13,965,000	13,965,000		
20 FEDERAL CASH FUNDS						
21 OTHER CASH FUNDS						
22 TOTAL INCOME	\$1,559,684	\$900,000	\$13,965,000	\$13,965,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	117	94	106	123	123		
TOBACCO POSITIONS							
EXTRA HELP **	5	5	5	5	5		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

UA-ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 :						<u>90</u>
(As of November 1, 2005)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>14</u>
White Female:	<u>23</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>24</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Faculty:						
White Male:	<u>27</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>28</u>
White Female:	<u>16</u>	Black Female:	<u>2</u>	Other Female:	<u>6</u>	Total Female: <u>24</u>
Total White Male:						<u>41</u>
Total White Female:						<u>39</u>
Total Black Male:						<u>0</u>
Total Black Female:						<u>3</u>
Total Other Male:						<u>1</u>
Total Other Female:						<u>6</u>
Total White:						<u>80</u>
Total Black:						<u>3</u>
Total Other:						<u>7</u>
Total Minority:						<u>10</u>
Total Employees:						<u>90</u>

FORM 07-8

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UNIVERSITY OF ARKANSAS – FORT SMITH

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

I. INTRODUCTION

The University of Arkansas – Fort Smith respectfully submits the following request for legislative appropriation for the biennium ending June 30, 2009. The request is aligned with the University’s strategic planning documents and is consistent with its Board approved institutional role and scope. In brief, the revenue requested is needed to maintain existing programs at a quality level and to provide for new programs needed by the citizens and communities served by UA Fort Smith while bringing University funding to a level that supports actual enrollment.

We note with pride all that has been done since becoming a four-year university in 2002. Enrollment has increased more than 50 percent. The number of baccalaureate degrees offered has gone from none to 31. Full-time faculty ranks have expanded by 45 percent to more than 180, with an increasing number holding terminal degrees in their discipline. The campus has grown in area by 10 percent and facility square footage by 47 percent. A lot has happened. Even so, much work remains and requires a look to the future.

That look to the future is contained in the institution’s strategic plan, which is the corporate product of faculty, staff, students, administrators, and community stakeholder. This plan incorporates the foundational assumptions, core convictions, and imperatives that will shape the future of the institution in coming years. The plan builds on the conviction that this institution remains focused on and committed to positively changing lives and communities through education and training. The plan focuses not only on what UA Fort Smith is going to do, but also our preferred future—what we will become.

UA Fort Smith will continue to be a truly unique university that prides itself on its responsiveness to our communities and the quality of our graduates. We will measure and publish the results of our efforts and continually seek growth and improvement. UA Fort Smith will demonstrate our successful connections to the community and document the success of our students. The entire University community, as well as Fort Smith and the surrounding region, is asked to participate in the efforts that emerge from this plan over the months and years ahead.

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

UA Fort Smith enters the next legislative session and the 2007-09 biennium under the leadership of a new Chancellor, Dr. Paul Beran. As part of the transition in institutional leadership, Dr. Beran will undertake a review of the institution's strategic plan to sharpen the focus and alignment with his personal vision of the University's mission, goals, and objectives described in the paragraphs below.

II. MISSION

The mission of the University of Arkansas -Fort Smith is to raise the higher education achievement level of the residents of the Western Arkansas service area.

The UA Fort Smith mission is about positively changing lives through education and training and improving the civic and economic health of the region by means of a more educated populace. Specifically, the education level of the adult citizenry of our region, as measured by the percentage of those 25 years and older who hold a bachelor's degree, is well below that of the state, which lags the nation. We are charged with changing those facts.

UA Fort Smith will address the mission by providing high quality baccalaureate, associate, and certificate programs and a wide range of credit and noncredit educational services for the region. Education and training services designed to meet the workforce education and retraining needs of business, industry, and healthcare throughout the region are an additional responsibility of the institution.

Student learning and growth are priorities for all of us at UA Fort Smith. The University will strengthen the educational, cultural, and economic development of the communities in Western Arkansas.

III. VISION

UA Fort Smith will be a distinctive institution that truly serves as a leader and a model in the learning enterprise. With a primary focus on five areas that produce highly-qualified graduates for identified areas of employment need, the University

UAFS

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

will build vibrant colleges of education, business, health sciences, technology, and arts and sciences. A strong general education/liberal arts foundation will be at the core of each program. In addition to a strong theoretical base, each UA Fort Smith student will have applied learning opportunities in the community relevant to his/her field of study, through apprenticeships, internships, mentoring programs, service learning, student-work positions, and other experiential opportunities. The result will be well-educated graduates armed with a wealth of knowledge and practical experience in their fields. They will be widely sought after for well-paid employment.

UA Fort Smith will continue to be distinguished from other public regional universities by the focus on what the institution does best—preparation for real life in a real life place. Since learning happens both inside and outside the classroom, students will be encouraged to see the Fort Smith region as an extension of campus.

UA Fort Smith will be a catalyst for enhanced economic development, as well as cultural and educational development. The aim is to actively participate in building a thriving region with job opportunities for young adults, new families, and continued growth of existing area service, business, and industrial enterprises. The institution will continue to be committed to serving the public and will work closely with community organizations to do so. The overarching goal will be community and regional development and growth.

UA Fort Smith will foster a community of learners with shared aspirations, values, and goals with a unifying theme of enhanced quality. Whatever we do, we will do well. UA Fort Smith will be recognized as one of the most effective institutions in higher education in every way. As a result, faculty and staff, the community, the region and, most importantly, students will benefit.

IV. STRATEGIC DIRECTIONS AND OUTCOMES:

The following strategic directions and stated outcomes will guide the University of Arkansas - Fort Smith for the next eight years as we become a results-oriented university that provides each graduate with the knowledge, competencies, and actual experience needed for success in life.

UNIVERSITY OF ARKANSAS – FORT SMITH

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

- **STRATEGIC DIRECTION ONE:** UA Fort Smith will place students and their success at the center of its enterprise.
 - The enrollment of viable students will increase by a minimum of three percent in each of the next five years, reaching an enrollment of 9,000 students by 2014.
 - The fall-to-fall retention rate for first-time, full-time freshmen will increase by a minimum of three percent per year so as to meet or exceed the Arkansas public four-year rate by 2014, while raising academic standards.
 - Graduation rates will increase by a minimum of four percent in each of the next five years and will meet and surpass the state average rate for graduation by the year 2014.
 - Each year, each graduate will measurably demonstrate appropriate skills as well as knowledge for the degree he/she has earned.
 - The number of students achieving their stated educational goals will increase each year by two percent or more. By 2014 those in business, education, health sciences, and technical areas will be placed in jobs related to their majors or graduate school at an 80 percent placement rate within one year of graduation.

- **STRATEGIC DIRECTION TWO:** UA Fort Smith will achieve academic excellence and will enjoy a regional reputation for quality in all areas.
 - UA Fort Smith will attract and develop high-quality faculty and staff with appropriate credentials, experience, and a commitment to our vision.
 - National accreditation will be earned and maintained by every program for which national accreditation and standards exist.
 - Student learning with qualitative and quantitative improvements will be increased in each program each year and documented by means of measured outcomes.
 - The average ACT score for first-time, full-time freshmen will be a 23 composite by 2014.
 - Technology in instruction and campus operations will be enhanced to support increased expectations for and

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

accomplishments by students.

- UA Fort Smith will continue to develop as a distinctive university known for our accomplishments and the fact that the institution operates within a specified structure of being the very best in everything we do.

➤ **STRATEGIC DIRECTION THREE:** UA Fort Smith will actively engage regional, national, and global communities.

- An average of two degree programs that meet a specific regional need and for which sufficient student interest is documented will be approved and established each year.
- UA Fort Smith faculty and staff will develop and use community connections to create “hands-on, real-life experiences” for each student each year in each major.
- Regional economic development efforts and community enrichment through the arts will be enhanced through expanded and documented collaboration with other regional partners utilizing the special talents of our faculty and staff.
- Community/workforce development efforts and effectiveness will be measurably increased so as to enhance the national and global competitiveness of the region’s enterprises.
- UA Fort Smith will collaborate with a wide variety of community businesses and organizations to create the “quality of place” desired by our region.
- UA Fort Smith will enrich community and student life by providing access to, and participation in, first-class programs in the performing and visual arts.

➤ **STRATEGIC DIRECTION FOUR:** UA Fort Smith will demonstrate good stewardship in the development and use of resources to further the mission of the University.

- UA Fort Smith will build and present a strong case for increased funding that results, by 2011, in state funding equal to that received on average by Arkansas’ public four-year institutions as measured by state dollars per

UNIVERSITY OF ARKANSAS – FORT SMITH

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

full-time equivalent students.

- UA Fort Smith will initiate and complete a successful major gifts campaign to build the endowment necessary to be a distinctive university.
- UA Fort Smith will explore additional sources of funding.
- UA Fort Smith will develop plans to build additional facilities to meet identified needs.

Detailed and measurable strategies have been identified

V. OBJECTIVES FOR BIENNIAL APPROPRIATION REQUEST

Fulfilling our purpose of being a leader in the learning enterprise and thereby achieving our mission to raise the education level of the citizens and communities we serve requires more than status quo funding. Achievement of the objectives identified above requires resources. We will energetically seek private funds and grant support, but **need the State of Arkansas to become our strongest partner in the enterprise.** Our request is not internally focused, but responds to the needs of those we serve. We want to:

- Raise the education levels of our citizens.
- Be a force for economic development.
- Be quick on our feet in bringing new learning opportunities to the street.

It is with these ideals in mind that we respectfully request your support of the funding recommendation for the University of Arkansas - Fort Smith and for all higher education in the state. Arkansas will be better for it.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL REVENUE AND CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

UNIVERSITY OF ARKANSAS AT FORT SMITH
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	15,966,324	17,360,001	19,356,401	21,334,352
2 RESEARCH				
3 PUBLIC SERVICE	358,391	365,194	390,758	430,687
4 ACADEMIC SUPPORT	3,887,123	4,919,048	5,263,381	5,801,225
5 STUDENT SERVICES	2,648,528	2,823,256	3,077,349	3,391,811
6 INSTITUTIONAL SUPPORT	5,179,929	6,441,028	5,697,922	6,280,169
7 PHYSICAL PLANT M&O	4,597,146	4,454,788	5,056,861	5,573,601
8 SCHOLARSHIPS & FELLOWSHIPS	2,005,499	2,087,657	2,275,546	2,508,075
9				
10				
11				
12				
13 MANDATORY TRANSFERS	3,264,657	3,878,748	5,215,000	5,650,000
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	1,161,430	1,082,653	1,040,146	1,146,434
16 TOTAL UNREST. E&G EXP.	\$39,069,027	\$43,412,373	\$47,373,364	\$52,116,354
17 NET LOCAL INCOME	19,655,825	22,326,736	22,822,270	23,234,030
18 PRIOR YEAR BALANCE				
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	19,661,878	21,085,637	24,551,094	28,882,324
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **	230,723			
23 TOTAL SOURCES OF INCOME	\$39,548,426	\$43,412,373	\$47,373,364	\$52,116,354

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

Line 22: Tuition Adjustment Fund

230,723

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

UNIVERSITY OF ARKANSAS AT FORT SMITH
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	12,740,969	15,885,505	16,123,788	16,526,883
2 ALL OTHER FEES	57,090	59,935	60,235	60,385
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	807,760	895,298	851,529	860,044
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	56,307	46,000	46,000	46,000
6 INVESTMENT INCOME	317,413	87,034	253,930	253,930
7 OTHER CASH INCOME:	5,676,286	5,352,964	5,486,788	5,486,788
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	19,655,825	22,326,736	22,822,270	23,234,030
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$19,655,825	\$22,326,736	\$22,822,270	\$23,234,030

FORM 07-3

Line 7: County Sales Tax	5,166,260	4,847,363	5,130,000	5,130,000
Grants and Contracts	207,346	148,558	74,279	74,279
Other Miscellaneous Income	302,680	357,043	282,509	282,509
Total Other Cash Income	5,676,286	5,352,964	5,486,788	5,486,788

**APPROPRIATION FORM STATE TREASURY
REVISOR FOR STATE
2007-09 BIENNIUM**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS AT FORT SMITH

APPROPRIATION 568

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	14,151,183	14,800,233	17,020,268	18,722,295		
2 EXTRA HELP WAGES	450,000	450,000	675,000	695,250		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	2,957,803	3,513,713	3,744,459	4,118,905		
5 OPERATING EXPENSES	2,316,155	2,304,231	3,091,367	5,245,874		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION	17,460	17,460	20,000	100,000		
11						
12						
13						
14 TOTAL APPROPRIATION	\$19,892,601	\$21,085,637	\$24,551,094	\$28,882,324	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	16,871,995	18,092,238	21,557,695	25,888,925		
17 EDUCATIONAL EXCELLENCE TRUST FUND	2,789,883	2,993,399	2,993,399	2,993,399		
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS	230,723					
22 TOTAL INCOME	\$19,892,601	\$21,085,637	\$24,551,094	\$28,882,324	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

Line 21: Tuition Adjustment Fund

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 2160000

INSTITUTION UNIVERSITY OF ARKANSAS AT FORT SMITH

APPROPRIATION B12

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	7,217,317	7,939,048	13,066,160	13,196,822		
2 EXTRA HELP WAGES	675,314	742,846	1,475,468	1,490,222		
3 OVERTIME	182,664	200,931	410,099	414,200		
4 PERSONAL SERVICES MATCHING	3,368,474	3,705,321	4,756,341	4,803,905		
5 OPERATING EXPENSES	10,035,743	11,039,317	17,526,356	17,701,620		
6 CONFERENCE FEES & TRAVEL	374,547	412,002	919,044	928,234		
7 PROFESSIONAL FEES AND SERVICES	95,956	105,552	764,745	772,392		
8 DATA PROCESSING		120,000	964,050	973,691		
9 CAPITAL OUTLAY	14,311,312	3,577,828	5,387,424	5,441,298		
10 CAPITAL IMPROVEMENTS		11,799,909	30,785,237	31,093,089		
11 DEBT SERVICE		4,733,748	5,215,000	5,650,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	4,541,623	4,995,785	15,095,011	15,245,961		
13 PROMOTIONAL ITEMS	40,437	50,000	150,000	175,000		
14						
15						
16 CONTINGENCY			49,177,083	49,668,855		
17 TOTAL APPROPRIATION	\$40,843,387	\$49,422,287	\$145,692,018	\$147,555,289	\$0	\$0
18 PRIOR YEAR FUND BALANCE	933,399	3,685,871				
19 LOCAL CASH FUNDS	38,730,509	44,626,466	145,692,018	147,555,289		
20 FEDERAL CASH FUNDS	1,179,479	1,109,950				
21 OTHER CASH FUNDS						
22 TOTAL INCOME	\$40,843,387	\$49,422,287	\$145,692,018	\$147,555,289	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	856	817	837	1,085	918		
TOBACCO POSITIONS							
EXTRA HELP **	910	233	250	910	910		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

UNIVERSITY OF ARKANSAS AT FORT SMITH

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	1,031,737	1,451,661		-\$419,924	1,204,066	1,634,444		-\$430,378
2 RESIDENCE HALL	1,086,201	328,919	391,905	365,377	1,470,452	559,354	855,000	56,098
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	849,067	986,616		(137,549)	721,266	1,091,107		(369,841)
6 COLLEGE UNION		79,942		(79,942)		101,675		(101,675)
7 BOOKSTORE	3,646,562	3,281,820		364,742	3,750,000	3,433,086		316,914
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	1,403,469	689,645		713,824	1,939,096	1,091,971		847,125
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	96,295	34,743		61,552	5,863	30,000		(24,137)
11 SUBTOTAL	\$8,113,331	\$6,853,346	\$391,905	\$868,080	\$9,090,743	\$7,941,637	\$855,000	\$294,106
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	(16,334)			(16,334)	(294,106)			(294,106)
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$8,096,997	\$6,853,346	\$391,905	\$851,746	\$8,796,637	\$7,941,637	\$855,000	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Line 10: Interest Income	85,020			
Other Miscellaneous Income/Expen	11,275			5,863 30,000
Bad Debt Expense		34,743		
Total Other	96,295	34,743		5,863 30,000

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0195 UNIVERSITY OF ARKANSAS AT FORT SMITH

ACT#: 2302

SECTION#: 6

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

EMPLOYMENT MODELS. The University of Arkansas at Fort Smith is hereby authorized to experiment with and utilize employment models other than tenure to accommodate the development and delivery of curriculum, such as the employment of new faculty on a twelve-month, forty-hour week basis, with rewards for administrative staff and faculty based upon results.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0195 UNIVERSITY OF ARKANSAS AT FORT SMITH

ACT#: 2302

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

**REVIEW OF EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT FORT SMITH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06: <u>647</u>						
(As of November 1, 2005)						
Nonclassified Administrative Employees:					Total	Total
White Male:	<u>13</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Male: <u>13</u>
White Female:	<u>13</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Female: <u>13</u>
Nonclassified Health Care Employees:					Total	Total
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Female: <u>0</u>
Classified Employees:					Total	Total
White Male:	<u>74</u>	Black Male:	<u>3</u>	Other Male:	<u>3</u>	Male: <u>80</u>
White Female:	<u>165</u>	Black Female:	<u>6</u>	Other Female:	<u>13</u>	Female: <u>184</u>
Faculty:					Total	Total
White Male:	<u>167</u>	Black Male:	<u>5</u>	Other Male:	<u>7</u>	Male: <u>179</u>
White Female:	<u>164</u>	Black Female:	<u>3</u>	Other Female:	<u>11</u>	Female: <u>178</u>
Total White Male:					Total	Total
Total White Female:					Male:	Female:
Total Black Male:					<u>272</u>	<u>375</u>
Total Black Female:					<u>24</u>	<u> </u>
Total Other Male:					<u>10</u>	<u> </u>
Total Other Female:					<u>24</u>	<u> </u>
Total White:					Total	Total
Total Black:					Employees:	<u>647</u>
Total Other:					<u>34</u>	<u> </u>
Total Minority:					<u>51</u>	<u> </u>

FORM 07-8

<p><i>Finding:</i></p>	<p>1. UNIVERSITY OF ARKANSAS FORT SMITH</p> <p>The University has internal controls established to ensure supply requisitions are properly recorded. The Interim Director of Plant Operations disclosed numerous instances where the custodial employee, Howard L. Selman, improperly circumvented procedures and signed supervisory approval to requisition forms, which allowed office supplies to be obtained and used for unauthorized purposes. For the period August 28, 2002, through November 19, 2004, University management calculated, and we verified, the total loss was \$1,507. Subsequent to management's investigation, the supervisor resigned and the custodial employee was terminated.</p> <p>As required by Department of Finance and Administration regulations, the University did not report the loss to the State's Chief Fiscal Officer and the Division of Legislative Audit.</p>
<p><i>Recommendation:</i></p>	<p>We recommend Howard L. Selman reimburse the University \$1,507 for misappropriated office supplies. We have forwarded this matter to the Twelfth Judicial District Prosecuting Attorney for review. In the future, we recommend the University timely report losses to the appropriate agencies.</p>
<p><i>Institution's Response:</i></p>	

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UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

In 2004-2006, UALR conducted an extensive strategic planning process that resulted in a strong vision for the future of UALR. It concluded with a set of goals, objectives, and strategies as a basis for implementation of the vision. Goals One through Five are directed at the core mission of the university—teaching, research, and public service. Goals Six through Eight address the means for accomplishing Goals One through Five.

The non-formula program enhancement activities in public service and research are primarily directed at goal one, objective three, and goals three, four, and five.

GOAL ONE *UALR will provide programs of study that will educate students to live, work, and lead in the complex, technological, diverse world of the 21st Century.*

OBJECTIVE 1: The university will ensure the quality of its educational programs.

STRATEGIES:

- The university will develop a set of performance measures, appropriate to a metropolitan university, that provide the basis for quality assurance and quality improvement.
- The university will develop and implement a plan to maintain momentum and improve the strategies for assessing student learning outcomes in preparation for the comprehensive review by the North Central Association in 2010.
- The university will strengthen its commitment to cultivating global awareness among students through such initiatives as the possible expansion of the study abroad program, the reorganization of the functions and units related to international students and international studies, the possible addition of an International MBA program, and the infusion of an international focus

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

throughout the curriculum.

- The university will develop and implement a plan for encouraging and supporting interdisciplinary teaching and research efforts of faculty.
- The university will strengthen the internal system of academic program review.
- The university will consider implementing a Writing Across the Curriculum Program, charging a faculty-led task force to research existing models at other universities and design a program which meets the unique needs of UALR students.
- UALR will strengthen the Donaghey Scholars Program, a premier honors program, and will add more broad-based honors programs open to a larger number of students.

OBJECTIVE 2: The university, in accord with its mission, will graduate students who understand the roles they can play to make a difference in society.

STRATEGIES:

- The university will maintain and strengthen its programs (American Humanics, Friday-Sturgis Fellows, service-learning courses, etc.), which engage students in public service, and will expand service-related and community related components in the undergraduate curriculum.
- The university will increase the number of internship and externship opportunities for UALR students.

OBJECTIVE 3: The university will give priority to new academic programs which promise the greatest impact on meeting the needs of Arkansas in such areas as economic development, health care, education, and social welfare.

STRATEGIES:

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- The university will develop a process to evaluate new program proposals using the categories of need, demand, institutional mission, resource requirements, and duplication.
- The university will expand engineering offerings as CyberCollege programs in Systems Engineering and in Information Science mature.
- The university will consider additions of programs in such areas of emphasis as the Life Sciences (healthcare, biotechnology, toxicology, etc.), automotive industry engineering support, non-profit management, hotel and restaurant management, and innovative entrepreneurship.

OBJECTIVE 4: The university will increase the number of certified, K-12 teachers it graduates by 40 percent in five years.

STRATEGIES:

- The university will partner with public school teachers and administrators, among others, to eliminate any internal barriers to increased production of K-12 teachers.
- The university will strengthen and increase access to nontraditional teacher licensure opportunities.
- The university will study the feasibility of establishing an honors program, with generous scholarships and a study abroad component, for students planning to become K-12 teachers.
- The university will pursue a substantial increase in the number of privately funded, endowed scholarships to support teacher education students.
- The university will give specific attention to recruiting students into the teaching profession in content areas where shortages are acute, including science, mathematics, foreign languages, special education, and middle

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

childhood education.

OBJECTIVE 5: The university will increase the number of nurses it graduates by 100 percent in five years.

STRATEGIES:

- The university will implement and grow its partnership with St. Vincent Health Care Systems to underwrite scholarships and instructional costs for nursing students.
- The university will implement an 18-month accelerated RN program option to facilitate more rapid entry into the workforce.
- The university will seek the means to sustain the nursing program at Benton.

OBJECTIVE 6: The university will expand its cultural programs to contribute to the quality of life in central Arkansas.

STRATEGIES:

- The UALR Music Department will add a track in music theater in support of Central Arkansas' theater and opera communities.
- The UALR Art Department will add a track in Applied Arts both in support of Central Arkansas' visual arts community and in deference to the craft fields from which applied arts disciplines evolved.
- Dance will be reactivated as a major on campus.
- The Public History Program will expand its partnerships with regional and national museums and archives.
- UALR will consider expanding offerings in the field of film.
- The university will provide additional support to UALR Public Radio.

OBJECTIVE 7: The university will increase the number of baccalaureate degree graduates by 20 percent in five years.

UALR

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

STRATEGIES:

- The university will create a leader position for student recruitment in order to use and coordinate recruitment efforts of all University units.
- UALR will focus increased recruitment efforts on transfer students from both two-year and four-year campuses.
- The university will use existing merit-based scholarships more effectively in recruiting students.
- UALR will aggressively recruit minority students and will work with advocacy groups and the state legislature to make it easier for recently-arrived Latinos to enroll without penalty in the state's public colleges and universities.
- The university will work with state officials and private donors to increase the number of both need-based and merit scholarships.
- The university will place shared personnel on site at Pulaski Technical College to facilitate the transfer of students from one institution to the other.
- UALR will increase the leadership role of the institution's academic departments and faculty in recruitment and retention activities.
- UALR will recruit more aggressively from the two-year colleges using the online completer degrees.
- The university will continue to support the Benton Center or a successor center in Saline County, expanding programs as demand appears sufficient to support the additional programs.
- UALR will continue to explore expanding access through non-traditional delivery formats, additional sites, and expanded use of non-traditional course offering times.
- The university will review its policies, procedures, and practices against the standard of convenience to students in light of the importance of convenience to the educational progress of UALR students, who often are employed and have

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

family responsibilities.

OBJECTIVE 8: The university will be the high-tech campus in Arkansas where relevant technology is prominently available and used extensively by students, faculty, and staff.

STRATEGIES:

- UALR will create a deanship to coordinate and supervise extended programs and the use of technology-enhanced instruction and will charge this dean with creating a streamlined and efficient structure for these initiatives.
- The university will enhance the integration of technology into the core curriculum in support of the new core competency related to technology.
- UALR will provide a clear set of technology competencies by major and a plan for ensuring graduates in every major are technologically proficient.
- The university will offer existing undergraduate majors in liberal arts, criminal justice, and mathematics in an on-line format and expand into others as the courses are developed.
- The university will continue to expand the use of information technology to increase access to higher education in innovative ways such as the partnership arrangement with Wal-Mart and Northwest Arkansas Community College.

GOAL TWO *UALR will provide a student-centered educational environment.*

OBJECTIVE 1: The university will organize its operations and shape its practices, policies, and procedures to be as student-centered as possible, as evidenced by increased student satisfaction and success.

STRATEGIES:

UALR

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- The university will provide training so that all university personnel demonstrate a service-oriented attitude to all university constituents, and will provide a measure of accountability to ensure that this positive attitude becomes part of the university culture.
- The university will establish a welcome center for students and consolidate student service offices into a "one-stop" site.
- The university will consider the feasibility of providing child-care services through a partnership arrangement with a private provider.
- The university will follow the recent installation of consistent external signage across campus with accurate and consistent internal signage in campus buildings.
- The university will shape its services to meet the needs of its students, responding to the particular challenges which confront such specific groups as transfer students, single parents, on-line students, weekend students, and minorities.
- The university will review policies on parking with the aim of making the campus more convenient for all students and providing more close-in parking for evening students.
- The university will examine all of its policies and procedures in order to identify and remove bottlenecks to student progress toward graduation.

OBJECTIVE 2: The university will strengthen the sense of campus community through expanded on-campus student housing, specific academic offerings, strengthened extracurricular programs, and selected faculty activities.

STRATEGIES:

- The university will build additional on-campus student housing, assuming

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

financial feasibility, to accommodate a minimum of an additional 700 students.

- The university will explore the possibility of fully implementing learning communities as a means of developing cohorts of successful students.
- The university will continue strengthening intercollegiate and intramural athletics programs to enrich campus and community life.
- The university will work with fraternities and sororities to strengthen their role on campus and, in concert with the institution's master planning initiative, to plan for a physical presence for the institution's Greek community, including residential houses as possible and appropriate.
- The university will host an annual lecture series featuring leading scholars in various academic disciplines to provide external perspective and focus on the world around us.
- The university will give attention to integrating the faculty and students of the law school and the main campus.
- The university will promote social interaction among new faculty as part of its new faculty orientation programs to provide them with an early sense of belonging to the UALR community.
- The provost will host occasional gatherings of faculty and staff from across the university whose teaching, research, and service interests are similar.

OBJECTIVE 3: The university will implement research-based strategies for increasing persistence (retention) and graduation rates of UALR undergraduate students by 20 percent in five years.

STRATEGIES:

- The university will place emphasis on undergraduate research as a means to strengthen learning.
- The university, because of the positive effects on student retention, will

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

substantially shift academic advising to the academic departments.

- The university will build a self-supporting program of developmental courses which provides sufficient sections of such courses for all students who need them before they enroll in higher-level courses.
- The university will begin a phased process of increasing admission standards.
- The university will consider requiring all freshmen and transfer students to enroll in a First Year Experience course to increase the probability of retention and student success and satisfaction.

GOAL THREE *UALR will continue to expand its graduate offerings to address regional and state needs.*

OBJECTIVE: The university will expand graduate offerings, particularly those that address regional and state needs and those that contribute significantly to the economic development of the state.

STRATEGIES:

- The university will develop a process to evaluate new graduate program proposals using the categories of need, demand, institutional mission, resource requirements, and duplication.
- The university will implement proposals for three joint doctoral programs, one needed in the state as a result of changes in professional licensure standards and two in response to the application of information technology to medical research and to the burgeoning health-care industry:
 - a. Ph.D. in Communication Sciences (with UAMS and UCA)
 - b. Au.D. (Audiology) (with UAMS)
 - c. Ph.D. in Bioinformatics (with UAMS)
- UALR will explore adding a material science track to the Ph.D. in Applied

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

Science.

- UALR will explore adding a Ph.D. or graduate-level certificate in technical writing.
- UALR will continue to propose masters and doctoral-level programs which respond to demonstrated regional and state needs.
- UALR will initiate certificate programs to meet the varying, limited-scope, educational needs of Arkansas citizens.
- UALR will maximize other opportunities with the health care community and UAMS, including the addition of a public health law concentration in the J.D. curriculum of the William H. Bowen School of Law.

GOAL FOUR *UALR will expand its research capabilities to support UALR's academic mission and to strengthen regional and state economic development plans.*

OBJECTIVE: The university will increase its commitment to research.

STRATEGIES:

- The university will build upon the nanotechnology infrastructure funded by the governor and General Assembly to strengthen research and development activities at UALR and will include other universities as partners to support and expand technology-based businesses in Arkansas.
- The university will increase both the number of grant submissions and the dollar amount of grant awards by 25 percent in five years.
- UALR will build on its strong foundation in graduate programming and research by creating the position of Vice Provost for Research and Dean of the Graduate School and reorganizing the graduate and research programs to meet

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

the institution's graduate and research goals.

- The university will consider adoption of an incentive policy that would permit faculty members who apply for and win external grants to supplement their salaries.
- The university will reevaluate its management of intellectual property, patents, and copyright.
- The university will explore applying for accreditation by the Association for the Accreditation of Human Research Protection Programs in order to ensure that the institution has the process and procedures in place to support the sophisticated level of research which its faculty and students undertake.
- The university will work to find ways to provide research start-up funds for new faculty as needed.
- The university will give a high priority to support for the Ottenheimer Library for the purchase of materials, periodicals, and technology to support faculty and student research.
- The university will strengthen the Archives and Special Collections unit of the Ottenheimer Library as well as the Sequoyah Research Center in order to provide increased access and use of their unique holdings.
- The university will increase the number of graduate assistants and their level of pay.
- The university will implement an initiative to create endowed graduate student stipends in all graduate programs.
- The university will increase opportunities for students, both undergraduate and graduate, to participate in research.
- The university will ensure that its research focus, codified in documents defining faculty roles and evaluation, is broadly defined to value all forms of scholarship defined by Boyer (discovery, integration, application, and

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

teaching), thus achieving a resonance with the institution's traditional and continuing commitments to teaching and community engagement.

GOAL FIVE *UALR will provide exceptional service through partnerships and outreach activities.*

OBJECTIVE 1: The university will build mutually beneficial partnerships with community institutions and organizations.

STRATEGIES:

- The university will offer joint degree programs with UAMS, will provide support to the research and development (R&D) efforts of UAMS, and will develop research programs complementary to those of UAMS.
- The university will work to expand the mutually beneficial relationship with its neighbor, the University of Arkansas Cooperative Extension Service, beginning with a Memorandum of Understanding that will lead to a sharing of resources in as many areas as feasible, such as the fitness center, computing services, library, public safety, and a greenhouse.
- The university will expand its relationships with Pulaski Technical College, the Clinton Presidential Library and the UA Clinton School of Public Service, Arkansas Children's Hospital, Winrock International, Heifer International, Central Arkansas Libraries, public schools, and area cultural organizations.
- The university will strengthen its ties with the Arkansas Department of Economic Development, Arkansas Capital Corporation, and other organizations and state agencies working to speed the economic development of the state and region.

OBJECTIVE 2: The university will continue to offer its services as an honest broker and a neutral convener in efforts to

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address community issues and problems.

STRATEGIES:

- The university will strengthen its mediation and conflict resolution capabilities in order to play its role as honest broker and neutral convener.
- The university will strengthen its capacity to assist governmental units striving to accommodate a larger citizen voice in decision making.
- The university will provide leadership in regard to race relations through an annual survey of racial attitudes in the community as the cornerstone of widening efforts to address issues of race.
- The university will host an annual summit focused on regionalism as the cornerstone of widening efforts to speed the development of cooperative approaches to issues within the central Arkansas region.

OBJECTIVE 3: The university will offer its services to the community through campus units which have community-focused missions.

STRATEGIES:

- The university will enhance processes to allow better accessibility of its intellectual resources by the community through such bridging organizations on campus as the Institute of Government, the Institute for Economic Advancement, the Arkansas Small Business Development Center, the Law Clinic, and the Office of Community Engagement.
- The university will create a directory of programs focused on community needs for use by organizations needing information about UALR.
- The university will move proposals forward for the following centers:
 - a. Center for Stuttering Research and Treatment;

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- b. Center for Nonprofit Organizations;
- c. Center for Public Health Law;
- d. Center for Reading Recovery;
- e. Center for Presidential Studies.
- The university views four proposed centers—Entrepreneurial Studies, Educational Policy, Public Conflict Resolution, and Mathematics Recovery (in conjunction with the Center for Reading Recovery)—as on a "watch list" and will determine with study whether or not to move them forward.
- The William H. Bowen School of Law will consider the creation of two institutes: The Arkansas Law Institute and the Appellate Practice Institute.
- The university will propose new centers and institutes in response to demonstrated regional and state needs.

OBJECTIVE 4: The university will be an integral player in the cultural life of central Arkansas.

STRATEGIES:

- UALR will strengthen all of its arts programs.
- UALR will publicize its cultural offerings through appropriate media for the benefit of the citizens of central Arkansas.
- UALR will initiate a public art program for the campus with the Arkansas heritage as a possible focus.
- The UALR Music Department will form strong partnerships with Wildwood Center and Parkview High School.
- The UALR Theatre Arts and Dance Department will forge a close working relationship with the Arkansas Repertory Theatre.
- UALR will enhance the role of the literary arts in the cultural life of the campus and the community through guest, faculty, and student poetry and fiction

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

readings; and through Equinox, Pixels and Quills, and the annual Shakespeare scene festival.

- UALR will seek a permanent endowment for Artspreet.
- UALR will develop a close working relationship with the Arkansas School for Mathematics, Science and the Arts.

OBJECTIVE 5: The university will be a leader in efforts to revitalize the University District.

STRATEGIES:

- The university will lead the development of a vision for revitalizing the area surrounding the campus, known as the University District, involving area residents, businesses, churches, government, and community leaders in the process.
- The university will coordinate, with key business and government agencies, the establishment of a community development organization in the University District.
- The university will study the feasibility of a university business incubator in the University District to support the development of new and expanding companies in sectors such as biotechnology, information technology and other industries related to research niches of the campus.
- The university will design a signature facility on the location of the recently purchased University Plaza Shopping Center, which will serve as a focal point in the revitalized University District.
- The university will support efforts to protect the Fourche Creek wetland area at the south end of the University District, including creating innovative approaches to enhance and protect Coleman Creek as an important tributary feeding into the wetlands.

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- The university will initiate partnerships with community organizations in the University District to enhance the quality of life for District residents by improving the area's public schools, cultural institutions, and recreational areas.
- The university will commit to use its resources, within regulations governing a public institution, to add to the economic base of the University District, including purchasing from local vendors, recruiting employees from the area, encouraging faculty and staff to live in the neighborhood, and creating new programs to increase the number of students enrolling at UALR from the immediate area.

GOAL SIX *UALR will support and strengthen its human resources.*

OBJECTIVE 1: The university will support faculty, its key human resource, by providing expanded professional development opportunities.

STRATEGIES:

- The university will initiate an orientation and mentoring program for new faculty, including special programs for international faculty.
- The university will seek additional funding to support faculty development, travel, and other professional activities of faculty.
- The university will review faculty roles and rewards to ensure that expectations of faculty and the ways in which faculty are rewarded are aligned with the roles which faculty must play in a metropolitan university.
- The university will review promotion and tenure criteria to respond to the faculty roles and rewards study.
- The university will review consistency of policy and of use of reassigned time

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

by faculty.

- The university will review the roles and status of non-tenure track faculty and of part-time faculty.
- The university will review and revise policies and practices to encourage and support enhanced efforts in recruiting a strong and diverse faculty.

OBJECTIVE 2: The university will reward the faculty appropriately as evidenced by faculty salaries at or above Southern Regional Education Board averages.

STRATEGIES:

- UALR administrators will work with state officials to increase funding for faculty salaries to enable the campus to compete in the national market for the best talent available.
- UALR will pursue endowments and private funding to enhance faculty compensation.
- Within resources available, the university will address salary equity issues on campus.
- The university will keep fringe benefit programs under review in an effort to strengthen this source of compensation for faculty.

OBJECTIVE 3: The university will continue to provide professional development opportunities and to reward staff appropriately as evidenced by salaries at the appropriate market rate and by the satisfaction level of staff.

STRATEGIES:

- UALR administrators will work with state officials to increase funding for staff salaries to enable the campus to continue to compete for talent in the regional market.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Within resources available, the university will address staff salary equity issues on campus.
- The university will seek additional funding to support staff professional activities and development.
- The university will develop current, separate handbooks for classified and non-classified staff.
- The university will review and revise policies and practices to encourage and support enhanced efforts in recruiting a strong and diverse staff.
- The university will keep fringe benefit programs under review in an effort to strengthen this source of compensation for staff.

GOAL SEVEN *UALR will provide the institutional infrastructure necessary to achieve its educational mission.*

OBJECTIVE 1: The university will be a model of responsible stewardship of the physical resources of the campus.

STRATEGIES:

- The university will seek expert advice in the preparation of a campus master plan for optimal use and development of campus facilities and grounds in alignment with the institution's mission and goals.
- The university will implement a tree replacement program and take other measures to conserve the natural assets of the campus.
- The university will implement an expanded recycling program.
- The university will establish standards of cleanliness and maintenance for campus facilities.
- The university will introduce more space that encourages student and faculty community in academic buildings when constructing new or renovating old

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buildings.

- The university will study "green building standards" and incorporate an appropriate level of them into UALR's Construction Methods and Materials Guidelines.
- The university will explore the possibility of a design/build department within Physical Plant Department.
- The university will describe opportunities for donors to support a number of campus beautification projects, such as Coleman Creek.
- The university will develop and implement a well-informed campus-wide policy on retention and disposal of office records and files.
- The university will develop and implement a plan, consistent with state regulations, for the regular disposal of computing equipment no longer in use.

OBJECTIVE 2: The university will continue to expand the information technology resources of the campus to ensure effective and efficient use of technology.

STRATEGIES:

- The university will maintain Internet2 status as a user of advanced network applications and expand into more advanced technology applications as feasible, including but not limited to the National Lambda Rail.
- The university, to strengthen centralized planning for information technology, will reform existing advisory committees so that there is one to coordinate information technology issues and solutions and another that addresses instructional technology concerns of faculty.
- The university will provide training opportunities for faculty and staff to enable them to make effective use of the available information technology of the campus.

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INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- The university will develop and implement a campus-wide "waterfall" procedure for redistributing computers that are being upgraded or replaced.
- Fully depreciated computers will be offered to public schools and other state agencies (i.e., prisons and juvenile homes) within applicable laws and regulations controlling the disposal of state property.
- The deans will develop a plan to use technology fees for systematic replacement of information technology.

OBJECTIVE 3: The university will consistently engage in benchmarking and in process improvement efforts to make the functioning of the institution more efficient and user-friendly.

STRATEGIES:

- The university will constantly assess its performance in comparison with peer metropolitan universities and with appropriate Arkansas institutions in all areas in which acceptable data make comparisons possible.
- The university will develop a web site for policy statements for ease of reference by all constituents.
- The university will develop a web site for all forms, all of which one will be able to complete on-line.
- The university will create a web site to provide orientation for new department chairs.
- The university will create a web site to provide orientation for new University employees.

GOAL EIGHT *UALR will develop a strategy to enhance resources to accomplish its mission.*

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

OBJECTIVE 1: The university will develop a funding strategy that will align potential sources—such as internal reallocations, state appropriations, tuition, fees, grants, contracts, foundation awards, federal earmarks, private donations, local tax support—with appropriate goals.

STRATEGIES:

- The university will strengthen the staff of the Development Office.
- The university will commission a professional study to determine the feasibility of a comprehensive fundraising campaign.
- The university will train and will involve academic officers more extensively in private fundraising efforts.
- The university will consider increasing the number of colleges with their own development officers, who would have a dual reporting relationship with the dean and with the director of development.
- The university will strengthen fundraising strategies that expand the donor base through such initiatives as "1,000 at \$1,000," the annual fund, and the campus campaign.
- The university will continue to strengthen alumni involvement, increasing the number of registered alumni by 50 percent in five years and building a comprehensive, up-to-date alumni database.
- The university will seek funding for endowed professorships and chairs.
- The university will aggressively pursue a scholarship matching program.
- The university will pursue private funding of scholarships for student-athletes in all sports on a phased basis.
- The university will increase the number of endowed scholarships, both need based and merit, by 20 percent in five years.

OBJECTIVE 2: The university will vigorously communicate who it is and what it does for the people of Arkansas in order

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to increase understanding and support at local, state, and Federal levels.

STRATEGIES:

- The university will work with and through the Arkansas Congressional delegation and the Coalition of Urban and Metropolitan Universities to increase understanding and support of metropolitan universities at the federal level.
- The university will communicate more vigorously the accomplishments and contributions of UALR students and faculty.
- The university will develop and implement an integrated marketing plan.
- The university will continue to improve its website using consistent formats, systematically reviewing and updating all departmental websites on a regular basis.
- The university will communicate a consistent message of UALR as an academically strong institution of higher education.
- The university will enforce conformity to campus standards for look and sound.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	46,159,770	49,302,983	53,150,514	58,680,507
2 RESEARCH	2,304,764	2,705,858	2,917,019	3,220,517
3 PUBLIC SERVICE	482,402	337,237	363,554	401,380
4 ACADEMIC SUPPORT	12,066,562	13,110,263	14,133,368	15,603,861
5 STUDENT SERVICES	5,001,015	5,045,371	5,439,104	6,005,010
6 INSTITUTIONAL SUPPORT	8,410,178	9,748,563	10,509,326	11,602,758
7 PHYSICAL PLANT M&O	9,163,445	8,756,865	9,440,238	10,422,438
8 SCHOLARSHIPS & FELLOWSHIPS	5,783,958	6,679,900	7,201,189	7,950,430
9 LAW SCHOOL	7,832,174	8,260,217	8,469,224	9,350,396
10				
11				
12				
13 MANDATORY TRANSFERS	3,478,643	3,550,836	3,827,938	4,226,212
14 AUXILIARY TRANSFERS	1,817,272	1,350,411	1,455,795	1,607,262
15 NON-MANDATORY TRANSFERS	3,947,184	3,320,325	3,579,438	3,951,857
16 TOTAL UNREST. E&G EXP.	\$106,447,367	\$112,168,829	\$120,486,707	\$133,022,628
17 NET LOCAL INCOME	52,462,295	53,711,969	55,393,404	57,055,206
18 PRIOR YEAR BALANCE	829,241	2,000,000		
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	52,535,508	56,051,860	65,093,303	75,967,422
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS ***	620,323	405,000		
23 TOTAL SOURCES OF INCOME	\$106,447,367	\$112,168,829	\$120,486,707	\$133,022,628

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

*** Legal Education - School of Law

Other State funds include:

- UALR Law School Legal Education Appropriation (Fund CEA0100)
- Transfers from AHECB for various state grants (Fund CEA0000)
- AR Strive appropriation (Fund CEA0000)

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME*	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	37,532,780	39,911,017	41,108,348	42,341,598
2 ALL OTHER FEES	1,235,245	1,155,803	1,190,477	1,226,191
3 OFF-CAMPUS CREDIT	9,318,403	9,215,709	9,492,180	9,776,946
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	1,170,924	1,190,610	1,226,328	1,263,118
6 INVESTMENT INCOME	1,027,953	650,000	669,500	689,585
7 OTHER CASH INCOME:**	2,201,523	1,656,865	1,706,571	1,757,768
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	52,486,828	53,780,004	55,393,404	57,055,206
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$52,486,828	\$53,780,004	\$55,393,404	\$57,055,206

FORM 07-3

* Estimated Income is increased by 3% each year.

**Other Sources:

- Indirect Cost Recovery
- Contract Income
- Gifts
- Parking Income & Violations

**REVISIONS FOR STATE TREASURY
2007-09 BIENNIUM**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	46,682,645	49,898,187	57,710,141	61,870,428		
2 EXTRA HELP WAGES				1,192,153		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	9,327,204	9,504,417	10,992,408	14,009,037		
5 OPERATING EXPENSES	210,987	500,000	500,000	2,282,285		
6 CONFERENCE FEES & TRAVEL	87,535	100,000	100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY	15,094	200,000	200,000	1,200,000		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	56,323,465	60,202,604	69,502,549	80,653,903	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	50,950,517	54,214,687	63,514,632	74,665,986		
17 EDUCATIONAL EXCELLENCE TRUST FUND	4,835,199	5,187,917	5,187,917	5,187,917		
18 SPECIAL REVENUES *	353,426	800,000	800,000	800,000		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS**	266,897					
22 TOTAL INCOME	\$56,406,039	\$60,202,604	\$69,502,549	\$80,653,903	\$0	\$0

* Special Revenues - Circuit Court Uniform Filing Fees, Act 431 of 2005 for UALR Law School

FORM 07-4

**Transfers from AHECB for various state grants

AR Strive appropriation

**APPROPRIATION A68 CASH FUNDS
2007-09 BIENNIUM**

FUND 2010000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION A68

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	13,639,819	70,000,000	74,000,000	78,000,000		
2 EXTRA HELP WAGES	1,192,153	10,000,000	11,000,000	12,000,000		
3 OVERTIME		1,000,000	1,000,000	1,000,000		
4 PERSONAL SERVICES MATCHING	5,180,697	20,000,000	21,000,000	22,000,000		
5 OPERATING EXPENSES	18,891,864	40,000,000	42,000,000	44,000,000		
6 CONFERENCE FEES & TRAVEL	1,773,948	5,000,000	5,000,000	5,000,000		
7 PROFESSIONAL FEES AND SERVICES	296,197	10,000,000	11,000,000	12,000,000		
8 DATA PROCESSING		1,000,000	1,000,000	1,000,000		
9 CAPITAL OUTLAY	2,850,888	30,000,000	32,000,000	34,000,000		
10 CAPITAL IMPROVEMENTS		60,000,000	63,000,000	66,000,000		
11 DEBT SERVICE	3,404,073	10,000,000	11,000,000	12,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	200,000	40,000,000	42,000,000	44,000,000		
13 PROMOTIONAL ITEMS		200,000	200,000	200,000		
14						
15						
16 CONTINGENCY		40,000,000	40,000,000	40,000,000		
17 TOTAL APPROPRIATION	\$47,429,639	\$337,200,000	\$354,200,000	\$371,200,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE	829,241					
19 LOCAL CASH FUNDS	46,600,398	60,000,000	63,000,000	66,000,000		
20 FEDERAL CASH FUNDS		60,000,000	63,000,000	66,000,000		
21 OTHER CASH FUNDS		217,200,000	228,200,000	239,200,000		
22 TOTAL INCOME	\$47,429,639	\$337,200,000	\$354,200,000	\$371,200,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	2,263	1,840	1,840	2,283	2,283		
TOBACCO POSITIONS							
EXTRA HELP **	1,330	1,050	1,050	1,330	1,330		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	4,347,037	5,122,735		-\$775,698	4,739,783	5,553,106		(\$813,323)
2 RESIDENCE HALL	1,000,089	495,625	589,875	(85,411)	3,106,623	1,168,190	1,614,342	324,091
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	187,586	24,554		163,032	50,000	25,000		25,000
6 COLLEGE UNION	307,590	1,828,400	192,956	**** -1,713,766	685,331	1,418,518		(733,187)
7 BOOKSTORE	438,990			438,990	291,025			291,025
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	607,424	541,621		65,803	619,462	619,462		0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	1,224,965	733,643	355,012	136,310	1,302,525	1,760,160		(457,635)
11 SUBTOTAL	\$8,113,681	\$8,746,578	\$1,137,843	-\$1,770,740	\$10,794,749	\$10,544,436	\$1,614,342	(\$1,364,029)
12 ATHLETIC TRANSFER **	774,336			774,336	813,323			813,323
13 OTHER TRANSFERS ***	821,545			821,545	550,706			550,706
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$9,709,562	\$8,746,578	\$1,137,843	-\$174,859	\$12,158,778	\$10,544,436	\$1,614,342	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

****Deficit funded by Student Activity Reserve fund balance

Other Sources include:

Housing-Rental Property

Duplicating services

Law School Auxiliary Services

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0145 UNIVERSITY OF AR AT LITTLE ROCK

ACT#: 2184

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. For the purpose of providing necessary allowances for housing and other unusual expenses incurred by or in behalf of the athletic director, assistant athletic directors, head coaches, and assistant coaches at the University of Arkansas at Little Rock, the Board of Trustees may make special allowances available therefor in such amounts as the Board of Trustees may determine as justified, an equitable allowance in view of the unusual and exacting duties of said athletic director, assistant athletic directors, head coaches, and assistant coaches, and for the purpose of providing such allowances, the Board of Trustees is authorized to expend from the auxiliary income of the University of Arkansas at Little Rock, which is derived from athletic event receipts, an amount not to exceed twenty thousand dollars (\$20,000) in the aggregate for such purposes during each year of the ~~2005-2007~~ 2007-2009 biennium for the athletic director and head coaches, and ten thousand dollars (\$10,000) in the aggregate for such purposes during the ~~2005-2007~~ 2007-2009 biennium for the assistant athletic directors and assistant coaches. Provided that any such allowances shall be in addition to the regular salary of such athletic director, assistant athletic directors, head coaches and assistant coaches, as established herein provided that the amount of such allowance shall not exceed ten thousand dollars (\$10,000) per annum for any one salaried position. Further, if the special allowance

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0145 UNIVERSITY OF AR AT LITTLE ROCK

ACT#: 2184

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

funds authorized herein are utilized the University of Arkansas at Little Rock shall report annually to the Legislative Joint Auditing Committee the exact disposition of those special allowances funds.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0145 UNIVERSITY OF AR AT LITTLE ROCK

ACT#: 2184

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ REVISED DOCUMENT

INST: 0145 UNIVERSITY OF AR AT LITTLE ROCK

ACT#: new1

SECTION#: new1

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SALARY ADJUSTMENTS. Due to the highly competitive nature of the employment market in central Arkansas the institution shall be authorized to employ new candidates or retain current employees at rates of pay not to exceed Pay Level III for the following classifications:

<u>Class Code</u>	<u>Title</u>	<u>Grade</u>
<u>R329</u>	<u>Payroll Service Specialist</u>	<u>18</u>
<u>A108</u>	<u>Accounting Technician II</u>	<u>15</u>
<u>V043</u>	<u>Shipping and Receiving Clerk</u>	<u>11</u>
<u>K014</u>	<u>Library Academic Tech III</u>	<u>12</u>
<u>K012</u>	<u>Academic Library Tech II</u>	<u>8</u>
<u>G039</u>	<u>Custodial Worker Supervisor</u>	<u>8</u>
<u>G035</u>	<u>Custodial Worker II</u>	<u>4</u>
<u>G171</u>	<u>Custodial Worker I</u>	<u>3</u>
<u>G175</u>	<u>Maintenance Worker II</u>	<u>5</u>
<u>G173</u>	<u>Maintenance Worker I</u>	<u>4</u>

INSTITUTION REQUEST

The institution shall report all such salary adjustments to the State Office of Personnel (Higher Education Section) not later than the pay period following such action and the Office of Personnel Management shall in turn report such actions to the Uniform Personnel Committee at the next scheduled meeting. Authority under this section shall be subject to audit by both the Office of Personnel Management and the Legislative Council and may be rescinded for improper use or application. The provisions of

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0145 UNIVERSITY OF AR AT LITTLE ROCK

ACT#: new1

SECTION#: new1

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

this section shall be in effect only from July 1, 2007 through June 30, 2009.

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

UNIVERSITY OF ARKANSAS AT LITTLE ROCK _____
 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 :						<u>1023</u>
(As of November 1, 2005)						
Nonclassified Administrative Employees:						
White Male:	<u>42</u>	Black Male:	<u>6</u>	Other Male:	<u>1</u>	Total Male: <u>49</u>
White Female:	<u>25</u>	Black Female:	<u>3</u>	Other Female:	<u>3</u>	Total Female: <u>31</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>94</u>	Black Male:	<u>59</u>	Other Male:	<u>3</u>	Total Male: <u>156</u>
White Female:	<u>134</u>	Black Female:	<u>119</u>	Other Female:	<u>6</u>	Total Female: <u>259</u>
Faculty:						
White Male:	<u>241</u>	Black Male:	<u>11</u>	Other Male:	<u>39</u>	Total Male: <u>291</u>
White Female:	<u>196</u>	Black Female:	<u>24</u>	Other Female:	<u>17</u>	Total Female: <u>237</u>
Total White Male:						<u>377</u>
Total White Female:						<u>355</u>
Total Black Male:						<u>76</u>
Total Black Female:						<u>146</u>
Total Other Male:						<u>43</u>
Total Other Female:						<u>26</u>
Total White:						<u>732</u>
Total Black:						<u>222</u>
Total Other:						<u>69</u>
Total Minority:						<u>291</u>
Total Employees:						<u>1,023</u>

FORM 07-8

<p><i>Finding:</i></p>	<p>UNIVERSITY OF ARKANSAS LITTLE ROCK</p> <p>1. In the equipment observation testing, each item should be sighted during audit fieldwork, or, alternatively, the Institution should provide paperwork to indicate that the Institution is in the process of taking appropriate action to locate or account for disposition of the missing item. During the audit, thirty (30) items of equipment, total original cost \$129,412.88, were randomly selected from the equipment inventory reflected on the Institution's records, and attempt was made to observe the selected items. At the conclusion of the observation work performed by the auditor with the assistance of the Institution, four (4) items on the sample, although fully depreciated, remained unaccounted for. No indication was provided concerning disposition of the unaccounted for equipment. All unaccounted for items on the sample were electronic equipment, primarily computer equipment. Using the number of unaccounted for items in the equipment sample, a projection was computed to the entire number of equipment items. This projection resulted in a potential error for the entire equipment inventory of 493 items.</p>
<p><i>Recommendation:</i></p>	<p>We recommend that the Institution perform a complete inventory of all recorded equipment, fully identify all missing property, conduct a search of property disposal and transfer documents, conduct a physical search of all areas, and refer suspected thefts to the attention of the Office of Public Safety for their investigation and reporting. Finally, the Division of Financial Services should adjust equipment records as appropriate to properly account for and value capital equipment on the Institution's subsidiary record and the Statement of Net Assets.</p>
<p><i>Institution's Response:</i></p>	
<p><i>Finding:</i></p>	<p>2. The Institution failed to collect, in a timely manner, travel advances made to faculty and students for authorized business related travel. The Institution's policy requires settlement within five (5) business days of the traveler's return</p>

	<p>from the authorized travel. None of the outstanding travel advances at June 30, 2005 were settled within the required settlement period, and four (4) were outstanding for a period longer than thirty days. Also, the Institution's subsidiary ledger did not reconcile with the general ledger at June 30, 2005. The condition was caused by the failure of the Institution to ensure that travelers make their travel advance settlement promptly following return from travel. Use of the travel advance fund is a privilege for both employees and students, and tardy repayment of advances is an abuse of the privilege, which should not be tolerated.</p>
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Recommendation:	We recommend management require adherence to established travel advance policy and ensure subsidiary ledgers reconcile to the general ledger.
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Institution's Response:	
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Finding:	<p>3. The Institution has a fiduciary accountability for agency funds maintained on its books. With this responsibility, the Institution must at all times be knowledgeable of the current sponsoring individual of each fund. Likewise, the sponsor should be aware of the fund balance on a current basis. On a test of ten (10) agency funds, it was noted that, for seven (7) funds, the information system reflected the incorrect person as the faculty/staff sponsor for the fund. For two (2) of the funds, the true fund sponsor was unaware of the balance as it appeared in the general ledger. The Institution has neglected to become informed, on a current and on-going basis, of the person having sponsorship of each agency fund. The affiliation between the Institution's Division of Financial Services, as the fiduciary, and the individual fund custodians of the organizations it represents in that fiduciary responsibility, has become impaired, and financial control of those funds is at risk.</p>
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Recommendation:	We recommend the Institution research all agency funds to ensure that the
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	individual shown in the information system as the sponsor is the current and valid individual responsible for the fund, and ensure that the balance reflected in the general ledger for each fund is correct.
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<i>Institution's Response:</i>	
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INFORMATION SYSTEM FINDINGS FOR ALL CAMPUSES TESTED

Control Weakness							
Campus	Data Access Security	Data Integrity	Program Change Control	System Interfaces	Disaster Recovery and Business Continuity Plans	Data Center Environmental Issues	Data Center General Controls
University of Arkansas Fayetteville	X	X	X	X	X		
University of Arkansas Little Rock	X	X	X		X	X	X
University of Arkansas Pine Bluff	X		X		X	X	
University of Arkansas Monticello	X	X	X		X	X	X
University of Arkansas for Medical Sciences	X						

X – Control Weakness Observed

Data Access Security - The organization should control logical access to and use of IT computing resources. Access should be restricted by the implementation of adequate identification, authentication and authorization mechanism, linking user and resources with access rules. Such mechanism should prevent unauthorized personnel from gaining access to computing resources. Procedures should be in place to keep authentication and access mechanisms effective.

Data Integrity – The organization should establish procedures to ensure that data processing validation and editing are performed on data as it is entered into the system.

Program Change Control – The organization should maintain an adequate program change control system that encompasses authorization to submit program change requests, approval, prioritization and tracking of requests. Determine that access to source and object code is adequately controlled and that changes have been tested.

System Interfaces – The organization should ensure that data transferred in/out of the application should be subjected to controls that ensure the integrity of the data transferred.

Disaster Recovery and Business Continuity – The organization should have a written, approved and tested Disaster Recovery Plan (DRP) in place that will allow recovery from any major interruption or disaster. A DRP includes procedures for providing hardware, software, supplies and personnel to operate the backup computer facilities or restore the primary computer facilities in the event of a major interruption or disaster.

Data Center Environmental Issues – The organization should assure that sufficient measures are put in place and maintained for protection against environmental factors (e.g. fire, water detection, backup power, back up air systems and monitoring of temperature/humidity). Specialized equipment and devices to monitor and control the environment should be installed.

Data Center General Controls – The organization should assure that sufficient controls are in place to provide for effective data center administration and physical security.

Note: Specific observations and recommendations were communicated to the campus administrations, for the campuses noted above.

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK - RAPS
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 RESEARCH	861,750	882,942	1,587,304	932,132	1,624,406	1,003,732
2 PUBLIC SERVICE	2,106,620	2,535,837	4,558,786	2,677,114	4,665,345	2,882,749
3 ACADEMIC SUPPORT	176,394					
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS	97,976					
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	32,001					
16 TOTAL UNREST. E&G EXP.	\$3,274,741	\$3,418,779	\$6,146,090***	\$3,609,246	\$6,289,751***	\$3,886,481
17 NET LOCAL INCOME	24,533	68,035				
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	3,250,208	3,350,744	6,146,090	3,609,246	6,289,751	3,886,481
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$3,274,741	\$3,418,779	\$6,146,090	\$3,609,246	\$6,289,751	\$3,886,481

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

*** This includes \$1,085,601 in 2007-08 and \$1,105,943 in 2008-09 for the Center for Nanotechnology.

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UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

I. Introduction

The University of Arkansas at Monticello (UAM) is a comprehensive institution offering undergraduate and graduate programs. UAM provides degree opportunities for both traditional and non-traditional students and provides an environment which nurtures individual achievement and personal development. UAM offers associate and bachelor's degrees in the liberal arts and sciences and it also offers pre-professional and professional, and applied programs to prepare graduates for careers and advanced study. Master's degrees are offered in Elementary and Secondary Education and in Forest Resources. UAM also offers and receives courses via video conferencing and the Internet. The UAM College of Technology at Crossett, the UAM College of Technology at McGehee, and the Arkansas Heavy Equipment Operator Training Academy in Warren incorporate technical and workforce education into the offerings of the University. These campuses offer programs leading to technical certificates, certificates of proficiency and the associate of applied science awarded by UAM in various technical fields. UAM also cooperates with other institutions to bring services and programs to the southeast region of the state.

The University of Arkansas at Monticello is committed to providing a campus environment conducive to inspired teaching and learning. Therefore, the primary focus for faculty members at UAM is excellence in teaching. To enrich teaching and learning, UAM faculty are also expected to be involved in research, scholarship and/or creative activities. A stronger emphasis is placed on applied research in the Division of Agriculture and the School of Forest Resources as UAM partners with the University of Arkansas at Fayetteville (UAF) and the Arkansas Agriculture Experiment Station and Cooperative Extension service and their related missions.

The University of Arkansas at Monticello, as the only state university of southeastern Arkansas, has a special role in providing cultural opportunities for students and citizens within its service area. In this regard, the campus serves as an advocate and sponsor for many activities and events in seeking to promote the growth and development of the region. UAM also seeks to collaborate with, serve the needs of, public schools, business and industry, transportation, agriculture, and the wood products industry. UAM, in particular, assumes a leadership role in the delivery of services and resources in the areas of community, leadership, economic and industrial

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

development, and cooperative educational programs with emphases on basic education literacy, disadvantaged youth, and workforce training and retraining. UAM is also committed to K-12 enrichment programs and educational reform and actively collaborates with area schools and the regional educational cooperative.

The History of the University and the Mission Statement of the University are enduring and used by the campus community as the foundation for the daily operations of the University and strategic plan work. The Mission Statement of the University is used as a benchmark to measure UAM's success.

II. Institutional Mission Statement

The University of Arkansas at Monticello shares with all universities the commitment to search for truth and understanding through scholastic endeavor. The University seeks to enhance and share knowledge, to preserve and promote the intellectual content of society, and to educate people for critical thought. The University provides learning experiences which enable students to synthesize knowledge, communicate effectively, use knowledge and technology with intelligence and responsibility, and act creatively within their own and other cultures.

The University strives for excellence in all its endeavors. Educational opportunities encompass the liberal arts, basic and applied sciences, selected professions, and vocational and technical preparation. These opportunities are founded in a strong program of general education and are fulfilled through contemporary disciplinary curricula, certification programs, and vocational/technical education or workforce training. The University assures opportunities in higher education for both traditional and non-traditional students and strives to provide an environment which fosters individual achievement and personal development.

The University of Arkansas at Monticello seeks to fulfill its mission by:

UNIVERSITY OF ARKANSAS AT MONTICELLO

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

1. Offering quality educational opportunities in the form of master's, baccalaureate, and associate degree preparation, as well as certification in a variety of vocational/technical programs, or workforce training;
2. Offering a well-rounded program of general education designed to broaden and enrich students' awareness of the world around them;
3. Providing contemporary curricula which prepare students for careers in selected fields, for personal development, and for meeting societal needs;
4. Strengthening students' capabilities as thoughtful contributors to society by encouraging them to take personal responsibility and seek the benefits of life-long learning;
5. Providing support programs which increase the probability of success for those students needing additional academic preparation to meet college standards;
6. Assisting students in developing interpersonal skills needed by responsible and productive members of society;
7. Providing viable programs of public service, continuing education in selected areas, and cooperative programs with other educational institutions;
8. Promoting research programs which strengthen the institution and contribute new information to the existing body of knowledge and the extension of knowledge to serve the public;
9. Providing cultural and aesthetic experiences that will serve to enhance appreciation of the arts;
10. Maintaining regional and national recognition of the institution and its academic and technical programs by continuing to meet the standards of accrediting bodies, and seeking similar recognition of appropriate programs for which accreditation is available but to be achieved;
11. Preparing students to live and work in a technological and global society.

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

III. Institutional Goal(s)

Knowing that its reputation stands on the quality of its programs, the University of Arkansas at Monticello will establish and maintain instructional, service and research programs supportive of UAM's academic mission focused on career oriented, professional, and liberal arts and sciences programs which creatively meet the needs of the constituents we serve.

IV. Programs and Program Definitions

Program 1: Instruction

University instruction is the creative and inspired sharing of information and knowledge, the preservation and promotion of the intellectual content of society, and the education of people for critical thought.

Goal 1: The University of Arkansas at Monticello will offer quality educational opportunities.

Objective 1: To prepare students for jobs, careers, life-long learning, citizenship, and personal life satisfaction.

Objective 1 Strategies:

- Develop innovative and relevant curricula
- Design well-rounded general education program
- Enrich courses through technology
- Maintain quality and accessibility to library and other learning resources

UNIVERSITY OF ARKANSAS AT MONTICELLO

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Objective 2: To improve instruction

Objective 2 Strategies:

- Attract and retain highly qualified and diverse faculty
- Recognize and reward excellence in teaching
- Provide staff development that encourages a wide range of pedagogical approaches
- Encourage collaborative teaching

Program 2: Non-Credit Instruction, Business and Industry Training, and Community Service

This program includes all activities associated with the instructional delivery of courses and services to business and industry. All community education courses are included in this program.

Goal 1: The UAM College of Technology at Crossett, the UAM College of Technology at McGehee, and the Arkansas Heavy Equipment Operator Training Academy will provide quality, relevant training to area business and industry through diverse and innovative educational programs.

Objective 1: To provide business and industry that serves the needs of the local workforce.

Objective 1 Strategies:

- Identify and address new training opportunities needed by students and local business and industry.
- Assess quality of program offerings in workforce training.
- Enrich courses through technology and other institutional support.

Goal 2: The University of Arkansas at Monticello Colleges of Technology in Crossett and McGehee will

UAM

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

provide a broad range of life-long learning opportunities and community service within the service area.

Objective 1 Strategies:

- Offer wide selection of non-credit courses and workshops.
- Offer cultural enrichment opportunities.
- Enrich courses through technology and other institutional support.

Program 3: Public Service

University public service is providing for the continuing education needs, the cultural and intellectual needs, and the special service needs of the community. It is also the university's cooperation with other educational institutions and agencies to help meet the education and service needs of the community.

Goal 1: The University of Arkansas at Monticello will provide viable programs of public service.

Objective 1: To provide continuing education in selected areas

Objective 1 Strategies:

- Offer opportunities for lifelong learning
- Create educational partnerships with public schools, community colleges, and regional economic development agencies
- Provide outreach and educational activities and opportunities for young people, older adults, and special populations.

Objective 2: To provide cultural and aesthetic experiences

UNIVERSITY OF ARKANSAS AT MONTICELLO

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

Objective 2 Strategies:

- Hosts special guest speakers and lecturers
- Promote performing arts
- Promote visual arts through exhibits, and artistic shows
- Provide a wide variety of musical performances

Program 4: Research/Scholarship/creative activities

University research is the search for new knowledge and the contribution of new information to the existing body of knowledge. Research is also the sharing of information, knowledge, and creative activities in order to serve the public.

Goal 1: The University of Arkansas at Monticello will promote research programs with strengthen the institution and contribute new knowledge

Objective 1: To encourage faculty to participate in basic and applied research

Objective 1 Strategies:

- Recognize and reward faculty research efforts
- Encourage collaboration in research between departments, with other universities, and with community and regional partners

UNIVERSITY OF ARKANSAS AT MONTICELLO

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Objective 2: To encourage pedagogical research to improve instruction

Objective 2 Strategies:

- Encourage departmental and college faculty collaboration
- Encourage pedagogical research
- Encourage faculty/undergraduate student research projects

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

University of Arkansas at Monticello

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	10,040,845	10,036,372	10,450,874	11,025,672
2 RESEARCH	9,902	21,560	22,450	23,685
3 PUBLIC SERVICE	113,273	121,589	126,611	133,575
4 ACADEMIC SUPPORT	1,410,133	1,515,603	1,578,197	1,664,998
5 STUDENT SERVICES	1,192,119	1,321,769	1,376,358	1,451,952
6 INSTITUTIONAL SUPPORT	3,287,561	3,695,140	3,847,859	4,058,463
7 PHYSICAL PLANT M&O	3,088,716	2,802,061	2,917,786	3,078,264
8 SCHOLARSHIPS & FELLOWSHIPS	2,571,503	2,511,295	2,615,011	2,758,837
9				
10				
11				
12				
13 MANDATORY TRANSFERS	133,109	333,427	333,427	333,427
14 AUXILIARY TRANSFERS	600,000	593,094	593,094	593,094
15 NON-MANDATORY TRANSFERS	279,149			
16 TOTAL UNREST. E&G EXP.	\$22,726,310	\$22,951,910	\$23,861,667	\$25,121,967
17 NET LOCAL INCOME	9,691,742	9,864,209	9,864,209	9,864,209
18 PRIOR YEAR BALANCE	455,776			
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	12,299,643	13,087,701	13,997,458	15,257,758
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **	279,149	***		
23 TOTAL SOURCES OF INCOME	\$22,726,310	\$22,951,910	\$23,861,667	\$25,121,967

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

*** The University of Arkansas at Monticello received \$279,149 in Timber Severance Tax proceeds for FY 06 as legislated by Arkansas Code 26-58-124.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

University of Arkansas at Monticello

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	8,895,771	9,221,200	9,221,200	9,221,200
2 ALL OTHER FEES	135,102	58,009	58,009	58,009
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	11,076	4,000	4,000	4,000
6 INVESTMENT INCOME	230,490	248,000	248,000	248,000
7 OTHER CASH INCOME: *	419,303	333,000	333,000	333,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	9,691,742	9,864,209	9,864,209	9,864,209
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$9,691,742	\$9,864,209	\$9,864,209	\$9,864,209

FORM 07-3

* Detail of Line 7 Other Cash Income

	<u>Actual</u>	<u>Budgeted</u>
Sales and Services	90,960	78,500
Traffic Fines	44,390	40,000
Library Fines and Book Replacement	6,571	6,000
Administrative/Indirect Cost	125,921	105,000
Testing Services	14,065	10,000
Band Camps	88,331	72,000
Breakage and Key Replacement	20,440	15,000
Library Holdings Funds	15,000	
Other Sources Fees and Charges	13,625	6,500
	<u>419,303</u>	<u>333,000</u>

REVISOR'S COMMENTS
APPROPRIATION FOR STATE TREASURY
2007-09 BIENNIUM

FUND CIA0000

INSTITUTION University of Arkansas at Monticello

APPROPRIATION 298

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	10,413,657	10,750,000	11,450,000	12,450,000		
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	750,000	950,000	1,000,000	1,100,000		
5 OPERATING EXPENSES	1,365,135	1,337,701	1,447,458	1,557,758		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY	50,000	50,000	100,000	150,000		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$12,578,792	\$13,087,701	\$13,997,458	\$15,257,758	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	11,328,144	12,045,333	12,955,090	14,215,390		
17 EDUCATIONAL EXCELLENCE TRUST FUND	971,499	1,042,368	1,042,368	1,042,368		
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS	279,149					
22 TOTAL INCOME	\$12,578,792	\$13,087,701	\$13,997,458	\$15,257,758	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 2030000

INSTITUTION University of Arkansas at Monticello

APPROPRIATION A69

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	3,321,411	7,400,000	8,000,000	8,000,000		
2 EXTRA HELP WAGES	673,478	1,800,000	2,000,000	2,000,000		
3 OVERTIME		50,000	50,000	50,000		
4 PERSONAL SERVICES MATCHING	1,141,680	2,000,000	2,500,000	2,500,000		
5 OPERATING EXPENSES	6,181,575	9,500,000	10,000,000	10,000,000		
6 CONFERENCE FEES & TRAVEL	82,825	400,000	500,000	500,000		
7 PROFESSIONAL FEES AND SERVICES	410,621	650,000	1,000,000	1,000,000		
8 DATA PROCESSING		50,000	50,000	50,000		
9 CAPITAL OUTLAY	1,010,979	2,000,000	2,000,000	2,000,000		
10 CAPITAL IMPROVEMENTS		6,500,000	7,900,000	7,900,000		
11 DEBT SERVICE	24,589	650,000	1,000,000	1,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	6,884,719	9,500,000	10,000,000	10,000,000		
13 PROMOTIONAL ITEMS	19,837					
14						
15						
16 CONTINGENCY		9,500,000	10,000,000	10,000,000		
17 TOTAL APPROPRIATION	\$19,751,714	\$50,000,000	\$55,000,000	\$55,000,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	9,691,742	9,864,209	9,864,209	9,864,209		
20 FEDERAL CASH FUNDS	5,431,834	20,000,000	22,000,000	22,000,000		
21 OTHER CASH FUNDS	4,628,138	20,135,791	23,135,791	23,135,791		
22 TOTAL INCOME	\$19,751,714	\$50,000,000	\$55,000,000	\$55,000,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	594	311	311	597	594		
TOBACCO POSITIONS							
EXTRA HELP **	790	511	511	790	790		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

REVISED DOCUMENT

University of Arkansas at Monticello
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	578,009	2,031,895	41,332	(\$1,495,218)	545,448	2,150,259		(\$1,604,811)
2 RESIDENCE HALL	989,333	452,911	381,726	154,696	997,960	372,424	542,109	83,427
3 MARRIED STUDENT HOUSING	43,031	4,245		38,786	35,000	4,500		30,500
4 FACULTY HOUSING	18,242	4,520		13,722	9,940	6,087		3,853
5 FOOD SERVICES	889,823	796,328		93,495	901,330	794,350		106,980
6 COLLEGE UNION				0				0
7 BOOKSTORE	1,568,495	1,291,624		276,871	1,563,800	1,342,582		221,218
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER****	493,918	46,615		447,303	613,604	47,865		565,739
11 SUBTOTAL	\$4,580,851	\$4,628,138	\$423,058	(\$470,345)	\$4,667,082	\$4,718,067	\$542,109	(\$593,094)
12 ATHLETIC TRANSFER **	600,000			600,000	593,094			593,094
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$5,180,851	\$4,628,138	\$423,058	\$129,655	\$5,260,176	\$4,718,067	\$542,109	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**** Income includes Post Office, Locker Rental, Facilities Fees, Vendor Sales and Royalties. Expenses include Post Office, Practice Football Field, Stadium, Cablevision, Weight room, End Zone facility, Field house, Tennis Courts, Softball and Baseball fields

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0155 UNIVERSITY OF AR AT MONTICELLO

ACT#: 2105

SECTION#: 12

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

~~CONTINGENCY - UA MONTICELLO COLLEGE OF TECHNOLOGY-MCGEHEE.~~

~~(a) To address needs emerging from the restructuring of the Technical Institutes, UA Monticello College of Technology-McGehee shall establish and maintain for the 2005-2007-2007-2009 biennium a pool of four (4) unclassified positions and three (3) classified positions, payable from funds appropriated for UA Monticello College of Technology-McGehee. These positions are to be used by UA Monticello College of Technology-McGehee in the event that the number and level of positions available to address the needs emerging from business and industry driven program expansions exceeds the number of positions regularly authorized for UA Monticello College of Technology-McGehee.~~

~~(b) UA Monticello College of Technology-McGehee shall provide justification to the Department of Finance and Administration's Office of Personnel Management (OPM) for the need to allocate titles from this position pool. No classifications will be assigned to the pool until such time as specific positions are requested and justified by, UA Monticello College of Technology-McGehee recommended by OPM and approved by the Legislative Council. UA Monticello College of Technology-McGehee may transfer positions from this pool to any pay schedule the agency currently maintains or any pay schedule the agency receives during the 2005-2007-2007-2009 biennium.~~

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0155 UNIVERSITY OF AR AT MONTICELLO

ACT#: 2105

SECTION#: 12

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

~~(c) Determining the number of personnel to be employed by a state agency is the prerogative of the General Assembly and is usually accomplished by delineating the maximum number of personnel by identifying job titles and the maximum grade or salary attached to those titles. The General Assembly has determined that UA Monticello College of Technology-McGehee could be operated more efficiently if some flexibility is given to that agency. That flexibility is being accomplished by providing growth pools in subsection (a) of this section and since the General Assembly has granted the agency broad powers under the growth pool concept, it is both necessary and appropriate that the General Assembly maintain oversight of the utilization of the growth pool by requiring prior approval of the Legislative Council in the utilization of the growth pool. Therefore, the requirement of approval by the Legislative Council is not a severable part of this section. If the requirement of approval by the Legislative Council is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.~~

~~If the agency requests continuation of a "Growth Pool" position(s) as established herein during the next biennium, the position(s) must be requested as a new position(s) in the agency's biennial budget request.~~

~~The provisions of this section shall be in effect only from July 1, 2005 2007 through July 30, 2007-2009.~~

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0155 UNIVERSITY OF AR AT MONTICELLO

ACT#: 2105

SECTION#: 13

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

~~CONTINGENCY-UA MONTICELLO COLLEGE OF TECHNOLOGY-CROSSETT.~~

~~(a) To address needs emerging from the restructuring of the Technical Institutes, UA Monticello College of Technology-Crossett shall establish and maintain for the 2005-2007 2007-2009 biennium a pool of four (4) unclassified positions and three (3) classified positions, payable from funds appropriated for the UA Monticello College of Technology-Crossett. These positions are to be used by UA Monticello College of Technology-Crossett in the event that the number and level of positions available to address the needs emerging from business and industry driven program expansions exceeds the number of positions regularly authorized for UA Monticello College of Technology-Crossett.~~

~~(b) UA Monticello College of Technology-Crossett shall provide justification to the Department of Finance and Administration's Office of Personnel Management (OPM) for the need to allocate titles from this position pool. No classifications will be assigned to the pool until such time as specific positions are requested and justified by UA Monticello College of Technology-Crossett, recommended by OPM and approved by the Legislative Council. UA Monticello College of Technology-Crossett may transfer positions from this pool to any pay schedule the agency currently maintains or any pay schedule the agency receives during the 2005-2007 2007-2009 biennium.~~

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0155 UNIVERSITY OF AR AT MONTICELLO

ACT#: 2105

SECTION#: 13

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

~~(c) Determining the number of personnel to be employed by a state agency is the prerogative of the General Assembly and is usually accomplished by delineating the maximum number of personnel by identifying job titles and the maximum grade or salary attached to those titles. The General Assembly has determined that UA Monticello College of Technology-Crossett could be operated more efficiently if some flexibility is given to that agency. That flexibility is being accomplished by providing growth pools in subsection (a) of this section and since the General Assembly has granted the agency broad powers under the growth pool concept, it is both necessary and appropriate that the General Assembly maintain oversight of the utilization of the growth pool by requiring prior approval of the Legislative Council in the utilization of the growth pool. Therefore, the requirement of approval by the Legislative Council is not a severable part of this section. If the requirement of approval by the Legislative Council is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.~~

~~If the agency requests continuation of a "Growth Pool" position(s) as established herein during the next biennium, the position(s) must be requested as a new position(s) in the agency's biennial budget request.~~

~~The provisions of this section shall be in effect only from July 1, 2005 2007 through July 30, 2007-2009.~~

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0155 UNIVERSITY OF AR AT MONTICELLO

ACT#: 2105

SECTION#: 14

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

Arkansas Code 26-58-124(ii). (ii) The next three hundred fifty thousand dollars (\$350,000) or so much thereof as may be collected in severance taxes, penalties, and costs on timber and timber products, over and above the amount distributed to the State Forestry Fund during each fiscal year as provided in subdivision (b)(2)(A)(i) of this section, shall be distributed and credited to the University of Arkansas at Monticello Fund. The University of Arkansas at Monticello may transfer from General Revenue to cash funds any timber severance tax funds as provided in Arkansas Code 26-58-124 subdivision (b)(2)(A)(ii), to be set aside therein to be used solely and exclusively for providing additional support for the School of Forestry of the University of Arkansas at Monticello, as per the intent of Arkansas Code 26-58-124 subdivision (b)(2)(A)(ii); and

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0155 UNIVERSITY OF AR AT MONTICELLO

ACT#: 2105

SECTION#: 15

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

**EMPLOYMENT DOCUMENT
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

University of Arkansas at Monticello _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 : <u>367</u>					
(As of November 1, 2005)					
Nonclassified Administrative Employees:					Total Male: <u>41</u>
White Male:	<u>38</u>	Black Male:	<u>3</u>	Other Male:	<u> </u>
White Female:	<u>45</u>	Black Female:	<u>12</u>	Other Female:	<u> </u>
					Total Female: <u>57</u>
Nonclassified Health Care Employees:					Total Male: <u>0</u>
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>
					Total Female: <u>0</u>
Classified Employees:					Total Male: <u>37</u>
White Male:	<u>28</u>	Black Male:	<u>8</u>	Other Male:	<u>1</u>
White Female:	<u>55</u>	Black Female:	<u>31</u>	Other Female:	<u> </u>
					Total Female: <u>86</u>
Faculty:					Total Male: <u>80</u>
White Male:	<u>75</u>	Black Male:	<u>1</u>	Other Male:	<u>4</u>
White Female:	<u>58</u>	Black Female:	<u>5</u>	Other Female:	<u>3</u>
					Total Female: <u>66</u>
Total White Male:	<u>141</u>	Total Black Male:	<u>12</u>	Total Other Male:	<u>5</u>
Total White Female:	<u>158</u>	Total Black Female:	<u>48</u>	Total Other Female:	<u>3</u>
					Total Male: <u>158</u>
					Total Female: <u>209</u>
Total White:	<u>299</u>	Total Black:	<u>60</u>	Total Other:	<u>8</u>
				Total Minority:	<u>68</u>
					Total Employees: <u>367</u>

FORM 07-8

INFORMATION SYSTEM FINDINGS FOR ALL CAMPUSES TESTED

Control Weakness							
Campus	Data Access Security	Data Integrity	Program Change Control	System Interfaces	Disaster Recovery and Business Continuity Plans	Data Center Environmental Issues	Data Center General Controls
University of Arkansas Fayetteville	X	X	X	X	X		
University of Arkansas Little Rock	X	X	X		X	X	X
University of Arkansas Pine Bluff	X		X		X	X	
University of Arkansas Monticello	X	X	X		X	X	X
University of Arkansas for Medical Sciences	X						

X – Control Weakness Observed

Data Access Security - The organization should control logical access to and use of IT computing resources. Access should be restricted by the implementation of adequate identification, authentication and authorization mechanism, linking user and resources with access rules. Such mechanism should prevent unauthorized personnel from gaining access to computing resources. Procedures should be in place to keep authentication and access mechanisms effective.

Data Integrity – The organization should establish procedures to ensure that data processing validation and editing are performed on data as it is entered into the system.

Program Change Control – The organization should maintain an adequate program change control system that encompasses authorization to submit program change requests, approval, prioritization and tracking of requests. Determine that access to source and object code is adequately controlled and that changes have been tested.

System Interfaces – The organization should ensure that data transferred in/out of the application should be subjected to controls that ensure the integrity of the data transferred.

Disaster Recovery and Business Continuity – The organization should have a written, approved and tested Disaster Recovery Plan (DRP) in place that will allow recovery from any major interruption or disaster. A DRP includes procedures for providing hardware, software, supplies and personnel to operate the backup computer facilities or restore the primary computer facilities in the event of a major interruption or disaster.

Data Center Environmental Issues – The organization should assure that sufficient measures are put in place and maintained for protection against environmental factors (e.g. fire, water detection, backup power, back up air systems and monitoring of temperature/humidity). Specialized equipment and devices to monitor and control the environment should be installed.

Data Center General Controls – The organization should assure that sufficient controls are in place to provide for effective data center administration and physical security.

Note: Specific observations and recommendations were communicated to the campus administrations, for the campuses noted above.

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

UAM-Crossett

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Instruction	903,156	1,003,977	1,034,096	1,034,096	1,065,119	1,065,119
2 Academic Support	37,326	73,221	75,418	75,418	77,681	77,681
3 Student Services	111,898	137,572	141,699	141,699	145,950	145,950
4 Institutional Support	176,576	388,696	396,187	396,187	403,904	403,904
5 Physical Plant M&O	133,492	199,595	205,583	205,583	211,750	211,750
6 Scholarships and Fellowships	14,178	19,650	20,240	20,240	20,847	20,847
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS	15,495	47,608	47,608	47,608	47,608	47,608
14 AUXILIARY TRANSFERS		27,556	27,556	27,556	27,556	27,556
15 NON-MANDATORY TRANSFERS		30,000	30,000	30,000	30,000	30,000
16 TOTAL UNREST. E&G EXP.	\$1,392,121	\$1,927,875	\$1,978,387	\$1,978,387	\$2,030,415	\$2,030,415
17 NET LOCAL INCOME	278,524	244,134	244,134	244,134	244,134	244,134
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	1,032,866	1,081,139	1,131,651	1,131,651	1,183,679	1,183,679
20 WORKFORCE 2000	452,053	602,602	602,602	602,602	602,602	602,602
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$1,763,443	\$1,927,875	\$1,978,387	\$1,978,387	\$2,030,415	\$2,030,415

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

UAM-Crossett

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	239,460	222,934	222,934	222,934
2 ALL OTHER FEES	2,295	2,200	2,200	2,200
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	27,963	15,000	15,000	15,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	8,560	4,000	4,000	4,000
7 OTHER CASH INCOME: *	246			
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	278,524	244,134	244,134	244,134
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$278,524	\$244,134	\$244,134	\$244,134

FORM 07-3

* Detail of Line 7 Other Cash Income

	<u>Actual</u>
Facilities Usage	175
Miscellaneous Income	71
	<u>246</u>

**APPROPRIATION FOR STATE TREASURY
REVISOR FORUM
2007-09 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-Crossett

APPROPRIATION 1MG

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	900,387	1,033,995	1,065,015	1,096,965		
2 EXTRA HELP WAGES	62,866	60,000	65,000	67,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	190,000	235,540	243,000	250,000		
5 OPERATING EXPENSES	331,666	354,206	361,238	372,316		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$1,484,919	\$1,683,741	\$1,734,253	\$1,786,281	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	1,032,866	1,081,139	1,131,651	1,183,679		
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]	452,053	602,602	602,602	602,602		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$1,484,919	\$1,683,741	\$1,734,253	\$1,786,281	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 203000

INSTITUTION UAM-Crossett

APPROPRIATION B83

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES		600,000	600,000	600,000		
2 EXTRA HELP WAGES		250,000	75,000	75,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	113,773	200,000	175,000	175,000		
5 OPERATING EXPENSES	276,711	625,000	525,000	525,000		
6 CONFERENCE FEES & TRAVEL	541	25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES	4,431	50,000	50,000	50,000		
8 DATA PROCESSING						
9 CAPITAL OUTLAY	34,528	50,000	50,000	50,000		
10 CAPITAL IMPROVEMENTS						
11 DEBT SERVICE		50,000	100,000	100,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS						
13						
14						
15						
16 CONTINGENCY		300,000	250,000	250,000		
17 TOTAL APPROPRIATION	\$429,984	\$2,150,000	\$1,850,000	\$1,850,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	278,524	244,134	244,134	244,134		
20 FEDERAL CASH FUNDS	61,217	350,000	350,000	350,000		
21 OTHER CASH FUNDS	90,243	1,555,866	1,255,866	1,255,866		
22 TOTAL INCOME	\$429,984	\$2,150,000	\$1,850,000	\$1,850,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	59	16	16	62	62		
TOBACCO POSITIONS							
EXTRA HELP **	36	30	30	36	36		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

UAM-Crossett

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				\$0				\$0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	95,080	90,243		4,837	85,000	112,556		(27,556)
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$95,080	\$90,243	\$0	\$4,837	\$85,000	\$112,556	\$0	(\$27,556)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0	27,556			27,556
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$95,080	\$90,243	\$0	\$4,837	\$112,556	\$112,556	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

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**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

UAM-McGehee

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Instruction	1,252,346	1,461,083	1,547,276	1,547,276	1,756,397	1,756,397
2 Public Service	60,484	61,253	63,091	63,091	64,984	64,984
3 Academic Support	12,440	42,252	43,520	43,520	44,826	44,826
4 Student Services	128,776	123,412	127,114	127,114	130,927	130,927
5 Institutional Support	269,589	498,592	502,495	502,495	505,245	505,245
6 Physical Plant M&O	172,822	179,368	184,749	184,749	190,291	190,291
7 Scholarships and Fellowships	10,138	20,178	20,783	20,783	21,406	21,406
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS	20,666	63,496	63,496	63,496	63,496	63,496
14 AUXILIARY TRANSFERS	106,097	94,438	94,438	94,438	94,438	94,438
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$2,033,358	\$2,544,072	\$2,646,962	\$2,646,962	\$2,872,010	\$2,872,010
17 NET LOCAL INCOME	442,238	410,598	410,598	410,598	410,598	410,598
18 PRIOR YEAR BALANCE		115,850				
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	1,293,483	1,369,466	1,588,206	1,588,206	1,813,254	1,813,254
20 WORKFORCE 2000	486,228	648,158	648,158	648,158	648,158	648,158
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS ***	231,701					
23 TOTAL SOURCES OF INCOME	\$2,453,650	\$2,544,072	\$2,646,962	\$2,646,962	\$2,872,010	\$2,872,010

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

*** **General Improvement Funds in the amount of \$231,701 were received for the Arkansas Heavy Equipment Operators (AHEOTA) Program. This amount received in the first year of the biennium for operating purposes of the AHEOTA Program.**

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

UAM-McGehee

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	340,559	318,918	318,918	318,918
2 ALL OTHER FEES	12,785	17,680	17,680	17,680
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	66,679	60,000	60,000	60,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	8,941	4,000	4,000	4,000
7 OTHER CASH INCOME: *	13,274	10,000	10,000	10,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	442,238	410,598	410,598	410,598
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$442,238	\$410,598	\$410,598	\$410,598

FORM 07-3

* Detail of Line 7 Other Cash Income

	<u>Actual</u>	<u>Budgeted</u>
M & R Sales	486	
Facilities Usage	2,400	
Miscellaneous Income	10,388	10,000
	<u>13,274</u>	<u>10,000</u>

**APPROPRIATION FOR STATE TREASURY
2007-09 BIENNIUM**

FUND CIA0000

INSTITUTION UAM-McGehee

APPROPRIATION 1MF

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	1,149,575	1,276,811	1,394,221	1,517,400		
2 EXTRA HELP WAGES	50,000	50,000	55,000	55,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	208,483	308,177	315,000	324,000		
5 OPERATING EXPENSES	371,653	382,636	472,143	565,012		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$1,779,711	\$2,017,624	\$2,236,364	\$2,461,412	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	1,293,483	1,369,466	1,588,206	1,813,254		
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]	486,228	648,158	648,158	648,158		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$1,779,711	\$2,017,624	\$2,236,364	\$2,461,412	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 2030000

INSTITUTION UAM-McGehee

APPROPRIATION B82

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES		600,000	600,000	600,000		
2 EXTRA HELP WAGES		75,000	75,000	75,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	145,543	150,000	175,000	175,000		
5 OPERATING EXPENSES	480,411	650,000	525,000	525,000		
6 CONFERENCE FEES & TRAVEL	2,239	25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES	4,293	50,000	50,000	50,000		
8 DATA PROCESSING						
9 CAPITAL OUTLAY	4,913	50,000	50,000	50,000		
10 CAPITAL IMPROVEMENTS						
11 DEBT SERVICE			100,000	100,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS						
13						
14						
15						
16 CONTINGENCY		250,000	250,000	250,000		
17 TOTAL APPROPRIATION	\$637,399	\$1,850,000	\$1,850,000	\$1,850,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	442,238	410,598	410,598	410,598		
20 FEDERAL CASH FUNDS	66,117	350,000	350,000	350,000		
21 OTHER CASH FUNDS	129,044	1,089,402	1,089,402	1,089,402		
22 TOTAL INCOME	\$637,399	\$1,850,000	\$1,850,000	\$1,850,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	77	30	30	79	79		
TOBACCO POSITIONS							
EXTRA HELP **	17	17	17	36	36		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

UAM-McGehee

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				\$0				\$0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	132,443	129,044		3,399	118,000	105,501		12,499
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 CHILD CARE	37,737	147,233		(109,496)	45,000	151,937		(106,937)
11 SUBTOTAL	\$170,180	\$276,277	\$0	(\$106,097)	\$163,000	\$257,438	\$0	(\$94,438)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	106,097			106,097	94,438			94,438
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$276,277	\$276,277	\$0	\$0	\$257,438	\$257,438	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

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UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

I. Introduction

The University of Arkansas at Pine Bluff (UAPB) is an 1890 Land Grant institution and is the second oldest public university in Arkansas. UAPB maintains an 83-acre main campus that includes 66 buildings, one plaza, and a Minority Grant Office site, in addition to a research farm at Lonoke, Arkansas. There are 15 academic departments from within which forty-three baccalaureate degrees and eight master's degrees are offered in several areas. UAPB employs 164 full-time faculty, of which 105 hold doctoral degrees and 59 hold master's degrees. Student involvement and leadership opportunities are provided through 84 registered student organizations, a school newspaper, a yearbook, performing and visual arts opportunities, and radio and television laboratory facilities. Headcount Enrollment at UAPB, since 2003, reflects a plus or minus difference of less than 3%. From among first-time, full-time entering degree-seeking students, the graduation rate is 31.9%; the one-year retention rate is 61.0%. Although the expected length of time required to complete a bachelor's degree is 4 years, 37 per cent of the students with a bachelor's degree goal who started at a 4-year institution in 1995-96 actually finished their degree in that period of time, according to the National Center for Education Statistics (NCES). And so the graduation rate, which is mission reflective, does not mirror the commonly held perception of what a college student likely to graduate in four years looks like—he or she receives good academic preparation in high school, enters college immediately after high school, enrolls in college full time, and is continuously enrolled. Importantly, however, UAPB through its array of programs and services makes a positive difference in the lives of students and in outreach to the Arkansas Delta.

Continuing with examples of student achievements, the graduation rate for student athletes at UAPB was 65% for the fall 1996 Cohort Class; 56.25% for the fall 1997 Cohort Class; and 45.61% for the fall 1998 Cohort Class. In the fall 2005, the overall average for all sports was 2.85. Women's golf posts a cumulative GPA of 3.42; Men's golf, 3.15; Men's tennis posts a 2.82 GPA. UAPB utilizes data-driven tracking and placement procedures for managing the learning and growth activities of students such as ACT, Mathematics Placement, Arkansas Assessment of General Education (Rising Junior), comprehensive examinations, PRAXIS I and II, and IPED.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

UAPB is accredited by the Higher Learning Commission (North Central Association), the National Council for the Accreditation of Teacher Education, the Arkansas State Board of Nursing, National Association of Schools of Music, The National League of Nursing, The National Association of Schools of Art and Design, The American Association of Family and Consumer Sciences, American Dietetics Association, National Association of Industrial Technology; and the National Schools of Social Work.

Unique Programs and Contributions

The University of Arkansas at Pine Bluff remains committed to the continuation and enhancement of its Center of Excellence (Aquaculture), and its areas of emphasis (science and mathematics, minority business development, teacher education, and student development and leadership). The University offers premier programs in aquaculture and regulatory science. Aquaculture and Fisheries, the UAPB Center of Excellence, is internationally recognized for its educational distinction and for its economic value. Arkansas leads the nation in the production of baitfish, and UAPB is attributed with much of Arkansas' successful performance in the catfish and baitfish industries. The UAPB Bachelor of Science degree in Regulatory Science is not only the exclusive choice for that study in the nation, but also the program is designated by the U. S. Department of Agriculture as a Center of Excellence, and anchors a vital partnership with other national governmental agencies such as the United States Department of Transportation, the United States Department of Commerce, and other agencies. UAPB provides technical and outreach services, directly or through more than 100 corporate and educational alliances, related to minority business development, agriculture, human sciences, teacher education, and student leadership development in fulfillment of the university's role in society: to lead, to educate, and to serve. The downtown business incubator and office complex will be fully operationally the fall semester 2006. The Incubator will be an extension of the University's past and present economic development activities. Programs such as FastTrac Entrepreneurial Training, Management and Technical Assistance (i.e., business planning, loan packaging, etc.) will be conveniently delivered by the staff of the Economic Research and Development Center and through partnership

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

arrangements with other technical assistance providers. In addition, UAPB faculty, staff, and selected business and community leaders will be solicited to serve as mentors providing business expertise to incubator tenants.

Internationally, prestige accrues to UAPB through the generous contributions of faculty to the richness of the learning experience and to the knowledge base of their disciplines. More than 16,000 alumni represent UAPB internationally and in many professions. The survey administered to applicants for graduation applicants reveals that more than 80% of respondents indicated that UAPB met and exceeded their expectations with regard to personal social skills, critical thinking, computer application and technology, teachers' knowledge of the subject matter, teacher availability for consultation, advisor's knowledge and availability, and clear communication and fair application of rules, policies, and practices.

As the fifth largest employer in Jefferson County generating more than \$56 million in economic activity, directly and indirectly, and creating more than 1,500 jobs, UAPB has more than 100 years of experience in solving problems related to matters of education, health, welfare, economic development, and governmental affairs for the Pine Bluff community, Arkansas, and the nation. For an extended time, UAPB has been and continues to be the "*Flagship of the Delta*".

Planning, Management and Evaluation

Different now at the university than five years ago, UAPB successfully addresses culture and core values, student and faculty expectations, governing boards and agencies expectations, responsibility and work ethics among faculty, technology, levels of academic preparedness with students, and projected decline in enrollment. What is likely to be different five years from now, the institution notes such changes as an increased number of non-traditional and foreign students, expanded language program, more multi-disciplinary programs, more competition, availability of on-line registration, more flexible scheduling, technology-based delivery of services, greater alignment of resources with learning

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

environment, access to long-distance learning, availability of courses on the WEB, increase in self-instruction texts, stabilized finances, stabilized enrollment, optimized resources (people most important), improved quality of service to customers.

Given those strong opportunities, what new products and services then should UAPB expect to provide and strengthen? Some visionary commitments include English as a second language program, broader and global emphasis in curriculum development, stronger community outreach, stronger upper level programs, more support services for non-traditional students, efficient and effective cyber technologies, information access to all who have a right to know, the establishment of university wide Total Quality Management of policies and procedures, concern for retention, use of data-driven student tracking, alignment of academic programs and population links, reduced vulnerability to environment, and willingness to embrace business models of operation, e.g. market segmentation, target marketing.

Equally important during deliberations were the insight and objectivity about products and services that the institution possibly should not be providing five years from now. For that analysis, criteria such as programs and services determined to low-productivity, based on agreed to prescribed measures of UAPB and governing boards and agencies, were used.

As the University of Arkansas at Pine Bluff continually and strategically engages in a cyclical study and planning process, careful attention is given to maintaining the values that have sustained this institution over the years. Salient points of those deliberations include providing a general education, preparing graduates for specific occupations and solving educational, economic, social, political, and environmental problems. Further discussions lead to elements such as 1890 Land Grant, historically black university, first-generation students, traditional and non-traditional students and commuting and resident students. Then more points surfaced such as open admission, issues peculiar to the Delta, and education outside the classroom. After putting the institution's continuing challenges on a grid with its strengths, it is determined that the quality of the learning environment, the strong sense of identity and mission, the strong sense of community, the commitment to mind and character, the partnerships and other alliances, and the historic and programmatic ties to higher education will be the building blocks to the health and vitality of UAPB.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

The University of Arkansas at Pine Bluff is committed to an institutionalized set of procedures which translate the institutional vision and mission into definitive goals and related objectives, developed policies and operational procedures to achieve stated goals and objectives, and monitored and assessed achievement against intended documented outcomes.

The enrollment management plan of the University of Arkansas at Pine Bluff serves as a blueprint for coordinating the enrollment and retention efforts of the entire university “community”. The development of the plan involved an analysis of the factors that affect enrollment and an understanding of the university’s priorities and mission.

During the 2003-2004 year, a compuswide committee was commissioned to examine all aspects of UAPB for efficiency and effectiveness strategies toward the themes of sustaining quality, reducing cost, increasing revenue, and enhancing efficiency. The report has been and will continue to be directional to the decision makers at UAPB.

Major Fiscal Challenges for this Biennium

What challenges UAPB strongly now is the need for resources not based on enrollment for programs and services. This need is because of the conditions of some aging facilities and the requisite level of support to technology. UAPB knows that about \$15 million is needed for major repairs and maintenance to several buildings along with about \$17 million directed toward library expansion, diversity center construction, and partial funding toward a student field house. UAPB also knows that continuing support to the national leading edge technology that it has managed to put in place over time requires approximately \$1million annually, before cost recovery, but is necessary to the efficiency and effectiveness of the planning, management, and assessment functions of teaching, research, and service. UAPB is committed to full compliance with the American Disability Act, and to continue the work, additional funding will be required complete that initiative. Finally, the continuation of the 1890 Match will ensure that a major tenet of the UAPB mission, research and service, is not compromised.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

We must have a shift in our thinking and that of our constituencies about graduate education and its role in the attainment of the level of excellence we desire. A substantially expanded graduate studies effort is critical to our academic aspirations and to our effectiveness as a continuing significant and contributing land-grant university. Broadening the academic programs to include master's programs in Human Resources Management, Business Management, Information Technology and Regulatory Sciences is a growth area. A focus on the preparation of service professionals and the development of service industries is needed and can be accomplished through doctoral offerings especially in Aquaculture/Fisheries (currently designated as a Center of Excellence), Agriculture Industries, and in educational leadership. The UAPB role and scope needs to be expanded to offer masters degrees generically. The university is currently limited to master's degrees in three areas: education, aquaculture/fisheries and addiction studies. Also, by 2010, the role and scope must be expanded to include select doctoral degrees, especially in aquaculture/fisheries, agriculture, education and addiction studies. These programs will build on existing strengths, uniqueness and service to the Delta and to the state of Arkansas in the areas of market development and healthy human capital development. In the following sections, the framework of the university's participation in higher education and this biennial request is delineated and includes the mission and vision statements and the corresponding institutional goals, objectives and strategies.

II. Institutional Mission and Vision Statements

A—Historical

The University of Arkansas at Pine Bluff was created in 1873 for the convenience and well being of the poorer "classes". A second statutory Act in 1890 designated the institution as Land Grant, and made the Morrill Act of 1862 applicable in every respect to Agricultural, Mechanical and Normal College.

The Act of 1862 charges the College, without its excluding other scientific and classical studies, and including military tactics, to teach such branches of learning as are related to agriculture and the mechanic arts.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

B—Evolving

While the University of Arkansas at Pine Bluff will continue to maintain a special sensitivity to the needs, aspirations, problems, and opportunities of its historic constituents it shall expand its mission with a high degree of excellence and with a sense of constantly improving quality.

To fulfill its mission of service to this heterogeneous student clientele differing academically, socially, racially, ethnically and culturally, UAPB shall develop creative and innovative activities that produce new curricular models in the fields of aesthetics, social and political institutions, and scientific technical development.

The thrusts of this new and expanded mission could and should result in the re-examination and improvement of value systems and moral behavior of political institutions and of the economic system. Such a mission does not merely support the advancements of science and technology; it uses science and technology to help solve economic, physical, social, political, racial and cultural problems.

Vision Statement

We believe it is important to the future of the University of Arkansas at Pine Bluff (UAPB) that;

UAPB be known as a comprehensive, 1890 Land-Grant institution that provides open door liberal and professional education for its traditional clientele as well as lifelong learning experience for other population groups with concern for the success of all students; that

UAPB be known for its Center of Excellence—Aquaculture/fisheries biology, and its Centers of Emphasis—teacher education, mathematics and science, minority business development, and student leadership development; that

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

UAPB offer comprehensive research and services and be an active partner and neighbor in the educational, business and industrial communities of Arkansas and the nation; that

UAPB provide accessible and affordable offerings that are innovative and relevant, and where course offerings and student performance ascribe the highest principles and standards of academic excellence; that curricular plans be designed to prepare students for functioning in a global environment where human and economic interdependencies are ever increasing; that

UAPB maintain a climate that fosters the intellectual and social development of a diversified student body; that is caring friendly, and respectful of the dignity of all individuals; that

UAPB be a model of resource and environmental stewardship; that

UAPB take pride in itself as a dynamic, creative, future-oriented place of learning and growth and that

UAPB accept the responsibility to develop excellence within us and produce students and graduates that reflect some consciousness, intellectual prowess and the highest levels of professionalism and integrity in their lives and work.

III. Institutional Programs and Goals

Program 1. Meet the historical and evolving specifics of our 1890 Land-Grant Mission related to curricular offerings.

Program 2. Satisfy the requirements of our 1890 Land-Grant University with regard to research.

Program 3. Satisfy the requirements of our 1890 Land-Grant University with regard to public service.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

Program 4. Meet the historical and evolving mission of our 1890 land grant heritage related to institution wide units necessary to the support of teaching and learning.

Program 5. Meet the historical and evolving mission of our 1890 land grant heritage along with the expectations of governing bodies related to planning, management, and evaluation.

Program I. Teaching - Meet the historical and evolving mission of our 1890 land grant heritage related to curricular offerings.

Goal 1: Strengthen institutional quality through graduate and undergraduate programs of excellence in in-class and out-of-class teaching and learning activities. (*Academic Affairs, Student Affairs*)

Objectives:

- Promote program excellence and access, epitomized by high expectations and effective, a global perspective, technology literacy, and responsive teaching and learning.
- Create a network of support mechanisms that will facilitate excellence in teaching and learning.
- Promote and support faculty/staff excellence through effective recruitment, engagement, appropriate recognition and rewards, development, and opportunities for career advancement.
- Promote and support student excellence through appropriate recognition, ongoing assessment, advisement, appropriate curricular offerings and research engagement experiences, counseling and testing, health and wellness, leadership training and experiences, as well as opportunities for academic, social, and career advancement.
- Develop and implement effective strategies to increase recruitment, retention, and graduation rates.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

- Develop an effective and efficient infrastructure for distance learning that facilitates increased course offerings at a distance.
- Develop new programs that meet the continuously evolving needs of constituencies.

Program 2: *Research* - Meet the historical and evolving mission of our 1890 land grant heritage related to research and creative works.

Goal 2: Engage faculty/staff and students in mission-oriented research and creative activities to solve stakeholder problems and enhance the quality of life.

Objectives:

- Establish institutional mechanisms (i.e., release time, support staff, facilities, and grants management) to promote research, scholarly, and creative activities.
- Provide financial incentives and rewards to recognize to recognize faculty productivity in research and scholarly activities.
- Establish partnerships and collaborations with other institutions and agencies to broaden the scope and productivity of research and creative activities.
- Increase the university's investment in research and scholarly activities through seed grants and indirect cost recovery.

Program 3: *Public Service* - Meet the historical and evolving mission of our 1890 land grant heritage related to public service

Goal 3: Strengthen the institution's quality in scholarship through engaging the entire university in fulfilling its public service mission.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

Objectives:

- Identify and provide resources to support public service and engagement.
- Provide opportunities for service initiatives in every curriculum
- Establish collaborative partnership efforts across units, programs, and communities to facilitate the University's public service mission.
- Provide incentives and recognition for engagement and public service initiatives.

Program 4: *Institutional Support* - Meet the historical and evolving mission of our 1890 land grant heritage related to institution wide units necessary to the support of teaching and learning.

Goal 4: Enhance services offered by support units in executive management, finance and administration, and student affairs.

Objectives:

- Enhance services offered by the office of human resources, financial aid and student accounts.
- Enhance services offered by office of research and sponsored programs in seeking and administering funds for research and sponsored programs.
- Provide alternative methods of storing files and records
- Maintain and develop physical facilities that will allow a safe and effective teaching, learning, research, and service environment.
- Strengthen information technology resources to enhance all of the university's activities.
- Maintain sufficient reserves in the university's fund balance.
- Promote awareness among clientele, potential clientele, potential funders, and other constituencies about UAPB activities and their impact.

UNIVERSITY OF ARKANSAS AT PINE BLUFF

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS

Program 5: *Assessment and Planning* - Meet the historical and evolving mission of our 1890 land grant heritage along with the expectations of governing bodies related to planning, management, and evaluation.

Goal 5: Ensure accountability and continuous improvement of programs and services by implementing a comprehensive institutional assessment system with a planning and budgeting model.

Objectives

- Establish an office of academic assessment and accountability.
- Integrate assessment plan and activities into an effective assessment system
- Apply results generated from assessment data to ensure academic quality improvements at the departmental level.
- Develop and implement a mechanism for timely response to changing state and federal academic policies.
- Use resources effectively to meet constituent needs.
- Implement student evaluations to enhance student achievement
- Institutionalize a mechanized, institution-wide data base that empowers managers to make data driven decisions.
- Implement a cyclical planning, managing, and evaluating system.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	10,865,410	10,988,171	11,298,321	11,777,829
2 RESEARCH	256,415	332,128	341,095	355,080
3 PUBLIC SERVICE	290,172	167,050	171,560	178,594
4 ACADEMIC SUPPORT	3,933,763	3,854,716	3,958,793	4,121,104
5 STUDENT SERVICES	3,344,031	3,539,939	3,635,517	3,784,573
6 INSTITUTIONAL SUPPORT	4,974,772	5,276,635	5,419,104	5,641,287
7 PHYSICAL PLANT M&O	4,914,747	5,057,685	5,194,242	5,407,206
8 SCHOLARSHIPS & FELLOWSHIPS	3,442,058	3,966,319	4,073,410	4,240,420
9 CONTINGENCY		85,918	88,238	91,855
10				
11				
12				
13 MANDATORY TRANSFERS	1,163,898	1,552,820	1,552,820	1,552,820
14 AUXILIARY TRANSFERS	1,129,212	1,241,378	1,241,378	1,241,378
15 NON-MANDATORY TRANSFERS	437,374			
16 TOTAL UNREST. E&G EXP.	\$34,751,852	\$36,062,759	\$36,974,478	\$38,392,146
17 NET LOCAL INCOME	13,681,056	13,819,555	13,819,555	13,819,555
18 PRIOR YEAR BALANCE				
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	21,386,012	22,243,204	23,154,923	24,572,591
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **				
23 TOTAL SOURCES OF INCOME	\$35,067,068	\$36,062,759	\$36,974,478	\$38,392,146

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM**

UNIVERSITY OF ARKANSAS AT PINE BLUFF

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	12,635,630	13,159,555	13,159,555	13,159,555
2 ALL OTHER FEES	49,820	135,000	135,000	135,000
3 OFF-CAMPUS CREDIT	118,995	35,000	35,000	35,000
4 NON-CREDIT INSTRUCTION	10,820	0		
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	106,248	165,000	165,000	165,000
6 INVESTMENT INCOME	54,895	10,000	10,000	10,000
7 OTHER CASH INCOME:	704,648	315,000	315,000	315,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	13,681,056	13,819,555	13,819,555	13,819,555
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$13,681,056	\$13,819,555	\$13,819,555	\$13,819,555

Other Cash Income includes indirect cost recovery and other miscellaneous income.

FORM 07-3

**REVISOR'S REPORT TO THE STATE TREASURY
2007-09 BIENNIUM**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION 616

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	15,717,552	16,035,539	17,206,783	18,235,213		
2 EXTRA HELP WAGES	698,900	698,900	749,990	794,764		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	3,251,099	4,064,714	3,586,790	3,800,921		
5 OPERATING EXPENSES	3,939,584	3,739,834	4,013,216	4,252,805		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	240,000	240,000	240,000	240,000		
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION	1,000,000	1,000,000	1,000,000	1,000,000		
11						
12						
13						
14 TOTAL APPROPRIATION	24,847,135	25,778,987	26,796,779	28,323,703	0	0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE	23,160,634	23,969,459	24,987,251	26,514,175		
17 EDUCATIONAL EXCELLENCE TRUST FUND	1,686,501	1,809,528	1,809,528	1,809,528		
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	24,847,135	25,778,987	26,796,779	28,323,703	0	0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 2020000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION B13

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	24,386,835	16,640,000	17,000,000	18,000,000		
2 EXTRA HELP WAGES	1,871,891	4,900,000	4,000,000	4,000,000		
3 OVERTIME	49,108	4,200,000	250,000	250,000		
4 PERSONAL SERVICES MATCHING	5,608,122	960,000	5,312,500	5,562,500		
5 OPERATING EXPENSES	11,627,530	13,200,000	16,000,000	17,000,000		
6 CONFERENCE FEES & TRAVEL	1,502,821	2,150,000	2,150,000	2,150,000		
7 PROFESSIONAL FEES AND SERVICES	1,508,287	2,150,000	2,150,000	2,150,000		
8 DATA PROCESSING		2,900,000	2,900,000	2,900,000		
9 CAPITAL OUTLAY	3,786,643	4,650,000	5,000,000	5,500,000		
10 CAPITAL IMPROVEMENTS		20,600,000	20,600,000	20,600,000		
11 DEBT SERVICE	211,962	3,350,000	3,350,000	3,350,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	1,277,242	9,000,000	9,000,000	9,000,000		
13 PROMOTIONAL ITEMS		100,000	100,000	100,000		
14						
15						
16 CONTINGENCY		9,100,000	12,787,500	19,437,500		
17 TOTAL APPROPRIATION	\$51,830,441	\$93,900,000	\$100,600,000	\$110,000,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	13,681,056	45,000,000	50,000,000	55,000,000		
20 FEDERAL CASH FUNDS	38,149,385	48,900,000	50,600,000	55,000,000		
21 OTHER CASH FUNDS						
22 TOTAL INCOME	\$51,830,441	\$93,900,000	\$100,600,000	\$110,000,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	975	647	665	978	975		
TOBACCO POSITIONS							
EXTRA HELP **	924	110	110	924	924		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

UNIVERSITY OF ARKANSAS AT PINE BLUFF

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	2,797,266	3,888,340	512,864	(1,603,938)	3,594,178	4,295,904	560,000	(1,261,726)
2 RESIDENCE HALL	3,534,941	1,917,553	514,741	1,102,647	3,434,000	2,464,760	930,589	38,651
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	2,332,702	1,780,230		552,472	2,421,920	2,184,939		236,981
6 COLLEGE UNION		254,689		(254,689)		298,600		(298,600)
7 BOOKSTORE	167,833	2,120		165,713	130,000			130,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	229,498	287,857	5,200	(63,559)	183,000	269,684		(86,684)
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$9,062,240	\$8,130,789	\$1,032,805	(\$101,354)	\$9,763,098	\$9,513,887	\$1,490,589	(\$1,241,378)
12 ATHLETIC TRANSFER **	945,000			945,000	1,057,166			1,057,166
13 OTHER TRANSFERS ***	(565,788)			(565,788)	184,212			184,212
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$9,441,452	\$8,130,789	\$1,032,805	\$277,858	\$11,004,476	\$9,513,887	\$1,490,589	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0160 UNIVERSITY OF AR AT PINE BLUFF

ACT#: 2116

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees, after seeking prior review by the Arkansas Legislative Council, may approve the employment of competent scientists with extraordinary research capabilities to conduct research in the Biomedical Research Center established at the University of Arkansas at Pine Bluff. Further, that the Board of Trustees of the University of Arkansas be authorized to approve salaries for scientists up to, but not to exceed, one and one-half of that portion of the Distinguished Professor line item maximum authorized by the General Assembly to be paid from University of Arkansas at Pine Bluff funds.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0160 UNIVERSITY OF AR AT PINE BLUFF

ACT#: 2116

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

JENKINS CENTER. Of the funds appropriated in Section 3, the sum of \$252,558 in the ~~2005-2006~~ 2007-2008 fiscal year and \$252,558 in the ~~2006-2007~~ 2008-2009 fiscal year shall be used to provide support to the Jenkins Center in Pine Bluff. Provided, however, such funds shall be used to conduct and maintain a University training program in cooperation with the Jenkins Center. Provided, further, any reductions in funding for appropriation provided in Section 3 shall be proportionately applied to the funds set out herein for support.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0160 UNIVERSITY OF AR AT PINE BLUFF

ACT#: 2116

SECTION#: 9

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FORMULA COMPUTATIONS - 1890 MATCHING FUNDS. Any computations made by the Department of Higher Education and the Arkansas Higher Education Coordinating Board in determining levels of recommended funding for the University of Arkansas at Pine Bluff shall include matching funds for the 1890 Research and Extension Programs in the base.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0160 UNIVERSITY OF AR AT PINE BLUFF

ACT#: 2116

SECTION#: 10

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

UNIVERSITY OF ARKANSAS AT PINE BLUFF _____
 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 : _____ 485					
(As of November 1, 2005)					
Nonclassified Administrative Employees:					Total
White Male:	1	Black Male:	21	Other Male:	0
White Female:	1	Black Female:	27	Other Female:	0
					Total Male: 22
					Total Female: 28
Nonclassified Health Care Employees:					Total
White Male:	0	Black Male:	0	Other Male:	0
White Female:	0	Black Female:	0	Other Female:	0
					Total Male: 0
					Total Female: 0
Classified Employees:					Total
White Male:	2	Black Male:	84	Other Male:	0
White Female:	6	Black Female:	180	Other Female:	0
					Total Male: 86
					Total Female: 186
Faculty:					Total
White Male:	26	Black Male:	52	Other Male:	13
White Female:	12	Black Female:	57	Other Female:	3
					Total Male: 91
					Total Female: 72
Total White Male:					Total
Total White Female:					Total
Total Black Male:					Total
Total Black Female:					Total
Total Other Male:					Total
Total Other Female:					Total
					Male: 199
					Female: 286
Total White:					Total
Total Black:					Employees:
Total Other:					485
Total Minority:					
					437

FORM 07-8

<i>Finding:</i>	<p>Lack of internal controls allowed salary overpayments of \$7,405. An instructor was overpaid salary of \$5,750 and when the error was detected, the University began a repayment plan through payroll deductions. In addition, after employment was terminated, three (3) employees inadvertently received excess salary payments totaling \$1,655. In an attempt to recover unauthorized salary disbursements, the University established accounts receivable for the former employees as follows:</p> <ul style="list-style-type: none"> • Extension Program Aide of \$384; • Data Processing Network Technician of \$229; and • Research Assistant of \$1,042. <p>As of the report date, the University has not received payments for these accounts receivable and is due \$1,655 from the applicable former employees.</p>
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<i>Recommendation:</i>	
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<i>Institution's Response:</i>	
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<i>Finding:</i>	<p>Management notified us that as a sub-recipient of tobacco settlement funds passed through the Department Health and Human Services, the University of Arkansas at Pine Bluff (UAPB) awarded the Hispanic Health Program (HHP) a \$63,920 grant to assist the Hispanic community in tobacco use cessation. UAPB disbursed \$34,704 to HHP, which is a sub-grantee required to adhere to the grant award agreement. However, HHP failed to provide documentation relating to the grant work plan and pertinent grant financial information. As a result, the University of Arkansas System General Counsel has demanded HHP to repay \$32,591 of grant funds by October 25, 2005.</p>
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<i>Recommendation:</i>	
<i>Institution's Response:</i>	
<i>Finding:</i>	To verify the accuracy of student enrollment data submitted to the Department of Education, we examined supporting documentation and discovered two (2) students reported as enrolled had not attended the University.
<i>Recommendation:</i>	We recommend the University strengthen internal controls to ensure the correctness of enrollment data.
<i>Institution's Response:</i>	

INFORMATION SYSTEM FINDINGS FOR ALL CAMPUSES TESTED

Control Weakness							
Campus	Data Access Security	Data Integrity	Program Change Control	System Interfaces	Disaster Recovery and Business Continuity Plans	Data Center Environmental Issues	Data Center General Controls
University of Arkansas Fayetteville	X	X	X	X	X		
University of Arkansas Little Rock	X	X	X		X	X	X
University of Arkansas Pine Bluff	X		X		X	X	
University of Arkansas Monticello	X	X	X		X	X	X
University of Arkansas for Medical Sciences	X						

X – Control Weakness Observed

Data Access Security - The organization should control logical access to and use of IT computing resources. Access should be restricted by the implementation of adequate identification, authentication and authorization mechanism, linking user and resources with access rules. Such mechanism should prevent unauthorized personnel from gaining access to computing resources. Procedures should be in place to keep authentication and access mechanisms effective.

Data Integrity – The organization should establish procedures to ensure that data processing validation and editing are performed on data as it is entered into the system.

Program Change Control – The organization should maintain an adequate program change control system that encompasses authorization to submit program change requests, approval, prioritization and tracking of requests. Determine that access to source and object code is adequately controlled and that changes have been tested.

System Interfaces – The organization should ensure that data transferred in/out of the application should be subjected to controls that ensure the integrity of the data transferred.

Disaster Recovery and Business Continuity – The organization should have a written, approved and tested Disaster Recovery Plan (DRP) in place that will allow recovery from any major interruption or disaster. A DRP includes procedures for providing hardware, software, supplies and personnel to operate the backup computer facilities or restore the primary computer facilities in the event of a major interruption or disaster.

Data Center Environmental Issues – The organization should assure that sufficient measures are put in place and maintained for protection against environmental factors (e.g. fire, water detection, backup power, back up air systems and monitoring of temperature/humidity). Specialized equipment and devices to monitor and control the environment should be installed.

Data Center General Controls – The organization should assure that sufficient controls are in place to provide for effective data center administration and physical security.

Note: Specific observations and recommendations were communicated to the campus administrations, for the campuses noted above.

**SUMMARY OF UNRESTRICTED EDUCATIONAL REVENUE AND GENERAL FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT PINE BLUFF

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 Research	1,869,006	1,944,681	2,003,021	2,003,021	2,063,112	2,063,112
2 Public Service	1,592,117	1,591,102	1,638,835	1,638,835	1,688,000	1,688,000
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$3,461,123	\$3,535,783	\$3,641,856	\$3,641,856	\$3,751,112	\$3,751,112
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE						
STATE FUNDS:						
19 GENERAL REVENUE & ED. EXCELL.	3,461,123	3,535,783	3,641,856	3,641,856	3,751,112	3,751,112
20 WORKFORCE 2000						
21 TOBACCO SETTLEMENT FUNDS						
22 OTHER STATE FUNDS **						
23 TOTAL SOURCES OF INCOME	\$3,461,123	\$3,535,783	\$3,641,856	\$3,641,856	\$3,751,112	\$3,751,112

FORM 07-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

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UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

INTRODUCTION

The University of Arkansas for Medical Sciences (UAMS), Arkansas's only academic health sciences center, is the primary source of health care professionals for the state. UAMS provides high-level tertiary and quaternary care at University Hospital; serves the state, nation, and world through research discovery; and provides numerous related services to Arkansas and its citizens.

Talented and dedicated faculty and staff are the core for carrying out the institution's four-part mission: To Teach, To Heal, To Search, To Serve. Among its many strengths are six outstanding centers of excellence: the Arkansas Cancer Research Center, the Harvey and Bernice Jones Eye Institute, the Donald W. Reynolds Center on Aging, the Jackson T. Stephens Spine and Neurosciences Institute, the Myeloma Institute of Research and Therapy, and the Psychiatric Research Institute. The seven regional Area Health Education Centers (AHECs) of UAMS combine with central resources to form an Area Health Education Program that is annually ranked as one of the top two in the nation. With these and other world class clinical services, such as ANGELS, the Arkansas Aging Initiative and Kids First, UAMS and its programs are in great demand. This growing demand is an important opportunity for growth and expansion.

As the only academic health sciences center in the state, UAMS has many opportunities to create "magnet" areas of excellence in education, clinical care, and research. Interdisciplinary practice and programs provide for partnership in our education, clinical and research missions.

The primary weakness of UAMS will always be its inability to deliver excellent services throughout the state. UAMS, with the limited state-funded base that it has, continues to be one of the very lowest state funded teaching hospitals in the nation. The current level of state financial support makes it difficult for the University to absorb the significant educational costs tuition fails to cover and the substantial indigent care costs that are not reimbursed.

The major institutional threat is the considerable dependence on clinical revenue to support other UAMS missions. This is occurring at the same time that the number of uninsured patients continues to increase and UAMS, as the state's "safety net" hospital facility, incurs the added expense of their care. Even for insured patients, there is concern. It is anticipated

UAMS

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

that third party payors, including Medicare and Medicaid, will be providing less support throughout the years to come.

Despite completion of the Harry P. Ward Tower in 1999, the hospital's core inpatient facilities remain outmoded and in need of replacement. The hospital's limited bed capacity and related services restrict the ability to accommodate the number of patients who seek admission. A study by Kaufman-Hall Consultants indicates that inpatient volumes will increase and, even with a continued emphasis on reducing the overall length of stay, the number of available beds should be increased. These are bases on which the plans to construct a new patient bed tower lie.

UAMS continues to target additional untapped financial resources at the federal level for clinical and grant support. There have been significant increases in federal resources at the clinical level but this is jeopardized due to an overall reduction in NIH and other federal budgets. The Institution is working to identify and successfully pursue these sources. Efforts to be awarded grants from private and public entities are also being made. There continues to be an increase in philanthropic support, such as the gifts from Harvey and Bernice Jones, Pat and Willard Walker, the Jackson T. Stephens family and the Donald W. Reynolds Foundation.

UAMS continues to grow as a dynamic and respected institution despite its challenges and threats. There continues to be a sense of optimism among faculty and staff and a shared vision of an institution that is excellent in every regard.

The University of Arkansas for Medical Sciences has the four-part mission to Teach, Heal, Search and Serve. Our goals, objectives and strategies relating to this responsibility during the 2007-09 biennium include:

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Goal 1.

Education

To educate graduates who are compassionate, provide quality care and meet the needs of the state, and who embrace life-long learning

Objective 1. Strengthen the impact of our graduates on the state

Strategies:

1. Increase the number of graduates staying in the state
2. Assure that rural and underserved areas have practitioners
3. Work to assure improved patient outcomes through use of evidence-based medicine and protocols

Objective 2. To identify and respond to Arkansas' health workforce needs especially as it relates to an aging population

Strategies:

1. Each college will develop an objective methodology (standards, sources, and plan of analysis) for determining demand for its graduates from their programs and new educational programs. Methodologies will include an explanation of known issues and problems related to data, e.g., biased or skewed estimates, and may include existing resources such as the AHEC biennial publication on the state's Health Work Force needs.
2. Colleges will conduct analyses of their program and enrollment performance relative to established Methodologies.
3. Adapt programs, adjust enrollment levels in existing programs and create new programs as indicated by both healthcare workforce finding and cost-benefit analysis

Objective 3. To recruit and retain productive faculty and staff for educational programs

Strategies:

1. Select educational areas for development
2. Ensure that salaries of productive faculty and university leaders are competitive for the purpose of recruitment and

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

- retention
3. Identify and prioritize infrastructure needs to support the educational mission

Objective 4. To attract outstanding students, including those from under-represented minorities to careers in healthcare

Strategies:

1. Strengthen supportive pre-college and pre-admission programs that will serve as a source of underrepresented students
2. Consolidate pre-college student development programs to achieve greater synergy among the existing programs sponsored by various units.
3. Improve promotion of creative programs both on campus and throughout the state that will foster interest in health care careers
4. Evaluate the present support system available to UAMS students to identify needed areas of improvement
5. Expose gifted and talented students to experiences that will encourage them to choose a career in academic health sciences.
6. Market the educational programs at UAMS

Objective 5. Provide suitable education sites and facilities to educate increasing numbers of students

Strategies:

1. Build additional lecture and small group teaching space on the UAMS campus
2. Establish a satellite campus in Northwest Arkansas for the clinical education of additional students in Medicine, Pharmacy, Health Related Professions, Nursing and Public Health

Objective 6. To increase financial support for education [In cooperation with Governmental Affairs]

Strategies:

1. Create a strategic plan to increase the financial support for the educational mission of each college, including strategies for the Development Office, Legislative Affairs Office, etc.
2. Create a capital funding initiative for construction, maintenance and operation of facilities to support education

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- throughout the state
3. Increase endowments to support UAMS scholarship programs
 4. Upon approval, participate in the Campus Comprehensive Campaign, including all phases of study, planning and operations of such a campaign designed to raise funds for educational facilities, endowments and programs

Goal 2. Clinical Programs

To provide outstanding, patient-centered health care

Objective 1. Improve access to clinical care

Strategies:

1. Re-engineer inpatient and outpatient processes to increase the efficient access to patient care facilities.
2. Implement mechanisms to ensure accountabilities related to an efficient and cost-effective performance
3. Measure performance using standard metrics of utilization of clinics, operating suites, etc.

Objective 2. Develop and optimize clinical services

Strategies:

1. Establish components of a development plan for expanding clinical programs
2. Define development processes in an action plan which features personal and unit-level accountability

Objective 3. Continuously improve the quality and safety of patient care

Strategies:

1. Integrate and improve existing hospital/departmental quality-monitoring programs
2. Participate in appropriate external quality-monitoring programs
3. Maintain a Patient Safety Program that exceeds acceptable national standards
4. Implement information systems that enhance the provision of high quality, safe patient care

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

5. Support implementation of an integrated computer-based medical record
6. Conduct patient satisfaction surveys on the following schedule: inpatients (quarterly), outpatients (biannually); Emergency Department patients (quarterly)
7. Make regular visits to the offices of physicians and other health professionals who refer patients in order to gather information to improve services
8. Improve the patient complaint process

Objective 4. To improve the satisfaction of patients/families, staff and referring health care providers

Strategies:

1. Develop a patient satisfaction plan that involves all caregivers of UAMS Medical Center
2. Complete a staff survey every two years and utilize findings to develop specific goals to address concerns/issues
3. Utilize feedback (surveys and office visits), from referring health providers to improve their relationship with UAMS Medical Center

Objective 5. Expand the capital capacities of UAMS Medical Center pursuant to the Strategic Financial Plan

Strategies:

1. Establish performance goals and benchmarks
2. Target and execute key initiatives for cost improvement
3. Redefine UAMS Medical Center budgeting and ensure adequate capital planning and funding
4. Continue fund raising efforts to support capital need

Objective 6. Complete the replacement/expansion plan of clinical facilities

Strategies:

1. Explore opportunities for creating additional functional capacity within existing buildings (i.e., average length of stay (ALOS) reductions, changes to hours of operation, etc.)
2. Complete architectural design
3. Complete construction
4. Remain within budget

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Objective 7. To recruit and retain the workforce necessary to accomplish the clinical goal and objectives in this plan

Strategies:

1. Maintain salaries at a competitive level
2. Develop a formal comprehensive compensation plan that provides financial incentives for performance
3. Develop and implement a rewards/incentive program for employees that focus on the clinical objectives
4. Develop a more routine review of specific vacancy and turnover rates. Implement actions to improve areas that are higher than the goals of the organization
5. Complete an employee survey every two years and use the findings to develop specific goals to address concerns/issues raised by the employees to improve their work environment

Goal 3. Research

To conduct high impact collaboration research relevant to human health

Objective 1. Increase federal funding for research at a rate substantially higher than that for NIH

Strategies:

1. Increase aggregate funding of the present faculty
2. Recruit new funded and fundable faculty
3. Utilize research space to maximize productivity
4. Provide pilot study and bridging support that lead to extramural funding
5. Retain highly productive faculty by ensuring that their salaries match national benchmarks
6. Develop a business plan to evaluate the impact of increased research funding
7. Enhance the institutional research infrastructure (e.g. biometry, grants management, animal facilities, and the grant writing group) to support the planned expansion of research, including implementation of an information system that would allow accurate identification, tracking and analysis of issues related to research faculty
8. Plan coordinated improvements in research core facilities necessary to support research expansion
9. Collaborate with other Arkansas institutions on efforts to strengthen and promote research activities, e.g., National Center for

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Toxicological Research, other institutions of higher education, and appropriate state agencies such as ASTA

Objective 2. Continue and expand space designated for research at UAMS, at the Central Arkansas Veterans Healthcare System (CAVHS), and Arkansas Children's Hospital Research Institute (ACHRI)

Strategies:

1. Complete shelled space remaining
2. Expand the Arkansas Cancer Research Center
3. Obtain non-NIH federal support for programmatic expansion
4. Obtain philanthropic support for research programs
5. Begin plans for an additional Biomedical Research Building

Objective 3. Achieve research objectives of selected colleges, centers and institutes within five years

Strategies:

1. Obtain designation as a National Cancer Institute Clinical Cancer Center
2. Continue development of the four areas of research emphasis identified by the College of Nursing
3. Encourage the preparation of large collaborative research grants among colleges
4. Increase funded clinical trials by at least 50 percent
5. Develop nationally or internationally recognized collaborative research areas in at least 50 percent of the departments of the College of Medicine
6. Obtain more training grants

Objective 4. Use appropriated tobacco settlement funds as one of the sources to increase external funding for tobacco-related research

Strategies:

1. Emphasize tobacco-related research (e.g. cancer, public health, cardiovascular and pediatric disease research)
2. Support successful current investigators with transitional funding to develop new areas of research that will lead to new grant support in tobacco related research
3. Recruit new faculty to augment tobacco-related research

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Objective 5. Increase the number of patents having commercial value and form additional UAMS BioVentures companies

Strategies:

1. Complete the Arkansas BioVentures building and raise operational funds for subsidizing the early development of these companies
2. Strengthen the efforts of the Biomedical Biotechnology Center to facilitate disclosure of inventions, patent applications and retention, licensing, and company development

Objective 6. Maintain research compliance at levels that meet federal requirements regarding financial grants accounting, human volunteer safety, informed consent and privacy

Strategies:

1. Meet HIPAA regulations with regard to privacy by adding necessary infrastructure and personnel
2. Assure that UAMS meets or exceeds all applicable federal regulations and accrediting body standards, especially those related to the welfare of human volunteers
3. Develop funding formulae that will provide resources to key support offices that are proportionate to needs of the research community (e.g., grants accounting, IACUC, research compliance, and UAMS IRB)
4. Continue development and expansion of ARIA, the research (protocol-based) information system to include an additional clinical trials IRB panel, and to support increased access and functionality by various areas, such as ORSP and Grants Accounting

Goal 4. Outreach

To improve the health of Arkansans by delivering UAMS programs off-campus

Objective 1. To educate clinically-based practitioners who work effectively as a part of a unified health care team in a rural setting

Strategies:

1. Enhance our system which includes the AHEC System and expertise in distance learning
2. Work effectively with governmental agencies
3. Continue to focus on effective programs

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Objective 2. To increase community partnerships

Strategies:

1. Increase collaborative role of UAMS programs with other healthcare providers
2. Increase collaborative role of UAMS programs with non-healthcare partners

Objective 3. To offer more educational programs to students and health care professionals

Strategies:

1. Increase the number of courses for academic credit delivered off-campus
2. Increase the number of continuing education programs to meet the needs of health care professionals

Objective 4. To increase emphasis on prevention of disease and modification of unhealthy behaviors

Strategies:

1. To continue to work with the state to develop excellent state policies

Objective 5. To increase the number of graduates who choose to practice in underserved areas of the state. (All colleges will address this issue.)

Strategies:

1. Assist all UAMS colleges to improve the effectiveness of existing loan and scholarship programs designed for students committed to practice in underserved areas
2. Increase off-campus educational opportunities for UAMS students
3. Increase access to educational programs for practitioners and students living in underserved areas of the state
4. Increase number of outreach programs in partnership with K-12 programs, colleges and universities, which encourage students to choose health careers
5. Increase the number of programs that assist students from underserved areas in preparation for admission to UAMS colleges
6. To increase the number of UAMS educational programs, selected by demand and/or need, which will provide access to students who cannot participate in traditional on-campus programs, thereby increasing the health professional workforce and upgrading health care professional credentials throughout the state

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Objective 6. Develop communication strategies that promote partnerships among health care and non-health care providers

Strategies:

1. Develop and implement a cohesive and consistent way of offering UAMS programs to all appropriate audiences
2. Maintain a web presence that summarizes the various outreach activities and can be accessed through the primary UAMS internet site
3. Using information on outreach programs, promote these programs through ongoing media placements such as the Arkansas Broadcasters' Association, the Arkansas Municipal League Magazine, and the Electric Cooperatives Magazine
4. Request that each AHEC director appoint a communications liaison for each AHEC area, so that information can flow accurately between the Office of Communications and Marketing
5. For marketing and public relations purposes, develop periodic reports which highlight outreach activities

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2007-08		2008-09	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 COM-College of Medicine	38,285,741	38,304,246	41,170,441	40,392,572	42,879,060	43,148,925
2 CON-College of Nursing	5,716,588	5,888,963	6,329,617	6,210,026	6,592,303	6,633,793
3 COP-College of Pharmacy	7,415,955	7,959,798	8,555,406	8,393,762	8,910,465	8,966,545
4 CHP-College of Health Related Professions	5,009,673	5,176,368	5,563,701	5,458,581	5,794,600	5,831,069
5 CPH-College of Public Health	1,430,855	1,933,939	2,078,650	2,039,376	2,164,916	2,178,541
6 GRS-Graduate School	654,746	540,406	580,843	569,869	604,949	608,756
7 INA-Institutional Administration	14,357,670	22,485,841	24,168,391	23,711,736	25,171,353	25,329,825
8 CHA-Chancellor	1,098,801	1,277,741	1,373,351	1,347,403	1,430,346	1,439,348
9 VCA-Vice Chancellor, Academic Affairs	8,574,398	9,243,317	9,934,967	9,747,258	10,347,280	10,412,402
10 VCF-Vice Chancellor, Finance	6,291,915	6,414,656	6,894,646	6,764,379	7,180,782	7,225,975
11 VAD-Vice Chancellor, Administration	2,434,913	2,616,715	2,812,516	2,759,377	2,929,239	2,947,674
12 OPS-Campus Operations	9,867,287	14,784,543	15,890,827	15,590,588	16,550,314	16,654,476
13 VCC-Vice Chancellor, Communications	2,484,619	2,490,916	2,677,304	2,626,719	2,788,415	2,805,964
14 DAA-Vice Chancellor, Development and Alumni Affairs	1,596,636	1,778,342	1,911,410	1,875,296	1,990,736	203,265
15 COM-College of Medicine	38,285,741	38,304,246	41,170,441	40,392,572	42,879,060	43,148,925
16 CON-College of Nursing	5,716,588	5,888,963	6,329,617	6,210,026	6,592,303	6,633,793
17 COP-College of Pharmacy	7,415,955	7,959,798	8,555,406	8,393,762	8,910,465	8,966,545
18 CHP-College of Health Related Professions	5,009,673	5,176,368	5,563,701	5,458,581	5,794,600	5,831,069
19 CPH-College of Public Health	1,430,855	1,933,939	2,078,650	2,039,376	2,164,916	2,178,541
20 GRS-Graduate School	654,746	540,406	580,843	569,869	604,949	608,756
21 CIS-Information Technology	16,139,218	16,876,053	18,138,839	17,796,126	18,891,621	19,010,518
22 CLP-Clinical Programs	297,348,119	334,280,790	359,294,047	352,505,594	374,205,152	378,360,196
23 REP-Regional Programs	12,086,889	12,329,820	13,252,424	13,002,035	13,802,415	13,889,282
24 ACC-Arkansas Cancer Research Center	5,887,302	5,530,781	5,944,633	5,832,316	6,191,342	6,230,308
25 MYE-Myeloma Institute	609,656	236,157	253,828	249,032	264,362	266,026
26 JEI-Jones Eye Institute	425,502	468,755	503,831	494,311	524,740	528,043
27 COA-Institute on Aging	448,629	480,942	516,929	507,163	538,383	541,771
28 SPI-Spine/Neurosciences Institute	344,689	356,816	383,516	376,269	399,432	401,946
29 Funded Depreciation (Included in INA)						
30 MANDATORY TRANSFERS	12,129,952	13,137,443	14,120,480	13,853,689	14,706,495	14,799,052
31 AUXILIARY TRANSFERS						
32 NON-MANDATORY TRANSFERS	10,609,606					
33 AR Children's Hospital Indigent Care	1,763,304	1,819,169	1,873,743	1,873,743	1,929,957	1,929,957
34 TOTAL UNREST. E&G EXP.	\$463,012,663	\$506,412,517	\$544,224,340	\$533,977,220	\$566,788,657	\$570,343,657
35 NET LOCAL INCOME (A)	401,092,715	434,733,716	451,593,877	452,010,036	466,420,564	469,973,893
36 PRIOR YEAR BALANCE						
37 STATE FUNDS:						
38 GENERAL REVENUE & ED. EXCELL.	82,814,823	88,681,335	112,244,231	101,580,952	120,570,274	120,571,945
39 WORKFORCE 2000						
40 INDIGENT CARE (B)	5,289,913	5,457,507	5,621,232	5,621,232	5,789,869	5,789,869
41 MEDICAID MATCH	(27,443,793)	(24,500,000)	(25,235,000)	(25,235,000)	(25,992,050)	(25,992,050)
42 OTHER STATE FUNDS **(C)	3,081,069	2,039,959				
43 TOTAL SOURCES OF INCOME	\$464,834,727	\$506,412,517	\$544,224,340	\$533,977,220	\$566,788,657	\$570,343,657

FORM 07-2A

(A) Includes Tuition and Fees, Hospital and Clinic Income, Indirect Cost Recovery, Investment Income, Miscellaneous Revenue

(B) 33.3% of UAMS Indigent Care appropriation goes to ACH.

(C) Poison Control, Disease State Mgt., Act 1274, GIF Funds.

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	13,835,228	17,663,414	18,369,951	19,104,749
2 ALL OTHER FEES	178,907			
3 HOSPITAL AND CLINIC REVENUE	368,530,595	395,810,516	411,642,937	428,108,654
4 INDIRECT COST RECOVERY	16,113,618	16,726,531	17,311,959	17,917,878
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	1,078,707	3,063,448	3,185,986	3,313,425
7 OTHER CASH INCOME (A):	1,355,660	1,469,807	1,499,203	1,529,187
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	401,092,715	434,733,716	452,010,036	469,973,893
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$401,092,715	\$434,733,716	\$452,010,036	\$469,973,893

FORM 07-3

(A) Includes traffic violations, vending machines, Dental Hygiene Clinic, CARTI lease and miscellaneous revenue

REVISOR'S COMMENTS
APPROPRIATION FOR STATE TREASURY
2007-09 BIENNIUM

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 429

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	67,149,059	72,437,098	81,773,007	97,752,175		
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	10,649,325	11,487,967	15,412,945	18,424,770		
5 GRANTS/AID	5,289,913	5,457,507	5,621,232	5,789,869		
6 BIOMED NOTE PAYMENT DEDUCTED (NET)	884,826	432,460				
7 PROFESSIONAL FEES AND SERVICES SUMMER INTERNSHIPS	20,000	20,000	20,000	20,000		
8 M&R PROCEEDS	113,912					
9 FUNDED DEPRECIATION	1,094,543	1,094,543	1,100,000	1,100,000		
10 TEXARKANA AHEC	200,000	240,000	250,000	250,000		
11 POISON & DRUG INFO	600,000	760,000	775,000	775,000		
12 ADDITIONAL AHEC SUPPORT	2,000,000	2,209,267	2,250,000	2,250,000		
13 DIRECT CHARGES BY DF&A	103,158					
14 TOTAL APPROPRIATION	\$88,104,736	94,138,842	107,202,184	126,361,814	\$0	\$0
15 GENERAL REVENUE	74,375,645	79,748,757	92,648,374	111,639,367		
16 UAMS INDIGENT CARE APPROP.	3,388,498	3,490,153	3,599,304	3,711,727		
17 ACH INDIGENT CARE APPROP.	1,694,250	1,745,077	1,799,651	1,855,865		
18 UAMS EDUC EXCEL TRUST FUND	8,325,266	8,932,578	8,932,578	8,932,578		
19 UAMS EDUC EXCEL TRUST-INDIGENT CARE	138,110	148,185	148,185	148,185		
20 ACH EDUC EXCEL TRUST-INDIGENT CARE	69,055	74,092	74,092	74,092		
21 M&R PROCEEDS	113,912					
22 TOTAL INCOME	\$88,104,736	94,138,842	107,202,184	126,361,814	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION FOR STATE TREASURY
REVISOR'S DRAFT
2007-09 BIENNIUM**

FUND HUA1501

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 464

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES						
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING						
5 OPERATING EXPENSES						
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 RURAL MEDICAL PRACTICE	200,000	200,000	300,000	300,000		
10 COMMUNITY MATCH STUDENT	125,076	125,076	150,000	150,000		
11						
12						
13						
14 TOTAL APPROPRIATION	\$325,076	325,076	\$450,000	\$450,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]	325,076	325,076	450,000	450,000		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$325,076	\$325,076	\$450,000	\$450,000	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION FOR STATE TREASURY
 REVENUE FUND
 2007-09 BIENNIUM**

FUND HUA1502

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 461

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES						
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING						
5 OPERATING EXPENSES						
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 RURAL ADVANCED NURSING	100,000	100,000	150,000	150,000		
9 ADVANCED NURSING PRACTICE	100,000	100,000	150,000	150,000		
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$200,000	\$200,000	\$300,000	\$300,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]	200,000	200,000	300,000	300,000		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$200,000	\$200,000	\$300,000	\$300,000	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION FOR STATE TREASURY
REVISOR FOR STATE
2007-09 BIENNIUM**

FUND HUA1503

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 1UV

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES						
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING						
5 OPERATING EXPENSES						
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 POISON AND DRUG INFORMATION	139,959	139,959	139,959	139,959		
10 DISEASE STATE MANAGEMENT	160,000	160,000	160,000	160,000		
11						
12						
13						
14 TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$299,959	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]	299,959	299,959	299,959	299,959		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$299,959	\$299,959	\$299,959	\$299,959	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION FROM STATE TREASURY
REVISOR'S DRAFT
2007-09 BIENNIUM**

FUND MBR0100

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 231

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES						
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING						
5 BREAST CANCER RESEARCH (02-GRANTS/A	1,334,781	1,194,216	1,500,000	1,500,000		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$1,334,781	\$1,194,216	\$1,500,000	\$1,500,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES	1,334,781	1,194,216	1,500,000	1,500,000		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$1,334,781	\$1,194,216	\$1,500,000	\$1,500,000	\$0	\$0

FORM 07-4

**APPROPRIATION FROM STATE TREASURY
REVISOR'S DRAFT
2007-09 BIENNIUM**

FUND MBR0200

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 231

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES						
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING						
5 BREAST CANCER RESEARCH KOMEN	74,202	500,000	500,000	500,000		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$74,202	\$500,000	\$500,000	\$500,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES	74,202	500,000	500,000	500,000		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$74,202	\$500,000	\$500,000	\$500,000	\$0	\$0

FORM 07-4

**APPROPRIATION FROM STATE TREASURY
REVISOR'S DRAFT
2007-09 BIENNIUM**

FUND SML0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 1PQ

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES						
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING						
5 DOMESTIC SHELTER VIOLENCE PROGRAMS	408,001	2,100,000	2,100,000	2,100,000		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY						
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$408,001	\$2,100,000	\$2,100,000	\$2,100,000	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES	408,001	2,100,000	2,100,000	2,100,000		
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$408,001	\$2,100,000	\$2,100,000	\$2,100,000	\$0	\$0

FORM 07-4

**APPROPRIATION DOCUMENT
2007-09 BIENNIUM**

FUND TSE0201

INSTITUTION UAMS-INSTITUTE ON AGING

APPROPRIATION 322

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	992,750	899,984	1,300,000	1,300,000		
2 EXTRA HELP WAGES	-					
3 OVERTIME	-					
4 PERSONAL SERVICES MATCHING	233,920	214,678	325,000	325,000		
5 OPERATING EXPENSES	291,800	481,413	600,000	600,000		
6 CONFERENCE FEES & TRAVEL	12,725	42,242	52,000	52,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY	4,000	6,812	47,476	47,476		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$1,535,195	\$1,645,129	\$2,324,476	\$2,324,476	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS	1,535,195	1,645,129	2,324,476	2,324,476		
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$1,535,195	\$1,645,129	\$2,324,476	\$2,324,476	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

REVISOR'S DOCUMENT
APPROPRIATION FOR STATE TREASURY
2007-09 BIENNIUM

FUND TSE0202

INSTITUTION UAMS-COLLEGE OF PUBLIC HEALTH

APPROPRIATION 347

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	1,804,200	1,701,994	2,485,000	2,485,000		
2 EXTRA HELP WAGES	-					
3 OVERTIME	-					
4 PERSONAL SERVICES MATCHING	391,805	334,917	621,250	621,250		
5 OPERATING EXPENSES	180,500	253,110	250,000	250,000		
6 CONFERENCE FEES & TRAVEL	20,000	38,048	60,463	60,463		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)			40,000	40,000		
8 CAPITAL OUTLAY	4,800	40,388	30,000	30,000		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$2,401,305	\$2,368,457	\$3,486,713	\$3,486,713	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS	2,401,305	2,368,457	3,486,713	3,486,713		
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$2,401,305	\$2,368,457	\$3,486,713	\$3,486,713	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION FOR STATE TREASURY
REVISOR FORUM
2007-09 BIENNIUM**

FUND TSE0203

INSTITUTION UAMS-DELTA AHEC

APPROPRIATION 368

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	989,300	1,109,468	1,200,000	1,236,000		
2 EXTRA HELP WAGES	-					
3 OVERTIME	-					
4 PERSONAL SERVICES MATCHING	201,700	281,536	275,000	282,560		
5 OPERATING EXPENSES	171,900	385,938	839,476	793,916		
6 CONFERENCE FEES & TRAVEL		18,160	10,000	12,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY		22,420				
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION						
11						
12						
13						
14 TOTAL APPROPRIATION	\$1,362,900	\$1,817,522	\$2,324,476	\$2,324,476	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS	1,362,900	1,817,522	2,324,476	2,324,476		
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$1,362,900	\$1,817,522	\$2,324,476	\$2,324,476	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**REVISOR'S REPORT FOR STATE TREASURY
2007-09 BIENNIUM**

FUND TSF0300

INSTITUTION UAMS-ARKANSAS BIOSCIENCES

APPROPRIATION 365

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	1,148,900	961,619	1,880,768	1,880,768		
2 EXTRA HELP WAGES	-					
3 OVERTIME	-					
4 PERSONAL SERVICES MATCHING	263,400	228,638	431,216	431,216		
5 OPERATING EXPENSES	750,035	842,499	1,227,922	1,227,922		
6 CONFERENCE FEES & TRAVEL	20,460	13,960	33,496	33,496		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	900	27,940	1,474	1,474		
8 CAPITAL OUTLAY	323,375	1,034,680	529,595	529,595		
9 DATA PROCESSING SERVICES						
10 ARKANSAS CHILDRENS HOSPITAL	1,476,165	1,333,336	2,052,205	2,052,205		
11						
12						
13						
14 TOTAL APPROPRIATION	\$3,983,235	4,442,672	\$6,156,676	\$6,156,676	\$0	\$0
15 PRIOR YEAR FUND BALANCE						
16 GENERAL REVENUE						
17 EDUCATIONAL EXCELLENCE TRUST FUND						
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY						
20 TOBACCO SETTLEMENT FUNDS	3,983,235	4,442,672	6,156,676	6,156,676		
21 OTHER STATE TREASURY FUNDS						
22 TOTAL INCOME	\$3,983,235	4,442,672	\$6,156,676	\$6,156,676	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION DOCUMENT
REVISIONS DOCUMENT
2007-09 BIENNIUM**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION A85

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	368,526,703	500,000,000	600,000,000	700,000,000		
2 EXTRA HELP WAGES	5,843,561	15,000,000	20,000,000	25,000,000		
3 OVERTIME	7,047,087	20,000,000	20,000,000	25,000,000		
4 PERSONAL SERVICES MATCHING	79,059,188	155,000,000	160,000,000	175,000,000		
5 OPERATING EXPENSES	248,161,131	325,000,000	500,000,000	550,000,000		
6 CONFERENCE FEES & TRAVEL	3,524,902	20,000,000	20,000,000	20,000,000		
7 PROFESSIONAL FEES AND SERVICES	10,780,274	45,000,000	45,000,000	50,000,000		
8 PROMOTIONAL ITEMS		0	65,000	65,000		
9 CAPITAL OUTLAY	11,106,487	85,000,000	100,000,000	100,000,000		
10 CAPITAL IMPROVEMENTS		110,000,000	100,000,000	100,000,000		
11 DEBT SERVICE	2,796,344	30,000,000	30,000,000	30,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		40,000,000	40,000,000	40,000,000		
13 CHILDREN'S JUSTICE ACT	250,000	250,000	250,000	250,000		
14 WAR MEMORIAL PARKING	50,000	50,000	50,000	50,000		
15 GRANTS/AID		10,000,000	10,000,000	10,000,000		
16 CONTINGENCY		65,000,000	70,000,000	80,000,000		
17 TOTAL APPROPRIATION	\$737,145,677	\$1,420,300,000	\$1,715,365,000	\$1,905,365,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	746,477,582	1,220,300,000	1,465,365,000	1,605,365,000		
20 FEDERAL CASH FUNDS	87,010,005	200,000,000	250,000,000	300,000,000		
21 OTHER CASH FUNDS						
22 TOTAL INCOME	\$833,487,587	\$1,420,300,000	\$1,715,365,000	\$1,905,365,000	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS: Non-Classified	5,197	5,069	5,142	5,646	5,646		
REGULAR POSITIONS: Classified	2,580	1,728	1,777	2,566	2,580		
COLLEGE OF PHARMACY	35	31	31	35	35		
TOBACCO POSITIONS: Center on Aging	27	17	18	27	27		
TOBACCO POSITIONS: College of Public Health	24	13	14	24	24		
TOBACCO POSITIONS: Biosciences Institute	59	34	44	59	59		
TOBACCO POSITIONS: AHEC, Helena	29	21	21	29	29		
EXTRA HELP **	625	558	558	625	625		
EXTRA HELP: NCTR **	56	35	35	56	56		
EXTRA HELP: PRN **	410	138	138	410	410		
EXTRA HELP: UAMS TEMPS **	530	325	325	530	530		
EXTRA HELP: HOUSESTAFF **	675	672	675	695	695		
Totals	10,247	8,641	8,778	10,702	10,716	0	0

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM
~~REVISÉ DOCUMENT~~

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				\$0	0	0		\$0
2 RESIDENCE HALL (A)	4,230	68,229		(63,999)	1,236,819	504,700	732,119	0
3 MARRIED STUDENT HOUSING				0		0		0
4 FACULTY HOUSING				0		0		0
5 FOOD SERVICES (B)				0	2,954,986	2,954,986		0
6 COLLEGE UNION				0		0		0
7 BOOKSTORE	949,251	938,536		10,715	1,138,000	1,138,000		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	20,620	17,302		3,318	21,330	21,330		0
9 STUDENT HEALTH SERVICES (C)	0	0		0	0	0		0
10 OTHER	332,877,774	321,296,368	1,780,066	9,801,340	354,835,452	353,055,348	1,780,104	0
11 SUBTOTAL	\$333,851,875	\$322,320,435	\$1,780,066	\$9,751,374	\$360,186,587	\$357,674,364	\$2,512,223	\$0
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$333,851,875	\$322,320,435	\$1,780,066	\$9,751,374	\$360,186,587	\$357,674,364	\$2,512,223	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

(A) Resident Hall was closed for most of 2005-06 due to campus construction.

(B) Food Services was contracted out in 2005-06.

(C) \$98,000 Student Health and \$177,000 Student Mental Health (total = \$275,000) budgeted in General Funds, Academic Affairs division, and therefore not included on this form.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 1403

SECTION#: 9

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CARRY FORWARD. Such appropriation as is authorized in this Act which remains at the end of the first fiscal year of the biennium may be carried forward into the second fiscal year of the biennium there to be used for the same purposes.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 1403

SECTION#: 10

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

ARKANSAS CHILDREN'S HOSPITAL RESEARCH PROGRAM. In any fiscal year, if the funds received by the University of Arkansas for Medical Sciences for the Arkansas Biosciences Institute under Section 6 of this Act are less than the total amount appropriated, the University of Arkansas for Medical Sciences shall provide no less than thirty-three and one-third percent (33 and 1/3%) of the available funds for the Arkansas Children's Hospital Research Program. Otherwise, the Arkansas Children's Hospital Research Program line item appropriation under Section 6 of this Act shall be funded in total or Arkansas Children's Hospital Chief Executive Officer may request that the University of Arkansas for Medical Sciences transfer such funds each fiscal year from the University of Arkansas Medical Center Fund to the Department of Human Services Grants Fund Account. The transferred funds shall be used to match federal funds used for Supplemental Medicaid payments to Arkansas Children's Hospital.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 1403

SECTION#: 11

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER RESTRICTIONS. The appropriations provided in this act shall not be transferred under the provisions of Arkansas Code 19-4-522 or the provisions of Arkansas Code 6-62-104, but only as provided by this act.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 1403

SECTION#: 12

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER PROVISIONS. The state-supported institution of higher education in this act may transfer appropriations between the various line items within each appropriation contained in this appropriation act. Such transfers shall be made only after the approval of the Department of Higher Education and the Chief Fiscal Officer of the State, and the approval of the Legislative Council.

The General Assembly has determined that the institution in this act could be operated more efficiently if some flexibility is given to that institution and that flexibility is being accomplished by providing authority to transfer between items of appropriation made by this act. Since the General Assembly has granted the institution broad powers under the transfer of appropriations, it is both necessary and appropriate that the General Assembly maintain oversight of the utilization of the transfers by requiring prior approval of the Legislative Council in the utilization of the transfer authority. Therefore, the requirement of approval by the Legislative Council is not a severable part of this section. If the requirement of approval by the Legislative Council is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 1403

SECTION#: 13

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

POSITIONS. (a) Nothing in this act shall be construed as a commitment of the State of Arkansas or any of its agencies or institutions to continue funding any position paid from the proceeds of the Tobacco Settlement in the event that Tobacco Settlement funds are not sufficient to finance the position.

(b) State funds will not be used to replace Tobacco Settlement funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

(c) A disclosure of the language contained in (a) and (b) of this Section shall be made available to all new hire and current positions paid from the proceeds of the Tobacco Settlement by the Tobacco Settlement Commission.

(d) Whenever applicable the information contained in (a) and (b) of this Section shall be included in the employee handbook and or Professional Services Contract paid from the proceeds of the Tobacco Settlement.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 2123

SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees of the University of Arkansas is hereby authorized to make available to the President of the University of Arkansas special allowances in such amounts as the Board may determine to be justified at the University of Arkansas for Medical Sciences, for the use of the Chancellor and his deans, representatives, department heads, and directors at the University of Arkansas for Medical Sciences in recruitment of faculty and staff members. Upon approval by the President and the Board of Trustees, such funds shall be administered by the Chancellor, who shall assure that the total amount expended for such purposes does not exceed one hundred fifty thousand dollars (\$150,000) each fiscal year or so much thereof as may be authorized by the Board of Trustees. The funds authorized by this Section shall come from a source other than state tax dollars appropriated by the General Assembly or charges made to students for tuition, fees, room and board, or other purposes. Each year the Chancellor shall furnish to the President of the University of Arkansas, the Board of Trustees, and the Arkansas Legislative Joint Auditing Committee a report showing for each expenditure the date, the amount, the names of persons to whom the expenditure was made, and the purpose for which the expenditure was made.

INSTITUTION REQUEST

The provisions of this section shall be in effect only from July 1, 2005
2007 through June 30, 2007 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 2123

SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees of the University of Arkansas is hereby authorized to make available to the President of the University of Arkansas special allowances in such amounts as the Board may determine to be justified at the University of Arkansas for Medical Sciences, for the use of the Chancellor and his deans, representatives, department heads, and directors at the University of Arkansas for Medical Sciences in recruitment of faculty and staff members. Upon approval by the President and the Board of Trustees, such funds shall be administered by the Chancellor, who shall assure that the total amount expended for such purposes does not exceed one hundred fifty thousand dollars (\$150,000) each fiscal year or so much thereof as may be authorized by the Board of Trustees. The funds authorized by this Section shall come from a source other than state tax dollars appropriated by the General Assembly or charges made to students for tuition, fees, room and board, or other purposes. Each year the Chancellor shall furnish to the President of the University of Arkansas, the Board of Trustees, and the Arkansas Legislative Joint Auditing Committee a report showing for each expenditure the date, the amount, the names of persons to whom the expenditure was made, and the purpose for which the expenditure was made.

INSTITUTION REQUEST

The provisions of this section shall be in effect only from July 1, 2005
2007 through June 30, 2007 2009.

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INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 2123

SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees of the University of Arkansas is hereby authorized to make available to the President of the University of Arkansas special allowances in such amounts as the Board may determine to be justified at the University of Arkansas for Medical Sciences, for the use of the Chancellor and his deans, representatives, department heads, and directors at the University of Arkansas for Medical Sciences in recruitment of faculty and staff members. Upon approval by the President and the Board of Trustees, such funds shall be administered by the Chancellor, who shall assure that the total amount expended for such purposes does not exceed one hundred fifty thousand dollars (\$150,000) each fiscal year or so much thereof as may be authorized by the Board of Trustees. The funds authorized by this Section shall come from a source other than state tax dollars appropriated by the General Assembly or charges made to students for tuition, fees, room and board, or other purposes. Each year the Chancellor shall furnish to the President of the University of Arkansas, the Board of Trustees, and the Arkansas Legislative Joint Auditing Committee a report showing for each expenditure the date, the amount, the names of persons to whom the expenditure was made, and the purpose for which the expenditure was made.

INSTITUTION REQUEST

The provisions of this section shall be in effect only from July 1, 2005
2007 through June 30, 2007 2009.

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SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees of the University of Arkansas is hereby authorized to make available to the President of the University of Arkansas special allowances in such amounts as the Board may determine to be justified at the University of Arkansas for Medical Sciences, for the use of the Chancellor and his deans, representatives, department heads, and directors at the University of Arkansas for Medical Sciences in recruitment of faculty and staff members. Upon approval by the President and the Board of Trustees, such funds shall be administered by the Chancellor, who shall assure that the total amount expended for such purposes does not exceed one hundred fifty thousand dollars (\$150,000) each fiscal year or so much thereof as may be authorized by the Board of Trustees. The funds authorized by this Section shall come from a source other than state tax dollars appropriated by the General Assembly or charges made to students for tuition, fees, room and board, or other purposes. Each year the Chancellor shall furnish to the President of the University of Arkansas, the Board of Trustees, and the Arkansas Legislative Joint Auditing Committee a report showing for each expenditure the date, the amount, the names of persons to whom the expenditure was made, and the purpose for which the expenditure was made.

INSTITUTION REQUEST

_____ The provisions of ~~this section~~ shall be in effect only from July 1, 2005
2007 through June 30, 2007 2009.

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ACT#: 2123

SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

**AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION
INSTITUTION REQUEST**

SPECIAL ALLOWANCES. The Board of Trustees of the University of Arkansas is hereby authorized to make available to the President of the University of Arkansas special allowances in such amounts as the Board may determine to be justified at the University of Arkansas for Medical Sciences, for the use of the Chancellor and his deans, representatives, department heads, and directors at the University of Arkansas for Medical Sciences in recruitment of faculty and staff members. Upon approval by the President and the Board of Trustees, such funds shall be administered by the Chancellor, who shall assure that the total amount expended for such purposes does not exceed one hundred fifty thousand dollars (\$150,000) each fiscal year or so much thereof as may be authorized by the Board of Trustees. The funds authorized by this Section shall come from a source other than state tax dollars appropriated by the General Assembly or charges made to students for tuition, fees, room and board, or other purposes. Each year the Chancellor shall furnish to the President of the University of Arkansas, the Board of Trustees, and the Arkansas Legislative Joint Auditing Committee a report showing for each expenditure the date, the amount, the names of persons to whom the expenditure was made, and the purpose for which the expenditure was made. The provisions of this section shall be in effect only from July 1, 2005 2007 through June 30, 2007 2009.

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INST: 0150 U OF A MEDICAL SCIENCES CAMPUS

ACT#: 2123

SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees of the University of Arkansas is hereby authorized to make available to the President of the University of Arkansas special allowances in such amounts as the Board may determine to be justified at the University of Arkansas for Medical Sciences, for the use of the Chancellor and his deans, representatives, department heads, and directors at the University of Arkansas for Medical Sciences in recruitment of faculty and staff members. Upon approval by the President and the Board of Trustees, such funds shall be administered by the Chancellor, who shall assure that the total amount expended for such purposes does not exceed one hundred fifty thousand dollars (\$150,000) each fiscal year or so much thereof as may be authorized by the Board of Trustees. The funds authorized by this Section shall come from a source other than state tax dollars appropriated by the General Assembly or charges made to students for tuition, fees, room and board, or other purposes. Each year the Chancellor shall furnish to the President of the University of Arkansas, the Board of Trustees, and the Arkansas Legislative Joint Auditing Committee a report showing for each expenditure the date, the amount, the names of persons to whom the expenditure was made, and the purpose for which the expenditure was made.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 16

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees may make special allowances available, in such amounts as the Board may determine or justify equitable in view of the exacting duties which are involved, as a part of the salaries of the physicians, dentists, and other professional faculty employed by the University of Arkansas for Medical Sciences from receipts of professional income in the care of patients and/or funds received from federal agencies, foundations, and other private sponsors in support of research. Provided that any such allowance shall not exceed, for any employee, an amount equal to two and one half (2 & 1/2) times that portion of the salary authorized by the General Assembly to be paid from the University of Arkansas Medical Center Fund.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 17

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCES. The Board of Trustees of the University of Arkansas is hereby authorized to make available to the President of the University of Arkansas special allowances in such amounts as the Board may determine to be justified at the University of Arkansas for Medical Sciences, for the use of the Chancellor and his deans, representatives, department heads, and directors at the University of Arkansas for Medical Sciences in recruitment of faculty and staff members. Upon approval by the President and the Board of Trustees, such funds shall be administered by the Chancellor, who shall assure that the total amount expended for such purposes does not exceed one hundred fifty thousand dollars (\$150,000) each fiscal year or so much thereof as may be authorized by the Board of Trustees. The funds authorized by this Section shall come from a source other than state tax dollars appropriated by the General Assembly or charges made to students for tuition, fees, room and board, or other purposes. Each year the Chancellor shall furnish to the President of the University of Arkansas, the Board of Trustees, and the Arkansas Legislative Joint Auditing Committee a report showing for each expenditure the date, the amount, the names of persons to whom the expenditure was made, and the purpose for which the expenditure was made.

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The provisions of this section shall be in effect only from July 1, 2005 —
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CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL LANGUAGE AND RESTRICTIONS - AREA HEALTH EDUCATION CENTERS. The Chancellor at the University of Arkansas for Medical Sciences shall make periodic progress reports of AHEC programs to the Governor, the Legislative Council, and other interested interim committees of the General Assembly regarding the achievements, the expansion of the aforementioned programs, and amounts expended for the Area Health Education Centers.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CONTRACT RESTRICTIONS (PHYSICIAN TEACHERS AND TEACHERS IN OTHER HEALTH RELATED PROFESSIONS) AREA HEALTH EDUCATION CENTERS. Funds appropriated by this Act may be utilized for the purpose of contracting with physician-teachers and teachers in other health related professions residing only at sites located away from the University of Arkansas for Medical Sciences. Such funds are to be paid only for the purpose of teaching residents and students assigned to Area Health Education Centers. Contracts entered into with physician-teachers, teachers in other health related fields and their associates shall require the prior approval of the Chief Fiscal Officer of the State only and be reported quarterly to the Legislative Council.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 20

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL PROVISIONS FOR UNCLASSIFIED PATIENT CARE PERSONNEL. All unclassified positions employed to provide patient care at the University of Arkansas for Medical Sciences are eligible for overtime at the rate of one and one-half times their regular hourly rate. In addition to overtime, those unclassified patient care employees scheduled to work shifts outside of normal operating schedules may be eligible to receive up to twenty-four (24) percent of the hourly rate paid as a shift differential. In addition, all unclassified positions employed to provide patient care at the University of Arkansas for Medical Sciences will be eligible to receive incentive pay not to exceed 1.2 times their base hourly rate. Employees required to be available for duty on nights, weekends and holidays will be eligible to receive standby pay equivalent of up to four hours of work per shift. Employees required to work in specialty areas will be eligible to receive up to ten (10) percent of the hourly rate paid as a specialty differential while working in such areas. When overtime, shift differentials, standby pay, incentive pay and/or specialty differential are provided to employees as herein described, the total may exceed the line item maximum salaries for those positions as included in this Act. The positions of Patient Care Administrator, Associate Administrator, and Assistant Administrator of Patient Care as authorized in regular salaries-operations section of this Act shall be excluded from coverage of this Section. In addition, the Board of

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CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

Trustees may also make special compensation allowances available, in such amounts as the Board may determine or justify equitable in view of the current requirements for Registered Nurses in the Clinical Programs of the University of Arkansas for Medical Sciences and the availability of Registered Nurses for employment in the Little Rock metropolitan area. However, under no condition shall the special allowances approved by the Board of Trustees for a single individual exceed the state line item maximum by more than 20% for those positions included in this Act.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 21

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

DAY CARE. Of the funds appropriated in the appropriations-operations section of this Act, \$250,000 each year of the biennium may be allocated as the State's portion of the operating cost of a Child Care Facility of the University of Arkansas for Medical Sciences. Individuals using this facility will be charged a daily rate as determined by the University of Arkansas for Medical Sciences.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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ACT#: 2123

SECTION#: 22

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CARRY FORWARD. Any unexpended balance of the general revenue appropriations and funds provided by this Act which remains at the close of the fiscal year ending June 30, ~~2006~~ 2008, not to exceed ten percent (10%) of funded appropriations, shall be carried forward and made available for the same purpose for the fiscal year ending June 30, ~~2007~~ 2009.

Any unexpended balance of cash fund appropriations provided by this Act which remains at the close of the fiscal year ending June 30, ~~2006~~ 2008, not to exceed ten percent (10%) of funded appropriations, shall be carried forward and made available as contingency for the fiscal year ending June 30, ~~2007~~ 2009.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 23

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

STIPENDS. The University of Arkansas for Medical Sciences is hereby authorized to pay stipends to students engaged in post-graduate clinical training in accredited programs of the University of Arkansas for Medical Sciences, from "Regular Salaries" or "Maintenance and General Operation", as follows:

	Number of Positions	Maximum Annual Stipend
	2005-2007 <u>2007-2009</u>	2005-2007 <u>2007-2009</u>
Housestaff	535	575
AHEC Housestaff	140	160
1. Residents		\$61,620
2. Interns		\$35,000

The provisions of this section shall be in effect only from July 1, ~~2005~~
2007 through June 30, ~~2007~~2009.

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ACT#: 2123

SECTION#: 24

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

ADMISSION POLICY. The University of Arkansas for Medical Sciences shall admit one hundred fifty (150) freshman students in each year of the ~~2005-2007~~ 2007-2009 biennium into the College of Medicine. Provided that no funds shall be expended to fund the positions of Chancellor, Dean of Medicine and any academic position until the Chancellor and Dean of Medicine certify to the Arkansas Legislative Council that the provision of this Section relating to the ~~2005-2007~~ 2007-2009 biennium requirement for one hundred fifty (150) freshman student admissions has been implemented.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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ACT#: 2123

SECTION#: 25

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CHAPLAIN HOUSING ALLOWANCE. The Chancellor of the University of Arkansas for Medical Sciences may designate up to forty-five per cent (45%) of the regular gross salary (or stipend) of a minister or other clergy employed as a Chaplain or appointed as a Chaplain Resident, as a housing allowance, to the extent used by the person to rent or provide a home, according to the guidelines of the Internal Revenue Service Code, Section 107 and the Arkansas Code 26-51-404(11).

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 26

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

INDIGENT CARE REIMBURSEMENT. The University of Arkansas for Medical Sciences is hereby authorized to utilize funds appropriated by this Act to extend provisions of Act 688 of 1989 to authorize procedures for the disbursement of Indigent Care maintenance and general operations appropriations to be paid from cash funds of the institution, subject to reimbursement and correction of reporting, as provided by Section 1 of Arkansas Code 19-4-1609.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ **REVISED DOCUMENT**

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ACT#: 2123

SECTION#: 27

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL PROVISIONS FOR ON-CALL STATUS AND STAND-BY AND SHIFT DIFFERENTIAL PAY FOR CERTAIN CLASSIFIED EMPLOYEES WORKING IN CRITICAL AREAS. All classified positions employed in areas providing critical and emergency support of the clinical and physical operations of the University of Arkansas for Medical Sciences and required to work outside their normal work schedule shall be eligible for on-call status and to receive stand-by pay not to exceed four hours of work per shift. In addition, these employees may be eligible to receive up to fifteen (15) percent of the hourly rate paid as a shift differential. When shift differentials and standby pay are provided to employees as herein described, the total compensation may exceed the line item maximum salaries for those positions as included in this Act.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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ACT#: 2123

SECTION#: 28

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

ESTABLISHMENT OF A "GROWTH POOL". (a) The Arkansas Department of Higher Education shall establish and maintain for the ~~2005-2007~~ 2007-2009 biennium a pool of three hundred (300) nonclassified positions at a salary level not to exceed ~~\$113,588~~ \$118,936 per year. These positions are to be used by the University of Arkansas for Medical Sciences in the event that the personal services needs of the University during the ~~2005-2007~~ 2007-2009 biennium exceed the number of positions allocated to the University by the Legislature. The University shall provide justification to the Arkansas Department of Higher Education for the need to allocate titles from this "growth pool". No classifications will be assigned to the pool until such time as specific positions are requested by the University, approved by the University Board or their designee, and approved by the Legislative Council. Furthermore, the Office of Personnel Management (OPM) of the Division of Management Services of the Department of Finance and Administration shall establish and maintain for the ~~2005-2007~~ 2007-2009 biennium a pool of three hundred (300) classified positions at up to a grade 26. These positions are to be used by the University of Arkansas for Medical Sciences in the event that the personal services needs of the University during the ~~2005-2007~~ 2007-2009 biennium exceed the number of positions in a classification allocated to the University by the Legislature. The University shall provide justification to

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CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

OPM for the need to allocate titles from this "growth pool". No classifications will be assigned to the pool until such time as specific positions are requested by the University, recommended by OPM, and approved by the Legislative Council.

(b) Determining the number of personnel to be employed by a state agency is the prerogative of the General Assembly and is usually accomplished by delineating the maximum number of personnel by identifying the job titles and the maximum grade or salary attached to those salaries. The General Assembly has determined that the University of Arkansas for Medical Sciences could be operated more efficiently if some flexibility is given to that institution. That flexibility is being accomplished by providing growth pools in subsection (a) of this section and since the General Assembly has granted the institution broad powers under the growth pool concept, it is both necessary and appropriate that the General Assembly maintain oversight of the utilization of the growth pool by requiring prior approval of the Legislative Council in the utilization of the growth pool. Therefore, the requirement of approval by the Legislative Council is not a severable part of this section. If the requirement of approval by the Legislative Council is ruled unconstitutional by a court of competent jurisdiction, this entire section is void.

If the agency requests continuation of a "Growth Pool" position(s)

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AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

as established herein during the next biennium, the position(s) must be requested as a new position(s) in the agencies biennial budget request.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 29

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CHILD AND FAMILY LIFE INSTITUTE. The Child Health and Family Life Institute shall be administered under the direction of Arkansas Children's Hospital. Arkansas Children's Hospital shall enter into a cooperative agreement and/or contract with the University of Arkansas for Medical Sciences-Department of Pediatrics for services required to deliver the programs of the Child Health and Family Life Institute (CHFLI). Utilizing a multidisciplinary collaboration of professionals, CHFLI shall provide a statewide effort to explore, develop and evaluate new and better ways to address medically, socially and economically interrelated health and developmental needs of children with special health care needs and their families. CHFLI priorities shall include, but are not limited to, wellness and prevention, screen and diagnosis, treatment and intervention, training and education and research and evaluation. Arkansas Children's Hospital and the University of Arkansas for Medical Sciences-Department of Pediatrics shall make annual reports to the Arkansas Legislative Council on all matters of funding, existing programs and services offered through CHFLI.

The provisions of this section shall be in effect from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 30

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

PURCHASING DEFINITIONS. (Z) Commodities and services for use in research, education and treatment for the diagnosis, cure and prevention of disease may, with administrative approval, be procured through a group purchasing entity serving other public health institutions when substantial savings are available. A report shall be filed annually with the Division of Legislative Audit reflecting the justification of and the estimated savings accruing due to the use of this exemption;

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 31

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

Arkansas Code 19-4-906(a), Item No. (119), is amended as follows:
(119) Univ. of Ark. for Medical Sciences ~~7394~~

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~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

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ACT#: 2123

SECTION#: 32

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

PARKING FEES. On July 1 of each year the University of Arkansas for Medical Sciences shall pay from the appropriation provided herein from non-general revenue, the total amount appropriated for War Memorial Stadium Commission Parking Services from Fees to the War Memorial Stadium Commission.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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ACT#: 2123

SECTION#: 33

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TRANSFER PROVISIONS. Because the Rural Medical Practice Student Loan and Scholarship Program Loans and/or Scholarships and the Community Match Student Loan and Scholarship Program Loans and/or Scholarships have the goal of providing primary care physicians in rural Arkansas, transfers may be made in such amounts as are required between the line items made in the appropriation for "Medical Loans and Scholarships". The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

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SECTION#: 34

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

Arkansas Code 6-63-305(b)(32) is amended to read as follows:
(32) University of Arkansas - Medical Sciences 1000

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SECTION#: 35

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 * :						8535
(As of June 30, 2006)						
Nonclassified \ Administrative Employees:						
White Male:	<u>559</u>	Black Male:	<u>101</u>	Other Male:	<u>108</u>	Total Male: <u>768</u>
White Female:	<u>1285</u>	Black Female:	<u>326</u>	Other Female:	<u>123</u>	Total Female: <u>1,734</u>
Nonclassified Health Care Employees:						
White Male:	<u>611</u>	Black Male:	<u>146</u>	Other Male:	<u>124</u>	Total Male: <u>881</u>
White Female:	<u>1614</u>	Black Female:	<u>639</u>	Other Female:	<u>122</u>	Total Female: <u>2,375</u>
Classified Employees:						
White Male:	<u>205</u>	Black Male:	<u>133</u>	Other Male:	<u>12</u>	Total Male: <u>350</u>
White Female:	<u>712</u>	Black Female:	<u>629</u>	Other Female:	<u>33</u>	Total Female: <u>1,374</u>
Faculty:						
White Male:	<u>514</u>	Black Male:	<u>20</u>	Other Male:	<u>115</u>	Total Male: <u>649</u>
White Female:	<u>308</u>	Black Female:	<u>29</u>	Other Female:	<u>67</u>	Total Female: <u>404</u>
Total White Male:	<u>1,889</u>	Total Black Male:	<u>400</u>	Total Other Male:	<u>359</u>	Total Male: <u>2,648</u>
Total White Female:	<u>3,919</u>	Total Black Female:	<u>1,623</u>	Total Other Female:	<u>345</u>	Total Female: <u>5,887</u>
Total White:	<u>5,808</u>	Total Black:	<u>2,023</u>	Total Other:	<u>704</u>	Total Employees: <u>8,535</u>
				Total Minority:	<u>2,727</u>	

FORM 07-8

* Full-time employees only

<p><i>Finding:</i></p>	<p>1. Reconciliations of detail ledgers and bank statements to general ledger accounts are part of the normal month-end closing process. It is important that all reconciliations are performed timely and reviewed to ensure proper reporting of financial results. During fiscal 2005, certain bank account reconciliations were not performed timely and as of June 1, 2005, two account reconciliations for March 2005 had not been reviewed by a supervisor. Additionally, the supervisory review of revenue reconciliations for the Hospital is not formally documented to provide evidence that the review has occurred.</p>
<p><i>Recommendation:</i></p>	<p>Management should implement procedures to ensure the timely completion and review of all reconciliations. Additionally, policies should be implemented to formalize documentation of reviews to ensure that reviews are completed prior to month-end close.</p>
<p><i>Institution's Response:</i></p>	
<p><i>Finding:</i></p>	<p>2. UAMS produces an Error Control Report (KP210) that identifies items that did not pass edit checks as part of the patient billing cycle, such as invalid account number, dates of service range, invalid transactions, etc. This report is generated daily and is used as the primary source for identifying and correcting errors. It provides a single source of control over all rejected transactions until errors have been corrected and resubmitted. At June 30, 2005, there were several items that had aged beyond six months. These transactions represented unbilled charges to UAMS. While individual amounts may not be material, lack of timely follow-up can result in lost revenue to UAMS.</p>
<p><i>Recommendation:</i></p>	<p>Management should implement procedures to ensure the timely follow-up of all items on the Error Control Report.</p>

<i>Institution's Response:</i>	
<i>Finding:</i>	3. Most of UAMS's trust statements are reconciled by someone in the Treasury Department. During fiscal 2005, UAMS issued new revenue bonds. The trust statements related to those bonds are reconciled by a Finance Department employee. This employee also records entries and prepares draw requests related to those bonds. All expenditures and draws related to these bonds are reviewed by the Controller or the Vice Chancellor for Finance and Administration.
<i>Recommendation:</i>	Management should strengthen internal controls by delegating the reconciliation of the trust statements for these bonds to the Treasury Department, similar to the other bond statements.
<i>Institution's Response:</i>	
<i>Finding:</i>	4. During fiscal 2005, a capital lease was initiated in October 2004, but the equipment was not received until March 2005 and placed in service in May 2005. UAMS began depreciating the equipment in October 2004, at the time the lease was initiated and signed. However, since the equipment was not available for use until May 2005, depreciation should have begun then. UAMS has not consistently recorded capital assets on the date of acquisition. UAMS's depreciation policy states that a full month's depreciation expense is recorded in the month the asset was acquired. When the capitalization date and asset acquisition date are in the same month, there would be no impact on the depreciation expense recorded. However, when the capitalization date and asset acquisition date are in different months, depreciation expense would be improperly stated.
<i>Recommendation:</i>	Management should strengthen the controls around the capitalization of assets to ensure that the capitalization date agrees with the asset acquisition

	date or the date the asset is available for use.
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<i>Institution's Response:</i>	
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INFORMATION SYSTEM FINDINGS FOR ALL CAMPUSES TESTED

Control Weakness							
Campus	Data Access Security	Data Integrity	Program Change Control	System Interfaces	Disaster Recovery and Business Continuity Plans	Data Center Environmental Issues	Data Center General Controls
University of Arkansas Fayetteville	X	X	X	X	X		
University of Arkansas Little Rock	X	X	X		X	X	X
University of Arkansas Pine Bluff	X		X		X	X	
University of Arkansas Monticello	X	X	X		X	X	X
University of Arkansas for Medical Sciences	X						

X – Control Weakness Observed

Data Access Security - The organization should control logical access to and use of IT computing resources. Access should be restricted by the implementation of adequate identification, authentication and authorization mechanism, linking user and resources with access rules. Such mechanism should prevent unauthorized personnel from gaining access to computing resources. Procedures should be in place to keep authentication and access mechanisms effective.

Data Integrity – The organization should establish procedures to ensure that data processing validation and editing are performed on data as it is entered into the system.

Program Change Control – The organization should maintain an adequate program change control system that encompasses authorization to submit program change requests, approval, prioritization and tracking of requests. Determine that access to source and object code is adequately controlled and that changes have been tested.

System Interfaces – The organization should ensure that data transferred in/out of the application should be subjected to controls that ensure the integrity of the data transferred.

Disaster Recovery and Business Continuity – The organization should have a written, approved and tested Disaster Recovery Plan (DRP) in place that will allow recovery from any major interruption or disaster. A DRP includes procedures for providing hardware, software, supplies and personnel to operate the backup computer facilities or restore the primary computer facilities in the event of a major interruption or disaster.

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas for Medical Sciences
June 30, 2005

REVISED DOCUMENT

Data Center Environmental Issues – The organization should assure that sufficient measures are put in place and maintained for protection against environmental factors (e.g. fire, water detection, backup power, back up air systems and monitoring of temperature/humidity). Specialized equipment and devices to monitor and control the environment should be installed.

Data Center General Controls – The organization should assure that sufficient controls are in place to provide for effective data center administration and physical security.

Note: Specific observations and recommendations were communicated to the campus administrations, for the campuses noted above.

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UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

History of the University

The University of Central Arkansas was established as Arkansas State Normal School by the General Assembly of Arkansas in 1907, with statewide responsibility for preparing citizens to teach Arkansas children. Summer sessions were started in 1910.

The name of the institution was changed to Arkansas State Teachers College in 1925. By legislative enactment, the Board of Trustees was given authority to grant appropriate degrees. In January 1967, the name of the institution was again changed by the state legislature to the State College of Arkansas, expanding its statewide role to a multipurpose institution. On January 21, 1975, the governor of Arkansas signed a bill granting university status to the institution and naming it the University of Central Arkansas.

The first diploma granted by Arkansas State Normal School was the Licentiate of Instruction, and in 1922 the first baccalaureate degrees were granted. Today the university's comprehensive undergraduate curriculum comprises more than 70 major programs and nine different degrees. A program of study leading to the Master of Science in Education degree was inaugurated in 1955, and the Educational Specialist degree was begun in 1980. Since that time, UCA has developed a variety of high quality offerings in graduate studies, with more than 30 graduate program options and 10 different graduate degrees, including doctoral programs in physical therapy, school psychology, and—in a consortium with UALR and UAMS—communication sciences and disorders. Extension classes were first offered through Correspondence Study courses in 1919–1920 and Study Clubs in 1920–1921. Academic outreach, including extended-learning opportunities for undergraduate and graduate credit and in non-credit courses, continues to play an important role in fulfilling the university's twenty-first century mission.

Description of the University

The University of Central Arkansas is a comprehensive university offering degree programs at the associate, bachelor's, master's, specialist, and doctoral levels. The University offers a variety of undergraduate and graduate programs in the liberal and fine arts, the basic sciences, business, and technical and professional fields in addition to its historical emphasis in the field of education. UCA strives to maintain the highest academic quality by supporting the professional development of its teaching faculty and by ensuring that its curriculum remains current and responsive to the needs of those it serves.

UCA

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

UCA fosters learning and the advancement of knowledge both through research and other scholarly and creative activities and through its support for the personal, social, and intellectual growth of its students. Faculty scholarship—including faculty-student collaboration in a wide range of research and scholarly and creative activities—is an integral part of the teaching-and-learning mission of the University. These activities are encouraged at UCA in many ways, including active support for grant-development, a variety of University grant opportunities for both faculty and students, faculty summer research stipends, sabbatical leaves, reassigned time, and up-to-date technological support. UCA supports student learning and growth by providing comprehensive student services and rich on-campus extracurricular programming, by developing learning communities on campus, and by encouraging students to be learners in a wider community through cooperative and service-learning opportunities and international experiences.

UCA serves its public constituencies with for-credit course offerings, both in off-campus class settings around the state and in other extended-study opportunities; a variety of non-credit leisure and community education activities; and seminars, conferences, workshops, in-service training activities, and consulting services designed to meet the needs of business and industry, public schools, and state and local government.

Vision

The University of Central Arkansas will be the center of learning in Arkansas.

Mission and Principles

The mission of the University of Central Arkansas is to maintain the highest academic quality and to ensure that its programs remain current and responsive to the diverse needs of those it serves. A partnership of excellence among students, faculty, and staff in benefit to the global community, the University is committed to the intellectual, social, and personal development of its students; the advancement of knowledge through excellence in teaching and research; and service to the community. As a leader in 21st-century higher education, the University of Central Arkansas is dedicated to intellectual vitality, diversity, and integrity.

In carrying out this mission, the university is guided by the following principles:

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Teaching and learning flourish where disciplinary integrity and the focused explorations it allows are balanced by the connection-building of interdisciplinary collaboration.
- The search for knowledge entails both interaction and solitary reflection.
- Students accrue the greatest benefits when they master both trans-disciplinary skills—such as communication, critical thinking, and information literacy—and the specific bodies of information and ways of knowing particular to individual disciplines.
- Individual faculty may employ radically different methods in their teaching, scholarship, and other professional practice and be equally effective.
- Embracing diversity—e.g., political, racial, intellectual, cultural—strengthens and enriches a university.
- A vital component of a student's experience in the university is the development of personal and social well being and maturity.
- A university maintains its viability by sharing the talents and resources of the university with the global community.

Implementation Guidelines

The University of Central Arkansas implements its mission in the context of the following guidelines:

- University resources will be allocated in a manner that will carry out the mission of the university and the goals of the plan. To that end, the university strives to provide optimal facilities and infrastructure to support the work of its faculty, staff, and students.
- The university's strategic units (academic, administrative, auxiliary) will develop plans that complement the University of Central Arkansas' mission and strategic goals.
- A university planning and assessment group will revisit the plan annually to evaluate progress toward stated goals and objectives and to consider revision or addition of goals and objectives.
- Measures of performance stated in the plan are illustrative. University planning will be based on performance on stated measures as well as any additional evidence that helps evaluate progress toward goals and objectives.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Measures will provide quantitative and qualitative evidence—e.g., counts, percentages, narratives, documents, etc. Unless otherwise indicated, student-related measures refer to both undergraduate and graduate students.

Strategic Goals

Goal 1: Deliver excellent curricula in general education, in degree programs at the under-graduate and graduate levels, and in continuing education.

- Support the General Education Program in fulfilling its purposes.
- Maintain and improve quality of degree programs at the undergraduate and graduate levels.
- Maintain an active academic outreach program that includes courses taught by qualified UCA faculty members and meets the needs of the community in its content and scope.
- Market and maintain the university's unique position as a teaching institution in the community.
- Develop and implement an academic plan providing guidelines for addition and deletion of programs, resource allocation for existing programs, and other programmatic decisions.

Status: (1) Program quality is actively monitored through the review of existing programs. Accredited programs reviewed during the past biennium have all maintained their accredited status, and two new accreditations were earned: The PhD program in School Psychology has been accredited by the American Psychological Association, and the BS program in Interior Design has been accredited by the Council for Interior Design Accreditation. All academic units have developed academic plans, and these plans are used annually to evaluate progress and set future direction. (2) The university has updated its campus master plan, including an ambitious but carefully considered construction and renovation plan for instructional facilities, to address the needs created by significant planned growth.

UNIVERSITY OF CENTRAL ARKANSAS

**INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2007-09 APPROPRIATION REQUESTS**

Goal 2: Foster learning and the advancement of knowledge through research and other scholarly and creative activities on the part of both faculty and students.

- Increase support for research and creative activities.
- Transform the existing Sponsored Programs Office to be a Center for Research, Scholarship, and Creative Activity, charged with administering support for faculty work in these areas and with facilitating appropriate technology- and knowledge-transfer to the public and private sectors.
- Increase opportunities for students and faculty to participate in scholarly and creative collaborative endeavors such as research projects, writing for publication, public performances, presentations at professional meetings, exhibitions, and formal mentoring programs.
- Support an atmosphere of collegiality in which faculty have enhanced professional opportunities to interact with one another, with students, and with non-academic professionals.

Status: (1) Collaboration among the Sponsored Programs Office, other campus entities, and individual faculty and staff members has resulted in significantly increased external funding since 2002 (from \$1.9 million to \$6.5 million). (2) Careful reallocation of existing resources has resulted in targeted internal funding for faculty development. (3) The university actively promotes faculty-student collaboration in a wide range of research and scholarly and creative activities. At the undergraduate level, UCA students have over the past five years attracted more than \$120,000 of funding from the SURF and NASA/ASGC grant programs alone.

Goal 3: Create a campus environment that supports students in their intellectual, social, and personal development.

- Engage academics, student services, financial services, and other parts of the permanent campus community in addressing the goal stated above.
- Ensure that faculty and staff roles and rewards structures recognize the value of engagement in addressing the goal stated above.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Ensure that students understand the university's commitment to the goal stated above and consider themselves involved in its implementation.
- Support intellectual development by an emphasis on high academic achievement.
- Foster a campus-wide community of learners by providing programs/learning community opportunities such as residential colleges, honors college, university college, clustered learning, first-year experience programs, and departmental outreach programs.
- Provide a safe environment.
- Provide an environment to support student health and well being, including physical and mental health, freedom from substance abuse, and programs to promote physical fitness.
- Create a campus that models and sustains responsible environmental practices.
- Develop and implement an enrollment plan which incorporates and reflects market research, demographic trends, allocation of resources, employment trends, and student academic interests and goals.
- Develop and maintain comprehensive retention programs and co-curricular initiatives, including ensured availability of courses and services that meet students' academic, intellectual, social, and personal/individual needs.

Status: The university engaged during 2005-2006 in a campus-wide study of its support of students in their first college year. The project, Foundations of Excellence, focused on the first-year student, but has implications for the university's support of students at all levels. Recommendations of the Foundations of Excellence task force will help focus and extend significant on-campus programming, including the UCA Living/Learning Communities initiative with its successful Residential Colleges, the Sophomore Year Experience project, and UCAN ("Unlocking College Academics Now"), which helps at-risk students become academically successful.

Goal 4: Enhance interaction and understanding among diverse groups and cultivate global perspectives.

- Increase the diversity of the student population.
- Retain underrepresented students.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Recruit and retain increased numbers of international students.
- Provide planned opportunities for interaction and cultural exchange among diverse groups.
- Infuse the values inherent in the goal stated above into undergraduate and graduate curricula.
- Increase the engagement of scholarship, service, and other professional practice at the university in the values stated above.
- Enhance enriched learning opportunities such as internships, travel abroad, service learning, and exchange programs.

Status: Strong minority enrollment, particularly at the undergraduate level, has continued at UCA during the past biennium. International student enrollment is also strengthening. Campus support services for such students are active and successful, and the campus community increasingly engages in productive conversations about diversity and the relationship of UCA to its global context. On-campus programming includes an annual Diversity Month, mentoring and other support programs for minority students, campus-wide diversity training for all employees throughout the regular academic year, and special training events as requested by campus groups (e.g., Resident Assistants in residence halls, residential college mentors, fraternities and sororities, and Student Ambassadors).

Goal 5: Attract and retain high-quality faculty and staff.

- Provide competitive and equitable salaries and benefits.
- Provide long-term stability for the university by maintaining an appropriate number of tenured/tenure-track faculty positions to meet the academic mission of the university.
- Develop and implement hiring, loading, and evaluation systems to allow appropriately flexible faculty emphasis on teaching, research, and service.
- Support the professional practice of faculty and staff—e.g., teaching, scholarship, inquiry, creative endeavor, interdisciplinary activity, and other professional development.

Status: (1) The university has for three years conducted annual salary equity studies and has actively addressed the issues revealed by these studies active allocation of available resources. The most recent such study addressed the dimension of competitiveness in its recommendations. (2) Internal processes and policies related to evaluation and

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

professional development are continually monitored and appropriately adjusted to maximize their effectiveness for the university's faculty and staff.

Goal 6: Be a recognized leader in the innovative and intelligent use of academic and administrative technology.

- Integrate technology into curricula across campus—including major programs and general education—and assist students to become leaders in the use of technology in their fields.
- Provide technological infrastructure, technology tools, and support systems that enhance the work of students, faculty, and staff.
- Implement a comprehensive information/communication system that effectively serves multiple purposes and constituencies.

Status: UCA continues to invest in its faculty and in the infrastructure they need to achieve this goal. The successful Faculty Technology Associates program annually trains a cohort of existing faculty in the use of instructional technology. Piloted in 2004 with a group of 160 freshmen, the university's Laptop Initiative makes available to participating students laptop computers with wireless connectivity. The university has creatively generated resources from summer programming and other sources to fund infrastructure and equipment improvements and is currently investing significant resources in the conversion of its administrative computing system to a more efficient and user-friendly system.

Goal 7: Seize appropriate opportunities for outreach to the public and private sectors.

- Offer resources (e.g., expertise, facilities) to the public and private sectors.
- Establish and increase short- and long-term relationships with entities in the public and private sectors.
- Increase and coordinate both strategic and tactical participation of members of the university community in targeted markets.

UNIVERSITY OF CENTRAL ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2007-09 APPROPRIATION REQUESTS

- Develop and maintain a comprehensive, accessible, and useful database of private and public funding sources.
- Approach and engage organizations where alumni are employed in collaborating with university outreach initiatives.
- Foster and maintain on-going alumni relations.

Status: The university actively implements this strategy primarily through its Division of Academic Outreach and its Alumni Services organization. UCA outreach takes many forms, including courses such as the Community Development Institute, the Insurance Institute, and the Safe Schools Institute. The university is also an active participant in the state of Arkansas' work on concurrent enrollment initiatives.

New Programming

New programs approved by the Arkansas Higher Education Coordinating Board in 2004-2005 and 2005-2006 include the following: PhD in Communication Sciences and Disorders (consortium with UALR and UAMS), BA in African and African-American Studies, Bachelor of Professional Studies, MSE in Advanced Studies in Teaching and Learning, MFA in Digital Filmmaking, MS in Applied Mathematics, and Master of Arts in Teaching. New programs are always developed on the bases of opportunity, strength, and need. New programming generally builds on areas of strength; broadly, current areas of strength related to ADED-targeted disciplines include health care, education, business and management, information technology (including motion picture production), mathematics, and the arts/communication.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2007-09 BIENNIUM**

University of Central Arkansas

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2005-06 ACTUAL	2006-07 BUDGETED *	2007-09 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2007-08 REQUEST / RECOMMENDATION	2008-09 REQUEST / RECOMMENDATION
1 INSTRUCTION	48,295,438	49,215,610	55,568,885	61,214,002
2 RESEARCH	1,001,913	730,839	1,330,194	2,013,244
3 PUBLIC SERVICE	939,658	633,513	1,229,948	1,909,991
4 ACADEMIC SUPPORT	8,032,396	7,643,670	8,450,410	9,347,067
5 STUDENT SERVICES	5,004,647	4,886,649	5,610,678	6,422,145
6 INSTITUTIONAL SUPPORT	8,956,512	9,810,715	11,105,036	12,082,104
7 PHYSICAL PLANT M&O	9,353,224	10,357,126	12,167,840	13,176,792
8 SCHOLARSHIPS & FELLOWSHIPS	18,368,016	16,050,236	16,531,743	17,027,695
9 FEE WAIVERS	3,419,660	1,793,607	1,847,415	1,902,838
10 OTHER ENTITY				
11 OTHER ENTITY				
12 OTHER ENTITY				
13 MANDATORY TRANSFERS	1,720,221	2,908,854	4,408,854	5,908,854
14 AUXILIARY TRANSFERS	1,211,500	1,298,500	1,298,500	1,298,500
15 NON-MANDATORY TRANSFERS				
16 TOTAL UNREST. E&G EXP.	\$106,303,184	\$105,329,319	\$119,549,503	\$132,303,232
17 NET LOCAL INCOME	\$56,106,523	57,158,444	58,793,109	59,968,971
18 PRIOR YEAR BALANCE	\$4,980,731			
STATE FUNDS:				
19 GENERAL REVENUE & ED. EXCELL.	\$45,204,159	48,170,875	60,756,394	72,334,261
20 WORKFORCE 2000				
21 TOBACCO SETTLEMENT FUNDS				
22 OTHER STATE FUNDS **	\$11,771			
23 TOTAL SOURCES OF INCOME	\$106,303,184	\$105,329,319	\$119,549,503	\$132,303,232

FORM 07-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 22 "Other State Funds" and identified in a footnote.

Note Line 22 is State Tuition Adjustment

REVISED DOCUMENT

ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2007-09 BIENNIUM

University of Central Arkansas

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2005-06	BUDGETED 2006-07	ESTIMATED INCOME	
			2007-08	2008-09
1 TUITION AND MANDATORY FEES	49,291,255	52,822,990	54,287,551	55,373,302
2 ALL OTHER FEES	1,033,054	458,175	471,920	481,359
3 OFF-CAMPUS CREDIT	1,757,164	1,300,000	1,339,000	1,365,780
4 NON-CREDIT INSTRUCTION	1,036,873	783,882	807,398	823,546
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	296,399	147,358	151,779	154,814
6 INVESTMENT INCOME	164,866	332,000	382,000	389,640
7 OTHER CASH INCOME:	2,526,912	1,314,039	1,353,460	1,380,529
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	56,106,523	57,158,444	58,793,109	59,968,971
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$56,106,523	\$57,158,444	\$58,793,109	\$59,968,971

FORM 07-3

Note Line 7 includes Sales, Rentals, Indirect Cost Recovery, Other E&G Special Programs, Misc. Income

REVISOR'S COMMENTS
APPROPRIATION FOR STATE TREASURY
2007-09 BIENNIUM

FUND CUA000

INSTITUTION University of Central Arkansas

APPROPRIATION 310

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	32,252,047	33,027,634	39,469,608	43,320,975		
2 EXTRA HELP WAGES	547,000	547,000	1,636,987	2,000,000		
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	6,966,898	6,100,009	8,000,000	9,313,487		
5 OPERATING EXPENSES	4,559,499	7,496,433	9,450,000	13,000,000		
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)						
8 CAPITAL OUTLAY	782,662	800,000	2,000,000	4,500,000		
9 DATA PROCESSING SERVICES						
10 FUNDED DEPRECIATION	199,799	199,799	199,799	199,799		
11 OTHER TRANSFERS	17,338					
12						
13						
14 TOTAL APPROPRIATION	45,325,243	48,170,875	60,756,394	72,334,261	0	0
15 PRIOR YEAR FUND BALANCE	23,310					
16 GENERAL REVENUE	41,013,816	43,674,855	56,260,374	67,838,241		
17 EDUCATIONAL EXCELLENCE TRUST FUND	4,190,343	4,496,020	4,496,020	4,496,020		
18 SPECIAL REVENUES * [WF2000]						
19 FEDERAL FUNDS IN STATE TREASURY	66,898					
20 TOBACCO SETTLEMENT FUNDS						
21 OTHER STATE TREASURY FUNDS	30,877					
22 TOTAL INCOME	45,325,244	48,170,875	60,756,394	72,334,261	0	0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 07-4

**APPROPRIATION ACT FOR CASH FUNDS
2007-09 BIENNIUM**

FUND 207000

INSTITUTION University of Central Arkansas

APPROPRIATION A75

DESCRIPTION	ACTUAL 2005-06	BUDGETED 2006-07	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
			2007-08	2008-09	2007-08	2008-09
1 REGULAR SALARIES	20,931,765	23,000,000	36,219,608	39,320,975		
2 EXTRA HELP WAGES	3,297,940	5,600,000	1,636,987	2,000,000		
3 OVERTIME	208,109	500,000	700,000	1,000,000		
4 PERSONAL SERVICES MATCHING	7,232,990	6,000,000	8,000,000	9,313,487		
5 OPERATING EXPENSES	30,333,133	33,000,000	39,200,000	43,000,000		
6 CONFERENCE FEES & TRAVEL	711,185	1,500,000	6,200,000	7,000,000		
7 PROFESSIONAL FEES AND SERVICES	1,317,691	1,500,000	3,000,000	4,500,000		
8 DATA PROCESSING						
9 CAPITAL OUTLAY	6,525,883	6,750,000	8,750,000	9,500,000		
10 CAPITAL IMPROVEMENTS	4,584,393	39,000,000	45,000,000	48,000,000		
11 DEBT SERVICE	5,855,576	8,250,000	9,750,000	11,250,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	3,493,184	8,100,000	10,000,000	11,500,000		
13 PROMOTIONAL ITEMS	31,167	60,000	150,000	200,000		
14						
15						
16 CONTINGENCY		21,740,000	24,000,000	24,000,000		
17 TOTAL APPROPRIATION	\$84,523,016	\$155,000,000	\$192,606,595	\$210,584,462	\$0	\$0
18 PRIOR YEAR FUND BALANCE						
19 LOCAL CASH FUNDS	57,954,415	60,000,000	62,000,000	64,000,000		
20 FEDERAL CASH FUNDS	9,956,928	34,000,000	36,000,000	38,000,000		
21 OTHER CASH FUNDS	16,611,673	61,000,000	94,606,595	108,584,462		
22 TOTAL INCOME	\$84,523,016	\$155,000,000	\$192,606,595	\$210,584,462	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	AUTHORIZED 2005-07	ACTUAL 2005-06	BUDGETED 2006-07	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2007-09	2007-09	2007-08	2008-09
REGULAR POSITIONS	1,928	1,512	1,664	2,204	2,036		
TOBACCO POSITIONS							
EXTRA HELP **	1,600	1,074	1,500	1,600	1,600		

FORM 07-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES FOR EACH YEAR OF THE 2005-07 BIENNIUM

University of Central Arkansas
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2005-06				BUDGETED 2006-07			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	3,624,380	4,566,341	440,708	(1,382,669)	4,020,500	5,829,952	440,548	(2,250,000)
2 RESIDENCE HALL	12,482,978	10,225,057	2,657,702	(399,781)	13,022,725	9,448,751	3,573,974	0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	6,244,482	5,413,354		831,128	7,000,000	7,000,000		0
6 COLLEGE UNION	1,364,353	826,782	569,195	(31,624)	1,196,696	798,009	576,187	(177,500)
7 BOOKSTORE	257,440	235,068		22,372	260,000	260,000		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES	1,510,874	625,609		885,265	1,500,000	950,000	550,000	0
10 OTHER	1,902,089	1,493,195	471,694	(62,800)	2,006,710	1,607,478	470,232	(71,000)
11 SUBTOTAL	\$27,386,595	\$23,385,406	\$4,139,299	(\$138,109)	\$29,006,631	\$25,894,190	\$5,610,941	(\$2,498,500)
12 ATHLETIC TRANSFER **	980,000			980,000	1,050,000			1,050,000
13 OTHER TRANSFERS ***	636,500			636,500	1,448,500			1,448,500
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$29,003,095	\$23,385,406	\$4,139,299	\$1,478,390	\$31,505,131	\$25,894,190	\$5,610,941	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 07-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Note Line 10 is Farris Fields, HPER, Post Office and Parking

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 6

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FOOD SERVICE. If the University of Central Arkansas cancels the current contract for food service and chooses to operate the food service with University employees, the President, upon authorization of the Board of Trustees, is allowed to utilize the contingent positions contained in Section 1 of this Act, provided he or she has obtained approval from the Department of Higher Education and the Chief Fiscal Officer of the State, who has sought prior review by the Arkansas Legislative Council.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SPECIAL ALLOWANCE. The Board of Trustees of the University of Central Arkansas may make special allowances available to any coach who coaches more than one sport in an amount not to exceed ten thousand dollars (\$10,000) per year for any one coach during each year of the ~~2005-2007~~ 2007-2009 biennium. Further, the Board of Trustees of the University of Central Arkansas is hereby authorized to make additional payments to head coaches at the University of Central Arkansas from revenues generated by contracts with vendors of athletic apparel, shoes and other products in such amounts as may be established by the Board of Trustees for performance by the coaches of consulting and other obligations pursuant to contracts between the University and such vendors. Such additional payments to head coaches shall not be considered salary and shall not be deemed or construed to exceed the maximum salaries established for such coaches by the Act. Nothing in this section shall be construed to reduce or eliminate the authority granted elsewhere in Arkansas statutes for the payment of allowances or bonuses to coaches at the University of Central Arkansas. Further, if the special allowance funds authorized herein are utilized, the University of Central Arkansas shall report annually to the Legislative Joint Auditing Committee the exact disposition of those special allowance funds.

The provisions of this section shall be in effect only from July 1, ~~2005~~

INSTITUTION REQUEST

2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT

REVISED DOCUMENT

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 7

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

2007 through June 30, ~~2007~~ 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 8

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

~~BOOK STORE. If the University of Central Arkansas cancels the current contract for operation of its book store and chooses to operate its book store with University employees, the President, upon authorization of the Board of Trustees, is allowed to utilize the contingent positions contained in Section 1 of this Act, provided he or she has obtained approval from the Department of Higher Education and the Chief Fiscal Officer of the State, who has sought prior review by the Arkansas Legislative Council.~~

~~The provisions of this section shall be in effect only from July 1, 2005 2007 through June 30, 2007-2009.~~

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 9

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

ON-CALL PAY. All Classified Positions employed in areas providing critical or emergency support for the operations of the University of Central Arkansas and required to work outside their normal work schedule shall be eligible for on-call status and eligible to receive stand-by pay not to exceed four hours of work per shift. When standby pay is provided to employees as herein described, the total compensation may exceed the line item salaries for those positions as included in this Act.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 10

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

MEMBERSHIP AUTHORIZATION. The Board of Trustees of the University of Central Arkansas is hereby authorized to enact voluntary payroll deductions for employees using on-campus programs and facilities. All such deductions shall be entirely voluntary in nature, shall require written authorization from each participant electing to use such deductions and shall not be made on a pre-tax basis. Nothing in this section shall be construed to reduce or eliminate the payroll regulations established elsewhere in Arkansas Statutes.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 11

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

FUND BALANCES. The Board of Trustees in its official governing capacity charged with the management or control of the institution of higher learning and the President or Chancellor as the Chief Executive shall certify to the Chief Fiscal Officer of the State and the Legislative Council that as of December 31 of each year that sufficient appropriations and funds are available, or will become available, to meet all current and anticipated obligations during the fiscal year for the payment of the obligations when they become due.

This certification, signed by the Chair of the Board of Trustees and the President or Chancellor will be forwarded by February 28 of each year or upon release of the previous year's audit by Legislative Audit to the Chief Fiscal Officer of the State and the Legislative Council accompanied by the Board approved published annual financial statement for the preceding fiscal year.

The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 12

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

SALARY ADJUSTMENTS. Due to the highly competitive nature of the employment market in the service area of the University of Central Arkansas the institution shall be authorized to employ new candidates or retain current employees at rates of pay not to exceed Pay Level III for the following classifications:

- R329, Payroll Service Specialist/grade 18
- A108, Accounting Technician II/ grade 15
- K012, Academic Library Tech II/grade 12
- K153, Secretary II/grade 12
- K145, Cashier II/grade 13
- K155, Secretary I/grade 11
- G173, Maintenance Worker I/grade 4
- G171, Custodial Worker I/grade 3
- V043, Shipping and Receiving Clerk/grade 11
- B001, Academic Lab Assistant/grade 9

The institution shall report all such salary adjustments to the State Office of Personnel (Higher Education Section) not later than the pay period following such action and the Office of Personnel Management shall in turn report such actions to the Uniform Personnel Committee at the next scheduled meeting. Authority under this section shall be subject to audit by both the Office of Personnel Management and the Legislative Council

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 12

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

and may be rescinded for improper use or application. The provisions of this section shall be in effect only from July 1, ~~2005~~ 2007 through June 30, ~~2007~~ 2009.

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
REVISED DOCUMENT

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: 2119

SECTION#: 13

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

CONSTRUCTION. The Board of Trustees of the University of Central Arkansas shall be included as an exempt institution related to projects exceeding \$5,000,000 provided that the institution shall have adopted policies and procedures involving the awarding and oversight of the contracts for design and construction services in compliance with State Law.

INSTITUTION REQUEST

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~ ^{REVISED DOCUMENT}

INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: new1

SECTION#: new1

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

EXEMPTION FROM LOCAL PLANNING ORDINANCES. In the event of the adoption of a municipal or county ordinance regulating planning or development, or assessing fees, the University of Central Arkansas shall be exempt from those regulations or assessments due to its status as an entity of the State of Arkansas. The above exemption, in no way, relieves the University of Central Arkansas from compliance with all federal and state building code regulations for any applicable project.

NOT RECOMMENDED

~~2007 - 2009 REQUEST FOR SPECIAL LANGUAGE IN APPROPRIATION ACT~~
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INST: 0165 UNIVERSITY OF CENTRAL ARKANSAS

ACT#: new2

SECTION#: new2

CURRENT SPECIAL LANGUAGE (WITH INST. REQUEST)

AR HIGHER EDUCATION COORDINATING BOARD RECOMMENDATION

TUITION REIMBURSEMENT. The Board of Trustees of the University of Central Arkansas shall be authorized to reimburse tuition, fees, and other educational related expenses of current faculty who seek additional education levels that will benefit the university in meeting accreditation and professional standards. Reimbursement shall be authorized only when the reimbursement request has been documented by the institution to meet critical shortage instructional areas.

INSTITUTION REQUEST

REVISION INFORMATION
EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION

University of Central Arkansas _____
 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2005-06 : <u>1177</u>					
(As of November 1, 2005)					
Nonclassified Administrative Employees:					Total
White Male:	<u>73</u>	Black Male:	<u>5</u>	Other Male:	<u>2</u>
White Female:	<u>66</u>	Black Female:	<u>11</u>	Other Female:	<u>3</u>
					Total Male: <u>80</u>
					Total Female: <u>80</u>
Nonclassified Health Care Employees:					Total
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>8</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
					Total Male: <u>1</u>
					Total Female: <u>8</u>
Classified Employees:					Total
White Male:	<u>134</u>	Black Male:	<u>29</u>	Other Male:	<u>2</u>
White Female:	<u>240</u>	Black Female:	<u>34</u>	Other Female:	<u>4</u>
					Total Male: <u>165</u>
					Total Female: <u>278</u>
Faculty:					Total
White Male:	<u>249</u>	Black Male:	<u>15</u>	Other Male:	<u>22</u>
White Female:	<u>260</u>	Black Female:	<u>9</u>	Other Female:	<u>10</u>
					Total Male: <u>286</u>
					Total Female: <u>279</u>
Total White Male:					Total
Total White Female:					Total
Total Black Male:					Total
Total Black Female:					Total
Total Other Male:					Total
Total Other Female:					Total
					Male: <u>532</u>
					Female: <u>645</u>
Total White:					Total
Total Black:					Employees:
Total Other:					<u>1,177</u>
Total Minority:					
					<u>146</u>

FORM 07-8

<i>Finding:</i>	The University of Central Arkansas Internal Auditor Office (IAO) conducted an investigation relating to lost travel funds. According to the IAO, University policy allows coaches to obtain travel advances for athletic events. In August 2004, Chad Flanders, men's soccer coach, received a \$5,400 travel advance, which he subsequently misplaced.
Recommendation:	We recommend the IAO continue to closely monitor travel advances.
Institution's Response:	As custodian of travel funds, Mr. Flanders personally paid for documentation and allowed travel expenses of \$3,259 and on December 17, 2004 reimbursed the University \$2,141.
<i>Finding:</i>	After the IAO was notified of possible irregularities, the IAO conducted a review of three intramural sport student trips and related expenses. During the period December 1, 2003 through January 1, 2004, the IAO determined the Director of Intramural Sports, Deane Amyx, improperly submitted and was reimbursed \$1,907 for nonbusiness related expenses and did not remit \$280, collected from a student for trip costs, to the business office. Lack of internal controls over expense reimbursement resulted in improper payments for travel expenses.
Recommendation:	We recommend the University implement procedures to ensure employees are reimbursed for legitimate expenses.
Institution's Response:	As of the report date, Ms. Amyx has reimbursed the University \$2,187 for these improper transactions.
<i>Finding:</i>	The University athletic group travel policy allows a cash advance of 90% of estimated total trip costs and requires travel reimbursement (TR-1) forms to be submitted within five (5) working days of the trip conclusion. The University of Central Arkansas IAO conducted a review of athletic group travel advances for one (1) month and noted the Athletic Department employees inflate estimated travel expenses and did not submit timely TR-1 forms. Lack of internal

	controls in the Athletic department over travel advance requests resulted in improper disbursements of advances and settlement of TR-1s.
Recommendation:	We recommend the University implement procedures to ensure employees comply with University travel policy.
Institution's Response:	
Finding:	There is no electrical power backup system available for data center operation, resulting in inadequate Data Center environmental controls.
Recommendation:	We recommend that Information & Technology Services management determine if the cost of purchasing/maintaining an improved UPS unit and backup generator outweighs the risk of losing computer-processing abilities during extended power outage. If there is a cost benefit, we recommend Information & Technology Services management acquire a UPS unit and backup generator.
Institution's Response:	A generator has been purchased to provide electrical power backup for data center operation.
Finding:	Acuprint check printing software lacks the following controls: <ul style="list-style-type: none"> a. Authorized check signature is maintained internally on the Acuprint application. b. No printer key is necessary to print checks. c. Check files are downloaded from the mainframe and have to be split into two separate files to efficiently print checks.
Recommendation:	WE RECOMMEND THAT THE UNIVERSITY WORK WITH THE SOFTWARE VENDOR TO

	<p>DEVELOP AND IMPLEMENT CONTROLS AROUND THE ACUPRINT APPLICATION TO INCLUDE:</p> <ul style="list-style-type: none">a. Specially programmed magnetic signature cards to be used to transmit authorization for signature printing through a card reader attached to the PC.b. A security hard lock key, which attaches to the printer port of the PC to be required to print valid checks.c. Develop a secure process to download check files directly into the application and ensure that checks are printed without modifying the file. Once checks have been processed they should be wiped completely out of the system.
<p><i>Institution's Response:</i></p>	<p>All items will be addressed when the new Banner system is implemented July 1, 2006.</p>