

## JOINT BUDGET COMMITTEE PEER/REVIEW SUBCOMMITTEE OVERVIEW

### Agenda Items

#### **Request -- Review & Approval Items -**

- Cash Fund Appropriation Increase
- Miscellaneous Federal Grant Appropriation Requests
- Budget Classification Transfers
- Contracts Between State Agencies
- Supplemental Personal Services Positions
- Regular Salary and Personal Services Matching Appropriation  
Increases (Pay Plan Holding Account)
- Regular Salary and Personal Services Matching Appropriation  
Increases (Merit Adjustment Fund)
- Overtime Appropriation Increases
- Student Assistance Grants and Various Scholarship Transfers
- Appropriation, Fund and/or Position Transfers
- Items Approved on an Emergency Basis by the Chief Fiscal  
Officer of the State

- Methods of Finance
- General Contractors Construction Contracts over Five Million Dollars
- Discretionary Grants
- Motor Vehicle Leases
- Professional/Consultant Services Contracts
- Tower Lease Agreements
- Renovation of Historic Site
- Special Procurements
- Technical Services Contract over \$100,000
- Commodities Contracts

#### **Reports and Communications -**

- Monthly Revenue Report
- General Improvement Fund Report
- Budget Stabilization Trust Fund Report
- Emergency Purchases Report
- Tobacco Settlement Report
- State Central Services Fund Report
- Contracts between \$5,000 and \$25,000
- Federal Support by State Agencies, Boards, Commissions and  
Publicly Supported Institutions

## **PERFORMANCE EVALUATION & EXPENDITURE REVIEW (PEER) ITEMS COMMONLY REVIEWED**

- **CASH FUND APPROPRIATION INCREASES**

Requests from various agencies, boards, commissions and institutions of higher education for additional appropriation authority to spend money which is maintained in financial institutions, or the State Treasury. Item (01) of Section 34 of Act 238 of 2010, appropriates \$300 million to the Dept. of Finance & Administration-Disbursing Officer. Agencies request a transfer from this appropriation from the Chief Fiscal Officer of the State who must approve it. Positions cannot be created or established in addition to those established in the agency's biennial appropriation act.

- The Legislative Council **reviews** cash fund appropriation requests pursuant to Sections 48 and 49 of Act 238 of 2010 and A.C.A. 19-5-107.

- **MISCELLANEOUS FEDERAL GRANT APPROPRIATION REQUESTS**

Requests from various agencies for additional appropriation authority to spend unanticipated Federal grant funds. Federal funds are deposited in the State Treasury, with the exception primarily of institutions of higher education and the Department of Workforce Services, which deposit federal funds in financial institutions. Item (01) of Section 5 of Act 238 of 2010, appropriates \$2.5 billion to the Dept. of Finance & Administration-Disbursing Officer. Arkansas Code 19-7-501 et seq. establishes the procedures for the transfer of appropriation to the various agencies. The Governor and the Chief Fiscal Officer must approve the requests. Additional positions can be established. The salary rate of the position cannot exceed the highest rate authorized in the agency's appropriation act. The Personnel Subcommittee reviews position titles and grades for appropriateness.

- The Legislative Council provides **review** for all Miscellaneous Federal Grant requests pursuant to the Miscellaneous Federal Grant Programs Act, Arkansas Code 19-7-501 et. seq.

- **BUDGET CLASSIFICATION TRANSFERS**

Requests from various agencies, boards, commissions and institutions of higher education for transfer of appropriation only among the five (5) subclassifications of Maintenance and General Operation, which are operating expenses, conference fees and travel, professional fees and services, capital outlay and data processing. Transfers cannot increase the total nor can they be made from capital outlay or data processing, unless specifically authorized by law. Transfers can be made from capital outlay to data processing when the Dept. of Information Systems determines that they can provide services to the agency on a more cost efficient basis. The Chief Fiscal Officer of the State can approve transfers less than five (5) percent, up to \$2,500, of the affected classification. Cumulative transfers that exceed these amounts are submitted to the Subcommittee by the Chief Fiscal Officer of the State, prior to his approval, along with his recommendation. These procedures are set out in Arkansas Code 19-4-522.

- The Legislative Council provides **review** for Budget Classification Transfer requests to A.C.A. 19-4-522.

- **CONTRACTS BETWEEN STATE AGENCIES**

Professional/Consultant Services contracts between state agencies with total amount of \$25,000 or more are forwarded by the Chief Fiscal Officer of the State for the Subcommittee's review.

- The Legislative Council **reviews** contracts between State Agencies as submitted by the Office of the State Procurement pursuant to Arkansas code 19-11-1011.

- **SUPPLEMENTAL PERSONAL SERVICES POSITIONS**

Requests from various agencies, boards, commissions and institutions of higher education for transfer of appropriation from maintenance and general operations to supplemental emergency salaries to establish new positions. Arkansas Code 21-5-301 et seq. sets out the procedures to be utilized. The positions can only be established when required to fulfill an agency's legal obligations which were not anticipated when their appropriation act was passed, are of a short-term emergency nature and the services cannot be provided by existing staff of any state agency. The salary rate of the position cannot exceed the highest rate authorized in the agency's biennial appropriation act. The Personnel Subcommittee **reviews** position titles and grades for appropriateness.

- The Legislative Council provides **review** for Supplemental Personal Service Positions requests pursuant to A.C.A. 21-5-306.

- **REGULAR SALARY AND PERSONAL SERVICES MATCHING APPROPRIATION INCREASES (PAY PLAN HOLDING ACCOUNT)**

Requests from various agencies, boards, commissions and institutions of higher education for additional Treasury or Cash appropriation authority to pay Regular Salaries or Personal Services Matching or both. Sections 2 and 34 of Act 238 of 2010, provides appropriation authority to the Department of Finance & Administration - Disbursing Officer for Personal Services Matching and Regular Salaries for State Employees. Transfers are requested from these appropriations from the Chief Fiscal Officer of the State who must approve it for State Agencies or process the request for elected Constitutional Officers and their employees.

- The Legislative Council provides **review** for Regular Salary and Personal Services Matching appropriation increase request pursuant to Sections 40 through 43 and 50 of Act 238 of 2010.

- **REGULAR SALARY AND PERSONAL SERVICES MATCHING APPROPRIATION INCREASES (MERIT ADJUSTMENT FUND)**

Requests from various agencies, boards, commissions and institutions of higher education for additional appropriation authority and funding to pay Regular Salaries or Personal Services Matching or both. Sections 26 of Act 238 of 2010, provides appropriation and funding to the Department of Finance & Administration - Disbursing Officer for Personal Services Matching and Regular Salaries for State Employees. Transfers are requested from these appropriations from the Chief Fiscal Officer of the State who must approve it for State Agencies or process the request for elected Constitutional Officers and their employees.

- The Legislative Council provides **review** for Regular Salary and Personal Services Matching appropriation increase request pursuant to Sections 52 and 53 of Act 238 of 2010.

- **OVERTIME APPROPRIATION INCREASES**

Requests from various agencies, board, commissions and institutions of higher education for additional appropriation authority to pay overtime for state employees. Item (06) of Section 2 of Act 238 of 2010, appropriates \$5 million to the Dept. of Finance & Administration - Disbursing Officer. Arkansas Code 19-4-1612 establishes the procedures for the use of overtime appropriation by the various agencies. The Chief Fiscal Officer of the State specifies which employees are eligible for overtime in order to comply with the Federal Fair Labor Standards Act. Agencies request a transfer from this appropriation from the Chief Fiscal Officer of the State who must approve it.

- The Legislative Council provides **review** for Overtime Appropriation Increase request pursuant to Section 45 of Act 238 of 2010.

- **STUDENT ASSISTANCE GRANTS AND VARIOUS SCHOLARSHIP TRANSFERS**

Section 4 of Act 286 of 2010, provides appropriation authority to the Department of Higher Education for student assistance grants and various scholarships. Financial Aid Program funds and appropriations may be transferred between other financial aid programs, except funds and appropriations from either the Governor's Scholars Program or the Academic Challenge Scholarships Program may not be transferred in excess of \$1,000,000 in the aggregate in a fiscal year.

- The Legislative Council provides **review** of transfer requests for financial aid programs pursuant to Section 35 of Act 286 of 2010.

- **APPROPRIATION, FUND AND/OR POSITION TRANSFERS**

Requests from various agencies and institutions of higher education for transfers between any line item, fund source, department or division. Various provisions of law authorize these transfers and generally require the approval of both the Governor and the Chief Fiscal Officer of the State, or the Legislative Council.

- **ITEMS APPROVED ON AN EMERGENCY BASIS BY THE CHIEF FISCAL OFFICER OF THE STATE**

Any of the various requests normally reviewed by the Subcommittee from various agencies, boards, commissions and institutions of higher education, which have been approved by the Chief Fiscal Officer of the State prior to **review** by the Committee based upon emergency circumstances. The Subcommittee requires an explanation from the Chief Fiscal Officer of the State for such action.

- **MONTHLY REPORTS**

**Monthly Revenue Report** – Compares current actual state general revenues with the previous year and the official general revenue forecast.

**General Improvement Fund Report** – Reflects the current status of the General Improvement Fund (Construction Fund), including income and funds released.

**Budget Stabilization Trust Fund Report** – Reflects the Dept. of Finance & Administration's estimate of the fiscal year ending balance for this fund, which provides a cash flow mechanism to agencies for operating purposes.

**Emergency Purchases Report** – Reflects purchases made by state agencies on an emergency basis. Three (3) telephone quote bids are generally required for these purchases.

**Tobacco Settlement Report** - Summary of Tobacco Settlement monies received by the state reflecting income, expenses and fund balances.

**State Central Services Fund Report** - Reflects the financial condition of the State Central Services Fund.

**REVIEW AGENDA ITEMS  
ITEMS COMMONLY REVIEWED**

• **METHODS OF FINANCE (MOF) - ADVICE AND RECOMMENDATION**

How the purchase or construction of all lands, buildings, structures, utility systems, and similar facilities shall be financed by a state agency, department, or institution comes before the Review Committee for its advice and recommendation. (These Capital Expenditures shall not include projects less than \$250,000 or the repair, alteration, or renovation of facilities and shall not apply to public school districts.)

- § 22-9-104 (d)(1) In unusual circumstances between sessions of the General Assembly, a state agency, department, or institution which needs to make a capital expenditure in excess of two hundred fifty thousand dollars (\$250,000), which has not been approved by the General Assembly, may submit a request for the expenditure to the Chief Fiscal Officer of the State, who shall review the request and submit his recommendation for the method of finance for the expenditure to the Legislative Council for its advice and recommendation.

• **GENERAL CONTRACTORS CONSTRUCTION PROJECTS EXCEEDING FIVE MILLION DOLLARS - REVIEW**

Projects which exceed five million dollars (\$5,000,000) shall first obtain approval of Arkansas Building Authority and review by the Legislative Council.

- §19-4-1415 (b)(1) No contract for projects between the state agency and the construction manager, general contractor, architect, or engineer shall be entered into without first obtaining approval of Arkansas Building Authority and review by the Legislative Council.

• **DISCRETIONARY GRANTS - REVIEW**

A grant in which the recipient of the grant funds or the formula for the grant award is not specifically stated in the legislation authorizing the grant.

- §19-4-2201 (b) Hereafter, no state agency shall award any discretionary grant prior to review by the Legislative Council between legislative sessions, or by the Joint Budget Committee during legislative sessions.

• **MOTOR VEHICLE LEASES - APPROVAL**

State agencies must request the authority to lease a motor vehicle from the DFA Director of the Office of State Purchasing who may approve the request after first receiving the approval of the Legislative Council.

- § 22-8-102 (2) Upon receipt, the Director of the Office of State Purchasing of the Department of Finance and Administration shall review the request to lease the motor vehicle, and if he determines that the lease is in the best interest of the State of Arkansas and that the agency has adequate funds to pay the lease, he may approve the request, but only if he shall have first received the approval of the Legislative Council.

• **PROFESSIONAL/CONSULTANT SERVICES CONTRACTS - REVIEW**

Any contract requiring services for an amount where the total compensation exceeds \$25,000 must be presented to the Legislative Council (Review Committee) or the Joint Budget Committee by the Department of Finance and Administration prior to the execution date of the contract.

- § 19-11-1006 (a)(1) All contracts for professional services or consultant services, except for those which are specifically exempt from review, requiring the services of an individual for regular full-time or part-time weekly work where the total contract amount exceeds twenty-five thousand dollars (\$25,000) must be presented to the Legislative Council, or to the Joint Budget Committee if the General Assembly is in session, before execution date of the contract.

The Subcommittee only has the authority to review these contracts and report to the Legislative Council that the contracts have been reviewed or have not been reviewed. The Legislative Council or Joint Budget Committee, through the approval of the Subcommittee Report, shall provide DFA with their advice within 30 days of submission of the contract.

The Subcommittee Agenda categorizes contracts by Construction-Related Contracts, Out-of-State Contracts, In-State Contracts, and Contracts Submitted under Suspension of the Rules.

- Construction-Related Contracts are for professional services for Architects, Engineers and Environmental Consultants.
- Contracts Submitted Under Suspension of the Rules are those contracts presented to DFA later than the ten working days prior to the Review Committee meeting. These contracts require a letter by the agency presenting the contract explaining to the Co-Chairs of the Review Committee the necessity of the contract and reason for missing the deadline.

On the Agenda for each contract are the Agency Contracting (Agency), Contractor, Total Authorized, Total Projected Amount, Orig. Term (Starting and Ending Date of Contract), Fund Source (Funding), Procurement (Procurement Method used to select Contractor), Objective (Summary of Contract), and Amount Paid to Date.

- **Procurement Methods: Arkansas Building Authority (ABA) Criteria, Competitive Bid, Quote Bid (RFP), Sole Source or Single Source, Request for Qualification, Sole Source by Law and Other.**
  1. **ABA Criteria** – Arkansas Building Authority has developed standards and criteria that all state agencies must follow when selecting Architects or Engineers. (With the exception of Game & Fish and the Highway Department.)
  2. **Competitive Bid** – When using this method the agency should award the contract to the qualified respondent submitting the lowest bid amount. A minimum of three bids should be solicited, or documentation should be furnished as to why three bids were not received.
  3. **Quote Bid (RFP)** – The RFP method allows the use of a set of criteria, in addition to cost, that are considered in the evaluation process of each response. The RFP should be submitted to several recognized experts. The agency should select the respondent proposing the most effective solution for the price quoted.
  4. **Sole Source** – This should only be used when the Competitive Bid or RFP methods are clearly not applicable and an agency has an unusual problem and after making a reasonable effort, can identify only one possible source of professional assistance. The sole source contract may also be entered into when the agency has utilized one source of assistance for a portion of a program with highly satisfactory results and it would be uneconomical and possibly detrimental to the program to utilize another source, also where the provider possesses unique talents or skills.
  5. **Request for Qualification** – The RFQ option requires prior approval from the Office of State Procurement before using. This option has customarily been used to develop contracts for legal, architectural, engineering and land surveying services.
  6. **Sole Source by Law** – This designation is used when the state is compelled as a result of court, state or federal mandate to award for services from a specific provider. The Act#, law or court order should be provided when this method is used.
  7. **Other** – This is limited to a few select contracts that do not fit into any of the previous procurement designations.

- **TOWER LEASE AGREEMENTS - ADVICE AND COUNSEL**

- §12-8-306. Submission of contracts and proposals. The Arkansas State Police Commission shall submit any contract, agreement, or proposal, as authorized by this subchapter, to the Legislative Council prior to any obligation being incurred by the commission for the Legislative Council's advice and counsel.

- **RENOVATION OF HISTORIC SITES - ADVICE, REVIEW AND APPROVAL**

Agencies responsible for restoring or maintaining historic sites must obtain advice, review and approval by the Chief Fiscal Officer, State Building Services (Arkansas Building Authority) and the Legislative Council when awarding bids to contractors for these services.

- § 22-9-208 (2) The State of Arkansas would conserve state revenues by giving agencies charged with restoring or maintaining historic properties authority to select the contractors on the basis of the lowest responsible bid price, the bidder's experience in like work, and the techniques he proposes to employ, and by giving the agencies authority to reimburse contractors on an actual cost basis for those cost components which cannot be accurately predetermined before undertaking the project; and (3) Procedures provided in subdivision (a)(2) of this section should be applicable for specific projects only after review and approval by the Chief Fiscal Officer of the State, State Building Services and the Legislative Council.

- **SPECIAL PROCUREMENTS - REPORT FILED**

Agencies that receive a determination from DFA that an unusual or unique situation exists that makes the application of all requirements of competitive bidding, competitive sealed bidding, or competitive sealed proposals contrary to the public interest file this report.

- §19-11-263. Special procurements. (b) A written determination of the basis for the procurement and for the selection of the particular contractor shall be included by the director or the head of a procurement agency in the contract file, and he or she shall file a monthly report with the Legislative Council describing all such determinations.

- **TECHNICAL SERVICES CONTRACTS - REVIEW**

Technical and general services contracts that exceed \$100K in the areas of information technology, the actual delivery of health care or human services or education services shall be reviewed before the execution date of the contract.

- §19-11-265. (a) (1) All contracts for technical and general services, except for those that are specifically exempt from review, requiring the service of an individual or individuals for regular full-time or part-time weekly work in the areas of information technology, the actual delivery of health care or human services or educational services shall be presented to the Legislative Council or to the Joint Budget Committee, if the General Assembly is in session, before the execution date of the contract if the total contract amount exceeds one hundred thousand dollars (\$100,000).

- **COMMODITIES CONTRACTS**

Additionally, commodities contracts that include services and have a projected total cost of \$250,000 or more are submitted by report from DFA.

- 19-11-265. c)(1)(A) In addition to the contracts for technical and general services that are presented to the Legislative Council or to the Joint Budget Committee under subsection (a) of this section, the director shall compile a monthly report of each commodities contract that includes services and has a projected total cost of two hundred fifty thousand dollars (\$250,000) or more.

- **MONTHLY REPORTS**

Professional/Consultant Contracts Under \$25,000 - report from DFA.

Monthly report from DFA on federal grant applications and awards for that month.



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

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**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

February 3, 2011

Senator David Johnson, Co-Chair  
Representative Barry Hyde, Co-Chair  
JBC-PEER/Review Subcommittee  
Arkansas General Assembly  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Cash Fund Appropriation Increase Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Cash Fund Appropriation Increase request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



**Arkansas Martin Luther King, Jr. Commission**

501 Woodlane Drive, Suite 122 South  
Little Rock, AR 72201  
Phone 501-683-1300 \* Fax 501-683-1310  
<http://www.arkingdream.org>

February 2, 2011

Mr. Mike Stormes  
Budget Administrator  
Department of Finance and Administration  
1509 W. 7<sup>th</sup> Street, Suite 402  
Little Rock, AR 72201

*Ok  
JMS*

Re: Cash Increase Request

Dear Mr. Stormes,

The Arkansas Martin Luther King, Jr. Commission requests an increase in cash appropriation for our NMK0000 Cash in Treasury account. We were recently granted funds to produce the Arkansas African American History Makers Coloring Book – Volume III, in the amount of \$39,544. We need appropriation for the following:

502:00:02      Operating Expenses    \$39,544

Operating expenses includes production fees, printing 100,000 coloring books, retaining the artist, postage, advertising, distributing the books to school districts throughout the state and other expenses, such as artist's supplies and other expenses associated with producing the books.

If you have any questions or need additional information please call our office at 501-683-1300. Thank you for your time and consideration.

Respectfully,

DuShun Scarbrough  
Executive Director  
Arkansas Martin Luther King, Jr. Commission

*JMS  
02/02/2011*



**ARKANSAS  
DEPARTMENT  
OF EDUCATION**

Dr. Tom W. Kimbrell  
Commissioner

February 2, 2011

**State Board  
of Education**

Dr. Naocaman Williams  
Springdale  
Chair

Jim Cooper  
Melbourne  
Vice Chair

Sherry Burrow  
Jonesboro

Branda Gullett  
Fayetteville

Sam Ledbetter  
Little Rock

Alice Mahony  
El Dorado

Dr. Ben Mays  
Clinton

Toyce Newton  
Crossett

Mr. F. Mike Stormes  
Department of Finance and Administration  
Office of Budget  
1509 West 7<sup>th</sup> Street  
Little Rock, AR 72201

Dear Mike:

The Arkansas Department of Education (ADE) needs to request cash fund appropriation for the Multiple Grant Award Program for the 2011 fiscal year.

Operating Expenses	(885- 02 – NED0004)	\$110,000
Professional Fees	(885- 10 – NED0004)	\$305,000
Capital Outlay	(885- 11 – NED0004)	<u>\$115,000</u>
Total		\$530,000

The most urgent part of this request is for \$250,000 of Professional Fees for desegregation expenses. The Office of the Attorney General has asked the ADE to utilize funds being held from the Little Rock School District Loan Repayment Escrow account to provide for professional fees for services obtained in an effort to eliminate the excess funding provided to the three Pulaski County school districts.

Specifically, the Office of the Attorney General has contracted with Daylight Forensic and Advisory, LLC which is responsible for analyzing the fiscal integrity of the Little Rock School District, North Little Rock School District, and Pulaski County Special School District in connection with the elimination of the excess desegregation funding from their respective budgets.

As payments are approved by the Office of the Attorney General, the ADE is to process the payments from the ADE Multiple Grant Award Program appropriation. However, in order to process the professional fees payments the additional appropriation being requested herein is needed.

The Multiple Grant award appropriation also has two new accounts, Technology Resources and Gates Data Grant that have significant budgets that have resulted

Four Capitol Mall  
Little Rock, AR  
72201-1019  
(501) 682-4475  
ArkansasEd.org


Mr. F. Mike Stormes  
February 2, 2011  
Page 2

in an appropriation shortage in Operating Expenses, Professional Fees and Capital Outlay. In order to for these programs to operate for the remainder of the 2011 fiscal year, additional appropriation is needed.

Sincerely,



John Kunkel  
ADE Finance Division Manager





Arkansas Psychology Board

Sheila Pauley, Executive Director  
Meagan M. Kuta, Administrative Specialist, III

101 East Capitol Avenue | Suite 415 | Little Rock, AR 72201  
Phone (501) 682-6167 | Fax (501) 682-6165  
[www.arkansas.gov/abep](http://www.arkansas.gov/abep) [APBinfo@arkansas.gov](mailto:APBinfo@arkansas.gov)

February 3, 2011

Mike Stormes, Administrator  
DFA – Office of Budget  
1509 W 7<sup>th</sup>, Room 402  
Little Rock, AR 72201

OK  
JP

Dear Mr. Stormes

This letter is to request a transfer of cash appropriation to our Cash Operations appropriation. The details of our request are provided below:

Business Area: 0289  
Functional Area: PROF  
Fund Center: A31  
Fund: 3230000  
Commitment Item: 502:00:02 Operating Expenses  
Amount: \$37,500.00

This appropriation is required due to the number of complaints over the past twelve (12) months has increased. In addition, the nature of the complaints has required our investigator to spend more time than customary on several complaints. We have had two (2) hearing related to these complaints since July 1, 2010. In addition, the Board has another hearing scheduled for February 18, 2011. In order to conduct a hearing, the Board has to contract with a court reporter to transcribe the hearing. Due to the increase in these complaints and preparing for these hearings, copy fees and supplies have also increased.

In addition, the two (2) employees of the Psychology Board were both out of the office, at the same time, due to health issues. The Board had to contract with Staff Mark in order to obtain a temporary employee to perform some of the administrative tasks of this Agency.

The Board Members have approved the purchase of an additional computer and printer as well as appropriate office furniture (desk and chair) for Board's Member's use and with the expectations of being able to hire a third person to assist with the increased work.

The Board has approved this request for appropriation and has adequate funds available to cover these expenses.

Sincerely,

*Sheila Pauley*

Sheila Pauley  
Executive Director  
Arkansas Psychology Board

Request in compliance with approved IT plan on file with DFA IGS state Technology Planning.

*Linda Behannon*  
Signature

2/3/11  
Date

ψ

BS  
2/3/11



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**D**  
**OFFICE OF THE DIRECTOR**  
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February 3, 2011

Senator David Johnson, Co-Chair  
Representative Barry Hyde, Co-Chair  
JBC-PEER/Review Subcommittee  
Arkansas General Assembly  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Appropriation and/or Fund Transfer Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Appropriation and/or Fund Transfer request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Weiss", written over the typed name and title.

Richard A. Weiss  
Director

RAW:nf

Attachment(s)



Mike Beebe  
Governor

State of Arkansas



Winford E. Phillips  
Director

**ARKANSAS STATE POLICE**

1 State Police Plaza Drive Little Rock, Arkansas 72209-4822 www.asp.arkansas.gov

"SERVING WITH PRIDE AND DISTINCTION SINCE 1935"

ARKANSAS  
STATE POLICE  
COMMISSION

Dr. Lewis Shepherd  
Chairman  
Arkadelphia

John Allison  
Vice-Chairman  
Conway

Steve G. Smith  
Secretary  
Little Rock

Jane Christenson  
Harrison

Daniel "Woody" Futrell  
Nashville

Wallace Fowler  
Jonesboro

Frank Guinn, Jr.  
Paragould

January 12, 2011

Mr. Richard Weiss, Director  
Department of Finance & Administration  
1509 West 7<sup>th</sup> Street, Suite 401  
Little Rock, Arkansas 72201

Dear Mr. Weiss:

Arkansas State Police requests review and approval to transfer funds and appropriation as shown below:

**FUNDS:**

<u>From</u>	<u>Cost Center</u>	<u>Amount</u>
TPC0100	456687	\$1,313.20
<u>To</u>		
SMP0300	456605	\$1,313.20

**APPROPRIATION:**

<u>From</u>	<u>To</u>
<u>Appro 524</u>	<u>Appro 519</u>
TPC0100	SMP0300

<u>Commit Item</u>	<u>Commit Item</u>	
511:00:20	512:00:11	\$1,604.60

This transfer request is in accordance with Sections 14 & 15 of Act 219 of 2010 and subject to Legislative Council review and approval. The funds and appropriation will be used to pay sales tax on a vehicle purchased by Arkansas State Police.

Please contact our office at 618-8333 if you have any questions. Thank you for your help with this request.

Sincerely,

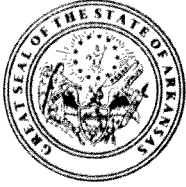
*Winford E. Phillips*  
Colonel Winford E. Phillips,  
Director, Arkansas State Police

cc: Mike Stormes

BA: 0960

FA: SFTY

*au abn 1/14/11*



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**E**  
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February 3, 2011

Senator David Johnson, Co-Chair  
Representative Barry Hyde, Co-Chair  
JBC-PEER/Review Subcommittee  
Arkansas General Assembly  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Miscellaneous Federal Grant Appropriation Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Miscellaneous Federal Grant Appropriation request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachment(s)

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

CHANGE IN EXISTING PROGRAM WITHOUT POSITIONS

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer</u>		<u>State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)</u>
				<u>Approve</u>	<u>Disapprove</u>	
		\$2,000,000	0	X		N/A

1. Arkansas Rehabilitation Services - Vocational Rehabilitation Basic Support Program

The Arkansas Rehabilitation Services (ARS) is funded primarily by the Vocational Rehabilitation Basic Support Program. The funding related to this request is from reallocation monies redistributed by the Rehabilitation Services Administration (RSA) from other states unable to provide the required matching funds. Working in partnership, the individual and the counselor develop an Individualized Plan for Employment (IPE). This includes the kind of work the client has chosen as a goal, the specific services needed, a realistic time frame for goal accomplishment and the assignment of responsibilities. The purchase of services line item is used by the rehabilitation counseling staff to purchase restoration/rehabilitation services, educational/vocational training and tuition, assistive devices, rehabilitation engineering and other goods and services.

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: September 1, 2011



FY11 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

CHANGE IN EXISTING PROGRAM WITHOUT POSITIONS

DFA IGS  
 State Technology Planning  
 Agency Request in  
 compliance with IT Plan  
 Certification (if applicable)

Purpose of Grant &  
Funding Percentages

Federal Appropriation  
Amount Requested

No. of Positions  
Requested (if any)

DFA - Chief  
 Fiscal Officer  
Approve Disapprove

3. State Military Department - Additional Funds from National Guard Bureau to cover anticipated overtime due to deployments.  
 Federal Training Site

0

X

N/A

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: Indefinite

FY11 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

CHANGE IN EXISTING PROGRAM WITH POSITIONS

Federal Appropriation  
Amount Requested

No. of Positions  
Requested (if any)

DFA - Chief  
Fiscal Officer  
Approve Disapprove

\$0

1

X

N/A

Purpose of Grant &  
Funding Percentages

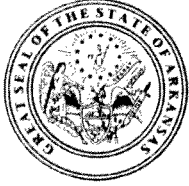
This grant is for funding the National Parks Service (NPS) to conduct detailed geologic mapping of selected United States Geological Survey (USGS) 7.5-minute quadrangles containing the Buffalo national River (BUFF), Hot Springs National Park (HOSP), and Arkansas Post National Memorial (ARPO) park units in Arkansas. This multi-year project will be completed within thirty-six (36) months from the award date. These maps will be prepared following USGS geologic mapping standards and digitized to comply with the National Geologic Mapping Act Program. This change is to request an additional position utilizing salary savings for a Geologist to work until the end of the project.

4. Arkansas Geological  
Survey - National Parks  
Service - Geologic  
Resources Evaluation

Funding Percentages

	Federal	State	Other	Total
FY07				
FY08				
FY09				
FY10				
FY11	100			100

Anticipated Duration of Federal Funds: September 30, 2011



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**F**  
**OFFICE OF THE DIRECTOR**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
<http://www.state.ar.us/dfa>

February 3, 2011

Senator David Johnson, Co-Chair  
Representative Barry Hyde, Co-Chair  
JBC-PEER/Review Subcommittee  
Arkansas General Assembly  
State Capitol Building  
Little Rock, AR 72201

RE: FY11 Reallocation of Resources Request for Pulaski Technical College

Dear Co-Chairs:

I am forwarding for appropriate action as required by law the attached request. This is in accordance with Section 19 of Act 286 of 2010, which allows the reallocation of resources for Pulaski Technical College. This has my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:nf

Attachments



January 27, 2010

Mr. Richard Weiss, Director  
Department of Finance & Administration  
P.O. Box 3278  
Little Rock, AR 72203

Dear Mr. Weiss:

In accordance with Act 286 of 2010 Section 19, Pulaski Technical College (0693) requests your approval to reallocate \$7,880,000 from commitment item 509:00:05 to \$7,880,000 in commitment item 502:00:02 in our cash fund appropriation B66. Some of the capital projects that required the appropriation found in commitment 509:00:05 has ended. The college has a need for the additional appropriation in maintenance and operation. In the fiscal year ending 2010, the college requested additional cash fund appropriation in the amount of \$15,400,000 in maintenance and operation and extra help which was approved by PEER, but that amount was not included in cash appropriation for FY2011.

Our reallocation request for \$7,880,000 for maintenance and operation will cover student refunds and college operations which includes various state and federal programs. In fiscal year 2010 total financial aid awarded to the students was \$86,231,926. Of that amount, we refunded \$63,733,696.88. Our total allocation for that line item in 2011 is just \$74,849,480. The college had a slight increase in enrollment for the spring semesters. Our current enrollment is 11,570 students. We have expended so far this fiscal year 42,820,467 in commitment item 502:00:02. If we spend another \$42.8 million the rest of the fiscal year, we would need a total of 86 million to complete fiscal year FY11.

Thank you for processing this reallocation request.

Sincerely,

Dan F. Bakke,  
President

Dr. Bakke stated that the college received eight bidders for the Aerospace Technology Center building 1 renovation project, with the winning bid going to Sanco construction. Stimulus money is being used to refurbish the original building.

The college had 30 winners in SkillsUSA state competitions. Students at the Saline County career Center also did very well. Career center students placed second and fourth in the Ford AAA high school automotive competition, which was held in Batesville at the Mark Martin Ford dealership.

Dr. Bakke also reported on the science, technology, engineering and mathematics grant partnership with universities and other two-year colleges in the state.

### **Agenda item No. 3 Finance Report**

Patricia Palmer, Vice President for Finance, reviewed the balance sheet, statement of revenue/expenditures and construction projects. Ronald Dedman moved, seconded by Diane Bray, to approve the reports as presented. Motion passed unanimously.

### **Agenda Item No. 4 Finance Committee Report**

James Herzfeld, Chair of the Finance Committee, recommended the following items for board consideration: 2010-2011 Non-Classified Employment Contracts; Provisional and Growth Pool Positions; Proposed Special Fee-Associate of Allied Health St. Vincent Infirmary Radiography Program Option Only; Dental Assisting Special Course Fee; Request for Special Course Fee for Applied Guitar I, II, III, and IV; and Reallocation Request for Budgetary Line Items.

**2010-2011 Non-Classified Employment Contracts:** Mr. Herzfeld moved that the board approve the employment contracts for full-time faculty, administrative and professional staff for the upcoming 2010-2011 fiscal year. Motion was seconded by Diane Bray to approve the contacts as presented. Motion passed unanimously.

**Provisional and Growth Pool Positions:** Mr. Herzfeld moved to approve provisional positions for the college and growth pool positions. The provisional positions are funded by a second source, and the growth pool positions requests are needed for the transfer of the food court/catering events to the college. Motion was seconded by Ronald Dedman to approve the request as presented. Motion passed unanimously.

**Proposed Special Fee-Associate of Applied Science in Allied Health - St. Vincent Infirmary Radiography Program Option Only:** The total compensation that St. Vincent Infirmary should receive for operating the Radiography program is \$12,000 for the two years. Pulaski Technical College will retain part of the fee to cover additional costs related to administration of the program and accreditation site visits.

The student would be charged \$200 per credit hour for all St. Vincent Radiography courses. St. Vincent will be sent \$12,000 over the two-year period, and Pulaski Technical College will retain \$1,818. Because no additional costs would be incurred with the other options of the degree, no additional fees would be collected for those options.

This special fee is above and beyond all normal tuition and pertinent fees charged to all Pulaski Technical College students.

William Page moved, seconded by Ronald Dedman, to approve the special course fee as presented. Motion passed unanimously.

**Dental Assisting Special Course Fee:** In the past, money has been collected by the instructors from students to pay for their liability insurance, their nitrous oxide training and their state registration to practice in Arkansas. If a special fee is added, the instructors could stop collecting cash from the students each time that these expenses were due to be paid. It would also allow the fees to be paid by financial aid at the beginning of the semester, thus alleviating the need for the student to save money for later in the semester.

A \$70 special fee per semester was proposed for both the fall and spring semesters. This would cover the student's \$15 liability insurance, \$70 nitrous oxide training, \$50 registration fee for the State Board of Dental Examiners and to help cover their CPR cards.

Pulaski Technical College nor the student will be responsible for payment once the student is no longer enrolled in the program.

William Page moved, seconded by Ronald Dedman, to approve the special course fee as presented. Motion passed unanimously.

**Request for Special Course Fee for Applied Guitar I, II, III and IV:** Beginning fall 2010, Pulaski Technical College will offer courses in Applied Guitar I, II, III and IV. Completion of this sequence of four one-credit-hour courses could help prepare a student for upper-level instruction as a music major at a four-year institution.

Since these are private lessons, regular tuition will not cover the instructor's compensation; therefore, the college requested a \$150 special course fee to make up the difference.

Ronald Dedman moved, seconded by William Page, to approve the special course fee as presented. Motion passed unanimously.

**Reallocation Request for Budgetary Line Items:** In accordance with Act 286 of 2010, Section 19; Pulaski Technical College requested approval from the Board of Trustees to reallocate budgetary line items to reflect where the needs are in Appropriation B66 (Cash Funds). Since the contingency line was eliminated, this Act allows the college to move one commitment item to another commitment item. The college specifically requested to move a portion of capital outlay commitment item 512:00:11 to maintenance and operation 502:00:02; a portion of fringe benefits commitment item 501:00:03 to maintenance and operation 502:00:02; a portion of professional services commitment item 506:00:10 to maintenance and operation 502:00:02; a portion of construction related commitment item 509:00:05 to travel commitment item 505:00:09, a portion of extra help commitment item 501:00:01, and maintenance and operation commitment item

502:00:02. The specific amounts will be determined after reviewing the spending levels for FY10. This request must also be received prior approval of the Legislative Council or Joint Budget Committee.

William Page moved, seconded by Diane Bray, to approve the reallocation request as presented. Motion passed unanimously.

#### **Agenda Item No. 5 Academic Affairs Committee Report**

Diane Bray, member of the Academic Affairs Committee, recommended for board consideration the Ratifications of Employment.

**Ratifications of Employment:** Diane Bray presented a request to ratify the following non-classified professional staff members: Adonis Brown, Student Services Representative; Casey Carder, Career and Assessment Specialist; Ryan Cox, Academic Advisor; Erin Dail, Academic Advisor; Brandi Martin, Financial Aid Advisor; Neil Mitchell, Physical Science Instructor; Valarie Robinson, Financial Aid Advisor; Geoffrey Theirs, Student Tracking Specialist.

Diane Bray moved, seconded by William Page, to ratify the request as presented. Motion passed unanimously.

#### **Agenda item No. 6 Financial Aid Report**

Cindy Harkey, Vice President for Student Services, presented to the board the Financial Aid report for the fall 2009 and spring 2010 financial aid awards. Total amount awarded during the fall and spring semesters was \$81,637,935.27. This represents a year-to-date increase of 35 percent over the same time in 2008-2009, which totaled \$60,428,590.76. Summer I and II terms are not included in the above total.

#### **Agenda Item No. 7 Technology Report**

David Harris, Chief Information Officer, reported to the board the Technology Report:

##### **Business and Industry Center Internet upgrade**

Pulaski Technical College Computing Services staff recently upgraded the Internet connection at the Business and Industry Center. He said this will solve a problem that some customers experienced while training at the center. The center had a 1.5 megabit connection that was used to conduct computer training and to provide connectivity for the offices. In the last few months, center staff began using Learnmate Learning Management System to provide industrial computer-based training. They also started training new employees for a local industrial facility that utilizes computer-based training through an Internet connection. The increase in demand for Internet connections necessitated an increase in bandwidth. The new Internet connection is

**SUMMARY SCHEDULE OF STATE AGENCY CONTRACTS  
FOR ARKANSAS LEGISLATIVE COUNCIL REVIEW**



*Contracts Between State Agencies or Institutions*

**1 . Agency:** Education Department **Contractor:** University of Arkansas at Little Rock  
**Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 02/01/2011 06/30/2011 **Procurement:** Sole Source by Justification  
**Total After Review:** \$60,936.00 **Funding** Federal - 100% - Title I Administration  
**Total Projected:** \$116,155.89 **Contract Number:** 4600020889

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	60,936.00		provide evaluation of Supplemental Education Services (SES) in the state of Arkansas	

**2 . Agency:** Human Services Department **Contractor:** UAMS College of Medicine  
**Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 07/01/2011 06/30/2012 **Procurement:** Intergovernmental  
**Total After Review:** \$125,000.00 **Funding** Federal - 75% - Medicaid - CFDA 93.778-75%; State - 25%  
**Total Projected:** \$125,000.00 **Contract Number:** 4600020902

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	125,000.00		To provide medical necessity coverage determinations for services not normally covered under the state plan, but recommended as a result of an Early and Periodic Screening, Diagnosis and Treatment (EPSDT) screen, and medical reviews for Third Party Liability (TPL) disputed claims when needed.	

**3 . Agency:** Minority Health Commission **Contractor:** UAMS College of Medicine Dept of Pediatrics  
**Location:** Little Rock **State:** AR

**Total Authorized:** **Org. Term** 02/25/2011 06/30/2011 **Procurement:** Intergovernmental  
**Total After Review:** \$40,670.46 **Funding** Other - 100% - Tobacco Settlement Funds  
**Total Projected:** \$284,693.22 **Contract Number:** 4600020895

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Original:	40,670.46		Consultation on Hispanic health issues; interpretation of Hispanic population survey findings; consult and perform research on minority child health issues including childhood hypertension; consult on translation of SAFS (Southern Ain't Fried Sunday) for the Hispanic Culture.	

# Methods of Finance for February Review

AGENCY	PURPOSE/PROJECT	INITIAL TOTAL		ESTIMATED TOTAL PROJECTED COST	REVISED TOTAL	CURRENT REVISED TOTAL		TYPE OF FUNDING	EST. START	COMPLETION
		Initial Cost				Reason for Revision				
a	University of Central Arkansas Amphitheater Project - Construction of an amphitheater and landscape enhancement for the Student Center Courtyard area.	\$375,947.00	\$375,947.00	\$375,947.00				Agency Bank Funds - Auxiliary Funds - 87% Private Funds - 13%	5/15/11 8/12/11	
b	University of Central Arkansas Bear Hall (Residence Hall) - Construction of a new residence hall located on theUCA campus.	\$20,800,000.00	\$20,800,000.00	\$20,800,000.00				Agency Bank Funds - Housing Reserves - 2.7% UCA Bonds - 86.5% Private Funds - 10.8%	2/11/11 8/1/12	
c	Southern Arkansas University Overstreet Hall improvements - Repair and Renovation of Overstreet Hall.	\$500,000.00	\$500,000.00	\$1,500,000.00			\$1,900,000.00 Increase in Bond Funding	Agency Bank Funds - Tuition and Fees - 16% Bond Proceeds - 84%	8/1/10 8/1/11	
d	Southern Arkansas University Bussey Window Project - Replacement of windows in Bussey Hall.	\$295,000.00	\$295,000.00	\$295,000.00				Other Funds - Sustainable Building Revolving Loan Fund - 100%	3/1/11 8/1/11	
e	Southern Arkansas University District Cooling and District Heat Projects - Construction and replacement of District Cooling Phase 12A and 12B and District Heating Phase 5.	\$1,308,000.00	\$1,308,000.00	\$1,308,000.00				Other Funds - Sustainable Building Revolving Loan Fund - 100%	3/1/11 8/1/11	

## A.C.A. 19-4-1415 Project Transmittal Form

**To:** Bureau of Legislative Research  
Room 315, State Capitol  
Little Rock, AR 72201

**Attn:** Kathy Schmidt, Legislative Analyst  
Review Committee

**Date:** February 3, 2011

**From:** Ms. Ann Kemp  
Vice President for Administration  
University of Arkansas System Office  
2404 North University Avenue  
Little Rock, AR 72207

**Institution:** University of Arkansas at Monticello

**Institution Contact:** Jay Jones  
Vice Chancellor, Finance and Administration

**Project Description:**

Renovation and addition of educational and administrative space in the Forest Resources Complex (Chamberlin).

**Procurement Method:** RFQ as authorized by A.C.A. 19-4-1415

**Contractor:** James H. Cone, Inc.

**Term:** 24 months

**Estimated Completion Date:** 1/1/2013

**Total Project Cost:** \$6,100,000 (estimated)

**Funding Sources (%):**

0%	University Reserves
84%	State (List sources): General Improvement Funds
0%	Federal
16%	Gifts - Private
0%	Bond Proceeds

**DEPARTMENT OF ARKANSAS HERITAGE  
ARKANSAS ARTS COUNCIL  
FY12 Grant Funds  
State/Federal/Private Foundation Dollars**

**Grant Period: March 1, 2011 – June 30, 2012**

Provider/Address	Area Served	Services Provided	Amount Awarded	Funding Sources
AETN Foundation Conway	Statewide	Requests \$48,070 for the Arkansas Educational Television Network to implement a statewide arts initiative	\$45,000	General Improvement Funds 100% Act 260 of 2010
Arkansas Delta Arts Partnership West Helena	East Arkansas	Requests \$45,000 to develop and install two bronze sculptures at "Civil War Helena"	\$45,000	General Improvement Funds 100% Act 260 of 2010
Arkansas Repertory Theatre Little Rock	Central Arkansas	Requests \$150,000 as part of a \$6 million Capital Campaign to renovate the historic Galloway Building downtown.	\$150,000	General Improvement Funds 100% Act 260 of 2010
Communication Arts Institute, Inc. Eureka Springs	Northwest Arkansas	Requests \$55,000 to restore/renovate the Roenigk House, which will provide additional housing and community space for the Arkansas Writer's Colony	\$50,000	General Improvement Funds 100% Act 260 of 2010
Inspiration Point Fine Arts Colony Eureka Springs	Northwest Arkansas	Requests \$100,607 for a campus renovation and repair project	\$25,000	General Improvement Funds 100% Act 260 of 2010
Mid-America Arts Alliance Kansas City	AR, KS, MO, NE, OK, TX	Requests \$25,000 to provide advanced training for the staffs of four small museums in Arkansas	\$25,000	General Improvement Funds 100% Act 260 of 2010
Ozark Foothills Filmfest Locust Grove	Statewide	Request of \$150,000 would fund three independent films by Arkansas filmmakers	\$100,000	General Improvement Funds 100% Act 260 of 2010

Provider/Address	Area Served	Services Provided	Amount Awarded	Funding Sources
Tricycle Theatre for Youth Bentonville	Statewide	Requests \$50,000 to produce the Arkansas history based play "Digging Up Arkansas" for several schools throughout Arkansas.	\$10,000	General Improvement Funds 100% Act 260 of 2010
Walton Arts Center Fayetteville	Northwest Arkansas	Requests \$50,000 to assist with costs associated with "Artsphere: Arkansas's Arts and Nature Festival"	\$50,000	General Improvement Funds 100% Act 260 of 2010

**TOTAL AMOUNT AWARDED: \$500,000**

1. Agency: 0645 - Arkansas Department of Health/  
Center for Health Protection / Branch: Infectious Disease/ Section: HIV/STD/HEP-C

Grantee: International Federation of Black Prides Inc  
1806 Vernon Street, NW, Suite 200  
Washington, D C 20009

Term: 06/01/2010 to 12/31/2011                      Selection: Request for Application  
Cost: \$24,450.00                                              Agreement #: 4600019429  
Fund Source: 100% Federal

Purpose:                                                              Amend. #: 2                                      Amount: \$12,225.00  
Increase funding in the amount of \$12,225.00 for a total contract liability of \$24,450.00 to continue to provide syphilis elimination through testing and counseling to the community of Central Arkansas in Pulaski County

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2. Agency: 0645 - Arkansas Department of Health  
Center for Health Protection / Branch: Infectious Disease/ Section: HIV/STD/HEP-C

Grantee: Jefferson Comprehensive Care System  
P O Box 1285  
Pine Bluff, AR 71613-1285

Term: 07/01/2010 to 03/31/2012                      Selection: Request for Application  
Cost: \$324,793.76                                              Agreement #: 4600019428

Fund Source: 100% Federal

Purpose:                                                              Amend. #: 1                                      Amount: \$188,965.76  
Extend the ending date to be 03/31/2012 and increase the funding by \$188,965.76 for a total contract liability of \$324,793.76 to continue to provide administration and direct client services for low income HIV positive persons and include medical and support services for HIV positive individuals for the Ryan White Care Act Part B (Title II) in the counties of Arkansas, Ashley, Bradley, Chicot, Cleveland, Desha, Drew, Jefferson, and Lincoln

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3. Agency: 0645 - Arkansas Department of Health  
Center for Health Protection / Branch: Infectious Disease/ Section: HIV/STD/HEP-C

Grantee: Healthcare Pharmacy Inc  
3401 Atwood Road Suite F  
Little Rock, AR 72206

Term: 04/01/2010 to 03/31/2012                      Selection: Unsolicited Proposal  
Cost: \$1,666,000.00                                              Agreement #: 4600014440  
Fund Source: 100% Federal

Purpose:                                                              Amend. #: 4                                      Amount: \$500,000.00  
Extend the ending date to be 03/31/2012 and increase the funding by \$500,000.00 for a total contract liability of \$1,666,000.00 to continue to provide pharmacy services for the Ryan White Care Act Part B program statewide

**ADH Discretionary Sub Grants for February 2011 Review Subcommittee of the AR Legislative Council**

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**4. Agency: 0645 - Arkansas Department of Health/  
Center for Health Protection / Branch: Infectious Disease/ Section: HIV/STD/HEP-C**

**Grantee: Chicot Memorial Hospital  
2729 Highway 65 & 82 S  
Lake Village, AR 71653**

**Term: 02/01/2010 to 12/31/2011  
Cost: \$115,200.00  
Fund Source: 100% Federal**

**Selection: Request for Application  
Agreement #: 4600018935**

**Purpose: Amend. #: 2 Amount: \$57,600.00  
Increase funding by \$57,600.00 for a total contract liability of \$115,200.00 to continue to provide preliminary testing through the mobile screening unit, follow up testing at the local hospitals and HIV education to local students for the HIV Prevention Project in the counties of Arkansas, Ashley, Bradley, Cleveland, Chicot, Desha, Drew, and Lincoln counties**

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**5. Agency: 0645 - Arkansas Department of Health  
Center for Health Protection / Branch: Injury Prevention and Control Branch/ Section: Trauma Section**

**Grantee: Arkansas Childrens Hospital Burn Center  
1 Childrens Way Slot 663  
Little Rock, AR 72202-3591**

**Term: 03/01/2011 to 06/30/2012  
Cost: \$250,000.00  
Fund Source: 100 % State**

**Selection: Unsolicited Proposal  
Agreement #: 4600020870**

**Purpose: Amend. #: Amount: \$  
Provide medical training and equipment, to participate in research opportunities, to educate volunteers and students, and to support a state-wide Adult Burn Care Coordinator. Geographical Service Coverage Area is Statewide.**

## DHS Grants for February Review

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1. **Agency:** Human Services Department/Division of Behavioral Health Services
- Grantee:** Arkansas Association for Infant Mental Health, Inc.  
Little Rock, AR
- Term:** March 1, 2011 – June 30, 2011 **Selection:** Solicited Proposal
- Cost:** \$30,000.00 **Sub-grant #:** 4600020910
- Fund Source:** State - 100%
- Purpose:**  
To plan and host the first statewide Infant Mental Health Conference in Arkansas.
-

**SUMMARY SCHEDULE OF STATE AGENCY CONTRACTS  
FOR ARKANSAS LEGISLATIVE COUNCIL REVIEW  
AS REQUIRED BY ARKANSAS CODE 19-11-1006**

**H5 a**

***Construction-Related Contracts***

<b>1 . Agency:</b> U of A - Fayetteville		<b>Contractor:</b> Miller Boskus Lack Architects, P.A.	
		<b>Location:</b> Fayetteville	<b>State:</b> AR
<b>Total Authorized:</b>	\$30,000.00	<b>Org. Term</b> 01/19/2008 06/30/2009	<b>Procurement:</b> Request for Qualifications - Contract Extension
<b>Total After Review:</b>	\$613,568.00	<b>Funding</b> Cash - 100% - Donations	
<b>Total Projected:</b>	\$343,508.00		<b>Contract Number:</b> RA0813634
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>
Amd. 2	583,568.00	29,700.00	Additional Funds, Date Extension - for full architectural, structural, MEP and civil engineering services for UAF Child Development Study Center.
<b>History:</b>			
Amd. 1	0.00	29,700.00	Extends contract date.
Original:	30,000.00		Child Development Research Center, University of Arkansas, Fayetteville. To provide program review, master planning, coordination, cost estimating, schematic design, design development, construction documents, bidding & negotiating, construction administration, inspection & project close-out.
<b>2 . Agency:</b> U of A - Fayetteville		<b>Contractor:</b> Populous Inc	
		<b>Location:</b> Kansas City	<b>State:</b> MO
<b>Total Authorized:</b>	\$546,500.00	<b>Org. Term</b> 07/16/2010 06/30/2011	<b>Procurement:</b> Request for Qualifications - Contract Extension
<b>Total After Review:</b>	\$3,197,409.00	<b>Funding</b> Cash - 100% - Athletic Reserves/Bond Funds	
<b>Total Projected:</b>	\$750,000.00		<b>Contract Number:</b> RA1113750
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>
Amd. 1	2,650,909.00	359,058.51	Additional Funds, Date Extension - Increase contract for full architectural, structural, MEP and civil engineering services for UAF Football Operations Center.
Original:	546,500.00		Athletic facilities master planning.
<b>3 . Agency:</b> U of A - Fayetteville		<b>Contractor:</b> TME, Inc.	
		<b>Location:</b> Little Rock	<b>State:</b> AR
<b>Total Authorized:</b>		<b>Org. Term</b> 02/21/2011 06/30/2011	<b>Procurement:</b> Request for Qualifications
<b>Total After Review:</b>	\$90,000.00	<b>Funding</b> Federal - 100% - ARRA	
<b>Total Projected:</b>	\$90,000.00		<b>Contract Number:</b> RA1113775
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>
Original:	90,000.00		Engineering services for Administrative Services Data Center project.
<b>4 . Agency:</b> U of A - Pine Bluff		<b>Contractor:</b> TME, Inc	
		<b>Location:</b> Little Rock	<b>State:</b> AR
<b>Total Authorized:</b>		<b>Org. Term</b> 02/01/2011 06/30/2011	<b>Procurement:</b> Request for Qualifications
<b>Total After Review:</b>	\$147,500.00	<b>Funding</b> Federal - 100% - Title III Funds	
<b>Total Projected:</b>	\$147,500.00		<b>Contract Number:</b> RA10160967
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>
Original:	147,500.00		Design professional to renovate Corbin Hall.
<b>5 . Agency:</b> War Memorial Stadium Comm.		<b>Contractor:</b> Wittenberg, Delony & Davidson, Inc.	
		<b>Location:</b> Little Rock	<b>State:</b> AR
<b>Total Authorized:</b>	\$511,000.00	<b>Org. Term</b> 07/21/2008 06/30/2009	<b>Procurement:</b> ABA Criteria
<b>Total After Review:</b>	\$520,604.13	<b>Funding</b> Other - 100% - Bond Proceeds	
<b>Total Projected:</b>	\$497,100.00		<b>Contract Number:</b> 4600015368
<b>Org/Am:</b>	<b>Amount</b>	<b>Paid To Date</b>	<b>Objective:</b>
Amd. 3	9,604.13	500,309.67	Additional Funds for reimbursable expenses
<b>History:</b>			

Amd.	2	9,900.00	308,851.49	Increases funds and extends contract date.	06/30/2011
	1	4,000.00	67,881.41	Increases funds and extends contract date.	06/30/2010
Original:		497,100.00		Architectural Services for the renovations of the Press Box at the west side of War Memorial Stadium.	

**SUMMARY SCHEDULE OF STATE AGENCY CONTRACTS  
FOR ARKANSAS LEGISLATIVE COUNCIL REVIEW  
AS REQUIRED BY ARKANSAS CODE 19-11-1006**

**H5 b**

***Out-of-State Contracts***

**1 . Agency:** Human Services Department **Contractor:** Hornby Zeller Associates, Inc.  
**Div/Prog:** Children And Family **Location:** Troy **State:** NY

**Total Authorized:** \$661,265.00 **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Sole Source by Justification  
**Total After Review:** \$711,265.00 **Funding** Federal - 53% - Various federal programs; State - 47%  
**Total Projected:** \$2,645,060.00 **Contract Number:** 4600019391

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 1	50,000.00	353,908.83	Additional Funds for Quality Assurance Services.	
Original:	661,265.00		To purchase Quality Assurance Service Statewide.	

**2 . Agency:** Public Service Commission **Contractor:** LaCapra Associates, Inc  
**Location:** Boston **State:** MA

**Total Authorized:** \$278,536.00 **Org. Term** 08/01/2010 06/30/2011 **Procurement:** Request for Proposal  
**Total After Review:** \$488,536.00 **Funding** Other - 100% - Special revenue from utility assessments  
**Total Projected:** \$416,244.00 **Contract Number:** 4600019966

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 1	210,000.00	130,507.00	Additional Funds for Analysis and evaluation of the technical and economic issues related to Entergy Arkansas, Inc. withdrawal from the Entergy System Agreement.	
Original:	278,536.00		Analysis and evaluation of the technical and economic issues related to Entergy Arkansas, Inc.s(EAI) withdrawal from the Entergy System Agreement and its options for operation after Dec 19, 2013, including but not limited to evaluation of potential regional transmission organization membership and/or enhanced independent coordinator of transmission arrangement, successor arrangements with other Entergy operating companies, stand alone operations or operations with a third party, generation resource plans, gas supply plans, and other pertinent issues related to EAI's withdrawal from the system agreement and impacts on other Arkansas utilities.	

**3 . Agency:** U of A - Fayetteville **Contractor:** LBL Technology Partners  
**Location:** Minneapolis **State:** MN

**Total Authorized:** \$25,340.00 **Org. Term** 03/01/2010 06/30/2011 **Procurement:** Request for Proposal  
**Total After Review:** \$51,184.00 **Funding** State - 100%  
**Total Projected:** \$137,250.00 **Contract Number:** RA1013727

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 1	25,844.00	22,337.17	Additional Funds	
Original:	25,340.00		To conduct an IT security review of the Internal Audit Department's servers, files, back-up and access to the individual campus computing networks, and then provide a detailed IT security report with related findings and recommendations for improvements over security.	

**SUMMARY SCHEDULE OF STATE AGENCY CONTRACTS  
FOR ARKANSAS LEGISLATIVE COUNCIL REVIEW  
AS REQUIRED BY ARKANSAS CODE 19-11-1006**

**H5 c**

***In-State Contracts***

**1 . Agency:** Ark. Heritage - Delta Center **Contractor:** Jerome Jerry Pillow  
**Location:** Helena **State:** AR  
**Total Authorized:** **Org. Term** 02/01/2011 06/30/2011 **Procurement:** Sole Source by Law-  
**Total After Review:** \$45,500.00 **Funding** State - 88%; Cash - 12% - Donations regular cash account  
**Total Projected:** \$227,500.00 **Contract Number:** 4600020923  
**Org/Am:** **Amount** **Paid To Date** **Objective:** **New Exp Date**  
Original: 45,500.00 Reimbursement for artists fees & services related to the upcoming 11th Annual Arkansas Delta Family Gospel Festival to be held May 28, 2011.

**2 . Agency:** Health Department **Contractor:** The Communications Group, Inc.  
**Div/Prog:** Center for Health Advancement, BreastCare **Location:** Little Rock **State:** AR  
Section  
**Total Authorized:** \$435,992.00 **Org. Term** 08/01/2008 06/30/2009 **Procurement:** Request for Proposal  
**Total After Review:** \$614,275.00 **Funding** State - 100%  
**Total Projected:** \$1,400,000.00 **Contract Number:** 4600015275  
**Org/Am:** **Amount** **Paid To Date** **Objective:** **New Exp Date**  
Amd. 4 178,283.00 330,439.83 Additional Funds to promote a new and modern method for women who want to enroll in BreastCare.  
**History:**  
Amd. 3 117,996.00 246,633.42 Additional Funds,Date Extension 06/30/2011  
2 117,996.00 200,000.00 Increase funds.  
1 0.00 194,250.07 Extend contract date. 06/30/2010  
Original: 200,000.00 Media and marketing campaign in response to RFP for BreastCare Program. To encourage all Arkansas women to get regular breast and cervical screening, as appropriate, in order to reduce the morbidity and mortality from breast and cervical cancer, have not had a mammogram in over two years or have little or no Health insurance to cover these screenings; and reach more minority women, both African American and Hispanic, who are at greater risk of dying of breast or cervical cancer because of late stage diagnoses. Services will be rendered statewide.

**3 . Agency:** Higher Education Department **Contractor:** Stone Ward  
**Location:** Little Rock **State:** AR  
**Total Authorized:** \$815,000.00 **Org. Term** 12/01/2008 11/30/2009 **Procurement:** Request for Proposal - Contract Extension  
**Total After Review:** \$1,515,000.00 **Funding** Federal - 100% - U. S. Dpartment of Education - College Challenge Access Chalenge Grant Program  
**Total Projected:** \$730,000.00 **Contract Number:** ADHE09001  
**Org/Am:** **Amount** **Paid To Date** **Objective:** **New Exp Date**  
Amd. 4 700,000.00 747,907.89 Additional Funds to continue the College Access Chalenge Grant Program and extend contract date. 06/30/2011  
**History:**  
Amd. 2 450,000.00 365,000.00 Increases funds to continue the College Access Challenge Grant Program - public information campaign.  
1 0.00 365,000.00 Extend contract date. 11/30/2010  
Original: 365,000.00 Development of appropriate public informational materials on Higher Education Awareness public information campaign that shall include information necessary to inform affected middle school, junior high school and high school students of the need for higher education and the resources available to them to assist in continuing their education.

**4 . Agency:** Human Services Department **Contractor:** Bost, Inc.  
**Div/Prog:** Developmental Disabilities **Location:** Fort Smith **State:** AR  
**Total Authorized:** \$121,555.00 **Org. Term** 07/01/2010 06/30/2011 **Procurement:** Sole Source by Law-Act 275 of 2010

**Total After Review:** \$146,555.00 **Funding** Federal - 95.91% - SSBG (Integrated Services), VI-B; State - 4.09%

**Total Projected:** \$76,555.00

**Contract Number:** 710DD11007

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	25,000.00	25,048.35	Additional Funds for services.	
<b>History:</b>				
Amd. 1	45,000.00	14,155.96	Additional Funds for services.	
Original:	76,555.00		To provide community based services for individuals 3-5 years old with developmental disabilities in Sebastian county.	

**5 . Agency:** Pulaski Technical College

**Contractor:** Thomas & Thomas, LLP

**Location:** Little Rock

**State:** AR

**Total Authorized:** \$20,900.00 **Org. Term** 01/16/2006 06/30/2010 **Procurement:** Request for Proposal - Contract Extension

**Total After Review:** \$28,500.00 **Funding** Cash - 100% - Tuition and Fees

**Total Projected:** \$28,100.00

**Contract Number:** RA069306002

<u>Org/Am:</u>	<u>Amount</u>	<u>Paid To Date</u>	<u>Objective:</u>	<u>New Exp Date</u>
Amd. 2	7,600.00	16,400.00	Additional Funds,Date for arbitrage calculations for 2011 bond issue.	01/15/2013
<b>History:</b>				
Amd. 1	0.00	0.00	Extends contract date.	06/30/2011
Original:	20,900.00		To provide arbitrage calculations to determine if College has rebatable arbitrage and filling of necessary forms with the IRS in relation to the College's various tax exempt bond issues.	



STATE OF ARKANSAS  
**Department of Finance  
 and Administration**

**H8**  
**OFFICE OF STATE PROCUREMENT**  
 1509 West Seventh Street,  
 Suite 300  
 Little Rock, Arkansas 72201-4222  
 Phone: (501) 324-9316  
 Fax: (501) 324-9311  
<http://www.arkansas.gov>

**Memorandum**

**To:** Senator David Johnson and Representative Barry Hyde, Co-Chairs  
 PEER Review Sub-Committee, Arkansas Joint Budget Committee

**From:** Jane Benton, Director *Jane Benton*

**Date:** February 3, 2011

**Subject:** **Special Procurements—0480 Arkansas Department of Corrections Agricultural  
 Fertilizer and the Ouachita Special Needs Unit**

Under the provisions of Ark. Code Ann. § 19-11-263, Special Procurements, this report reflects the Department of Corrections' Special Procurements.

Based on justification provided by the Arkansas Department of Correction (ADC), it is my determination that an unusual or unique situation exists that makes the application of all requirements of competitive bidding, competitive sealed bidding, or competitive sealed proposals contrary to the public interest. The provisions of this section of the Procurement Law, ACA § 19-11-263, will be used in the procurement of agricultural fertilizer for ADC farms units due to the volatility in the market. The provisions of this section of the Procurement Law will be used in the procurement of commodities that are generally standard in the correctional construction market for the exclusive use in the construction of the Ouachita Special Needs Unit and the Cummins Trustee barrack due to uncertainty in the petroleum industry and the volatility in these markets. Other provisions of the Procurement Law did not allow the flexibility required by ADC to properly purchase those items in a timely manner or at a cost most beneficial to the State.

**FARM FERTILIZER REPORT  
 January 2011**

<u>PO #</u>	<u>VENDOR</u>	<u>AMOUNT</u>	<u>UNIT</u>
4501123839	Beaver Creek Farms	\$ 72,800.00	Cummins
4501123842	Beaver Creek Farms	81,200.00	Cummins
4501123854	Helena Chemical	<u>2,472.04</u>	Tucker
<b>TOTAL</b>		<b>\$156,472.04</b>	

**0480 – DEPARTMENT OF CORRECTION  
 SPECIAL NEEDS UNIT REPORT  
 January 2011**

<u>PO #</u>	<u>Vendor</u>	<u>Description</u>	<u>Amount</u>
There are no expenses against this category for the month of January 2011.			

**Technical Service Contracts for Legislative Review  
Department of Human Services - #0710**

**BASIS: ACA 19-11-265** - Technical services contracts exceeding \$100,000.00 for information technology, health care services, human services, or educational services require legislative review prior to the effective date of the contract.

Div.	Contract Number	Contractor Name	Remaining extension Options *	Ext No.	Effective Dates **		Amount ***	Service	Proc. Method	%s of funding (Federal, State, &/or Other)		
										State	Other	
DBH Arkansas Health Center	HS07- 0018	American Consulting Enterprises	2 After this extension	Initial	4/19/2007	4/18/2008	39,364.00	Pharmacy Consultant Services	CSB	State	13%	
					1	4/19/2008	4/18/2009					\$ 31,644.00
					2	4/19/2009	4/18/2010					\$ 31,245.50
					3	4/19/2010	4/18/2011					\$ 31,300.00
					4	4/19/2011	4/18/2012					\$ 32,000.00
					5							\$
6			\$									

\* If none, indicate "none"

\*\* Beginning and ending date of original contract and of each extension exercised, including this one, if applicable

\*\*\* Amount of original contract and of each extension exercised, including this one, if applicable

Source of "Other" funding:

Medicaid Reimbursement, Patient Revenues, etc.

OSP Submittal Date 2/7/2011

For Legislative Review 3/18/2011

For information, contact  
Ray Stafford (501) 682-6562

**H9 a**

**Technical Service Contracts for Legislative review  
Office of State Procurement**

**ACA 19-11-265** – Technical services contracts exceeding \$100,000 for information technology, health care services, human services, or educational services require legislative review prior to the effective date of the contract.

Div.	Contract Number	Contractor Name	Remaining Extension Options*	Ext. No.	Effective Dates**	Amount ***	Service	Proc. Method	%'s of Funding (Federal, State, and/or Other)
DHS - DMH Mental Health	4600020776	FEI.COM, Inc.	N/A	Initial	12/15/10 12/14/11	\$287,061	Developing and maintaining an electronic voucher management system.	SSJ	Fed 100

\* If none, indicate "none"

\*\* Beginning and ending date of original contract and of each extension exercised, including this one if applicable

\*\*\* Amount of original contract and of each extension exercised, including this one, if applicable

Legislative Review: 02/15/2011

For information contact: Robin Rogers (501) 371-6067