

## Department Appropriation Summary

### Historical Data

### Agency Request and Executive/Legislative Recommendation

Appropriation	2009-2010		2010-2011		2010-2011		2011-2012					2012-2013						
	Actual	Pos	Budget	Pos	Authorized	Pos	Agency	Pos	Executive	Pos	Legislative	Pos	Agency	Pos	Executive	Pos	Legislative	Pos
1FA Rockefeller-IMSST-Cash in Treasury	600,250	0	487,236	0	284,563	0	176,563	0	176,563	0	176,563	0	51,563	0	51,563	0	51,563	0
1ND AR Manufacturing Extention Network-St	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0
34G Seed Capital Investment-Cash in Treasury	76,347	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0
35K AR EPSCoR-Federal	2,038,564	2	3,091,575	2	3,065,767	2	3,066,622	2	3,073,973	2	23,073,973	3	3,066,622	2	3,079,238	2	23,079,238	3
38X St Industrial Assessment-Federal	18,742	0	25,000	0	25,000	0	25,000	0	25,000	0	25,000	0	25,000	0	25,000	0	25,000	0
678 Science & Tech-St Operations	1,712,040	12	1,777,817	12	1,818,075	12	2,656,465	13	1,884,420	13	1,884,420	13	2,664,890	13	1,909,241	13	1,909,241	13
83R ARRA AIEC	4,109	0	206,190	0	0	0	7,996,591	2	7,996,591	2	7,996,591	2	7,909,401	2	7,909,401	2	7,909,401	2
84W New AMS - Cash in Treasury	0	0	0	0	0	0	1,190,790	3	1,196,880	3	1,196,880	3	1,230,790	3	1,240,365	3	1,240,365	3
919 AR Manufacturing Extension Network-Fed	1,514,636	3	2,054,448	3	2,055,022	3	941,110	0	941,110	0	941,110	0	941,110	0	941,110	0	941,110	0
<b>NOT REQUESTED FOR THE BIENNIUM</b>																		
38W Industrial Energy Efficiency	37,853	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>6,259,723</b>	<b>17</b>	<b>9,799,448</b>	<b>17</b>	<b>9,405,609</b>	<b>17</b>	<b>18,210,323</b>	<b>20</b>	<b>17,451,719</b>	<b>20</b>	<b>37,451,719</b>	<b>21</b>	<b>18,046,558</b>	<b>20</b>	<b>17,313,100</b>	<b>20</b>	<b>37,313,100</b>	<b>21</b>

Funding Sources		%		%		%		%		%		%		%		%		%	
Fund Balance	4000005	3,285,998	35.4	2,999,661	23.5			1,737,276	8.3	1,737,276	8.6	1,737,276	4.3	2,785,739	13.5	2,779,649	14.0	2,779,649	7.0
General Revenue	4000010	1,969,222	21.2	2,034,999	15.9			2,913,647	13.9	2,141,602	10.6	2,141,602	5.3	2,922,072	14.2	2,166,423	10.9	2,166,423	5.4
Federal Revenue	4000020	3,368,270	36.3	4,701,477	36.8			4,032,732	19.2	4,040,083	20.0	24,040,083	59.8	4,032,732	19.5	4,045,348	20.3	24,045,348	60.3
Cash Fund	4000045	645,159	7.0	2,365,636	18.5			3,075,031	14.6	3,075,031	15.2	3,075,031	7.6	2,990,031	14.5	2,990,031	15.0	2,990,031	7.5
Federal Funds-ARRA	4000244	4,109	0.0	206,190	1.6			7,996,591	38.1	7,996,591	39.5	7,996,591	19.9	7,909,401	38.3	7,909,401	39.8	7,909,401	19.8
Third Party Reimbursement	4000490	0	0.0	469,546	3.7			0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Transfers / Adjustments	4000683	0	0.0	0	0.0			1,240,785	5.9	1,240,785	6.1	1,240,785	3.1	0	0.0	0	0.0	0	0.0
Total Funds		9,272,758	100.0	12,777,509	100.0			20,996,062	100.0	20,231,368	100.0	40,231,368	100.0	20,639,975	100.0	19,890,852	100.0	39,890,852	100.0
Excess Appropriation/(Funding)		(3,013,035)		(2,978,061)				(2,785,739)		(2,779,649)		(2,779,649)		(2,593,417)		(2,577,752)		(2,577,752)	
Grand Total		6,259,723		9,799,448				18,210,323		17,451,719		37,451,719		18,046,558		17,313,100		37,313,100	

The FY11 Budget amount for Rockefeller-IMSST-Cash in Treasury (1FA) exceeds the authorized amount due to a transfer from the Cash Fund Holding Account.

The FY11 Budget amount for AR EPSCoR-Federal (35K) exceeds the authorized amount due to a transfer from the Miscellaneous Federal Grant Holding Account.

Variances in fund balances are due to unfunded appropriation in AR Manufacturing Extension Network-Fed (919) and appropriation not requested for Industrial Energy Efficiency (38W).

## **Analysis of Budget Request**

**Appropriation:** 1FA - Rockefeller-IMSST-Cash in Treasury

**Funding Sources:** NST - Cash in Treasury

The Arkansas Science and Technology Authority with grant support from the Winthrop Rockefeller Foundation (WRF) continues the transition of the science teaching mini-grant and teachers-student interactive technology grant programs through Arkansas Community Foundation affiliates, supporting the development of a web-based application for access to teaching curriculum materials, and enabling teachers to generate enthusiasm in science, technology, engineering, and mathematics (STEM) classrooms around the State using projects which interest and engage students in STEM curriculum.

The Authority requests an increase of \$3,000 for FY12 in Operating Expenses to meet grant requirements. Due to current grants ending, the Authority requests a reduction of \$111,000 in Grants & Aid in FY12 and a reduction of \$233,000 in Operating Expenses, Professional Fees, and Grants and Aid in FY13.

The Executive Recommendation provides for the Agency Request. Expenditure of appropriation is contingent upon available funding.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 1FA - Rockefeller-IMSST-Cash in Treasury

**Funding Sources:** NST - Cash in Treasury

Commitment Item		2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
		Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
Operating Expenses	5020002	17,812	42,760	2,000	5,000	5,000	5,000	0	0	0
Conference & Travel Expenses	5050009	0	0	0	0	0	0	0	0	0
Professional Fees	5060010	35,944	54,624	35,000	35,000	35,000	35,000	0	0	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	546,494	389,852	247,563	136,563	136,563	136,563	51,563	51,563	51,563
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
<b>Total</b>		<b>600,250</b>	<b>487,236</b>	<b>284,563</b>	<b>176,563</b>	<b>176,563</b>	<b>176,563</b>	<b>51,563</b>	<b>51,563</b>	<b>51,563</b>

Funding Sources										
Fund Balance	4000005	441,113	432,649		411,049	411,049	411,049	411,049	411,049	411,049
Cash Fund	4000045	591,786	465,636		176,563	176,563	176,563	51,563	51,563	51,563
<b>Total Funding</b>		<b>1,032,899</b>	<b>898,285</b>		<b>587,612</b>	<b>587,612</b>	<b>587,612</b>	<b>462,612</b>	<b>462,612</b>	<b>462,612</b>
<b>Excess Appropriation/(Funding)</b>		<b>(432,649)</b>	<b>(411,049)</b>		<b>(411,049)</b>	<b>(411,049)</b>	<b>(411,049)</b>	<b>(411,049)</b>	<b>(411,049)</b>	<b>(411,049)</b>
<b>Grand Total</b>		<b>600,250</b>	<b>487,236</b>		<b>176,563</b>	<b>176,563</b>	<b>176,563</b>	<b>51,563</b>	<b>51,563</b>	<b>51,563</b>

Budget exceeds Authorized Appropriation in Operating Expenses, Professional Fees, and Grants & Aid due to a transfer from the Cash Fund Holding Account.

## **Analysis of Budget Request**

**Appropriation:** 1ND - AR Manufacturing Extension Network-St

**Funding Sources:** HUA - Miscellaneous Agencies Fund

The Arkansas Science and Technology Authority Technology and Manufacturing Extension Program plans strategic state investments and support in Manufacturing Extension, Technology Transfer, and Applied Research through proposal and application evaluations. The goal is to meet manufacturers needs and and increase their global competitiveness. The Technology and Manufacturing Program is funded by general revenue.

The Agency requests Base Level of \$257,182 for each year of the 2011-2013 biennium.

The Executive Recommendation provides for the Agency Request.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 1ND - AR Manufacturing Extention Network-St

**Funding Sources:** HUA - Miscellaneous Agencies Fund

Commitment Item		2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
		Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
AR Manufacturing Ext Network	5900046	257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182
Total		257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182
<b>Funding Sources</b>										
General Revenue	4000010	257,182	257,182		257,182	257,182	257,182	257,182	257,182	257,182
Total Funding		257,182	257,182		257,182	257,182	257,182	257,182	257,182	257,182
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		257,182	257,182		257,182	257,182	257,182	257,182	257,182	257,182

## **Analysis of Budget Request**

**Appropriation:** 34G - Seed Capital Investment-Cash in Treasury

**Funding Sources:** NST - Cash in Treasury

The Arkansas Science and Technology Authority (ASTA) requests continuation of the Base Level appropriation of \$1,900,000 each year of the 2011-2013 biennium for the Seed Capital Investment Program. Funds are loaned to businesses, with a maximum amount of \$500,000 for any one project. As businesses repay the loans, ASTA deposits the repayments into a revolving fund. This program will be used for investments in technology-based businesses in accordance with Arkansas Code §15-3-101 through §15-3-123.

The Executive Recommendation provides for the Agency Request. Expenditure of appropriation is contingent upon available funding.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 34G - Seed Capital Investment-Cash in Treasury

**Funding Sources:** NST - Cash in Treasury

Commitment Item		2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
		Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
Investments	5120013	76,347	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000
Total		76,347	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000
<b>Funding Sources</b>										
Fund Balance	4000005	1,349,201	1,326,227		1,326,227	1,326,227	1,326,227	1,326,227	1,326,227	1,326,227
Cash Fund	4000045	53,373	1,900,000		1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000
Total Funding		1,402,574	3,226,227		3,226,227	3,226,227	3,226,227	3,226,227	3,226,227	3,226,227
Excess Appropriation/(Funding)		(1,326,227)	(1,326,227)		(1,326,227)	(1,326,227)	(1,326,227)	(1,326,227)	(1,326,227)	(1,326,227)
Grand Total		76,347	1,900,000		1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000

## **Analysis of Budget Request**

**Appropriation:** 35K - AR EPSCoR-Federal

**Funding Sources:** FST - ASTA Federal Programs

The Experimental Program to Stimulate Competitive Research (EPSCoR), funded by the National Science Foundation is a statewide multi-university collaborative research initiative addressing two research areas: 1) plant-based bioproduction and 2) wireless nanosensors. Plant-based bioproduction is a project which targets biochemical pathways in plants - harnessing and enhancing the production power in plants. Wireless nanosensors are a project which links the potential commercial applications of inexpensive nanosensors with wireless data collection and analysis.

Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Career Service Payments.

The Authority requests Base Level appropriation of \$3,066,622 for FY12 and for FY13.

The Executive Recommendation provides for Agency Request.

The Legislative Recommendation concurs with the Executive Recommendation and provides for additional federal appropriation and anticipated federal funding approved for inclusion in the appropriation bills.

## Appropriation Summary

**Appropriation:** 35K - AR EPSCoR-Federal

**Funding Sources:** FST - ASTA Federal Programs

Commitment Item	2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
	Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
Regular Salaries 5010000	155,545	155,363	157,522	155,363	161,416	1,079,153	155,363	165,856	1,083,593
<b>#Positions</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>3</b>
Personal Services Matching 5010003	38,563	42,654	39,687	42,701	43,999	260,441	42,701	44,824	261,266
Operating Expenses 5020002	165,590	96,183	71,183	71,183	71,183	875,583	71,183	71,183	875,583
Conference & Travel Expenses 5050009	3,334	3,333	3,333	3,333	3,333	138,333	3,333	3,333	138,333
Professional Fees 5060010	36,878	40,667	40,667	40,667	40,667	599,667	40,667	40,667	599,667
Data Processing 5090012	0	0	0	0	0	0	0	0	0
Grants and Aid 5100004	1,638,654	2,753,375	2,753,375	2,753,375	2,753,375	20,120,796	2,753,375	2,753,375	20,120,796
Capital Outlay 5120011	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>2,038,564</b>	<b>3,091,575</b>	<b>3,065,767</b>	<b>3,066,622</b>	<b>3,073,973</b>	<b>23,073,973</b>	<b>3,066,622</b>	<b>3,079,238</b>	<b>23,079,238</b>
<b>Funding Sources</b>									
Federal Revenue 4000020	2,038,564	3,091,575		3,066,622	3,073,973	23,073,973	3,066,622	3,079,238	23,079,238
Total Funding	2,038,564	3,091,575		3,066,622	3,073,973	23,073,973	3,066,622	3,079,238	23,079,238
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	2,038,564	3,091,575		3,066,622	3,073,973	23,073,973	3,066,622	3,079,238	23,079,238

The FY11 Budget amount in Personal Services Matching exceeds the authorized amount due to matching rate adjustments during the 2009-2011 biennium.

The FY11 Budget exceeds Authorized Appropriation in Operating Expenses due to a transfer from the Miscellaneous Federal Grant Holding Account.

Legislative Recommendation includes appropriation authorized due to a transfer from the Miscellaneous Federal Grant Holding Account.

## **Analysis of Budget Request**

**Appropriation:** 38X - St Industrial Assessment-Federal

**Funding Sources:** FST - ASTA Federal Programs

This program provides several Arkansas industrial plants with extensive energy assessments and will enable these industries to identify energy and money saving opportunities. Each project includes follow up technical and implementation assistance and evaluation of actual energy savings. Funding will pass through the Arkansas Energy Office to Arkansas Manufacturing Solutions (AMS), a program of the Authority. AMS will work with the Department of Mechanical Engineering at the University of Arkansas at Fayetteville to conduct the energy analysis, plant assessments and measurement of energy savings.

The Agency requests Base Level appropriation for the 2011-2013 biennium.

The Executive Recommendation provides for the Agency Request.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 38X - St Industrial Assessment-Federal

**Funding Sources:** FST - ASTA Federal Programs

Commitment Item	2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
	Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
Grants and Aid 5100004	18,742	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000
<b>Total</b>	<b>18,742</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>
<b>Funding Sources</b>									
Federal Revenue 4000020	18,742	25,000		25,000	25,000	25,000	25,000	25,000	25,000
<b>Total Funding</b>	<b>18,742</b>	<b>25,000</b>		<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
<b>Grand Total</b>	<b>18,742</b>	<b>25,000</b>		<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>

## **Analysis of Budget Request**

**Appropriation:** 678 - Science & Tech-St Operations

**Funding Sources:** HUA - Miscellaneous Agencies Fund

The Arkansas Science and Technology Authority was created to develop and promote Arkansas' technological resources and to encourage the use of advanced technology in the State's business and agricultural communities. The Authority offers a variety of programs emphasizing three areas: project financing, company financing, and technology extension/development. This appropriation is funded by general revenue.

Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Career Service Payments. The Base Level salary of the unclassified position reflect the FY11 line item maximum.

The Authority requests Base Level appropriation of \$1,777,376 each year and Change Level requests of \$879,089 in FY12 and \$887,514 in FY13. The Change Level requests are as follows:

- An additional position (Executive Assistant to the Director) to provide direct support to the agency's President and Board of Directors and assist the Assistant Director of Management Services with the Human Resources functions of the agency. These functions are presently handled by the Administrative Support Specialist and the Fiscal Support Specialist. The increase in Regular Salaries and Personal Services Matching each fiscal year is \$45,807.
- An increase in Operating Expenses of \$15,882 in FY12 and \$24,307 in FY13 for office rent expense, software maintenance and software licenses, computer and computer equipment replacement, upgrade data security and disaster recovery; these items can be found on the Operations Hardware and Software tabs of the agency's IT plan.
- An increase of \$13,400 each fiscal year for Conference & Travel to attend state and national conferences to learn best practices in various areas including electronic communications, information technology, and management; and to attend the annual state Science & Technology Institute Conference and the annual National Association of Seed and Venture Fund Conference.
- An increase of \$4,000 each fiscal year for Professional Fees for consultants to automate its application and workflow processes for statutory programs.
- An increase in the Technology Development line item of \$800,000 each fiscal year in state matching funds for research under the second year of a five-year, \$20 million National Science Foundation Grant. The project is designed to strengthen developing research areas in Arkansas with economic development potential.

The Executive Recommendation provides for the Agency Request except for the increase in the Technology Development line item which is recommended at Base Level. Furthermore, the request for Technology Development is recommended from the General Improvement Fund.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 678 - Science & Tech-St Operations

**Funding Sources:** HUA - Miscellaneous Agencies Fund

Commitment Item		2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
		Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
Regular Salaries	5010000	587,507	611,144	632,314	644,488	668,298	668,298	644,488	681,796	681,796
<b>#Positions</b>		<b>12</b>	<b>12</b>	<b>12</b>	<b>13</b>	<b>13</b>	<b>13</b>	<b>13</b>	<b>13</b>	<b>13</b>
Extra Help	5010001	1,754	12,000	12,000	12,000	12,000	12,000	12,000	12,000	12,000
<b>#Extra Help</b>		<b>1</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>
Personal Services Matching	5010003	166,661	188,204	180,496	200,226	204,371	204,371	200,226	207,269	207,269
Operating Expenses	5020002	182,870	196,988	206,961	212,870	212,870	212,870	221,295	221,295	221,295
Conference & Travel Expenses	5050009	14,167	14,400	27,223	27,800	27,800	27,800	27,800	27,800	27,800
Professional Fees	5060010	16,800	12,800	16,800	16,800	16,800	16,800	16,800	16,800	16,800
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Technology Development	5900046	156,975	156,975	156,975	956,975	156,975	156,975	956,975	156,975	156,975
Seed Capital Investments	5900047	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653
<b>Total</b>		<b>1,712,040</b>	<b>1,777,817</b>	<b>1,818,075</b>	<b>2,656,465</b>	<b>1,884,420</b>	<b>1,884,420</b>	<b>2,664,890</b>	<b>1,909,241</b>	<b>1,909,241</b>

Funding Sources										
General Revenue	4000010	1,712,040	1,777,817		2,656,465	1,884,420	1,884,420	2,664,890	1,909,241	1,909,241
Total Funding		1,712,040	1,777,817		2,656,465	1,884,420	1,884,420	2,664,890	1,909,241	1,909,241
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
<b>Grand Total</b>		<b>1,712,040</b>	<b>1,777,817</b>		<b>2,656,465</b>	<b>1,884,420</b>	<b>1,884,420</b>	<b>2,664,890</b>	<b>1,909,241</b>	<b>1,909,241</b>

The FY11 Budget amount in Personal Services Matching exceeds the authorized amount due to matching rate adjustments during the 2009-2011 biennium.

## **Analysis of Budget Request**

**Appropriation:** 83R - ARRA AIEC

**Funding Sources:** FST - Federal Funds-ARRA

This appropriation was established through the authority of the Miscellaneous Federal Grant Holding Account during the 2009-2011 biennium. The agency received two (2) subgrants under the American Recovery & Reinvestment Act (ARRA) for the Arkansas Industrial Energy Clearinghouse (AIEC) and the State Health Information Exchange Program (HIE).

The primary function of the AIEC is to serve the state's manufacturing industry with technical and environmental assistance, information, and expertise. The objective is to facilitate energy conservation and greenhouse gas reductions.

The Agency Requests a continuation of this grant for FY12 at \$87,190. This grant expires in FY12.

The Health Information Exchange Program (HIE) through the Office of Health Information Technology, will seek to expand and encourage the use of information technology and electronic health and medical records among healthcare providers such as doctors and hospitals.

The Agency Requests the continuation of HIE for the 2011-2013 biennium at \$7,909,401 each year. This appropriation includes two positions. Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Career Service Payments.

The Executive Recommendation provides for the Agency Request.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 83R - ARRA AIEC

**Funding Sources:** FST - Federal Funds-ARRA

Commitment Item	2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
	Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
ARRA of 2009 5900052	4,109	206,190	0	7,996,591	7,996,591	7,996,591	7,909,401	7,909,401	7,909,401
<b>Total</b>	<b>4,109</b>	<b>206,190</b>	<b>0</b>	<b>7,996,591</b>	<b>7,996,591</b>	<b>7,996,591</b>	<b>7,909,401</b>	<b>7,909,401</b>	<b>7,909,401</b>
<b>Funding Sources</b>									
Federal Funds-ARRA 4000244	4,109	206,190		7,996,591	7,996,591	7,996,591	7,909,401	7,909,401	7,909,401
<b>Total Funding</b>	<b>4,109</b>	<b>206,190</b>		<b>7,996,591</b>	<b>7,996,591</b>	<b>7,996,591</b>	<b>7,909,401</b>	<b>7,909,401</b>	<b>7,909,401</b>
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
<b>Grand Total</b>	<b>4,109</b>	<b>206,190</b>		<b>7,996,591</b>	<b>7,996,591</b>	<b>7,996,591</b>	<b>7,909,401</b>	<b>7,909,401</b>	<b>7,909,401</b>

This Appropriation established through the authority of the Miscellaneous Federal Program Act.

## **Analysis of Budget Request**

**Appropriation:** 84W - New AMS - Cash in Treasury

**Funding Sources:** NST - Cash in Treasury

The Agency requests a reallocation from appropriation 919 Arkansas Manufacturing Extension Network of \$1,190,790 for FY12 and \$1,230,790 in FY13. This request separates the client (cash), the revenue portion of the AR Manufacturing Extension Network from the federal funded portion. Federal funds are derived from a cooperative agreement between the agency and the U.S. Department of Commerce National Institute of Standards & Technology (NIST). The client revenue is derived from service agreements and training class revenue. Separating the two sources of funds will simplify tracking of federal funds and allow better accountability of program revenues.

The reallocation of \$1,118,382 for FY12 and FY13 includes \$192,322 for Regular Salaries and Personal Services Matching and transfers three positions each year from appropriation 919. The reallocation also includes Operating Expenses of \$82,946, Conference & Travel Expenses of \$31,860, Professional Fees of \$60,500, Grants & Aid of \$440,000 and Field Services of \$310,754 each year.

The Agency requests a Change Level of \$72,408 in FY12 and \$112,408 in FY13 to meet the requirements of the service agreements. This includes an increase of \$16,954 and \$14,500 each year of the biennium for Operating Expenses and Professional Fees; respectively. An increase of \$40,954 for FY12 and \$80,954 in FY13 for Field Services is requested.

The Executive Recommendation provides for the Agency Request. Expenditure of appropriation is contingent upon available funding.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 84W - New AMS - Cash in Treasury

**Funding Sources:** NST - Cash in Treasury

Commitment Item		2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
		Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
Regular Salaries	5010000	0	0	0	146,782	151,903	151,903	146,782	154,772	154,772
<b>#Positions</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>3</b>
Personal Services Matching	5010003	0	0	0	45,540	46,509	46,509	45,540	47,125	47,125
Operating Expenses	5020002	0	0	0	99,900	99,900	99,900	99,900	99,900	99,900
Conference & Travel Expenses	5050009	0	0	0	31,860	31,860	31,860	31,860	31,860	31,860
Professional Fees	5060010	0	0	0	75,000	75,000	75,000	75,000	75,000	75,000
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	0	0	0	440,000	440,000	440,000	440,000	440,000	440,000
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Field Services	5900046	0	0	0	351,708	351,708	351,708	391,708	391,708	391,708
<b>Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>1,190,790</b>	<b>1,196,880</b>	<b>1,196,880</b>	<b>1,230,790</b>	<b>1,240,365</b>	<b>1,240,365</b>

Funding Sources										
Fund Balance	4000005	0	0		0	0	0	1,048,463	1,042,373	1,042,373
Cash Fund	4000045	0	0		998,468	998,468	998,468	1,038,468	1,038,468	1,038,468
Transfers / Adjustments	4000683	0	0		1,240,785	1,240,785	1,240,785	0	0	0
<b>Total Funding</b>		<b>0</b>	<b>0</b>		<b>2,239,253</b>	<b>2,239,253</b>	<b>2,239,253</b>	<b>2,086,931</b>	<b>2,080,841</b>	<b>2,080,841</b>
Excess Appropriation/(Funding)		0	0		(1,048,463)	(1,042,373)	(1,042,373)	(856,141)	(840,476)	(840,476)
<b>Grand Total</b>		<b>0</b>	<b>0</b>		<b>1,190,790</b>	<b>1,196,880</b>	<b>1,196,880</b>	<b>1,230,790</b>	<b>1,240,365</b>	<b>1,240,365</b>

Agency Request reallocations of Base Level appropriation and positions from 919 AR Manufacturing Extention Network-Fed to appropriation 84W - New AMS - Cash in Treasury to separate the client (cash), the revenue portion of the AR Manufacturing Extention Network from the federal funded portion.

## **Analysis of Budget Request**

**Appropriation:** 919 - AR Manufacturing Extension Network-Fed

**Funding Sources:** FST - ASTA Federal Programs

The Arkansas Science and Technology Authority's Arkansas Manufacturing Extension Network program provides a statewide industry driven Manufacturing Extension Network for the delivery of technical and management assistance. The program is funded by the U.S. Department of Commerce National Institute of Standards and Technology (NIST) and third party reimbursements for field services and technical support from manufacturers supported under this grant.

The Agency requests a reallocation to separate the client (cash), the revenue portion of the AR Manufacturing Extension Network from the federal funded portion. Federal funds are derived from a cooperative agreement between the agency and the U.S. Department of Commerce National Institute of Standards & Technology (NIST). Client funding is derived from service agreements and training class revenue.

The reallocation of \$1,118,382 for FY12 and FY13 includes \$192,322 for Regular Salaries and Personal Services Matching and transfers three positions each year to appropriation 84W New AMS. The reallocation also includes Operating Expenses of \$82,946, Conference & Travel Expenses of \$31,860, Professional Fees of \$60,500, Grants & Aid of \$440,000 and Field Services of \$310,754 each year.

The Agency requests a Change Level of \$5,000 each year of the biennium for Operating Expenses.

The Executive Recommendation provides for the Agency Request.

The Legislative Recommendation concurs with the Executive Recommendation.

## Appropriation Summary

**Appropriation:** 919 - AR Manufacturing Extension Network-Fed

**Funding Sources:** FST - ASTA Federal Programs

Commitment Item		2009-2010	2010-2011	2010-2011	2011-2012			2012-2013		
		Actual	Budget	Authorized	Agency	Executive	Legislative	Agency	Executive	Legislative
Regular Salaries	5010000	129,506	146,782	149,555	0	0	0	0	0	0
<b>#Positions</b>		<b>3</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services Matching	5010003	38,361	45,496	43,297	0	0	0	0	0	0
Operating Expenses	5020002	121,992	177,946	177,946	100,000	100,000	100,000	100,000	100,000	100,000
Conference & Travel Expenses	5050009	18,747	31,860	31,860	0	0	0	0	0	0
Professional Fees	5060010	182,165	60,500	60,500	0	0	0	0	0	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	292,393	440,000	440,000	0	0	0	0	0	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Field Services	5900046	731,472	1,151,864	1,151,864	841,110	841,110	841,110	841,110	841,110	841,110
<b>Total</b>		<b>1,514,636</b>	<b>2,054,448</b>	<b>2,055,022</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>

Funding Sources										
Fund Balance	4000005	1,444,457	1,240,785		0	0	0	0	0	0
Federal Revenue	4000020	1,310,964	1,584,902		941,110	941,110	941,110	941,110	941,110	941,110
Third Party Reimbursement	4000490	0	469,546		0	0	0	0	0	0
<b>Total Funding</b>		<b>2,755,421</b>	<b>3,295,233</b>		<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>
Excess Appropriation/(Funding)		(1,240,785)	(1,240,785)		0	0	0	0	0	0
<b>Grand Total</b>		<b>1,514,636</b>	<b>2,054,448</b>		<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>	<b>941,110</b>

Agency Request reallocations of Base Level appropriation and positions from 919 AR Manufacturing Extension Network-Fed to appropriation 84W - New AMS - Cash in Treasury to separate the client (cash), the revenue portion of the AR Manufacturing Extension Network from the federal funded portion.