



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**C**

September 23, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Cash Fund Appropriation Increase Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Cash Fund Appropriation Increase request(s) that have received my approval as Chief Fiscal Officer of the State.

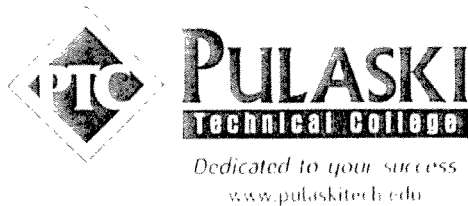
Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



C.1

September 2, 2010

Mr. Richard Weiss, Director  
Department of Finance & Administration  
P.O. Box 3278  
Little Rock, AR 72201-3278

Dear Mr. Weiss:

Pursuant to the provisions of Section 31 of Act 238 of 2010, Pulaski Technical College (PTC) requests a transfer of cash appropriation from the DFA Cash Fund Holding Account to the PTC Cash Operations appropriation for FY2011 as follows:

Extra Help:	\$ 550,000
Travel	250,000
Operating Expenses:	<u>8,000,000</u>
Total:	\$8,800,000

In fiscal year 2010 the college requested and was approved an additional \$15,400,000 in maintenance and operation and extra help which was not included in the 2011 Cash Appropriation. We are requesting only \$8,800,000 in additional maintenance and operation, extra help and travel. The additional maintenance and operation is needed to cover student refunds. We processed \$63.7 million in refunds in FY2010 and have already seen an increase in fall enrollment and expect the spring enrollment to also see an increase. Summer enrollment has increased because of year-round Pell. The requests for Extra Help and Travel are primarily due to an increase in student enrollment which increases the use of college work-study and institutional work-study which is paid from extra help and the travel increase is the result of the addition of federal programs. For example, the college has four (4) different weatherization grants which 3 are funded by the American Recovery and Reinvestment Act. Overall, grants received from private, state, and federal sources represent an increased 38% in dollar value over FY2009. In the current fiscal year, the college received a \$600,000 grant from the Department of Education and the various weatherization grants which total \$5 million. All of these factors have resulted in a need to ask for additional cash appropriation necessary in order to permit PTC to meet current and anticipated obligations for the balance of FY2011.

I ask that this request be placed on the agenda for consideration by the Performance Evaluation & Expenditure Review (PEER) Committee of the Arkansas Legislative Council at the earliest date possible. Thank you for your assistance with this matter.

Sincerely,

Patricia Palmer, CPA  
Vice President for Finance

August 19, 2010

Mr. Richard Weiss, Director  
Department of Finance & Administration  
P. O. Box 3278  
Little Rock, AR 72201-3278



Dear Mr. Weiss:

Pursuant to the provisions of Section 34 of Act 238 of 2010, Southern Arkansas University Tech (SAU Tech) requests a transfer of cash appropriation from the DFA Cash Fund Holding Account to the SAU Tech Cash Operations appropriation for FY2011 as follows:

Capital Improvement	\$840,000
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The request for Capital Improvement is primarily due to a general agreement between SAU Tech and the Arkansas Department of Workforce Services to bring a one stop shop to Camden, Arkansas. The "One Stop Shop" will be a comprehensive service center that provides a full array of workforce development services to both job seekers and employers. In 2008, SAU Tech acquired a 12,168 square foot building, formerly the Heartland Bank building, located in downtown Camden which is called the Uptown Center. This facility will house the College's workforce and economic development initiatives.

Because of the ideal location of this building and the anticipated opportunities of combining the College's economic development initiatives along with the services provided by the local Workforce Services Department, the Director of The Arkansas Department of Workforce Services, Artee Williams, has made a monetary commitment to support this project through additional funding.

Additionally, the College has just been notified it is a co-recipient of a US Department of Energy Efficiency Conservation Grant. The purpose of the grant project is to retrofit energy efficient windows in the dorms located on the SAU Tech campus. Additional cash appropriation will be needed to expense these grant funds.



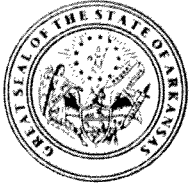
I ask that this request be placed on the agenda for consideration by the Performance Evaluation and Expenditure Review (PEER) Committee of the Arkansas Legislative Council at the earliest date possible.

Thank you for your assistance with this matter.

Sincerely,

A handwritten signature in cursive script that reads "Corbet J. Lamkin". The signature is written in black ink and is positioned above the printed name.

Dr. Corbet J. Lamkin  
Chancellor



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

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**D**

September 23, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Appropriation and/or Fund Transfer Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Appropriation and/or Fund Transfer request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



STATE OF ARKANSAS

*William A. Halter*

Lieutenant Governor

September 21, 2010

Department of Finance and Administration  
Attn: Mike Stormes  
1509 West 7<sup>th</sup> Street  
Room 402  
Little Rock, AR 72201

A handwritten signature in black ink, appearing to be "W. A. Halter".

Dear Mike:

Pursuant to Section 4 of Act 282 of 2010, we request a transfer of \$4,000 from Operations, \$2,500 from Matching Funds, \$2,500 from Salaries and \$4,000 from Travel to Extra Help in our Operations Appropriation (002/HSC5100). The purpose of this transfer is to pay for extra help positions.

Thank you for your help in this matter.

Sincerely,

A handwritten signature in black ink, appearing to be "Michael Cook".

Michael Cook  
Chief of Staff

cc: Brandon Sharp

BS  
9/21/10



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

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**E**

September 23, 2010

Senator Steve Byles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Miscellaneous Federal Grant Appropriation Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Miscellaneous Federal Grant Appropriation request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)

FY11 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM WITHOUT POSITIONS

DFA IGS  
 State Technology Planning  
 Agency Request in  
 compliance with IT Plan  
 Certification (if applicable)

Agency

Federal Appropriation  
 Amount Requested

DFA - Chief  
 Fiscal Officer  
 Approve Disapprove

Purpose of Grant &  
Funding Percentages

No. of Positions  
 Requested (if any)

State Technology Planning  
 Agency Request in  
 compliance with IT Plan  
 Certification (if applicable)

1. Arkansas Crime Information Center - Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Track (SMART) - Adam Walsh Act Grant

This grant will assist Arkansas Crime Information Center in developing and/or enhancing the sex offender registration and notification program. This program was enacted to protect the public from convicted sex offenders and offenders against children by establishing a system for the registration of offenders. This grant will provide for the installation of four hundred (400) web cameras that will allow law enforcement to collect offenders photos and submit them electronically to the Sex Offender Registry. Law enforcement agencies will receive electronic signature pads that will allow for the collection of signatures used with the verification of residency and other forms. A programmer will be hired to assist in the creation of new programs or modify current programs to allow for all electronic submissions. Training will be provided for these new programs.

\$300,000

0

X

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: September 30, 2011

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

CHANGE IN EXISTING PROGRAM WITH POSITIONS

Agency	Purpose of Grant & Funding Percentages	Federal Appropriation Amount Requested	No. of Positions Requested (if any)	DFA IGS	
				DFA - Chief Fiscal Officer Approve	Disapprove
		\$719,500	37	X	N/A

State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)

2. Disability Determination for Social Security Administration - Disability Determination

The Arkansas Disability Determination Services (ARDDS) has essentially doubled in size in the past 12 months. Arkansas caseloads have increased by about 33% over the past 3 years. Additionally ARDDS will be adjudicating approximately 30,000 claims yearly for others states as requested by the Social Security Administration and approved by this body thereby providing more timely decisions to those claimants while offering jobs to many additional Arkansans. This expansion has greatly increased the management needs of ARDDS. Additionally the increased need for physician services and the attrition to more competitive Federal Agencies who pay 2-2 1/2 ARDDS physician salaries for the same services makes essential our need to become more competitive in the marketplace. The proposed changes are needed to provide 24 Disability Determination for Social Security Administration (DDSSA) Senior Medical Specialist slots that will provide salary levels high enough to attract and keep highly qualified medical doctors. In addition, 1 DDSSA Program Director is needed to expand the management resources of the Agency. 2 additional DDSSA Section Manager slots are needed (1 to manage the Extended Services Section and 1 to manage the ARDDS Unit Supervisors.) 10 additional DDS Medical Consultant Assistants are needed to perform paramedical triage consisting of screening and rating claims within their expertise for medical consultant review.

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: Indefinite

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM WITHOUT POSITIONS

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

Federal Appropriation  
Amount Requested      \$60,320

DFA - Chief  
Fiscal Officer  
Approve    Disapprove    X

No. of Positions  
Requested (if any)      0

Purpose of Grant &  
Funding Percentages

3. Northwest Technical Institute - Federal Direct & Equitable      The purpose is to provide Adult Basic Education for adults that are functioning at grade level 8.5 or below. Our student population is unique to this part of the state. Most of the Adult Education students are ESL (English as a Second Language) students. With the additional funds that we received in the current and last fiscal year, the most effective way to educate our students is to provide several ESL classes. These classes are taught by extra-help instructors.

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: June 30, 2011

FY11 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM WITHOUT POSITIONS

Agency: Arkansas State Crime Laboratory - Forensic DNA Backlog Reduction  
 Purpose of Grant & Funding Percentages: To decrease turnaround time, increase analyst throughput and decrease the number of backlogged cases awaiting screening for DNA. This grant provides funding to hire three (3) additional serologists and two (2) additional DNA analysts - all of which are Forensic Scientists  
 Federal Appropriation Amount Requested: \$248,082  
 No. of Positions Requested (if any): 0  
 DFA - Chief Fiscal Officer Approve/Disapprove: X  
 State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable): N/A

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: March 31, 2012

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM WITH POSITIONS

Agency: 5. Arkansas Insurance Department - Health Insurance Premium Review and/or excessive rate increases. Section 2974 of the Public Health Service Act (PPACA Section 1003) provides for a program of grants that enable states to improve the health insurance rate review and reporting processes.

DFA IGS  
State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)

Purpose of Grant & Funding Percentages

Federal Appropriation Amount Requested: \$1,000,000

No. of Positions Requested (if any): 5

DFA - Chief Fiscal Officer: Approve  Disapprove

To establish an enhanced process for the annual review of health insurance premiums to protect consumers from unreasonable, unjustified and/or excessive rate increases. Section 2974 of the Public Health Service Act (PPACA Section 1003) provides for a program of grants that enable states to improve the health insurance rate review and reporting processes.

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: September 30, 2011

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM WITH POSITIONS

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

Agency

Purpose of Grant & Funding Percentages

Federal Appropriation Amount Requested

No. of Positions Requested (if any)

DFA - Chief Fiscal Officer Approve Disapprove

N/A

6. Arkansas Insurance Department - State Planning and Establishment Grant for the Affordable Care Act's Exchanges  
Grant affords Arkansas the opportunity to engage in collaborative, focused planning to determine recommendations for implementing Arkansas's Insurance Health Care Exchange within the time frame and requirements determined by the Affordable Care Act and the regulations promulgated by Health and Human Services (HHS).

\$1,000,000

2

X

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: September 30, 2011

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM WITHOUT POSITIONS

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer Approve</u>	<u>Disapprove</u>	<u>DFA IGS State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)</u>
		\$82,000	0	X		N/A

7. Arkansas Department of Parks & Tourism - Cultural Resource Studies for Prairie Grove Battlefield

Specifically delineate locations and extent of major battlefield features in order to (a) enhance interpretation of the battle as noted in historical records including diaries. (b) Ensure preservation of battlefield features in conjunction with future park developments outlined in the Master Plan. Targeted features include: Borden homestead features, burial trenches, Aday post office, Cane Hill/Fayetteville road, Morton and Rogers homesteads.

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	80	20		100

Anticipated Duration of Federal Funds: December 31, 2011

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

NEW PROGRAM WITHOUT POSITIONS

Agency: \_\_\_\_\_  
 Purpose of Grant & Funding Percentages: \_\_\_\_\_  
 Federal Appropriation Amount Requested: \$15,900  
 No. of Positions Requested (if any): 0  
 DFA - Chief Fiscal Officer Approve/Disapprove: X  
 State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable): N/A

8. Arkansas Department of Parks & Tourism - "Tent 3" (Traveling Education Nature Trailer)  
 Assist Arkansas State Parks to develop and equip a mobile center for learning and sharing of knowledge about the natural world; and to transport the opportunity for camping and outdoor experiences to diverse audiences in the state and national parks nearest them. This trailer will increase the effectiveness of each park's interpretive mission through access to camping and specialized interpretive equipment.

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	94	6		100

Anticipated Duration of Federal Funds: June 30, 2011

FY11 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

DFA IGS  
 State Technology Planning  
 Agency Request in  
 compliance with IT Plan  
 Certification. (if applicable)

NEW PROGRAM WITH POSITIONS

Agency Purpose of Grant & Funding Percentages  
 Federal Appropriation Amount Requested No. of Positions Requested (if any) Approve Disapprove  
 DFA - Chief Fiscal Officer

9. Arkansas Tobacco Control - Retail Tobacco Inspection Program To assist the United States Food and Drug Administration (FDA) in inspecting retail establishments that sell cigarette and/or smokeless tobacco products and in surveillance of other entities that fall under the scope of the FDA.

\$473,838

6

X

N/A

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: August 29, 2011

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 8/30/2010 Grant ID: \_\_\_\_\_ Legislative Review Date: \_\_\_\_\_

Agency: AR Crime Information Center Program Title: SMART - Adam Walsh Act Grant

Granting Organization: Department of Justice - Office of Justice Programs Grant #: 2010-AW-BX-0012

Effective Date of Authorization: \_\_\_\_\_ Beginning: 10/1/2010 Ending: 9/30/2011

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
This grant will assist ACIC in developing and/or enhancing the sex offender registration and notification program. This program was enacted to protect the public from convicted sex offenders and offenders against children by establishing a system for the registration of offenders. This grant will provide for the installation of 400 web cameras that will allow law enforcement to collect offenders photos and submit them electronically to the Sex Offender Registry. Law enforcement agencies will receive electronic signature pads that will allow for the collection of signatures used with the verification of residency and other forms. A programmer will be hired to assist in the creation of new programs or modify current programs to allow for all electronic submissions. Training will be provided for these new programs. IT Plan - Smart grant project tab.

**Project-Grant Funding**

Business Area Code: 0990  
Funds Center Code: \_\_\_\_\_  
Fund Code: \_\_\_\_\_  
Functional Area Code: SFTy

Continuation of Existing Program: \_\_\_\_\_  
Change in Existing Program: \_\_\_\_\_  
New Program: X

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Operating Expenses	143,000			143,000
Personal Services Matching				-
Conference & Travel Expenses				-
Professional Fees	157,000			157,000
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	\$ 300,000	\$ -	\$ -	\$ 300,000

**Funding Percentages**

	Federal	State	Other	Total
FY07				0%
FY08				0%
FY09				0%
FY10				0%
FY11	100%			100%

**Type of Federal Grant**

WIA \_\_\_\_\_  
Non-WIA X  
ARRA \_\_\_\_\_

Anticipated Duration of Federal Funds: September 30, 2011

*[Signature]* 9/1/10  
**DFA IGS State Technology Planning Date**

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Crnt Item	Position Title	Class Code	Grade	Line Item Maximum *
N/A									

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: *[Signature]*  
Agency Director Date 8/30/2010

*[Signature]* 9/23/10  
Office of Budget Date

Office of Personnel Mgmt Date

*[Signature]* 8/31/10

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 8/2/2010 Grant ID: 04-1004ARDI00 Legislative Review Date: \_\_\_\_\_

Agency: Disability Determination for Social Security Administration Program Title: Disability Determination

Granting Organization: Social Security Administration Grant #: 4005010

Effective Date of Authorization: \_\_\_\_\_ Beginning: 9/1/2010 Ending: 6/30/2011

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 The ARDDS has essentially doubled in size in the past 12 months. Arkansas caseloads have increased by about 33% over the past 3 years. Additionally ARDDS will be adjudicating approximately 30,000 claims yearly for other states as requested by the Social Security Administration and approved by this body thereby providing more timely decisions to those claimants while offering jobs to many additional Arkansans. This expansion has greatly increased the management needs of ARDDS. Additionally the increased need for physician services and the attrition to more competitive Federal Agencies who pay 2-2 1/2 ARDDS physician salaries for the same services makes essential our need to become more competitive in the marketplace. The purposed changes are needed to provide 24 DDSSA Senior Medical Specialist slots that will provide salary levels high enough to attract and keep highly qualified medical doctors. In addition, 1 DDSSA Program Director is needed to expand the management resources of the Agency. Two additional DDSSA Section Manager slots are needed (One to manage the Extended Services Section and one to manage the Arkansas DDS Unit Supervisors.) Ten additional DDS Medical Consultant Assistants are needed to perform paramedical triage consisting of screening and rating claims within their expertise for medical consultant review.

**Project-Grant Funding**

Business Area Code: 0311 Continuation of Existing Program: \_\_\_\_\_  
 Funds Center Code: 200 Change in Existing Program: X  
 Fund Code: FSD0100 New Program: \_\_\_\_\_  
 Functional Area Code: HHS

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	599,000			599,000
Extra Help				
Operating Expenses				
Personal Services Matching	120,500			120,500
Conference & Travel Expenses				
Professional Fees				
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Other:				
Other:				
<b>Total</b>	<b>\$ 719,500</b>	<b>\$</b>	<b>\$</b>	<b>\$ 719,500</b>

**Funding Percentages**

**Type of Federal Grant**

	Federal	State	Other	Total
FY07				0%
FY08				0%
FY09				0%
FY10				0%
FY11	100%	0%	0%	100%

WIA \_\_\_\_\_  
 Non-WIA X  
 ARRA \_\_\_\_\_

Anticipated Duration of Federal Funds: Indefinite

**DFA IGS State Technology Planning** Date \_\_\_\_\_

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	
21706242	DS01		260210		501:00:00	DDS Medical Consultant Assistant		C121	

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_  
 \_\_\_\_\_ Date: \_\_\_\_\_  
 \_\_\_\_\_ Date: \_\_\_\_\_



**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 8/31/2010 Grant ID \_\_\_\_\_ Legislative Review Date: \_\_\_\_\_  
 Agency: Northwest Technical Institute Program Title: Federal Direct & Equitable  
 Granting Organization: \_\_\_\_\_ U.S. Department of Education Grant # V002A100004  
 Effective Date of Authorization: Beginning: 7/1/2010 Ending: 6/30/2011

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 The purpose is to provide Adult Basic Education for adults that are functioning at grade level 8.5 or below. Our student population is unique to this part of the state. Most of the Adult Education students are ESL students(English as a Second Language). With the additional funds that we received in the current and last fiscal year, the most effective way to educate our students is to provide several ESL classes. These classes are taught by extra-help instructors.

**Project-Grant Funding**

Business Area Code: 0552  
 Funds Center Code: 722  
 Fund Code: FTN7300  
 Functional Area Code: VTCH  
 Continuation of Existing Program: \_\_\_\_\_  
 Change in Existing Program: \_\_\_\_\_  
 New Program:

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help	60,320	-	-	60,320
Operating Expenses				-
Personal Services Matching				-
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	<b>\$ 60,320</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 60,320</b>

	Funding Percentages			Total	Type of Federal Grant
	Federal	State	Other		
FY07				0%	WIA _____
FY08				0%	
FY09				0%	Non-WIA <input checked="" type="checkbox"/>
FY10				0%	
FY11	100%			100%	ARRA _____

Anticipated Duration of Federal Funds: 06/30/2011

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
						N/A			

\* unclassified positions only

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: [Signature] 8-31-2010 [Signature] 9/23/10  
 Agency Director Date Office of Budget Date Office of Personnel Mgmt Date  
[Signature] 9/7/10

**FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/1/2010 Grant ID: CFDA # 16.471 Legislative Review Date: \_\_\_\_\_

Agency: Arkansas State Crime Laboratory Program Title: Forensic DNA Backlog Reduction

Granting Organization: Department of Justice Grant #: 2010-DN-BX-K089

Effective Date of Authorization: \_\_\_\_\_ Beginning: 10/1/2010 Ending: 6/30/2011

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
To decrease turnaround time, increase analyst throughput and decrease the number of backlogged cases awaiting screening for DNA. This grant provides funding to hire three (3) additional serologists and two (2) additional DNA analysts - all of which are Forensic Scientists.

**Project-Grant Funding**

Business Area Code: 0955  
Funds Center Code: IED  
Fund Code: FCL2100  
Functional Area Code: SFTY

Continuation of Existing Program: \_\_\_\_\_  
Change in Existing Program: \_\_\_\_\_  
New Program: x

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	186,660			186,660
Extra Help				-
Operating Expenses				-
Personal Services Matching	61,422			61,422
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	<b>\$ 248,082</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 248,082</b>

**Funding Percentages**

	Federal	State	Other	Total
FY07				0%
FY08				0%
FY09				0%
FY10				0%
FY11	100%			100%

**Type of Federal Grant**

WIA \_\_\_\_\_  
Non-WIA x  
ARRA \_\_\_\_\_

Anticipated Duration of Federal Funds: 03/31/2012

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**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: [Signature] 7/1/10 [Signature] 9-23-10  
Agency Director Date Office of Budget Date Office of Personnel Mgmt Date

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 8/17/2010 Grant ID 1 IPRPR100015-01-00 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Insurance Department Program Title: Health Insurance Premium Review  
 Granting Organization: Department of Health and Human Services Grant #: 1 IPRPR100015-01-00  
 Effective Date of Authorization: \_\_\_\_\_ Beginning: 8/9/2010 Ending: 6/30/2011

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 To establish an enhanced process for the annual review of health insurance premiums to protect consumers from unreasonable, unjustified and/or excessive rate increases. Section 2974 of the Public Health Service Act (PPACA Section 1003) provides for a program of grants that enable states to improve the health insurance rate review and reporting processes.

**Project-Grant Funding**

Business Area Code: 0425 Continuation of Existing Program: \_\_\_\_\_  
 Funds Center Code: \_\_\_\_\_ Change in Existing Program: \_\_\_\_\_  
 Fund Code: \_\_\_\_\_ New Program: X  
 Functional Area Code: COMM

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	329,650			329,650
Extra Help				-
Operating Expenses	221,332			221,332
Personal Services Matching	90,455			90,455
Conference & Travel Expenses	2,400			2,400
Professional Fees	276,808			276,808
Capital Outlay	79,355			79,355
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000

	Funding Percentages			Total	Type of Federal Grant	
	Federal	State	Other		WIA	Non-WIA
FY07				0%		
FY08				0%		
FY09				0%	<b>X</b>	
FY10				0%		
FY11	100%			100%		

Anticipated Duration of Federal Funds: 9-30-2011

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
21706046	1N01	NEL1	327800		501:00:00	Insurance Deputy Commr	N023N	N910	
21706046	1N01	NEL1	327800		501:00:00	Managing AHOvney	G004C	C129	
21706046	1N01	NEL1	327800		501:00:00	Property & Casualty Manager	X005C	C128	
21706046	1N01	NEL1	327800		501:00:00	Public Information Coord.	P013C	C120	
21706046	1N01	NEL1	327800		501:00:00	Database Administrator	D034C	C124	

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: \_\_\_\_\_ Date: 8/23/10  
 Agency Director \_\_\_\_\_ Date: \_\_\_\_\_  
 Office of Budget \_\_\_\_\_ Date: 9-23-10  
 Office of Personnel Mgmt \_\_\_\_\_ Date: 9/16/10

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/20/2010 Grant ID \_\_\_\_\_ Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Insurance Department Program Title: State Planning and Establishment Grant  
for the Affordable Care Act's Exchanges  
 Granting Organization: \_\_\_\_\_ Department of Health and Human Services Grant #: \_\_\_\_\_  
 Effective Date of Authorization: \_\_\_\_\_ Beginning: 9/30/2010 Ending: 6/30/2011

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 Grant affords Arkansas the opportunity to engage in collaborative, focused planning to determine recommendations for implementing Arkansas's Insurance Health Care Exchange within the time frame and requirements determined by the Affordable Care Act and the regulations promulgated by HHS.

**Project-Grant Funding**

Business Area Code: 0425  
 Funds Center Code: \_\_\_\_\_  
 Fund Code: \_\_\_\_\_  
 Functional Area Code: COMM  
 Continuation of Existing Program: \_\_\_\_\_  
 Change in Existing Program: \_\_\_\_\_  
 New Program: X

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	126,695			126,695
Extra Help				-
Operating Expenses	37,877			37,877
Personal Services Matching	34,980			34,980
Conference & Travel Expenses	10,448			10,448
Professional Fees	790,000			790,000
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000

**Funding Percentages**

	Federal	State	Other	Total
FY07				0%
FY08				0%
FY09				0%
FY10				0%
FY11	100%			100%

**Type of Federal Grant**

WIA \_\_\_\_\_  
 Non-WIA X  
 ARRA \_\_\_\_\_

Anticipated Duration of Federal Funds: 9-30-2011

<b>DFA IGS State Technology Planning</b>	<b>Date</b>
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Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
217064046	1N01	NEL1	327805		501:00:00	Nurse Practitioner	L018N	N904	
217064046	1N01	NEL1	327805		501:00:00	Insurance Life & Health Comp	X083C	C118	

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: \_\_\_\_\_  
 Agency Director Date: 9/20/2010 Office of Budget Date: 9-23-10 Office of Personnel Mgmt Date: \_\_\_\_\_

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/7/2010 Grant ID GA-2255-10-001 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Department of Parks & Tourism Program Title: Cultural Resource Studies for Prairie Grove Battlefield  
 Granting Organization National Park Service U. S. Department of the Interior Grant #: GA-2255-10-001  
 Effective Date of Authorization: Beginning: 8/1/2010 Ending: 6/30/2011  
~~8/31/2011~~

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 Specifically delineate locations and extent of major battlefield features in order to (a) enhance interpretation of the battle as noted in historical records including diaries. (b) Insure preservation of battlefield features in conjunction with future park developments outlined in the Master Plan. Targeted features include: Borden homestead features, burial trenches, Aday post office, Cane Hill/Fayetteville road, Morton and Rogers homesteads.

**Project-Grant Funding**

Business Area Code: 0900  
 Funds Center Code: \_\_\_\_\_  
 Fund Code: \_\_\_\_\_  
 Functional Area Code: REC  
 Continuation of Existing Program: \_\_\_\_\_  
 Change in Existing Program: \_\_\_\_\_  
 New Program: X

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Operating Expenses	\$82,000	\$20,000		\$102,000
Personal Services Matching				-
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	\$ 82,000	\$ 20,000	\$ -	\$ 102,000

	Funding Percentages			Total
	Federal	State	Other	
FY07				0%
FY08				0%
FY09				0%
FY10				0%
FY11	80%	20%		100%

**Type of Federal Grant**  
 WIA \_\_\_\_\_  
 Non-WIA X  
 ARRA \_\_\_\_\_

Anticipated Duration of Federal Funds: 17 MONTHS

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**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)** \* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: \_\_\_\_\_ Date: 9/22/10  
 Agency Director  
 \_\_\_\_\_ Date: 9-23-10  
 Office of Budget  
 \_\_\_\_\_ Date: \_\_\_\_\_  
 Office of Personnel Mgmt  
 \_\_\_\_\_ Date: \_\_\_\_\_

*RAS*

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/7/2010 Grant ID H7110-L-010 Legislative Review Date: \_\_\_\_\_

Agency: Arkansas Department of Parks & Tourism Program Title: "Tent 3" (Traveling Education Nature Trailer)

Granting Organization National Park Service U. S. Department of the Interior Grant #: H7110-L-010

Effective Date of Authorization: \_\_\_\_\_ Beginning: 7/1/2010 Ending: 6/30/11

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
Assist Arkansas State Parks to develop and equip a mobile center for learning and sharing of knowledge about the natural world; and to transport the opportunity for camping and outdoor experiences to diverse audiences in the state and national parks nearest them. This trailer will increase the effectiveness of each park's interpretive mission through access to camping and specialized interpretive equipment.

**Project-Grant Funding**

Business Area Code: 0900  
Funds Center Code: \_\_\_\_\_  
Fund Code: \_\_\_\_\_  
Functional Area Code: REC

Continuation of Existing Program: \_\_\_\_\_  
Change in Existing Program: \_\_\_\_\_  
New Program: X

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Operating Expenses	12,225	1,000		13,225
Personal Services Matching				-
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay	3,675			3,675
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	<b>\$ 15,900</b>	<b>\$ 1,000</b>	<b>\$ -</b>	<b>\$ 16,900</b>

**Funding Percentages**

	Federal	State	Other	Total
FY07				0%
FY08				0%
FY09				0%
FY10				0%
FY11	94%	6%		100%

**Type of Federal Grant**

WIA \_\_\_\_\_  
Non-WIA X  
ARRA \_\_\_\_\_

Anticipated Duration of Federal Funds: 12 MONTHS

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 9/13/10 Date  
Office of Budget Date  
[Signature] 9-03-10 Date  
Office of Personnel Mgmt Date

1222

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/8/2010 Grant ID: FDA-10-Tobacco Legislative Review Date: \_\_\_\_\_

Agency: Arkansas Tobacco Control Program Title: Retail Tobacco Inspection Program

Granting Organization: U.S. Food & Drug Administration Contract # HHSF2232010000058C

Effective Date of Authorization: \_\_\_\_\_ Beginning: 8/30/2010 Ending: 6/30/2011

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
To assist the U. S. Food and Drug Administration (FDA) in inspecting retail establishments that sell cigarettes and/or smokeless tobacco products and in surveillance of other entities that fall under the scope of the FDA.

**Project-Grant Funding**

Business Area Code: 0261  
Funds Center Code: \_\_\_\_\_  
Fund Code: \_\_\_\_\_  
Functional Area Code: Prof

Continuation of Existing Program: \_\_\_\_\_  
Change in Existing Program: \_\_\_\_\_  
New Program: X

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	277,025			277,025
Extra Help				-
Operating Expenses	87,304			87,304
Personal Services Matching	78,267			78,267
Conference & Travel Expenses				-
Professional Fees	31,242			31,242
Capital Outlay				-
Data Processing				-
American Recovery and Reinvestment Act of 2009				-
Other:				-
Other:				-
<b>Total</b>	\$ 473,838	\$ -	\$ -	\$ 473,838

**Funding Percentages**

	Federal	State	Other	Total
FY07				0%
FY08				0%
FY09				0%
FY10				0%
FY11	100%			100%

**Type of Federal Grant**

WIA \_\_\_\_\_  
Non-WIA X  
ARRA \_\_\_\_\_

Anticipated Duration of Federal Funds: 8/29/2011

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**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
21690261	TC01	NEL1			501:00:00	ATC Deputy Director	X057C	C120	
21690261	TC01	NEL1			501:00:00	Quality Assurance Coordinator	X062C	C119	
21690261	TC01	NEL1			501:00:00	ATC Enforcement Agent	X131C	C116	
21690261	TC01	NEL1			501:00:00	ATC Enforcement Agent	X131C	C116	
21690261	TC01	NEL1			501:00:00	ATC Enforcement Agent	X131C	C116	
21690261	TC01	NEL1			501:00:00	Administrative Specialist III	C056C	C112	

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 9-15-10  
Agency Director Date

[Signature] 9-23-10  
Office of Budget Date  
BS 9/22/10

[Signature] 9/22/10  
Office of Personnel Mgmt Date  
REVISED 03/27/2010

**SUMMARY SCHEDULE OF STATE AGENCY CONTRACTS  
FOR ARKANSAS LEGISLATIVE COUNCIL REVIEW**



***Contracts Between State Agencies or Institutions***

**1. Agency:** Education Department      **Contractor:** UA-Dept. of Research Sponsored Programs  
**Location:** Fayetteville      **State:** AR  
**Total Authorized:** \$3,930,363.00    **Org. Term** 07/01/2005 06/30/2006    **Procurement:** Request for Proposal - Contract Extension  
**Total After Review:** \$4,998,790.00    **Funding:** State - 100%  
**Total Projected:**      **Contract Number:** 4600008605

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 8	1,068,427.00	3,930,363.00	Amd.8 increases compensation and expense to extend the contract eight months.	06/30/2011
<b>History:</b>				
Amd. 6	0.00	3,438,116.15	Amds. 6&7, June and September 2010, extended the contract three months and one month, respectively.	10/31/2010
5	897,761.00	2,809,013.56	Amd.5 increases compensation and expense to extend the contract one year for continuation of the integrated data model, professional development, and Intensive Reading Instruction data analysis.	06/30/2010
4	867,815.00	2,164,787.00	Amd.4 increases compensation \$679,816 and expense 187,999 to extend the contract one year for continuation of the integrated data model, professional development, and Intensive Reading Instruction data analysis, and modifies funding.	06/30/2009
3	826,491.00	1,338,296.00	Continuation of the integrated data model for the Dept. of Ed. and professional development and Intensive Reading Intervention data analysis.	
2	0.00		Extends contract date.	06/30/2008
1	737,505.00	600,791.00	Continuation of the integrated data model for ADE and additional professional development and IRI data analysis.	06/30/2007
Original:	600,791.00		Contractor will receive data from the Arkansas Student Information System, the student assessments and other documents, prepare school and school district adequate yearly progress reports in keeping with the Accountability workbook pursuant to No Child Left Behind, prepare documents to be posted on the Web for private and public access for all 1200 schools and 253 local districts - as well as by parents, prepare specific reporting documents as requested by the Arkansas Department of Education, meet established timelines as established in the RFP and in the Accountability Workbook, and maintain a Web site that provides instant access to data by Department staff and school personnel.	

**2. Agency:** Human Services Department      **Contractor:** Board of Trustees, UofA /behalf of UAMS Dept. of Psychiatry, Div. of Hlth. Svcs. Rsch.  
**Div/Prog:** Child Care/Early Childhood Ed      **Location:** Little Rock      **State:** AR  
**Total Authorized:** \$732,642.00    **Org. Term** 07/10/2009 06/30/2010    **Procurement:** Intergovernmental - Contract Extension  
**Total After Review:** \$1,028,122.00    **Funding:** Federal - 100% - American Recovery & Reinvestment Act & Child Care Development Fund  
**Total Projected:** \$732,642.00      **Contract Number:** 4600017886

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 3	295,480.00	398,975.88	Amd.3, increases compensation and expenses to extend the contract eight months and add Federal non-ARRA funding.	06/30/2011
<b>History:</b>				
Amd. 2	110,505.00	220,678.74	Amd.2, May 3, 2010, increases compensation and expenses to extend the contract four months.	10/31/2010
1	-110,505.00	0.00	Amd.1, Oct. 21, 2009, reduces the total contract amount.	
Original:	732,642.00		Org. contract provides development and training of staff and parents in Health and Parenting, statewide, with a focus on centers and family day-care programs with high voucher usage and with the collection and reporting of American Recovery and Reinvestment Act data under federal and state directives.	

**3. Agency:** Human Services Department      **Contractor:** Board of Trustees of UA acting for and on behalf of UALR  
**Div/Prog:** Division of Youth Services      **Location:** Little Rock      **State:** AR  
**Total Authorized:**      **Org. Term** 10/15/2010 06/30/2011    **Procurement:** Intergovernmental

**Total After Review:** \$29,700.00 **Funding:** Federal - 100% - 2009 Juvenile Accountability Formula Block Grant

**Total Projected:** \$207,900.00

**Contract Number:** 4600020293

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	29,700.00		Org. contract provides the UALR Juvenile Justice Center collection of Disproportionate Minority Contact (DMC) data as it relates to the Office of Juvenile Justice Delinquency Prevention (OJJDP) program.	



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**G**

September 23, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Appropriation and/or Fund Transfer Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Appropriation and/or Fund Transfer request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



State of Arkansas



ARKANSAS STATE POLICE

1 State Police Plaza Drive Little Rock, Arkansas 72209-4822 www.asp.arkansas.gov

Mike Beebe Governor

"SERVING WITH PRIDE AND DISTINCTION SINCE 1935"

Winford E. Phillips Director

September 8, 2010

ARKANSAS STATE POLICE COMMISSION

Mr. Richard Weiss, Director
Department of Finance & Administration
1509 West 7th Street, Suite 401
Little Rock, Arkansas 72201

Dr. Lewis Shepherd Chairman Arkadelphia

Dear Mr. Weiss:

John Allison Vice-Chairman Conway

Arkansas State Police requests review and approval to transfer funds and appropriation as shown below:

Steve G. Smith Secretary Little Rock

FUNDS:

From Cost Center Amount
TPC0100 456687 \$65,500.00

Jane Christenson Harrison

To
SMP0100 456601 \$65,500.00

Daniel "Woody" Futrell Nashville

APPROPRIATION:

From To
Appro 524 Appro 519
TPC0100 SMP0100

Wallace Fowler Jonesboro

Commit Item Commit Item
511:00:20 502:00:02 \$65,500.00

Frank Guinn, Jr. Paragould

This transfer request is in accordance with Sections 14 & 15 of Act 219 of 2010 and subject to Legislative Council review and approval. The funds and appropriation will be used to purchase weapons, M-16 magazines and agency operational needs for the Department.

Please contact our office at 618-8333 if you have any questions. Thank you for your help with this request.

Sincerely,

Colonel Winford E. Phillips
Director

cc: Mike Stormes

BA: 0960

FA: SFTY

an for 9/9/10



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**H**

August 23, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Supplemental Personal Services Positions Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Supplemental Personal Services Positions request(s) that has received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)

**REQUEST FOR ALLOCATION OF SUPPLEMENTAL PERSONAL SERVICES POSITIONS  
AUTHORIZED BY A.C.A. §21-5-301 ET SEQ.**

Date: 8/4/2010  
Legislative Review Date: \_\_\_\_\_

Agency: Arkansas State Board of Nursing  
Program Title: Operation  
Effective Date of Authorization: Beginning: 10/1/2010 Ending: 6/30/2011

Justification for Request (see A.C.A. §21-5-308):  
The Nursing Board is a special revenue agency, collecting all funds received from its licensees and has sufficient funds for this request. Complaints received involve abuse of patients, misappropriation of patient property and drugs, positive criminal histories, and licensees who are addicted to controlled substances while caring for patients. These issues must be handled expeditiously to protect Arkansas citizens. The volume of cases investigated has doubled since FY 07-08 and the current staff are unable to absorb this extra workload. The duties of the requested position will subsume some of the duties of those being carried out by current staff. Some of the duties will include, but not limited to: Processing legal documents; reviewing incoming legal cases and documents; preparing case information; issuing subpoenas; scheduling hearings; processing agency documents for investigations and disciplinary hearings; entering disciplinary actions into required federal reporting databases; and responding to document requests under the Freedom of Information Act.

**Funds Center Transfer:**

Business Area: 0277 Funds Center: 286 Fund: SBN0100 Functional Area: Prof

**FY2011**

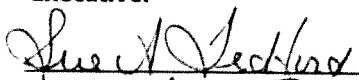
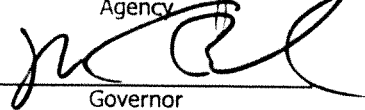
Transfer From		Transfer To	
Professional Fees (506:00:10)	\$ 18,019	Supplemental Emergency Salaries (501:00:07)	\$ 20,409
Operating Expenses (502:00:02)	\$ 10,000	Personal Services Matching (501:00:03)	\$ 7,610
<b>Total</b>	<b>\$ 28,019</b>	<b>Total</b>	<b>\$ 28,019</b>

**Positions to be established: (list each position separately)**

\*Unclassified positions only

Personnel Area	Position Number	Cost Center	Functional Area	Position Title	Class Code	Grade	* FY2011
NR01		234210	Prof	Legal Support Specialist	C046C	C113	

**Executive:**

  
\_\_\_\_\_  
Agency  
  
\_\_\_\_\_  
Governor

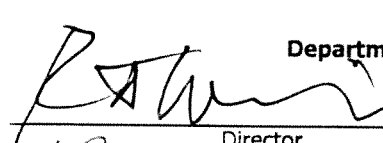
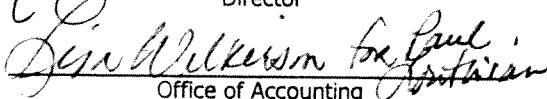
**Legislative:**

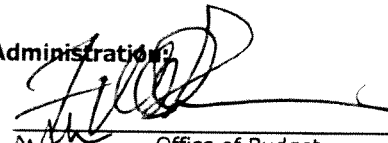
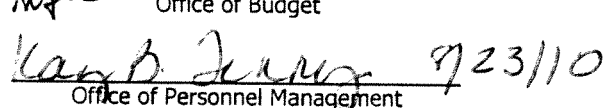
\_\_\_\_\_  
Agency  
\_\_\_\_\_  
Chairman Legislative Council  
\_\_\_\_\_  
Chairman Joint Audit

**Judicial:**

\_\_\_\_\_  
Agency  
\_\_\_\_\_  
Chief Justice

**Department of Finance and Administration:**

  
\_\_\_\_\_  
Director  
  
\_\_\_\_\_  
Office of Accounting

  
\_\_\_\_\_  
Office of Budget  
  
\_\_\_\_\_  
Office of Personnel Management 923/110



# Arkansas Tobacco Settlement Commission

101 East Capitol Avenue, Suite 108 • Little Rock, Arkansas 72201  
Phone: 501-683-0074 • Fax: 501-683-0078 • www.atsc.arkansas.gov • atsc@arkansas.gov

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**Anthony Fletcher, MD**  
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**Aaron Black, JD, MPA**  
Executive Director

**Christian Yarberry**  
Grants Coordinator

**Tina DeLay**  
Executive Assistant

September 21, 2010

Richard Weiss, Chair  
Arkansas State Board of Finance  
Arkansas Department of Finance & Administration  
1509 West Seventh Street  
Little Rock, Arkansas 72201

Dear State Board of Finance Members:

The Arkansas Tobacco Settlement Commission operates solely on the investment income from the Tobacco Settlement Proceeds Fund, as established in §19-12-108 of the Arkansas Tobacco Settlement Proceeds Act of 2000. Within section 103 of this same Act, the law grants exclusive control of these investments to the State Board of Finance.

Due to the low rate of return on the investments of the Tobacco Settlement Proceeds Fund for state fiscal year 2010, the Arkansas Tobacco Settlement Commission has worked with staff at the Office of the Treasurer to determine what investment options would comply with the regulations of the Act (§§19-12-103, 107, & 108), and would also increase the rate of return for the remainder of fiscal year 2011 and beyond.

Through these discussions it has been determined that certificates of deposit, with multiple maturity dates spaced out over the fiscal year, would comply with the Act and would also bring a higher rate of return on the investments. Utilizing quarterly spending patterns for Tobacco Settlement Programs from the past three fiscal years, a reasonable estimate of spending for fiscal year 2011 has been established. These recommendations allow for certain certificates of deposits to mature each quarter, providing new cash availability. Additional funds are retained in money market accounts to cover unanticipated needs.

Under section 12-12-103b(3), the Act provides that the State Board of Finance is authorized to place Tobacco Settlement Program Fund and Arkansas Tobacco Settlement Commission Fund investments in "certificates of deposit, in securities as outlined in Arkansas Code Annotated §23-47-401 without limitation or as approved in the Board of Finance investment policy."

The Arkansas Tobacco Settlement Commission would request the State Board of Finance move toward investing these fund balances into multiple certificates of deposit with staggered maturity dates, while retaining an appropriate amount of

funds in money market accounts for immediate spending needs. The Commission respectfully requests that the State Board of Finance do all within its power to act on these recommendations as quickly as possible. The specific investment breakdown for each fund is listed in the following table:

<b>TOBACCO SETTLEMENT PROGRAM FUND</b>		
<b>Amount</b>	<b>Investment Form</b>	<b>Maturity Date</b>
\$60,000,000.00	Nine Month CD's	June 30, 2011
\$20,000,000.00	Six Month CD's	March 30, 2011
\$10,000,000.00	Three Month CD's	December 31, 2010
\$2,000,000.00	One Month CD's	October 31, 2010* (*Reinvested monthly until funds are needed)
\$9,772,264.80	Money Market Account	No Maturity Date
<b>ARKANSAS HEALTHY CENTURY TRUST FUND</b>		
\$100,000,000.00	Five Year FHLB Step Up Bond	Staggered Potential Call Dates
\$28,984,124.58	Nine Month CD's	June 30, 2011

The Commission is confident these changes meet the requirements of the Act, while still maximizing the income from the corpus of these funds. The Commission appreciates the State Board of Finance's consideration of these requests and looks forward to hearing a decision in the very near future.

Sincerely yours,



Aaron Parish Black, JD, MPA  
Executive Director

cc: Arkansas Tobacco Settlement Commission Members  
State Board of Finance Members



# Arkansas

THE NATURAL STATE®



**DEPARTMENT OF  
PARKS & TOURISM**

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Little Rock, AR 72201  
501-682-7777  
Arkansas.com

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501-682-6900 (TDD)  
Ark-ives.com

Keep Arkansas  
Beautiful Division  
501-682-3507 (TDD)  
KeepArkansasBeautiful.com

Personnel Section  
501-682-7742 (TDD)

State Parks Division  
501-682-1191 (TDD)  
ArkansasStateParks.com

Tourism Division  
501-682-7777 (TDD)

**Mike Beebe  
GOVERNOR**

Richard W. Davies  
EXECUTIVE DIRECTOR

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RECREATION  
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**AN EQUAL  
OPPORTUNITY/  
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AMERICANS WITH  
DISABILITIES ACT  
EMPLOYER**

September 27, 2010

The Honorable Steve Bryles  
Arkansas State Senate  
Room 320, State Capitol Building  
Little Rock, AR 72201

The Honorable Jonathon Dismang  
State of Arkansas House of Representatives  
Room 350, Capitol Building  
Little Rock, AR72201

Re: Village Creek Golf Course

Dear Senator Bryles and Representative Dismang:

Following the PEER Committee's request, attached please find the project background and operational income and expense projections for FY 12-16.

If I can be of additional assistance, please contact me at 682-7743.

Sincerely,

Greg Butts, Director  
Arkansas State Parks

GB/kar  
Enclosures

cc.: Tony Vogelgesang (w/encs.)  
Richard W. Davies (w/encs.)

Village Creek State Park Golf Course  
FY 12-16 Operation Financial Projections

Background:

Prior to the grand opening and dedication of Village Creek State Park (VCSP) in 1976, the initial park master plan entailed the proposed development of a 18 hole championship golf course. Comprising 7,000 acres on Crowley's Ridge in Cross and St. Francis Counties near Wynne, the original vision for the state park included resort type developments that would be East Arkansas's complement to DeGray Lake Resort State Park near Arkadelphia.

Favorably reviewed by the Arkansas Legislative Council on April 16, 2004, the Arkansas Department of Parks and Tourism (ADPT) began negotiations for a public/private partnership to develop a golf resort at VCSP. Upon conclusion of successful negotiation, ADPT entered into a lease, development services, and management agreements with Village Creek Resort, LLC (VCR). VCR's responsibilities included financing, building and operating a resort (hotel, restaurant, pro shop and the golf course); ADPT's responsibility was to finance the construction of an Andy Dye Designs signature 27 hole PGA golf course. With the golf course completed and work underway on the resort, VCR was declared in default of their loan obligations to Cross County Bank (CCB) in August 2009. With CCB completing the foreclosure process in late FY 10, ADPT is now responsible for operating and maintaining the golf course. The agreements between VCR and ADPT were terminated in September 2009. The golf course is closed, undergoing final "grow in", erosion control measures, and improvements for an estimated reopening in early summer 2011.

Operation Projections:

At the request of the Performance and Evaluation Expenditure Review Committee, the attached income and expense projections were prepared based on several factors:

- The 2003 Market Feasibility Analysis completed by PKF Consulting, notes there are 5.4 million people within a 175 mile radius of the project location, including the Memphis Metropolitan Area that is about an hour's drive away. The study noted competitive golf courses experienced an average of approximately 44,200 annual rounds per 18-hole course. Upon stabilization with the development of resort amenities, the PKF study noted the VCSP golf course will capture 55,000 rounds annually, increasing from 45,000 initially.

- VCSP provides a variety of facilities and related recreational and educational opportunities for visitors: 96 RV campsites; 10 modern housekeeping cabins, two fishing/swimming lakes, seven miles of hiking/interpretive trails, 25 miles of multi-use trails (equestrian, mountain biking, and hiking), and numerous day use facilities. Golfers are looking for family destinations, and VCSP provides a variety of vacationing options.
- There are a total of 901 motel rooms in the area (Wynne, 131), (Forrest City 770) for traveling golfers.
- The projected income for the early fiscal years is very conservative, taking into consideration the course reopening is without a completed resort, the present economy, and the time required to “grow” the business. This “signature” 27 hole course in a beautiful setting, blending a design within nature that will be a major attraction for East Arkansas. ADPT will continue to re-evaluate future opportunities for a public/private partnership for achieving the goal of creating a resort golf destination, while concurrently maintaining and protecting the state’s investment in the course.

# VILLAGE CREEK GOLF COURSE INCOME & EXPENSE PROJECTIONS

	<b>FY12</b>	<b>FY13</b>	<b>FY14</b>	<b>FY15</b>	<b>FY16</b>
	<b>8,000 ROUNDS</b>	<b>12,000 ROUNDS</b>	<b>20,000 ROUNDS</b>	<b>30,000 ROUNDS</b>	<b>45,000 ROUNDS</b>
<b>Weekday Rate:</b>	\$39	\$39.59	\$40.18	\$40.78	\$41.39
<b>Weekend Rate:</b>	\$45	\$45.68	\$46.36	\$47.06	\$47.76

<b>Income (18 Holes)</b>	\$384,340	\$555,709	\$894,712	\$1,326,567	\$1,979,743
Greens Fees / Cart Rental	\$314,640	\$479,039	\$810,375	\$1,233,796	\$1,877,695
Pro Shop Sales	\$69,700	\$76,670	\$84,337	\$92,771	\$102,048
Cost of Sales (-)	\$27,880	\$30,668	\$33,735	\$37,108	\$40,819
<b>Total Income</b>	<b>\$356,460</b>	<b>\$525,041</b>	<b>\$860,977</b>	<b>\$1,289,458</b>	<b>\$1,938,924</b>

<b>Expenses</b>					
00 Regular Salaries	\$377,679	\$383,344	\$389,094	\$394,931	\$400,855
01 Extra Help	\$135,000	\$137,025	\$139,080	\$141,167	\$143,284
03 Matching	\$165,536	\$168,019	\$170,539	\$173,097	\$175,694
02 M&O Total	\$281,390	\$285,611	\$289,895	\$294,243	\$298,657
Cart Lease	\$47,666	\$47,667	\$47,667	\$48,382	\$48,382
Equipment Lease	\$44,594	\$44,594	\$44,594	\$45,263	\$45,942
<b>Total Expenses</b>	<b>\$1,051,865</b>	<b>\$1,066,260</b>	<b>\$1,080,870</b>	<b>\$1,097,083</b>	<b>\$1,112,814</b>

<b>TOTAL INCOME:</b>	<b>\$356,460</b>	<b>\$525,041</b>	<b>\$860,977</b>	<b>\$1,289,458</b>	<b>\$1,938,924</b>
<b>TOTAL EXPENSES:</b>	<b>\$1,051,865</b>	<b>\$1,066,260</b>	<b>\$1,080,870</b>	<b>\$1,097,083</b>	<b>\$1,112,814</b>

<b>ERALL PROFIT / LOSS:</b>	<b>(\$695,405)</b>	<b>(\$541,219)</b>	<b>(\$219,893)</b>	<b>\$192,375</b>	<b>\$826,110</b>
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# Revenue from 18 Holes

	<b>FY12</b>	<b>8,000</b>	<b>ROUNDS</b>	<b>\$314,640</b>
Standard Weekday Rate: 17%	\$39.00	1,360	\$53,040	
Junior Weekday Rate: 3%	\$11.50	240	\$2,760	
Senior Weekday Rate: 20%	\$31.20	1,600	\$49,920	
Standard Weekend Rate: 57%	\$45.00	4,560	\$205,200	
Junior Weekend Rate: 3%	\$15.50	240	\$3,720	

	<b>FY13</b>	<b>12,000</b>	<b>ROUNDS</b>	<b>\$479,039</b>
Standard Weekday Rate: 17%	\$39.59	2,040	\$80,753	
Junior Weekday Rate: 3%	\$11.67	360	\$4,202	
Senior Weekday Rate: 20%	\$31.67	2,400	\$76,003	
Standard Weekend Rate: 57%	\$45.68	6,840	\$312,417	
Junior Weekend Rate: 3%	\$15.73	360	\$5,664	

	<b>FY14</b>	<b>20,000</b>	<b>ROUNDS</b>	<b>\$810,375</b>
Standard Weekday Rate: 17%	\$40.18	3,400	\$136,608	
Junior Weekday Rate: 3%	\$11.85	600	\$7,109	
Senior Weekday Rate: 20%	\$32.14	4,000	\$128,572	
Standard Weekend Rate: 57%	\$46.36	11,400	\$528,505	
Junior Weekend Rate: 3%	\$15.97	600	\$9,581	

	<b>FY15</b>	<b>30,000</b>	<b>ROUNDS</b>	<b>\$1,233,796</b>
Standard Weekday Rate: 17%	\$40.78	5,100	\$207,985	
Junior Weekday Rate: 3%	\$12.03	900	\$10,823	
Senior Weekday Rate: 20%	\$32.63	6,000	\$195,751	
Standard Weekend Rate: 57%	\$47.06	17,100	\$804,650	
Junior Weekend Rate: 3%	\$16.21	900	\$14,587	

	<b>FY16</b>	<b>45,000</b>	<b>ROUNDS</b>	<b>\$1,877,695</b>
Standard Weekday Rate: 17%	\$41.39	7,650	\$316,658	
Junior Weekday Rate: 3%	\$12.21	1,350	\$16,478	
Senior Weekday Rate: 20%	\$33.03	9,000	\$297,271	
Standard Weekend Rate: 57%	\$47.76	25,650	\$1,225,079	
Junior Weekend Rate: 3%	\$16.45	1,350	\$22,209	

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**Revenue from Merchandise = 10% Increase / 40% Cost of Sales**

	<b>FY13</b>	<b>FY14</b>	<b>FY15</b>	<b>FY16</b>
	\$27,880	\$39,032	\$54,645	\$76,503

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**Expense Inflationary Increase = 1.5%**

	<b>FY13</b>	<b>FY14</b>	<b>FY15</b>	<b>FY16</b>
Regular Salary	\$5,665	\$5,750	\$5,837	\$5,924
Extra Help	\$2,025	\$2,055	\$2,087	\$2,117
Matching	\$2,483	\$2,520	\$2,558	\$2,597
M&O	\$4,221	\$4,284	\$4,348	\$4,414

**Cart Lease = 60 Carts for 36 months, plus 1.5% inflation upon renewal.**

**State of Arkansas  
Budget Stabilization Trust (TBS)  
As of August 31, 2010**

K.2

<b>Fund Balance</b>	\$	<b>182,319,629.70</b>
<b>Outstanding Loans</b>		<b>48,710,533.90</b>
<b>Total Funds Available</b>	\$	<b>231,030,163.60</b>
<b>Projected Receipts for Remainder of FY11</b>		
TAS0000 Interest Income Investments(1/2)	(1) \$	<u>16,537,651.16</u>
<b>Total Projected Receipts</b>	\$	16,537,651.16
<b>Projected Obligations for Remainder of FY11</b>		
AASIS appropriation in Act 104/10	\$	(2,901,533.56)
HMD0400 State Military Dept.- Call Up	(2)	(1,996,467.84)
HSC0000 State Central Services	(3)	(4,000,000.00)
MHD0100 Information Technology Reserve	(4)	(3,500,000.00)
MMA0000 Disaster Assistance	(5)	(6,260,457.00)
MTA0000 Miscellaneous Revolving	(6)	<u>(5,770,000.00)</u>
<b>Total Projected Obligations</b>	\$	<u>(24,428,458.40)</u>
<b>Total Projected Available 06-30-11</b>	\$	<b>223,139,356.36</b>
Less Outstanding Loans		
MHD0100 Information Technology Reserve	(4)	0.00
MWF0000 County Road Maint. Rev.	(7)	(33,253.92)
SDC0100 Corrections-Farm	(8)	<u>(6,900,000.00)</u>
<b>Total Outstanding Loans</b>	\$	<u>(6,933,253.92)</u>
<b>Projected Unobligated Funds Available 06-30-11</b>	\$	<b><u>216,206,102.44</u></b>



**State of Arkansas  
Budget Stabilization Trust (TBS)  
As of August 31, 2010**

**Outstanding Loans**

BAA0000	Health Dept.	\$	0.00
CAA0000	U of A Fayetteville		0.00
CCA0000	U of A Medical Sciences		0.00
CEA0000	U of A Little Rock		0.00
CGA0000	U of A Pine Bluff		0.00
CIA0000	U of A Monticello		0.00
CKA0000	Ark. State Univ.-Jonesboro		0.00
CMA0000	Ark. State Univ.-Beebe		0.00
COA0000	Arkansas Technical Univ.		0.00
CQA0000	Henderson State Univ.		0.00
CSA0000	Southern Ark. Univ.		0.00
CSS0000	Southern Ark. Univ.-Tech.		0.00
CTB0000	Black River Tech College		0.00
CTC0000	Cossatot Tech College		0.00
CTG0000	Gateway Tech College		0.00
CTH0000	ASU-Mountain Home		0.00
CTJ0000	U A Cmty College Morrilton		0.00
CTM0000	Mid-South Community College		0.00
CTO0000	Ozarka Tech College		0.00
CTP0000	Pulaski Tech College		0.00
CTR0000	Red River Tech College		0.00
CTT0000	Pines Tech College		0.00
CTW0000	Ouachita Tech College		0.00
CUA0000	Univ. of Central Ark.		3,600,000.00
CWA0000	Northwest Ark Community College		0.00
CWE0000	East Ark. Community College		0.00
CWG0000	Garland Co. Community College		0.00
CWM0000	Mississippi Co. Community College		0.00
CWN0000	North Ark. Community/Tech Inst		0.00
CWP0000	Phillips Co. Community College		0.00
CWR0000	Rich Mountain Community College		0.00
CWS0000	South Arkansas Community College		0.00
CWW0000	Westark Community College		0.00
CXX0000	Higher Ed Institutions Performance		0.00

**State of Arkansas  
Budget Stabilization Trust (TBS)  
As of August 31, 2010**

**Outstanding Loans (Continued)**

DAS0000	DHS Administative	\$	142,000.00
DBA0000	DHS Mental Health		0.00
DCC0000	DHS Child Care & Early Childhd Ed		0.00
DCF0000	DHS Children & Family Serv.		0.00
DCO0000	DHS County Operations		0.00
DEA0000	DHS Developmental Disabilities		5,455,000.00
DEM0000	DHS Economic & Medical Serv.		0.00
DGF0000	DHS Grants		4,985,000.00
DHP0000	DHS Office on Aging		0.00
DSB0000	DHS State Serv. for the Blind		0.00
DYS0000	DHS Youth Services		0.00
EFT0000	Dept. of Education		29,000.00
EGA0000	Dept. of Education		0.00
EGB0000	Vocational Education Administrative		0.00
EGR0000	Rehabilitation Services		86,000.00
EMA0000	Educational Television		87,000.00
EPA0000	State Library		78,000.00
ESA0000	School for the Blind		0.00
ETC0000	Crowley's Ridge Tech Inst		115,000.00
ETN0000	Northwest Tech Inst		125,000.00
ETR0000	Riverside Vocational Tech		15,500.00
EVA0000	School for the Deaf		130,000.00
FAY0900	Attorney General		0.00
FJD0100	Admin Office of the Courts		0.00
HAD0000	AR Agriculture Dept.		90,000.00
HCA0000	Dept. of Corrections	(8)	2,785,000.00
HCP0000	Community Punishment		364,000.00
HEG0000	Higher Education Grants		0.00
HGA0000	Dept. of Parks & Tourism		224,000.00
HLP0000	Livestock & Poultry		0.00
HMA0000	Environmental Quality		128,000.00
HMD0000	State Military Dept.		59,000.00
HOA0000	Arkansas Industrial Development		0.00
HQA0000	Dept. of Higher Education		0.00
HRA0000	Arkansas Heritage		127,000.00
HSA0000	Dept. of Labor		109,000.00
HSC0200	House		0.00
HSC0500	Senate		0.00
HSC0900	Legislative Audit		0.00
HSC1100	Legislative Research		0.00
HSC1200	Legislative Research		0.00
HSC1400	Governors Mansion		0.00
HSC1500	JICL Facilities		0.00
HSC1800	Court of Appeals		0.00

**State of Arkansas  
Budget Stabilization Trust (TBS)  
As of August 31, 2010**

**Outstanding Loans (Continued)**

HSC2300	Admin Office of the Courts		\$ 0.00
HSC2800	Prosecuting Coordinator		0.00
HSC3001	DFA Revenue		0.00
HSC3200	Supreme Court		0.00
HSC3400	Governors Office		0.00
HSC3600	Claims Commission		0.00
HSC3701	Public Defender		0.00
HSC3704	Public Defender		0.00
HSC5100	Lt Governor		0.00
HSC5300	Attorney General		0.00
HSC5900	Auditor		0.00
HSC6000	Land		0.00
HSC6101	DFA Management Operating		0.00
HSC6102	DFA Management IT		0.00
HSC6300	Secretary of State		0.00
HSC6900	Treasury		0.00
HUA0000	Miscellaneous Agencies Fund		8,605,000.00
JAA0000	Public School		0.00
JSL0000	State Library		298,000.00
JWE0000	Workforce Ed Public School		0.00
MCE0000	Child Support Enforcement		236,000.00
MCF0000	Constitutional Officers		0.00
MCF0200	House		0.00
MCF0500	Senate		0.00
MCF3400	Governors Office		0.00
MCF5900	Auditor		0.00
MCJ0000	County Jail Reimbursement	(8)	0.00
MHC0000	Dept of Computer Services		0.00
MHD0100	Information Technology Reserve		0.00
MJA0000	Arkansas Crime Information System		105,000.00
MLC0100	County Aid		1,588,399.16
MLM0100	Municipal Aid		2,931,380.82
MMA0000	Disaster Assistance		0.00
MMF0000	Merit Adjustment		0.00
MMV0000	Motor Vehicle Acquisition Rev.		0.00
MWF0000	County Road Const. & Maint. Rev.	(7)	33,253.92
MWJ0200	Justice Building		0.00
MWS0000	Workforce Services		41,000.00
SDC0100	Corrections Farm	(8)	6,900,000.00
SDD0000	Corrections Industry		0.00
SDF0000	Forestry Dept.		0.00
SDG0000	Game & Fish Comm		0.00
SDP0000	State Plant Board		0.00
SMP0000	Arkansas State Police		4,239,000.00
TBB0000	Interstate Mtr Fuel tax Refund		0.00
TBC0000	Gasoline Tax Refund		0.00
TGC0000	Corporate Income Tax Withholding		0.00
TGI0000	Individual Income Tax Withholding		0.00
TSD0100	Prevention & Cessation Program	(9)	5,000,000.00
<b>Total Outstanding Loans</b>			<b>\$ <u>48,710,533.90</u></b>

**State of Arkansas  
Budget Stabilization Trust (TBS)  
As of August 31, 2010**

**Recap of ADC Loans**

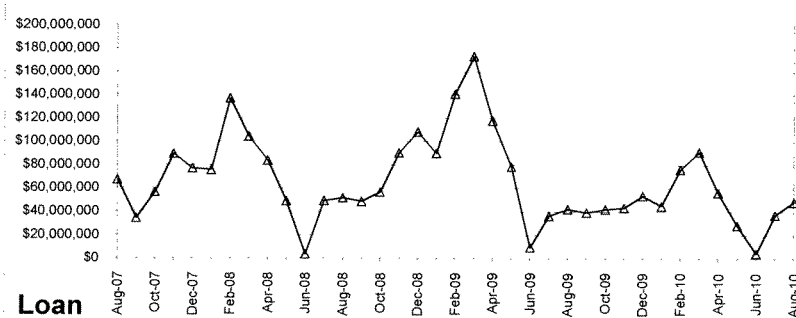
(8)	HCA0000-Care & Custody Due 06-30-11	\$	2,785,000.00
(8)	SDC0100-Farm Due 06-30-2011		4,600,000.00
(8)	SDC0100-Farm Due 06-30-2012		2,300,000.00
(8)	MCJ0000-County Jail Reimbursement Due 06-30-11		0.00
(8)	<b>Total Corrections Loans</b>	<b>\$</b>	<b>9,685,000.00</b>

**Footnotes:**

- (1) 50% of Interest Income on Investments in Securities Reserve Fund. ACA 19-5-501
- (2) Transfers to the Military Fund HMD0400 for Military Call-Up expenses per ACA 19-5-501 (b)(3).
- (3) Transfers to State Central Services (HSC) authorized by ACA 19-5-501, (d).
- (4) Loans to Dept Info System's Reserve for Equipment Acquisition (MHD) - Principal/interest is paid annually according to repayment schedule. Currently there is no loan balance. ACA 25-4-122
- (5) Transfers to/from Disaster Assistance Revolving (MMA) for Governor's Emergency Proclamations (ACA 19-5-1006)
- (6) Transfers to/from Miscellaneous Revolving (MTA) for unreimbursable claims, refunds, & Governor's emergency proclamations (ACA 19-5-1009)
- (7) Loans to County Road Revolving (MWF) to be repaid by end of biennium/judges' terms, whichever comes first. The judges' terms end 12/31/10. ACA 19-5-1068
- (8) Dept Corrections Loans; Value of goods/services produced/consumed applied against loan to SDC due 06-30-10 & 6-30-11. ACA 19-5-501
- (9) Loans to Tobacco Prevention and Cessation (TSD) Maximum amount allowed to be loaned is 31.6% of Tobacco Settlement Proceeds Balance to be repaid by 6/30/11. ACA 19-12-108(d)(2)(A)
- (10) Temporary Loans to Federal Funds awaiting Federal Awards. ACA 19-5-501(b) (8)
- (11) Temporary Loan to Game & Fish Comm for expenses from ice disaster #1819. ACA 19-5-501

BUDGET STABILIZATION TRUST FUND

<u>END</u>	<u>EOM FUND</u>	<u>LOANS</u>		
<u>OF MONTH</u>	<u>YEAR</u>	<u>BALANCE</u> <u>OUTSTANDING</u>		
Jul-06	JULY	2006	\$140,156,214	\$23,788,066
Aug-06	AUGUST	2006	\$124,864,996	\$43,027,743
Sep-06	SEPTEMBER	2006	\$142,600,112	\$29,357,549
Oct-06	OCTOBER	2006	\$144,372,348	\$29,912,901
Nov-06	NOVEMBER	2006	\$133,406,675	\$47,537,232
Dec-06	DECEMBER	2006	\$135,142,088	\$48,222,868
Jan-07	JANUARY	2007	\$134,938,263	\$51,770,557
Feb-07	FEBRUARY	2007	\$93,071,613	\$96,281,245
Mar-07	MARCH	2007	\$72,569,565	\$119,262,934
Apr-07	APRIL	2007	\$138,503,710	\$55,323,226
May-07	MAY	2007	\$179,488,014	\$17,211,025
Jun-07	JUNE	2007	\$191,821,632	\$3,600,000
Jul-07	JULY	2007	\$159,383,714	\$36,537,196
Aug-07	AUGUST	2007	\$135,084,132	\$67,239,018
Sep-07	SEPTEMBER	2007	\$171,541,664	\$34,481,484
Oct-07	OCTOBER	2007	\$153,217,279	\$56,425,445
Nov-07	NOVEMBER	2007	\$127,910,484	\$89,399,322
Dec-07	DECEMBER	2007	\$143,539,117	\$76,926,083
Jan-08	JANUARY	2008	\$148,009,694	\$75,698,221
Feb-08	FEBRUARY	2008	\$94,504,030	\$136,853,334
Mar-08	MARCH	2008	\$129,860,192	\$104,471,447
Apr-08	APRIL	2008	\$152,709,410	\$83,820,560
May-08	MAY	2008	\$192,345,526	\$49,036,888
Jun-08	JUNE	2008	\$228,245,479	\$3,605,439
Jul-08	JULY	2008	\$188,382,018	\$49,458,324
Aug-08	AUGUST	2008	\$188,589,754	\$51,774,574
Sep-08	SEPTEMBER	2008	\$190,966,698	\$48,698,247
Oct-08	OCTOBER	2008	\$184,680,859	\$56,673,597
Nov-08	NOVEMBER	2008	\$152,245,976	\$90,522,254
Dec-08	DECEMBER	2008	\$139,494,373	\$108,393,936
Jan-09	JANUARY	2009	\$161,277,850	\$90,087,890
Feb-09	FEBRUARY	2009	\$112,074,298	\$140,792,912
Mar-09	MARCH	2009	\$78,298,998	\$173,258,934
Apr-09	APRIL	2009	\$134,695,892	\$117,946,956
May-09	MAY	2009	\$174,707,485	\$78,441,772
Jun-09	JUNE	2009	\$240,144,569	\$9,600,000
Jul-09	JULY	2009	\$208,765,416	\$36,681,294
Aug-09	AUGUST	2009	\$203,292,545	\$42,367,157
Sep-09	SEPTEMBER	2009	\$206,912,936	\$39,517,490
Oct-09	OCTOBER	2009	\$203,397,395	\$42,291,148
Nov-09	NOVEMBER	2009	\$202,202,910	\$43,607,638
Dec-09	DECEMBER	2009	\$190,828,816	\$53,791,464
Jan-10	JANUARY	2010	\$199,783,392	\$45,139,622
Feb-10	FEBRUARY	2010	\$168,767,401	\$76,398,907
Mar-10	MARCH	2010	\$150,864,992	\$91,336,020
Apr-10	APRIL	2010	\$185,098,162	\$56,392,895
May-10	MAY	2010	\$207,147,776	\$28,588,533
Jun-10	JUNE	2010	\$230,463,122	\$4,650,768
Jul-10	JULY	2010	\$191,347,693	\$37,614,769
Aug-10	AUGUST	2010	\$182,319,630	\$48,710,534



## Monthly Emergency Purchase Report for September 2010

(for October 2010 Review)

### ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY

**PO Date:** 8/25/2010  
**PO #** 4501083419  
**Vendor Name:** Waste Services Inc.

**Amount:**  
 \$5,10,586.47

**Description :**

Inspectors from ADEQ conducted an inspection of the former HAZ-Mert facility in Rogers, AR and discovered leaking containers of flammable liquid and leaking containers of liquid oxidizers. When oxidizers mix with flammable liquids, explosive reactions could occur. The classification of oxidizers that were leaking was unknown and could not be determined. The leaking containers and the hazardous substances that leaked were addressed by the Trustee to meet the requirements of Emergency Order LIS 10-135.

### University of Arkansas Community College at Batesville

**NOTE: OSP APPROVAL NOT REQUIRED ON REQUISITION LISTED BELOW:**

PO Date:	PO#	Vendor Name	Description	Amount
8/2/2010	B0000476	RGB Mechanical	Replacement lighting caused by electrical storm on 7/15/10	\$30,000.00
8/3/2010	P0012313	Grainger	Elect. Breaker-Ind. Hall elect. Storm	\$116.29
8/18/2010	P0012421	Wade's Electric Heat and Air	Replaced 5ton hvac, hah-light damage, replace hvac fan mtr, light damage	\$3,733.42
8/20/2010	P0012436	Mid-Ark Security	Fire panel repair, service, lightning damages. Damages due to lightening.	\$1,259.29
8/24/2010	P0012479	Northwest Controls System	Integration into existing building controls system due to lightening damage	\$5,850.00
8/31/2010	P0012560	All Star Music	Repair to soundboard, lightening damage, storm lightening damage in July	\$1,663.20



STATE OF ARKANSAS

Purchase Order

Vendor No. 100031868  
 Contact  
 Your reference  
 WASTE SERVICES INC  
 PO Box 16471  
 LITTLE ROCK AR 72231

PO No. 4501083419  
 Date 08/25/2010  
 Contact Irma G. Hill  
 Telephone 501-682-0744  
 Fax 501 682-0933  
 Our ref. EM  
 Incoterms FOB  
 DESTINATION

Send Invoice To:

AR Dept. of Environmental Quality  
 Attn.: Accounts Payable  
 5301 Northshore Dr.  
 North Little Rock, AR 72118-5317

Ship To:

ADEQ  
 5301 NORTSHORE DRIVE  
 NORTH LITTLE ROCK AR 72118-5317

Delivery Date: 10/06/2010

Purchase Order Number Must Be Included On All Invoices. All Invoices Must Be Original Copies.

Item	Material/Description	Quantity	UM	Net Price	Net Amount
0010	10111430 SERVICE, REMEDIATION SITE CLEAN-UP ZLS Work to be performed for Emergency Order LIS 10-136 For the Haz-M.E.R.T. facility at 510 West Post Rd, Rogers, AR (See Attached Bid Proposal)	472,765.25	ZLS	1.00	\$ 472,765.25
				Net Value	\$ 472,765.25
				Sales Tax	\$ 37,821.22
Payment Terms: Net due in 15 days		Total net item value USD including tax		<b>\$ 510,586.47</b>	

Agency contact regarding this order:

Berea Little, 501-682-0860  
 or  
 Penny Wilson, 501-682-0868

*Approved  
 8/26/10  
 Robin Rogere*

GENERAL CONDITIONS AND INSTRUCTIONS TO VENDOR:

All purchasing rules and regulations defined by the State of Arkansas apply to this document.

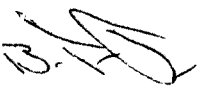
Purchasing Official/Fiscal Officer

Date

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY

LEGAL DIVISION MEMORANDUM

**To:** Doug Szenher, Public Affairs Supervisor

**From:** Benjamin Jones, Attorney Specialist 

**Date:** August 2, 2010

**Subject:** PUBLIC NOTICE--EMERGENCY ORDER

Enclosed is an Emergency Order of the Director for public notice, titled:

IN THE MATTER OF:

LIS 10-136

Hazardous Materials, Emergency Response & Training, Inc. (Haz-Mert)

Enclosure

cc: Teresa Marks, Director  
Karen Bassett, Chief Deputy Director  
Ryan Benefield, Deputy Director  
Dawn Guthrie, Chief, Legal Division  
Dean VanDerhoff, Emergency Response Prog. Coord. Sect. Mgr.  
Michael Parette, Emer. Svcs. Oper.  
Benjamin Jones, Attorney  
Charlie Moulton, Administrative Hearing Officer  
All Division Chiefs  
Gary D. Black, County Judge for Benton County  
ADEM  
Marc Harrison, Governor's Office

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY

IN THE MATTER OF:

LIS: 10-136

HAZARDOUS MATERIALS, EMERGENCY  
RESPONSE & TRAINING, INC. (HAZ-MERT),  
ROGERS, BENTON COUNTY, ARKANSAS  
DATE: JULY 20, 2010

EMERGENCY ORDER OF THE DIRECTOR

The Director of the Arkansas Department of Environmental Quality ("ADEQ or "Department") has determined that emergency conditions exist at the former Haz-Mert facility located at 510 West Post Road, Rogers, Benton County, Arkansas due to the threatened release of hazardous substances at the facility.

The threatened release of these hazardous substances presents an imminent and substantial endangerment to public health, safety or welfare or to the environment.

Therefore, pursuant to authority provided by the Remedial Action Trust Fund Act as set forth in Ark. Code Ann. § 8-7-501 et seq.; the Arkansas Hazardous Waste Management Act of 1979 as set forth in Ark. Code Ann. § 8-7-201 et seq.; the Arkansas Solid Waste Management Act as set forth in Ark. Code Ann. § 8-6-201 et seq.; the Arkansas Water and Air Pollution Control Act as set forth in Ark. Code Ann. § 8-4-101 et seq. and the regulations promulgated thereunder; the Director makes the following Findings of Fact and orders the following remedial actions to be taken immediately to remedy the emergency conditions.

FINDINGS OF FACT

1. On July 20, 2010, inspectors from ADEQ conducted an inspection of the former Haz-Mert facility located at 510 Old Post Road, Rogers, Benton County, Arkansas.

2. The facility is currently under the control of John T. Lee, U.S. Bankruptcy Trustee in the Chapter 7 bankruptcy case of *In Re: Hazardous Materials, Emergency Response & Training*, U.S.D.C., Western District of Arkansas, Case No. 09-73446.

3. Upon arrival the inspectors smelled a pesticide odor coming from Warehouse A. The inspectors were approximately 25-30 feet from the outside of the warehouse when they smelled this odor.

4. Upon entering the warehouse, the inspectors discovered leaking containers of flammable liquids and leaking containers of liquid oxidizers. The liquids were moving towards each other along the floor of the warehouse.

5. When oxidizers mix with flammable liquids, explosive reactions could occur. The probability of whether explosion will occur depends on the classification of the oxidizers. The classification of oxidizers that were leaking was unknown and could not be determined.

6. The Haz-Mert site is adjacent to multiple businesses and residential subdivisions.

7. Pursuant to 28 U.S.C. § 959, a Trustee shall manage the property in his possession in accordance with the valid laws of the state.

8. The leaking containers and the hazardous substances that were leaked were addressed by the Trustee to meet the requirements of Emergency Order LIS 10-135.

9. The integrity of all the containers, tankers, tanks, and sludge boxes of hazardous substances remaining at the site are deteriorating. If the hazardous substances are left in the current storage conditions then more leaks of hazardous substances are expected. These threatened releases of hazardous substances could result in the mixing of potential incompatible wastes and contamination of the Haz-Mert site as well as adjacent properties.

10. Based on the facts and nature of this situation, the Director has determined that an emergency exists and issues the following order in accordance with Arkansas Code Annotated § 8-1-202(b)(2)(C), § 8-4-220, § 8-4-304, §8-6-202, §8-7-213, § 8-7-214 and § 8-7-508(h).

#### **ORDER OF REMEDIAL ACTION**

The Director orders the following actions be taken:

1. The Trustee shall immediately take steps to control the site with the purpose of blocking access to all individuals with the exception of ADEQ employees, contractors hired by ADEQ or the Trustee, the Trustee and his agents, and state, county, city personnel.

2. The Trustee shall immediately begin implementing weekly inspections of containers, tankers, tanks, and sludge boxes of hazardous substances on the Haz-Mert site. The Trustee shall have the results of the

inspections documented as an inspection report and made available to ADEQ weekly. The inspection report must describe the area inspected; the conditions of the containers, tankers, tanks, and sludge boxes; identify any leaking containers, tankers, tanks, and sludge boxes; and describe the actions taken to address any leaks and clean up of the leaked hazardous substance(s).

3. The Trustee shall notify ADEQ within twenty-four (24) hours of any leaking containers, tankers, tanks, and sludge boxes identified during an inspection and shall provide to ADEQ the inspection report describing the leak repair and clean up done to address that specific leak.

4. The Trustee shall submit to ADEQ within 10 days of the issuance of this Emergency Order a plan for the removal of all hazardous substances within containers, tankers, tanks, and sludge boxes for ADEQ's review and approval. The Trustee shall complete the removal of all hazardous substances within containers, tankers, tanks, and sludge boxes by October 15, 2010.

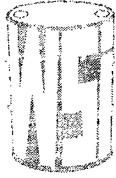
Nothing in this order shall limit the rights of ADEQ to issue further orders to pursue any further enforcement actions for remediation, penalties or costs from any party.

This order was effective upon the verbal issuance described above.

**DATED THIS** 2nd day of August, 2010.

  
Teresa Marks, Director





**Waste Services, Inc**  
**P.O. Box 16471**  
**Little Rock, AR 72231**  
**501.888.4323 fax # 501.888.5130**

July 25, 2010

AR Department of Environmental Quality  
Attn: Ms. Tammie Hynum  
5301 Northshore Drive  
No. Little Rock, AR 72118-5317

via e-mail: [hynum@adeq.state.ar.us](mailto:hynum@adeq.state.ar.us)

RE: Haz-M.E.R.T  
Removal Action

Tammie:

I am writing in response to your removal action request for bids at the above named facility. The request itself is broad and doesn't provide direction or details for timelines, onsite processing or overall goals for cleanup. With that said, we are making several assumptions and providing costs using a couple of different alternatives in the removal of all hazardous and non-hazardous materials. This proposal does not include disposal of explosives, radioactive material, medical waste, lab packs or the plastic boxes stockpiled onsite.

As you are aware, we are familiar with the site and we have the necessary equipment, manpower and expertise to handle this project safely and efficiently. We submitted a Waste Removal and Disposal Plan in February 2010 that was reviewed and tentatively approved by ADEQ at that time. This proposal utilizes those same ideas and approaches for a cost effective remedy. If awarded the project, we will develop a Site Safety and Health Plan (HASP) for this facility and the proposed activities. We have also made arrangements to have the power restored on a temporary basis for the duration of the site activities. After review of the attached proposal, if you or your staff has any questions, please don't hesitate to call me.

Sincerely,

Stephen B. Neal, REM, CEA, CEI  
President

Removal Action Request  
Proposal

for

Haz-M.E.R.T., Inc.  
510 West Post Road  
Rogers, AR

Submitted to:

AR Dept. of Environmental Quality  
5301 Northshore Dr.  
No. Little Rock, AR 72118

By:



Waste Services, Inc  
P.O. Box 16471  
Little Rock, AR 72231

## **1.0 INTRODUCTION**

The Arkansas Department of Environmental Quality (ADEQ) submitted a "Removal Action Request" on July 22, 2010 to several approved contractors. The request outlined tasks to be performed at Hazardous Materials, Emergency Response & Training, Inc. (Haz-Mert, Inc.) was previously located at 510 W. Post Rd, Rogers, Benton County, AR. They operated a household hazardous waste (HHW) recycling and processing facility at this location, as well as, a ten (10) day transfer facility for the temporary storage of hazardous wastes in transit. In addition, they also collected and managed universal waste, used oil, non-hazardous waste and special wastes. This site operated under EPA Identification Number ARR000010355.

## **2.0 SITE CHARACTERIZATION**

WSI personnel have visited the site and found that the waste materials consist of: oil base paints, latex paints, thinners, solvents, gasoline, diesel fuel, pesticides, acids, bases, corrosive cleaners, aerosol cans of various contents, propane cylinders, ammonia cylinders, batteries, lighting wastes including bulbs and ballasts, electronic waste (e-waste), chlorine and other oxidizers, oils, greases, oily wastewater, water based cleaners, rinsewater and general refuse. Several containers of materials on site are the resultant mixture of a consolidation process in which smaller containers (e.g., 1 gallon, 5 gallon size) were poured / mixed into larger containers (e.g., 55 gallon, 250 gallon IBC) to make handling and disposal more efficient and economical. There are approximately 300 cubic yard boxes filled with small cans of paint (1 – 5 gallons) remaining on site that have not been processed. Most of the materials remaining on site are labeled and identified as to their contents and/or hazard class. These (10%) will be spot checked utilizing HAZCAT field tests to verify/determine the hazard of the contents and the compatibility with other materials. All (100%) unmarked containers will be sampled, tested and characterized. Previous analytical results may also be used for waste characterization.

## **3.0 ONSITE PROCESSING**

WSI plans to prepare wastes on site for shipment offsite and this will be accomplished in a number of ways. Personnel will consolidate the small containers of paint and like items into larger containers much like the previous operations were performed. Once these materials are consolidated, they will be staged and readied for offsite shipment and disposal. Empty cans will be sent offsite for metal recycling and/or landfill as a non-hazardous special waste.

Aerosol cans, household hazardous waste (HHW), will be punctured and degassed and the contents will be collected in 55 gallon drums for disposal offsite as a flammable liquid. Some materials such as aerosol foam insulation or spray adhesives may be allowed to react and the resultant mixture would be disposed of as a non-hazardous waste. Propane cylinders will be sent to Amerigas, a local propane company, for recycling or degassed onsite by removing the stem and venting the contents through a carbon filter. Empty cans and cylinders will be sent offsite for metal recycling and/or landfill as a non-hazardous special waste.

Flammable liquids may be shipped in drums or consolidated into bulk tank trailers for shipment offsite. These materials may also be blended with other compatible materials into a supplemental kiln fuel. Various compatible materials may be mixed so that they meet specifications acceptable to the receiving facility. This material will be shipped to a permitted treatment, storage, disposal facility as a characteristic hazardous waste.

Latex paint is a non-hazardous commodity that may be consolidated into larger containers or shipped in the original packages to a Class 1 landfill for solidification and disposal. Other materials similar in nature (non-hazardous) found onsite include: grease, cooking oil, oily wastewater, diesel/water mixtures, pit water, oil filters and water based cleaners and will also be disposed of in the same manner.

Universal wastes found onsite consist of lighting waste (various light bulbs), electronic waste (e-waste) (computer terminals/monitors) and batteries. These will be consolidated and shipped offsite to Lamp Environmental Industries (LEI), a Large Quantity Handler/Recycler permitted to accept these materials for processing. Ballasts containing polychlorinated biphenyls (PCB's) will also be shipped to LEI for processing and/or disposal. Alkaline batteries do not meet the criteria for hazardous waste thus they are not a universal waste and they will be shipped to a Class 1 landfill for disposal.

Other miscellaneous materials presently onsite consist of lab packs, oxidizers, corrosives and pesticides. These materials may be consolidated with like items and all will be shipped offsite to a permitted T/S/D/F.

#### **4.0 PRE-TRANSPORT**

Prior to transporting a material to a treatment, recycling or disposal facility, personnel will verify that a current profile and approval is in place at the receiving facility. In this way, the facility can verify that they have the necessary permits and/or authorizations in place to accept the waste.

Prior to transport off site, all containers and packages will be inspected to ensure that they meet the applicable DOT hazardous material regulations for the specific commodity that they contain. Any materials found in containers that do not meet regulatory requirements will be repackaged or overpacked. All containers will be marked and labeled in accordance with 49 CFR Part 172 and transport vehicles will be placarded as required.

Shipping papers will be prepared for each outbound shipment of any materials leaving the site. These will include; hazardous waste manifests, bill of lading, special waste manifest and/or universal waste manifests. Hazardous waste will be shipped under the EPA identification number previously assigned to Haz-Mert, Inc.

## 5.0 SCHEDULE OF IMPLEMENTATION

Work will commence within seventy-two (72) hours of receipt of authorization from ADEQ to proceed and all activities will be completed within 120 days of the start date.

WSI will continue to secure the site by keeping gates closed when not in use and locked when the facility is unattended. WSI will also inspect drums and containers daily looking for leaks and either repackage, overpack or process containers found to be in poor condition.

### COST PROPOSAL

AEL Trailers	2 loads @ \$1,950.00 per load	\$ 3,900.00
	2 loads freight @ \$570.00 per load	1,140.00
Oil based paint	39,950 gallons @ \$ .75 gal	29,962.50
	8 loads freight @ \$1,175.00/	9,400.00
Flammable Liquids	9,405 gallons @ \$ .75 gal	7,053.75
	2 loads freight @ \$1,175.00/load	2,350.00
Corrosive Liquids	7,560 gallons @ \$1.45 gal	10,962.00
	2 loads freight @ \$1,175.00/load	2,350.00
Corrosive Solids	36 drums @ \$75.00/dm	2,700.00
	1 load freight @ \$570.00/load	<u>570.00</u>
	SUBTOTAL	\$ 70,388.25

Pesticide Liquids	18,500 gallons @ \$1.50/gal	27,750.00
	4 loads freight @ \$1,175.00/load	4,700.00
Pesticide Solids	16 drums @ \$95.00/dm	1,520.00
	4 CY box @ \$350.00	1,400.00
	1 load freight @ \$1,175.00	1,175.00
Paint Solids	26 drums @ \$ 300.0/dm	7,800.00
	Freight @ \$750.00	750.00
Batteries		
Alkaline	40 drums @ \$75.00/dm	3,000.00
Lead acid	1 drum @ \$25.00/dm	25.00
Ni-Cd	2 drums (~1000 lbs) @ .75 lb	750.00
Lithium	3 drums (~1800 lbs) @ \$3.50 lb	6,300.00
	Freight @ \$1,175.00	1,175.00
Oxidizers	45 drums @ \$275.00/dm	12,375.00
	Freight @ 1,500.00	1,500.00
PCB ballasts	13 drums (~7900 lbs) @ .68 lb	5,372.00
Capacitors	3 drums (~1800 lbs) @ \$2.25 lb	4,050.00
Mercury Containing Devices	7 drums @ \$900.00/dm	6,300.00
Electric Coil	1 drum (~600 lbs) @ \$2.25 lb	1,350.00
	Freight @ \$2,860.00	2,860.00
Fluorescent Bulbs	2 trailers (~\$3,500/tl)	7,000.00
	2 loads freight @ \$2,860.00/lid	5,720.00
Water based cleaners	7 drums @ \$75.00/dm	525.00
Ammonia based cleaners	2 drums @ \$75.00/dm	150.00
Cooking Oil	1 drum @ \$75.00/dm	75.00
Grease	2 drums @ \$75.00/dm	150.00
Antifreeze	8 drums @ \$75.00/dm	600.00
Adhesive glue	2 drums @ \$75.00/dm	150.00
Diesel/water mix	1 drum @ \$75.00/dm	75.00
Fragrance	3 drums @ \$75.00/dm	225.00
	Freight @ \$575.00	575.00
	SUBTOTAL	\$105,397.00

Latex Paint	10 drums 3 CY boxes 29 pallets		1,800.00
Soil Bore cuttings	3 drums @ \$75.00/dm 2 loads Freight @ \$575.00		225.00 1,150.00
Flammable Solids	4 drums 1 pallet Freight @ \$400.00		2,500.00 400.00
Trichloroethylene	1 drum @ \$250.00/dm Freight @ \$100.00		250.00 100.00
Cylinders (Freon / Ammonia)			4,780.00
Rolloff boxes	4 each @ \$1,525.00/ld 4 loads Freight @ \$575.00/ld		6,100.00 2,300.00
Wastewater (sludge)	65,000 gallons @ .58 gal 13 loads @ \$575.00/ld		37,700.00 7,475.00
Trash Disposal (cardboard, pallets, plastic, cans, bottles, etc)			<u>1,500.00</u>
		SUBTOTAL	66,280.00
Labor Mobilization			\$2,500.00
Crew Vehicles	2 @ \$150.00 ea/day	300.00	
Supervisor	8 hrs @ \$75.00/hr	600.00	
Foreman/Chemist	8 hrs @ \$60.00/hr	480.00	
Technicians (4)	32 hrs @ \$45.00/hr	1,440.00	
PPE	5 @ \$35.00 man/day	175.00	
Per diem	6 @ \$75.00 man/day	450.00	
Equipment Rental	\$300.00/day	300.00	
Miscellaneous materials	\$100.00/day	<u>100.00</u>	
	DAILY SUBTOTAL	\$3,845.00	

ADEQ  
Haz-MERT  
July 25, 2010  
Page 6

Labor	\$3,845.00 daily x 60 days	\$230,700.00
Disposal/Transportation	Subtotal (page 3)	\$ 70,388.25
	Subtotal (page 4)	105,397.00
	Subtotal (page 5)	<u>66,280.00</u>
	Subtotal (page 3 4, 5)	\$242,065.25
	Disposal/Transportation Subtotal	<u>\$242,065.25</u>
	<b>TOTAL</b>	<b>\$472,765.25</b>

# DFA Illegal Immigrant Contractor Disclosure Certification

## DFA Illegal Immigrant Contractor Disclosure Certification View Submission Details

<b>Vendor:</b>	Waste Services, Inc.
<b>Contract Type:</b>	Technical/General Services
<b>Bid Number:</b>	N/A
<b>Disclosure Statement:</b>	I, certify that we/I do not employ or contract with an illegal immigrant. Answer: <b>yes</b>
<b>Contact E-mail:</b>	sneal@wasteservices.com
<b>Agency Name:</b>	Department of Environmental Quality
<b>Submitted At:</b>	08-24-10

# CONTRACT AND GRANT DISCLOSURE AND CERTIFICATION FORM

Failure to complete all of the following information may result in a delay in obtaining a contract, lease, purchase agreement, or grant award with any Arkansas State Agency.

SUBCONTRACTOR NAME: Waste Services, Inc.

Yes  No

IS THIS FOR:

Goods?  Services?  Both?

TAXPAYER ID NAME: Waste Services, Inc.

YOUR LAST NAME: Neal

FIRST NAME: Stephen

M.I.: B

ADDRESS: PO Box 16471

CITY: Little Rock STATE: AR ZIP CODE: 72231 COUNTRY: United States

**AS A CONDITION OF OBTAINING, EXTENDING, AMENDING, OR RENEWING A CONTRACT, LEASE, PURCHASE AGREEMENT, OR GRANT AWARD WITH ANY ARKANSAS STATE AGENCY, THE FOLLOWING INFORMATION MUST BE DISCLOSED:**

## FOR INDIVIDUALS\*

Indicate below if: you, your spouse or the brother, sister, parent, or child of you or your spouse is a current or former: member of the General Assembly, Constitutional Officer, State Board or Commission Member, or State Employee:

Position Held	Mark (✓)		Name of Position of Job Held (senator, representative, name of board/ commission, data entry, etc.)	For How Long?		What is the person(s) name and how are they related to you? (i.e., Jane Q. Public, spouse, John Q. Public, Jr., child, etc.)	Relation
	Current	Former		From MM/YY	To MM/YY		
General Assembly	<input type="checkbox"/>	<input type="checkbox"/>					
Constitutional Officer	<input type="checkbox"/>	<input type="checkbox"/>					
State Board or Commission Member	<input type="checkbox"/>	<input type="checkbox"/>					
State Employee	<input type="checkbox"/>	<input type="checkbox"/>					

None of the above applies

## FOR AN ENTITY (BUSINESS)\*

Indicate below if any of the following persons, current or former, hold any position of control or hold any ownership interest of 10% or greater in the entity: member of the General Assembly, Constitutional Officer, State Board or Commission Member, State Employee, or the spouse, brother, sister, parent, or child of a member of the General Assembly, Constitutional Officer, State Board or Commission Member, or State Employee. Position of control means the power to direct the purchasing policies or influence the management of the entity.

Position Held	Mark (✓)		Name of Position of Job Held (senator, representative, name of board/ commission, data entry, etc.)	For How Long?		What is the person(s) name and what is his/her % of ownership interest and/or what is his/her position of control?		
	Current	Former		From MM/YY	To MM/YY	Person's Name(s)	Ownership interest (%)	Position of Control
General Assembly	<input type="checkbox"/>	<input type="checkbox"/>						
Constitutional Officer	<input type="checkbox"/>	<input type="checkbox"/>						
State Board or Commission Member	<input type="checkbox"/>	<input type="checkbox"/>						
State Employee	<input type="checkbox"/>	<input type="checkbox"/>						

None of the above applies

## Contract and Grant Disclosure and Certification Form

Failure to make any disclosure required by Governor's Executive Order 98-04, or any violation of any rule, regulation, or policy adopted pursuant to that Order, shall be a material breach of the terms of this contract. Any contractor, whether an individual or entity, who fails to make the required disclosure or who violates any rule, regulation, or policy shall be subject to all legal remedies available to the agency.

As an additional condition of obtaining, extending, amending, or renewing a contract with a state agency I agree as follows:

1. Prior to entering into any agreement with any subcontractor, prior or subsequent to the contract date, I will require the subcontractor to complete a **CONTRACT AND GRANT DISCLOSURE AND CERTIFICATION FORM**. Subcontractor shall mean any person or entity with whom I enter an agreement whereby I assign or otherwise delegate to the person or entity, for consideration, all, or any part, of the performance required of me under the terms of my contract with the state agency.

2. I will include the following language as a part of any agreement with a subcontractor:

*Failure to make any disclosure required by Governor's Executive Order 98-04, or any violation of any rule, regulation, or policy adopted pursuant to that Order, shall be a material breach of the terms of this subcontract. The party who fails to make the required disclosure or who violates any rule, regulation, or policy shall be subject to all legal remedies available to the contractor.*

3. No later than ten (10) days after entering into any agreement with a subcontractor, whether prior or subsequent to the contract date, I will mail a copy of the **CONTRACT AND GRANT DISCLOSURE AND CERTIFICATION FORM** completed by the subcontractor and a statement containing the dollar amount of the subcontract to the state agency.

I certify under penalty of perjury, to the best of my knowledge and belief, all of the above information is true and correct and that I agree to the subcontractor disclosure conditions stated herein.

Signature: Stephen B. Neal Title: Resident Date: 8/19/16

Vendor Contact Person: Stephen B. Neal Title: Resident Phone No. 861-858-4323

*Agency use only*

Agency Number \_\_\_\_\_ Agency Name \_\_\_\_\_

Agency Contact Person \_\_\_\_\_ Agency Contact Person Phone No. \_\_\_\_\_

Contract or Grant No. \_\_\_\_\_



September 1, 2010

Ms. Jane Benton, Director  
Arkansas Office of State Procurement  
1509 West 7<sup>th</sup> Street  
Little Rock, Arkansas 72201

Dear Ms. Benton:

On July 15, 2010, a severe electrical storm hit the campus of the University of Arkansas Community College. Damage was quickly assessed and repairs began immediately to areas that were considered critical to individuals and the college. Several offices had no electricity, there was no air conditioning in several departments, and various other damages occurred. Considering this an emergency purchase, I instructed our maintenance department to proceed with contacting repairmen to get the college in a position for workers to perform their duties. I also instructed our purchasing agent to prepare purchase orders for these purchases.

Other damage is now becoming apparent in different locations from the lightning strike. Parking lot lights were destroyed, so we began repair on these for the safety of our employees and students. Several HVAC units have become inoperable making it very difficult for employees to work. Many are bringing fans from home to make them more comfortable. I expect that we will find more damage within the next few weeks from this strike. We are making repairs as quickly as possible under the premise that these are emergency purchases.

Please contact me if you should have questions.

Sincerely,

A handwritten signature in cursive script that reads 'Gayle Cooper'.

Gayle Cooper  
Vice Chancellor for Finance and Administration

GC/jjr

University of Arkansas Community College at Batesville

---

P.O. Box 3350 / Batesville, Arkansas 72503-3350 / 870-612-2000 / Fax 870-793-4988  
UACCB is an equal opportunity/affirmative action institution.





**UofA** COMMUNITY COLLEGE  
at Batesville

Batesville, AR 72501  
Tel: (870) 793-7581 Fax: (870) 793-4988

PURCHASE  
ORDER NO.

P0012421

SHIP TO: Central Warehouse  
2221 White Drive  
Batesville AR 72501

COMMODITY CODE: EM

VENDOR: Wade's Electric Heat & Air  
2850 East Harrison St  
Batesville AR 72501

INVOICE TO: University of Arkansas Community College  
at Batesville  
Attn: Acct Pay  
P.O. Box 3350  
Batesville, AR 72503-3350

FAX TO:

P.O. DATE 08/18/10  
EXP. DATE 08/09/10

DESTINATION

TERMS  
Net 60

QTY.	DESCRIPTION	UNIT PRICE	AMOUNT
1.000	GL. NO.: 1105201053000 EA Each replaced 5ton hvac nah - light. damage	GL AMT: 2,871.98 2,871.9800	2,871.98
1.000	GL. NO.: 1105201053000 EA Each replace hvac fan mtr light damage	GL AMT: 496.00 496.0000	496.00
1.000	GL. NO.: 1105201053000 EA Each service call	GL AMT: 69.00 69.0000	69.00
	Ind Cty Sales Tax-8.625%		296.44

TOTAL: 3,733.42

Purchase Order Number and Purchasing Official's  
Signature must accompany form to legally obligate  
the University.

By: *Peggy W. Jackson*  
PURCHASING OFFICIAL

22

**UofA** COMMUNITY COLLEGE  
at Batesville

Batesville, AR 72501  
Tel: (870) 793-7581 Fax: (870) 793-4988

PURCHASE  
ORDER NO.

SHIP TO: Central Warehouse  
2221 White Drive  
Batesville AR 72501

P0012436

COMMODITY CODE: EM

VENDOR: Mid-Ark Security  
P O Box 3923  
Batesville AR 72503

INVOICE TO: University of Arkansas Community College  
at Batesville  
Attn: Acct Pay  
P.O. Box 3350  
Batesville, AR 72503-3350

FAX TO:

P.O. DATE 08/20/10 EXP. DATE 08/19/10 DESTINATION

TERMS  
Net 60

QTY.	DESCRIPTION	UNIT PRICE	AMOUNT
1.000	GL. NO.: 1105201053000 EA Each fire panel repair, servic lightning damages  damages due to lightening storm	1,259.2900	1,259.29

TOTAL: 1,259.29

Purchase Order Number and Purchasing Official's  
Signature must accompany form to legally obligate  
the University.

By: Daggy W. Jackson  
PURCHASING OFFICIAL

23

**U of A** COMMUNITY COLLEGE  
at Batesville

Batesville, AR 72501  
Tel: (870) 793-7581 Fax: (870) 793-4988

PURCHASE  
ORDER NO.

P0012479

Purchasing  
2005 White Drive  
Batesville AR 72501

COMMODITY CODE: EM

VENDOR: Northwest Controls System  
3241 West Huntsville Ave  
Springdale AR 72762

INVOICE TO: University of Arkansas Community College  
at Batesville  
Attn: Acct Pay  
P.O. Box 3350  
Batesville, AR 72603-3350

FAX TO: *DUSTIN NOLAND*  
*501-280-9200*

P.O. DATE 08/24/10 EXP. DATE 08/24/10 DESTINATION

TERMS  
Net 60

QTY.	DESCRIPTION	UNIT PRICE	AMOUNT
1.000	GL. NO.: 1105101055000 EA Each Integration into existing Building Controls System due to lightening damage	5,850.0000	5,850.00

Fax Note: Date 8/24/10 # of Pages 1  
To: Dustin Noland From: Peggy Jackson  
Co/Dept: Northwest Co: UACCB  
Phone: Control System Phone: (870) 612-2030  
Fax#: (501) 280-9260 Fax: (870) 612-2123

Note:

TOTAL: 5,850.00

Purchase Order Number and Purchasing Official's  
signature must accompany form to legally obligate  
the University.

By: *Gayle Cooper*

**U of A** COMMUNITY COLLEGE  
at Batesville

Batesville, AR 72501  
Tel: (870) 793-7581 Fax: (870) 793-4988

PURCHASE  
ORDER NO.

P0012560

TO: Central Warehouse  
2221 White Drive  
Batesville AR 72501

COMMODITY CODE: EM

VENDOR: All Star Music  
605 8th Street  
Batesville AR 72501

INVOICE TO: University of Arkansas Community College  
at Batesville  
Attn: Acct Pay  
P.O. Box 3350  
Batesville, AR 72503-3350

FAX TO:

P.O. DATE      EXP. DATE      DESTINATION  
08/31/10      08/30/10

TERMS  
Net 60

QTY.	DESCRIPTION	UNIT PRICE	AMOUNT
1.000	GL. NO.: 1105201053000 EA Each repair to soundboard lightning damage storm/ lightning damage in July	1,663.20 1,663.2000	1,663.20

TOTAL: 1,663.20

Purchase Order Number and Purchasing Official's  
Signature must accompany form to legally obligate  
the University.

By: *[Signature]*  
PURCHASING OFFICIAL

25

## Tobacco Settlement Summary of Income, Investments, Balances, and Expenses

### INCOME

Month To Date - August 2010	Initial Balance	MSA Deposits	Investment Income	Ending Balance
Arkansas Healthy Century Trust Fund	\$ 128,983,533	\$ -	\$ 592	\$ 128,984,125
Tobacco Program Pool	\$ 101,425,357	\$ -	\$ 2,636	\$ 101,428,004
Tobacco Debt Service Fund	\$ -	\$ -	\$ -	\$ -
<b>Total</b>	<b>\$ 230,408,900</b>	<b>\$ -</b>	<b>\$ 3,228</b>	<b>\$ 230,412,128</b>

Fiscal Year To Date - FY '11	Initial Balance	MSA Deposits	Investment Income	Ending Balance
Arkansas Healthy Century Trust Fund	\$ 128,359,592	\$ -	\$ 624,533	\$ 128,984,125
Tobacco Program Pool	\$ 104,967,855	\$ -	\$ 4,219	\$ 104,972,073
Tobacco Debt Service Fund	\$ -	\$ -	\$ -	\$ -
<b>Total</b>	<b>\$ 233,327,446</b>	<b>\$ -</b>	<b>\$ 628,752</b>	<b>\$ 233,956,198</b>

Life - 1/11/01 - 8/31/10	Initial Balance	MSA Deposits	Investment Income	Ending Balance
Arkansas Healthy Century Trust Fund	\$ -	\$ 100,000,000	\$ 28,342,845	\$ 128,342,845
Tobacco Program Pool	\$ -	\$ 476,427,150	\$ 13,393,756	\$ 489,820,906
Tobacco Debt Service Fund	\$ -	\$ 45,000,000	\$ -	\$ 45,000,000
<b>Total</b>	<b>\$ -</b>	<b>\$ 621,427,150</b>	<b>\$ 41,736,601</b>	<b>\$ 663,163,751</b>

### FUND BALANCES

08/31/10	TSA Healthy Century Trust Fund	\$ 128,982,768
	TSB Tobacco Settlement Program Fund	\$ 2,636
	TSC Tobacco Settlement Commission	\$ 6,550,837
	TSD Prevention & Cessation Program Fund	\$ 8,018,541
	TSE Targeted State Needs Fund	\$ 6,865,662
	TSF Biosciences Institute Fund	\$ 10,675,199
	TSG Medicaid Expansion Fund	\$ 68,349,537
	<b>Total</b>	<b>\$ 229,445,161</b>

### INVESTMENTS

Month to Date - August 2010	Average Daily Balance	Interest	Yield	Maturity Date
TSA Healthy Century Trust Fund Certificates of Deposit	\$ 105,934,468	\$ 105,551	1.173%	10/4/2010 & 1/18/2011
Money Market Funds	\$ 23,049,656	\$ 587	0.020%	Overnight
TSB Tobacco Settlement Program Fund Money Market Funds	\$ 100,856,146	\$ 2,570	0.020%	Overnight
<b>Total</b>	<b>\$ 229,840,270</b>	<b>\$ 108,708</b>	<b>0.552%</b>	

**Tobacco Settlement**  
**Summary of Income, Expenses and Balances**

**EXPENSES**

As of 08/31/10	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	TOTAL
TSE0000 Tobacco Settlement Program Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TSC0200 Tobacco Settlement Commission	\$ 3,149	\$ 670,891	\$ 768,502	\$ 654,272	\$ 453,531	\$ 806,779	\$ 975,671	\$ 1,153,383	\$ 1,258,661	\$ 146,862	\$ 6,891,701
TSD0100 Prevention & Cessation	\$ 2,274,755	\$ 13,624,294	\$ 12,895,637	\$ 11,610,903	\$ 9,744,413	\$ 12,077,561	\$ 10,507,063	\$ 12,046,511	\$ 12,621,439	\$ 1,420,901	\$ 86,823,476
TSD0200 Prevention & Cessation Minority Comm.	\$ 214,893	\$ 1,666,806	\$ 3,278,812	\$ 2,263,488	\$ 1,980,051	\$ 1,237,809	\$ 1,891,107	\$ 1,501,201	\$ 2,638,031	\$ 400,182	\$ 17,072,381
TSE0100 Minority Health Initiative	\$ 258,257	\$ 999,633	\$ 1,496,313	\$ 2,223,837	\$ 1,562,528	\$ 1,389,453	\$ 1,205,182	\$ 1,422,447	\$ 1,613,506	\$ 314,330	\$ 12,485,485
TSE0201 Donald W. Reynolds Center on Aging	\$ 575,812	\$ 2,179,428	\$ 1,563,298	\$ 2,128,476	\$ 1,535,195	\$ 1,643,114	\$ 1,306,293	\$ 2,119,389	\$ 1,991,144	\$ 286,000	\$ 15,328,150
TSE0202 Arkansas School of Public Health	\$ 913,012	\$ 3,219,800	\$ 2,737,543	\$ 2,799,128	\$ 2,401,305	\$ 2,364,746	\$ 2,119,073	\$ 3,018,394	\$ 3,077,381	\$ 572,300	\$ 23,222,682
TSE0203 Area Health Education Center	\$ 674,137	\$ 2,081,028	\$ 1,723,245	\$ 1,968,627	\$ 1,362,900	\$ 1,815,820	\$ 1,608,736	\$ 1,816,531	\$ 1,867,970	\$ 303,400	\$ 15,222,395
TSF0100 Biosciences ASU Jonesboro	\$ 343,688	\$ 4,749,099	\$ 2,947,823	\$ 4,039,154	\$ 2,291,379	\$ 3,726,834	\$ 2,978,941	\$ 3,504,197	\$ 3,883,247	\$ -	\$ 28,464,362
TSF0200 Biosciences U of A 318	\$ 424,637	\$ 2,268,091	\$ 1,085,922	\$ 2,644,296	\$ 927,038	\$ 2,284,392	\$ 1,331,424	\$ 2,127,887	\$ 2,072,160	\$ -	\$ 15,165,846
TSF0202 Biosciences U of A 321 Agri	\$ 700,426	\$ 2,050,587	\$ 2,040,819	\$ 1,717,326	\$ 1,686,786	\$ 1,523,739	\$ 1,578,277	\$ 1,881,613	\$ 2,072,068	\$ -	\$ 15,251,641
TSF0300 Biosciences UAMS 365	\$ 1,366,936	\$ 5,930,979	\$ 5,252,128	\$ 4,528,243	\$ 3,983,235	\$ 4,439,805	\$ 2,091,252	\$ 3,978,149	\$ 3,623,760	\$ 137,100	\$ 35,331,587
TSG0100 Medicaid Expansion *	\$ 1,293,882	\$ 20,631,803	\$ 3,718,707	\$ 6,226,545	\$ 4,720,724	\$ 7,031,730	\$ 9,004,381	\$ 9,548,785	\$ 10,236,209	\$ 1,877,607	\$ 74,290,373
<b>Total</b>	\$ 9,043,582	\$ 60,072,439	\$ 39,508,747	\$ 42,804,295	\$ 32,649,086	\$ 40,341,784	\$ 36,597,400	\$ 44,118,489	\$ 46,955,576	\$ 5,458,683	\$ 357,550,078

\* TSC0100 Medicaid Expansion updated 10/7/2005 to reflect dollars transferred for Medicaid Expansion Expenses

# Estimated Tobacco Payment to Arkansas

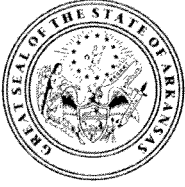
Bureau of Legislative Research Estimates of Arkansas' share of the MSA payments

Year	Department of Finance and Administration Estimate - Total Base Payments	Bureau of Legislative Research Estimated Adjusted Payments to Arkansas	Actual	Difference Actual and DFA Estimate - Total Base Payments	Difference Actual and Bureau of Legislative Research Estimated Adjusted Payments to Arkansas
1998	\$ 19,873,586.40	\$ 19,873,586.40	\$ -	\$ (19,873,586.40)	\$ (19,873,586.40)
1999					
2000	\$ 53,093,528.16	\$ 46,653,528.83	\$ -	\$ (53,093,528.16)	\$ (46,653,528.83)
2001	\$ 57,332,481.34	\$ 49,795,700.90	\$ 122,095,110.85	\$ 64,762,629.51	\$ 72,299,409.95
2002	\$ 68,839,576.04	\$ 62,531,719.27	\$ 62,180,504.67	\$ (6,659,071.37)	\$ (351,214.60)
2003	\$ 69,491,068.17	\$ 63,149,679.61	\$ 60,067,457.27	\$ (9,423,610.90)	\$ (3,082,222.34)
2004	\$ 57,997,749.64	\$ 55,208,178.82	\$ 52,688,976.05	\$ (5,308,773.59)	\$ (2,519,202.77)
2005	\$ 57,997,749.64	\$ 55,754,836.64	\$ 52,774,223.89	\$ (5,223,525.75)	\$ (2,980,612.75)
2006	\$ 57,997,749.64	\$ 56,307,464.07	\$ 48,446,984.69	\$ (9,550,764.95)	\$ (7,860,479.38)
2007	\$ 57,997,749.64	\$ 56,866,142.16	\$ 50,709,328.78	\$ (7,288,420.86)	\$ (6,156,813.38)
2008	\$ 65,648,761.34	\$ 65,007,193.91	\$ 57,309,117.30	\$ (8,339,644.04)	\$ (7,698,076.61)
2009	\$ 65,648,761.34	\$ 65,653,552.11	\$ 62,730,426.54	\$ (2,918,334.80)	\$ (2,923,125.57)
2010	\$ 65,648,761.34	\$ 66,307,044.80	\$ 52,425,020.27	\$ (13,223,741.07)	\$ (13,882,024.53)
2011	\$ 65,648,761.34	\$ 66,967,770.79			
2012	\$ 65,648,761.34	\$ 67,635,830.81			
2013	\$ 65,648,761.34	\$ 68,311,327.47			

# Estimated Tobacco Payment to Arkansas

Bureau of Legislative Research Estimates of Arkansas' share of the MSA payments

Year	Department of Finance and Administration Estimate - Total Base Payments	Bureau of Legislative Research Estimated Adjusted Payments to Arkansas	Actual	Difference Actual and DFA Estimate - Total Base Payments	Difference Actual and Bureau of Legislative Research Estimated Adjusted Payments to Arkansas
2014	\$ 65,648,761.34	\$ 68,994,365.40			
2015	\$ 65,648,761.34	\$ 69,685,051.23			
2016	\$ 65,648,761.34	\$ 70,383,493.67			
2017	\$ 65,648,761.34	\$ 71,089,803.56			
2018	\$ 66,278,410.62	\$ 72,492,780.12			
2019	\$ 66,278,410.62	\$ 73,222,094.72			
2020	\$ 66,278,410.62	\$ 73,959,701.36			
2021	\$ 66,278,410.62	\$ 74,705,721.07			
2022	\$ 66,278,410.62	\$ 75,460,277.32			
2023	\$ 66,278,410.62	\$ 76,223,496.07			
2024	\$ 66,278,410.62	\$ 76,995,505.84			
2025	\$ 66,278,410.62	\$ 77,776,437.77			
<b>TOTAL</b>	<b>\$ 1,687,336,137.03</b>	<b>\$ 1,747,012,284.74</b>	<b>\$ 621,427,150.31</b>	<b>\$ (76,140,372.38)</b>	<b>\$ (41,681,477.21)</b>



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

**K.5**

September 23, 2010

Senator Steve Bryles, Co-Chair  
Representative Jonathan Dismang, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: State Central Services Fund Report

Dear Co-Chairs:

Pursuant to Act 1022 of 2003, Section 1(g), please find attached a schedule of financial condition for the State Central Services Fund. The revenue and expenditure balances reflected are as of August 31, 2010 and have been reconciled to the Arkansas Administrative Statewide Information System.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachments

**State of Arkansas**  
**State Central Services Fund Analysis**  
**As of August 31, 2010**

<b>Beginning Fund Balance</b>		\$	<b>16,737,591.12</b>
Outlawed Warrants	\$	93,049.31	
Prior Year Cancelled Warrants		6,204.47	
Prior Year Refunds to Expenditure		9,967.79	
Prior Year Revenue/Fees		2,427.94	
<b>Total Prior Year Adjustments</b>		<b>111,649.51</b>	
<b>Adjusted Balance</b>	\$	\$	<b>16,849,240.63</b>
<b>Receipts /Net Transfers :</b>			
General Revenue Fees	\$	17,138,138.62	
Additional General Revenue Fee		0.00	
Local Sales & Use Tax Fees - 3%		3,121,372.27	
Special Revenue Fees - 3%		5,080,003.88	
Special Revenue Fees - 1.5%		387,765.69	
Additional Special Revenue Fee		0.00	
Special Revenue Specified		1,838,485.36	
Other Revenues		1,523,288.04	
TAS Transfer In		18,332.85	
Transfers In		16,706,885.93	
Transfers Out		(10,150,786.79)	
<b>Net Receipts / Transfers</b>		<b>\$ 35,663,485.85</b>	
<b>Net Available for Disbursement</b>		\$	<b>52,512,726.48</b>
<b>Disbursements</b>			
<b>Expenditures</b>			
July	\$	(26,462,446.72)	
August		(25,979,152.24)	
September		0.00	
October		0.00	
November		0.00	
December		0.00	
January		0.00	
February		0.00	
March		0.00	
April		0.00	
May		0.00	
June		0.00	
<b>Total YTD Expenditures</b>		<b>\$ (52,441,598.96)</b>	
<b>Payroll Funding Timing Difference</b>		\$	<b>0.00</b>
<b>Total Disbursements</b>		\$	<b>(52,441,598.96)</b>
Transfer from Budget Stabilization Trust		0.00	
Net Transfer from/(to) AGA		15,000,000.00	
Transfer from MMF Merit Adjust		0.00	
Transfer from MCF		40,661,625.56	
Loans From Budget Stabilization Trust		28,296,314.20	
Repayment to Budget Stabilization Trust	\$	(28,296,314.20)	\$
<b>Net Other Transfers</b>		<b>55,661,625.56</b>	
<b>Ending Balance</b>	\$	\$	<b>55,732,753.08</b>

**STATE CENTRAL SERVICES  
EXPENDITURE DETAIL BY AGENCY  
FY2011**

Agency Name	Authorized Appropriation	Reappropriation/ Carry Forward	Budgeted Amount	Monthly Expenditures 8/31/2010	YTD Total Expenditures 8/31/2010	Remaining Budget
Administrative Office of the Courts	\$ 12,580,321.00	\$ -	\$ 11,149,621.00	886,581.34	\$ 2,044,600.86	\$ 9,105,020.14
Arkansas Senate	3,963,249.00	2,400,000.00	6,363,249.00	95,326.07	222,872.62	6,140,376.38
Arkansas State Claims Commission	567,397.00	-	509,138.00	35,567.44	102,770.80	406,367.20
Auditor of State	25,358,389.00	-	26,031,441.50	4,779,888.36	6,531,408.44	19,500,033.06
Bureau of Legislative Research/Disbursing Officer	18,315,165.00	2,000,000.00	20,315,224.00	996,074.86	2,167,498.58	18,147,725.42
Commissioner of State Lands	3,350,531.00	-	3,368,552.00	190,593.50	380,709.12	2,987,842.88
Court of Appeals	4,182,816.00	-	4,021,581.50	285,809.03	661,838.29	3,359,743.21
Department of Finance and Administration						
Management Services Division	59,285,220.00	-	53,287,102.27	4,300,267.28	7,458,109.24	45,828,993.03
Revenue Division	95,372,101.00	394,410.43	94,761,637.93	7,019,405.97	15,777,157.84	78,984,480.09
Subtotal	154,657,321.00	394,410.43	148,048,740.20	11,319,673.25	23,235,267.08	124,813,473.12
Division of Legislative Audit	37,261,715.00	-	32,327,012.50	2,179,463.90	5,232,205.91	27,094,806.59
Governor's Mansion	1,068,700.00	-	721,344.00	103,521.24	200,775.55	520,568.45
House of Representatives	6,756,902.00	3,448,000.00	10,205,210.00	172,046.48	385,543.50	9,819,666.50
Office of Prosecutor Coordinator	944,662.00	-	883,467.00	67,320.40	164,513.01	718,953.99
Office of the Attorney General	14,782,587.00	-	14,063,512.25	1,024,405.49	2,409,714.20	11,653,798.05
Office of the Governor	5,639,921.00	-	5,640,017.75	328,606.51	809,610.27	4,830,407.48
Office of the Lieutenant Governor	366,695.00	-	368,165.00	32,227.24	61,100.60	307,064.40
Office of the Treasurer	3,958,308.00	-	3,790,259.74	305,554.90	620,824.70	3,169,435.04
Public Defender	21,518,024.00	-	19,673,394.00	1,592,530.47	3,874,507.26	15,798,886.74
Secretary of State	18,197,382.00	-	18,261,326.99	1,335,370.39	2,722,529.39	15,538,797.60
Supreme Court	4,123,659.00	-	4,123,789.00	248,591.37	613,308.78	3,510,480.22
TOTAL	\$ 337,593,744.00	\$ 8,242,410.43	\$ 329,865,045.43	\$ 25,979,152.24	\$ 52,441,598.96	\$ 277,423,446.47

Less:  
Reversions \$ (52,589,061.60)  
Adjusted Budget \$ 277,275,983.83

**Projected Income** \$ 290,856,243.68 (net projected income after fund transfers)  
**Projected Expenditures** \$ (285,133,455.70)  
**(Deficit)/Surplus** \$ 5,722,787.98

Note: Budgeted may exceed Authorized due to Pay Plan holding and the processing of Marketing & Redistribution proceeds.